

WILLIAM & MARY

Board of Visitors

PRE-READS

September 24-25, 2020
Alumni House

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BYLAWS
Faculty of Arts & Sciences
William & Mary
April 3, 2012
as amended April 7, 2020

Article I

Definition of the Faculty of Arts & Sciences

Section 1. The Faculty of Arts and Sciences (or the Faculty, Arts & Sciences, A&S) is one of the constituent faculties of the College of William and Mary in Virginia (or William & Mary). All persons holding full-time teaching or research appointments as professors, associate professors, assistant professors, instructors, or lecturers in Arts & Sciences are members of the Faculty and are entitled to vote in Arts & Sciences meetings. Faculty members shall retain voting privileges on becoming faculty emeriti/ae. All persons holding appointments as research associates or post-doctoral fellows are non-voting members of the Faculty.

Section 2. The President and Provost of William & Mary, the Dean of University Libraries, the Dean of the Faculty of Arts & Sciences (hereinafter referred to as the Dean), the Dean of Undergraduate Studies, the Dean for Interdisciplinary Studies, the Dean for Educational Policy, and the Dean of Graduate Studies & Research, are members *ex officio* of the Faculty.

Section 3. For certain organizational purposes, Arts & Sciences departments are grouped into three areas:

1. Area I - Art & Art History, Classical Studies, English Language & Literature, Modern Languages & Literatures, Music, Philosophy, Religious Studies, Theater, Speech & Dance;
2. Area II - Anthropology, Economics, Government, History, Kinesiology & Health Sciences, Military Science, Psychological Sciences, Sociology;
3. Area III - Applied Science, Biology, Chemistry, Computer Science, Geology, Mathematics, Physics.

Article II

Officers of the Faculty

Section 1. The Dean, appointed by the Board of Visitors on the recommendation of the President of the university, is the chief administrative officer of Arts & Sciences.

Section 2. The Dean shall preside at Arts & Sciences faculty meetings. The Dean may appoint a Parliamentarian to assist with the meeting. In the absence of the Dean, the Faculty may elect a temporary presiding officer or accept the Dean's designee.

Section 3. A Secretary of the Faculty shall be elected from among the members of the Faculty for a term of three years. The Secretary shall record the minutes of each meeting and post them on the A&S website as soon as possible thereafter. Electronic copies shall be provided for the University archives. Opportunity shall be given at each meeting of the Faculty to correct the minutes of the previous meeting.

Article III

Meetings of the Faculty

Section 1. The Dean shall hold monthly Arts & Sciences faculty meetings from September through May excepting January. Notice of these meetings shall be given at least seventy-two hours in advance.

Section 2. Special meetings of the Faculty may be called

1. by the President, the Provost, or the Dean;
2. upon the request of an elected or standing committee of the Faculty or upon the written petition of at least twenty-five members of the Faculty.

Section 3. Forty members of the Faculty shall constitute a quorum.

Section 4. No resolution affecting the educational policy of the university shall be voted on in a meeting unless it shall have been submitted in writing to the Dean and the Faculty Affairs Committee and the text thereof transmitted by the Faculty Affairs Committee to all members of the Faculty at least one week prior to the date of the meeting. This provision may be waived by unanimous consent of those present.

Section 5. Arts & Sciences faculty meetings are open to attendance by all members of the university community. However, the Faculty may move into executive session by a majority vote of faculty members present.

Section 6. The rules contained in the current edition of *Robert's Rules of Order* shall govern the meetings of the Faculty in all cases to which they are applicable and in which they are not inconsistent with these Bylaws and any special rules of order the Faculty may adopt.

Section 7. The Faculty will conduct votes electronically for the purpose of electing committee members. After the written version of the proposed slate for committees has gone out in accordance with Article V, Section 2.1 below, at the subsequent meeting of the Faculty, the Committee on Nominations & Elections will present the slate for committee elections and take nominations from the floor. Within one day of that meeting, electronic balloting for committee slots will be open for a period of one week.

Article IV

Responsibilities of the Faculty

Section 1. As one of the constituent bodies of the university, the Faculty of Arts & Sciences shares in the general responsibility to develop and maintain an educational program of high quality. The Faculty has exclusive responsibility for specific parts of this program and shares with other faculties and administrative officers' responsibility and concern for all aspects of the operation of the university which bear upon the educational program as a whole or upon any of its parts.

Section 2. The Faculty of Arts & Sciences shall determine the academic requirements for the earned degrees of Bachelor of Arts, Bachelor of Science, Master of Arts, Master of Science, Doctor of Philosophy, and such other earned academic degrees as may be assigned to its jurisdiction by the Board of Visitors.

1. With respect to these degrees, the Faculty shall:
 - a. certify the names of those students who have fulfilled the academic requirements for those degrees;
 - b. determine the academic prerequisites for admission of students to courses and to candidacy for degrees;
 - c. determine the policy governing the evaluation of credits transferred from other institutions;
 - d. determine the conditions defining "undergraduate," "graduate," and "residence" credit;
 - e. determine academic standards for continuation in residence, for academic classification, and for status as a student in the university;
 - f. determine the system of grading to be employed;
 - g. determine policy regarding final examinations; and
 - h. determine the regulations governing attendance of students in classes.
2. The Faculty may delegate the administration of its policies to its duly constituted officers and committees.

Section 3. The Faculty may make recommendations to the Provost and to the President regarding any matter affecting the educational program of the university.

Section 4. Through adequate representation on university committees and councils, Arts & Sciences faculty members shall participate in the establishment and administration of policies on matters affecting the educational program such as (but not limited to) admissions, the university calendar, academic record-keeping, the development and use of the libraries, research and

publication, conditions of student life, extra-curricular events, nominations for honorary degrees, the selection of major administrative officials, and the determination of institutional priorities and the allocation of resources.

Article V

Elected Committees of the Faculty

Section 1. All elected committees shall have balanced representation from each of the three areas provided in Article I, Section 3. All committees shall report regularly to the Faculty.

Section 2. There shall be a Committee on Nominations & Elections consisting of six members elected for terms of three years, two terms expiring annually. The Committee on Nominations & Elections shall elect its chair annually after the election of new members.

1. The Committee on Nominations & Elections shall actively seek out and nominate appropriate candidates for the office of Secretary and for all elective committee chairs and memberships of the Faculty, except as otherwise specified in these Bylaws. In seeking out candidates, the Committee shall take positive steps to secure the fullest and most representative participation of all members of the Faculty in governance, limited only by the availability, interests, and capabilities of individuals. At least one week in advance of elections, the Committee on Nominations & Elections shall provide to the Faculty written nominations for vacant elective positions. It shall keep a record of all offices of the Faculty and shall inform the Faculty and its appropriate officers when vacancies in these offices occur by reason of expiration of terms.
2. Candidates for vacancies on the Committee on Nominations & Elections shall be nominated by the Faculty Affairs Committee.
3. Any member of the Faculty may suggest to the Committee on Nominations & Elections a candidate for nomination to any office, and nothing in the provisions of these Bylaws shall be construed as abridging the right of any member of the Faculty to make nominations from the floor, provided the nominee is of the appropriate area and accepts the nomination in person or in writing before the Faculty votes.
4. Elections shall be held for the Committee on Nominations & Elections in September and tenure shall commence upon election. Terms of all other elected committees shall commence at the beginning of the academic year following election except when the election is being held to fill a replacement for a faculty member who is on leave or has vacated the position early. In these instances tenure shall commence upon election. Elections shall be completed within one week of the meetings at which nominations are made.

Section 3. There shall be a Faculty Affairs Committee (FAC) consisting of the Dean *ex officio* and six tenured members of the Faculty elected for terms of three years, two terms expiring annually. The FAC shall elect its chair from among its members, annually after the election of new members.

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1. The FAC shall inform itself on current developments of any sort which may affect the educational function of the university or the welfare of the Faculty, review and assess these, and bring them to the attention of the President, the Provost, the Faculty, and committees of the Faculty, as may be appropriate, together with its recommendations for further study or action.
2. The FAC shall coordinate the work of all other committees of Arts & Sciences and, in consultation with their chairs, shall schedule their reports to the Faculty so as to ensure their timely and adequate consideration. This provision shall not be construed to prevent any member of the Faculty from requesting a committee report at any faculty meeting.
3. The FAC shall advise the Dean on faculty appointments to committees, strategic planning, advancement, personnel, and policy matters (particularly those involving interpretation of the Faculty Handbook).
4. The FAC shall ensure that contact deans are reviewed in consultation with faculty prior to reappointment as a part of their professional development.
5. The FAC shall regularly review the structure, composition, and jurisdiction of Faculty committees.
6. When the university is not in session the FAC shall continue to serve its designated functions and may at its discretion act on behalf of the Faculty, provided a majority of the committee is available.

Section 4. There shall be an Educational Policy Committee (EPC). Its voting members shall consist of nine members from the Faculty of Arts & Sciences, one representative each from the schools of Education and Business, three students, and the Dean for Educational Policy *ex officio*; non-voting advisory members shall include the Registrar, the Director of Academic Advising, and a representative of the Dean of University Libraries. Arts & Sciences faculty members shall be elected for terms of three years, three terms expiring annually. The students shall be selected by an agency designated by the student body and must be certified by the Dean. The EPC shall elect its chair from among its members, annually after the election of new members; that chair will be a member of the Faculty of Arts & Sciences. A quorum composed of

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six voting members from the Faculty of Arts & Sciences shall be necessary when deciding upon recommendations regarding educational policy. There shall be at least one member from each area.

1. The EPC shall conduct a continuing study of the undergraduate educational program, regularly reviewing the educational policies and procedures of the Faculty. It shall review all recommendations and requests for changes in the undergraduate curriculum. It shall bring proposals involving changes in educational policy before the Faculty, together with its recommendations for action, but shall have authority to approve or disapprove any changes in the curriculum which do not alter existing policy.
2. In performing its duties the EPC shall be empowered to call upon any member or group of the Faculty for advice and assistance.

Section 5. There shall be a Committee on Degrees consisting of the Dean of Undergraduate Studies as chair, and four faculty members elected for terms of four years, one term expiring annually. The Dean of Undergraduate Studies shall vote on policies and petitions only in the case of a tie among the elected committee members. Representatives of the University Registrar's Office, the Office of Academic Advising, and the Dean of Students Office may participate in committee proceedings in an advisory (non-voting) capacity.

1. The Committee on Degrees shall:
 - a. evaluate academic credits transferred from other institutions for credit toward an undergraduate degree
 - b. in consultation with the Office of the University Registrar, determine which students have completed the requirements for earned undergraduate degrees and certify their names to the Board of Visitors.
2. In the exercise of its duties, the Committee on Degrees shall be empowered to waive the literal application of degree requirements whenever, in its judgment, special circumstances exist which justify such actions.

Section 6. There shall be a Committee on Academic Status consisting of six elected faculty members, the Dean of Students, the Dean of Undergraduate Studies, and the University Registrar. The elected members shall be elected for terms of three years, two terms expiring annually. After the election of new members, the Committee on Academic Status shall elect its chair from among the elected members. Representatives of the Registrar's Office, the Office of Academic Advising, and the Office of the Dean of Students may participate in committee proceedings in an advisory (non-voting) capacity. The Committee on Academic Status has three functions:

1. hearing individual student requests for exception to academic rules and regulations not directly related to fulfillment of degree requirements, grade changes, and transfer credits;
2. reviewing, at the end of each semester, the academic progress of students in academic difficulty and making continuance determinations;
3. occasionally recommending changes in academic policy, based upon considerations prompted by individual requests.

Section 7. There shall be an Advisory Committee on Retention, Promotion, & Tenure (RPT) consisting of six full professors elected for terms of three years, two terms expiring annually. The Committee shall elect its chair from among its members annually after the election of new members. A committee member shall recuse him/herself from any decision affecting a member of his/her own department. A committee member shall reveal if he or she does not have an arm's-length relationship, or if there is a potential conflict of interest; the committee shall then decide whether recusal is warranted. In all such cases, a former member of the Committee from the same Area will serve as a substitute. The RPT committee shall review all recommendations made by departments in Arts & Sciences concerning the retention, promotion, or tenure of members of these departments. The comments resulting from such review shall be forwarded to the Dean.

Section 8. There shall be a Committee on Faculty Awards, Prizes, & Professorships (CFAPP) consisting of six tenured members of the Faculty elected for terms of three years, two expiring annually. In addition, one representative from the Office of the Dean will be selected by the Dean to serve on the committee in an advisory (non-voting) capacity.

1. The CFAPP, in cooperation with the Dean, will review and suggest revisions to solicitations for awards, prizes, and professorships, and will suggest methods of advertising solicitations to reach as many faculty as possible. The CFAPP will monitor and review the procedures for solicitation, nomination, and selection of awards, prizes, and professorships and will recommend changes with the goal of improving efficiency, transparency, participation, and fairness.
2. By September 1 each year, the Office of the Dean should provide a list to CFAPP of all Arts & Sciences faculty who have current awards, prizes, and professorships and are expected to serve on review committees in the upcoming academic year. At the same time, the Dean's office should provide a list of all likely awards, prizes, and professorship solicitations for the upcoming academic year. The CFAPP will then make recommendations to the Dean for membership on review committees. Except for awards,

prizes, and professorships with existing committee structures, at least one CFAPP faculty member will be part of each review committee for awards, prizes, and professorships in Arts & Sciences.

3. The Dean will make available to CFAPP an ongoing annual record of all nominees and awardees for all awards, prizes, and professorships. Using this record, the CFAPP will attempt to identify inequities in the nominations for, and selection and distribution of, awards, prizes, and professorships within Arts & Sciences, and make recommendations to the Dean to remedy these.
4. The CFAPP will report once per year to the Faculty Affairs Committee and the Faculty of Arts & Sciences, including numbers of nominees; numbers of awards, professorships, and prizes; and names of faculty members to whom awards were given. The CFAPP will serve as a contact for A&S faculty with questions about the selection of awards, prizes, and professorships.
5. CFAPP members are eligible for all awards, prizes, and professorships during their tenure on the committee. CFAPP members who hold awards, professorships, or prizes are exempt from serving on review committees, as their service on CFAPP is considered to fulfill that requirement.

Section 9. There shall be an International Studies Advisory Committee (ISAC) consisting of six members elected for three years, two expiring annually. Up to two students may serve on the committee for one year by nomination from the student assembly or the committee. Additional non-voting members shall include the Director of the Charles Center or his/her appointed representative, the Director of Global Education or his/her appointed representative, and either the Dean for Educational Policy or the Dean of Undergraduate Studies. The ISAC is advisory to the Dean and the Faculty Affairs Committee. It shall provide support and guidance to A&S faculty initiatives in international studies by encouraging faculty efforts to internationalize the curriculum, promoting prudent risk-management practices, and exercising oversight of A&S study-abroad programs administered by the Reves Center.

Section 10. There shall be a Committee on Diversity, Equity and Inclusion (CDEI). The committee shall consist of: three elected tenure-eligible faculty members, one from each area, and one lecturer, senior lecturer or instructor, from any area, each elected for three year terms; one Arts and Sciences graduate student, nominated by the Dean of Graduate Studies and Research, one Arts and Sciences undergraduate student, nominated by the Vice President for Student Affairs, each student for a one year term, and one Arts and Sciences Staff member, appointed by the Dean, in consultation with the Associate Dean for Diversity and Inclusion and

the chair of the committee, for a three year term. The committee will elect a chair annually from among the four faculty members; the chair will become the Arts and Sciences Faculty Fellow for Diversity. The Associate Dean for Diversity and Inclusion will serve on the committee as a voting *ex officio* member.

1. The CDEI serves to promote and support efforts by the Faculty of Arts & Sciences to create a diverse, equitable, and inclusive community in all facets of work and life at William & Mary. A “diverse, equitable, and inclusive” community here is interpreted broadly to encompass increased representation of historically marginalized and underrepresented groups, increased cultural diversity, an expanding variety of perspectives in curricula and programs, and abiding respect for and consideration of all reasoned ideas and viewpoints. Arts & Sciences recognizes that diversity and inclusion is instrumental to the success of decision-making by allowing an infusion of new ideas and perspectives into deliberative processes, and also that diverse voices are demanding of respect in and for themselves.
2. The CDEI shall meet at least monthly during the academic year. Quorum shall be a majority of the members.
3. The CDEI shall report annually to the Faculty Affairs Committee and to the Faculty of Arts and Sciences. The report should include data on progress on diversity in the hiring of faculty, improvements suggested for departmental diversity plans, and should summarize concerns brought to the committee’s attention by faculty.

Article VI

Other Committees of the Faculty

Section 1. Subject to the approval of the President, the Faculty may establish such standing committees as it deems necessary to exercise its responsibilities. The size and composition of such committees, which must have representation as is proper and adequate to their functions, of teaching members of the Faculty, administrative officers, and students shall be determined by the Dean with the advice of the Faculty Affairs Committee unless otherwise specified below.

Section 2. There shall be a standing Committee on Graduate Studies (COGS) consisting of faculty members who have been designated as the Director of Graduate Studies for each department or program. It shall be chaired by the Dean of Graduate Studies and Research. The Committee on Graduate Studies shall make recommendations to the Faculty regarding policy, and carry out established policy, with respect to admissions, curricula, degree requirements, and academic standards in programs leading to the degrees of Master of Arts, Master of Science, Doctor of Philosophy, and such other earned post-baccalaureate degrees as may fall within the jurisdiction of the Faculty; and, concurrently with other faculties of the university, for all programs in which members of the Faculty of Arts & Sciences participate substantially.

Section 3. There shall be a standing Committee on Honors & Interdisciplinary Studies (CHIS), which will consist of six faculty members (appointed for terms of three years in consultation with the Dean of Interdisciplinary Studies), one undergraduate student, and one graduate student. It will be chaired by the Dean for Interdisciplinary Studies. The CHIS serves as the advisory committee to the Charles Center. In this capacity, CHIS serves as the supervisory committee for honors programs, and as the curriculum committee for all majors and minors administered through the Charles Center.

Section 4. There shall be a standing Council of Chairs & Program Directors (CCPD) that meets regularly to discuss matters affecting the general welfare of academic departments and programs in Arts & Sciences. The co-chairs are elected by its members in the fall to serve for a calendar year.

Section 5. The Dean, with the advice of the Faculty Affairs Committee, shall recommend to Faculty Assembly those members of the Faculty of Arts & Sciences to serve on standing committees of the university as a whole.

Section 6. The Dean, with the advice of the Faculty Affairs Committee, may establish such *ad hoc* committees of the Faculty as he/she deems necessary and appoint their members.

Article VII

The Faculty Assembly

Section 1. Representatives to the Faculty Assembly from Arts & Sciences shall comprise no fewer than three members from the Faculty Affairs Committee, one from each area, who shall be elected as provided elsewhere in these Bylaws. Additional representatives will be elected by the Faculty so that the total number of representatives in each Area (see Article I, Section 3) shall equal the number allocated by the Constitution of the Faculty Assembly. Members of the Faculty will be nominated as follows:

1. The Committee on Nominations & Elections shall nominate at least two candidates for each available position in the Faculty Assembly allocated to Arts & Sciences other than those held by elected members of the Faculty Affairs Committee.
2. Candidates for these positions may also be nominated from the floor of the Faculty, provided the nominee is of the appropriate area and accepts the nomination in person or in writing before the faculty votes.

Section 2. The representatives of the Faculty Assembly shall designate one of their number to report at each regular Arts & Sciences meeting on the discussions and actions of the Faculty Assembly.

Section 3. The chair of the Faculty Affairs Committee shall be on the Executive Committee of the Faculty Assembly whenever possible.

Article VIII

Amendments and Effective Date

Section 1. These Bylaws may be amended by a two-thirds vote at any Arts & Sciences meeting, provided that a copy of the proposed amendment is sent to every member of the Faculty at least one week in advance.

Section 2. These Bylaws and any amendments shall become effective upon approval by the President and the Board of Visitors.

VIRGINIA INSTITUTE OF MARINE SCIENCE CAPITAL OUTLAY PROJECT PROGRESS REPORT

268-18344 Replace Oyster Hatchery

Appropriation Amount: \$20,740,000

Design Team: Quinn Evans Architects, Inc.

Fund Sources: VCBA Bonds

Biennium: 2016-2018

Obligated to Date: \$2,491,844

Contractor: Kjellstrom + Lee

Description: This appropriation funds the detailed planning and construction for a new state-of-the-art 22,000 square-foot Oyster Hatchery which will house space for research, education, and training as well as space for outreach activities with industry that promotes economic development.

Progress: Working Drawing Design documents have been reviewed by the Division of Engineering & Buildings (DEB) and comments have been returned. Final revisions are being completed by Quinn Evans Architects for final permit approval by DEB. The Construction Manager (CM) has provided the final Guaranteed Maximum Price following negotiations and value engineering. DEB is reviewing the submitted pool funding appeal documents to increase the budget. Following budget revisions, permit approval, and construction contract ratification, construction is anticipated to begin in October 2020 and the duration will be approximately 13 months for final completion.

268-18320 Eastern Shore Laboratory Education, Administration and Research Complex

Appropriation Amount: \$16,037,481

Design Team: VIA Design Architects, PC

Fund Sources: VCBA Bonds

Biennium: 2016-2018

Obligated to Date: \$2,580,025

Contractor: TBD

Description: This appropriation funds the detailed planning and construction for a new building complex totaling 22,218 square-feet that includes a new Administration Building, Education Building, Visiting Scientist/Student Center, Shellfish Aquaculture Hatchery, Maintenance Shop, and a Storage Building.

Progress: Revised Working Drawings have been resubmitted to DEB for final permit approval. The VIMS Facilities Management team has successfully negotiated with the responsible low bidder to align the construction costs with the available budget. Following contract ratification, construction is anticipated to begin in October 2020 and the duration will be approximately 17 months for final completion.

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Page 2 of 3**268 - 18281 New Research Facility (Replace Chesapeake Bay Hall)**

Appropriation Amount: \$2,671,487

Biennium: 2016-2018

Design Team: Baskervill

Obligated to Date: \$2,208,257

Fund Sources: VCBA Bonds

Contractor: Kjellstrom + Lee

Description: This appropriation funds the detailed planning activities to replace the existing Chesapeake Bay Hall building with a new building, approximately 65,000 square-foot, to provide research, education, and office space for the Departments of Aquatic Health Sciences, Biological Sciences, Fisheries Science, and Physical Sciences.

Progress: Baskervill has completed the Preliminary Design documents. The design team has developed cost estimates and performed value engineering to prepare for DEB reviews. Earlier cost estimates developed by the Architect/Engineers, CM and verified by DEB have identified that the project exceeds the appropriated project budget which is inadequate to support the project. Design will continue after budget approval. The design and construction phases will be approximately 2 years for final completion.

268 - 16634 Property Acquisition: VA-NERRS

Appropriation Amount: \$350,000

Biennium: 2000-2002

Fund Source: Federal Funds

Obligated to Date: \$193,000

Description: This appropriation funds the purchase of properties by the Virginia Estuarine & Coastal Research Reserve System using federal grants.

Progress: No current properties available. The appropriation remains open for future acquisitions.

268 - 16299 Property Acquisition: Master Plan Properties

Appropriation Amount: \$1,210,000

Biennium: 2000-2002

Fund Sources: VCBA Bonds

Obligated to Date: \$1,208,972

Higher Education Operating

Description: This appropriation funds the purchase of properties contiguous to the Gloucester Point campus.

Progress: No current properties available. The appropriation remains open for future acquisitions.

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Page 3 of 3**268 - 16149 Property Acquisition: Wachapreague**

Appropriation Amount: \$778,026

Biennium: 1998-2000

Fund Sources: Private Funds

Obligated to Date: \$763,878

Higher Education Operating

Description: This appropriation funds the purchase of properties contiguous to the Eastern Shore Laboratory campus at Wachapreague, Virginia.

Progress: No current properties available. The appropriation remains open for future acquisitions.

268 - 12331 Maintenance Reserve

Funding Sources: VCBA (0817)

E&G (0100)

2020	Carry Over:	\$ 216,723
2021	Appropriation:	\$ 811,261
	Total:	\$ 1,027,984

Spent-to-date	(\$ 71,894)
	\$ 956,090

Remaining Project Commitments	(\$ 219,363)
Maintenance Reserve Fund Balance	\$ 736,727

Projects Currently Identified

Number	Planned Project Description	Status
268-2018-004	Boat Basin Bulkhead Repairs, Dredging, and Spoils Removal	
268-2019-007	Andrews Hall Exhaust System Repair	Complete
268-2020-004	Andrews Hall Cooling Tower Replacement	
268-2020-005	Watermen's Hall Exterior Repairs	
268-2020-006	Andrews and SRL Boiler Replacements	

**WILLIAM & MARY
CAPITAL OUTLAY PROJECT PROGRESS REPORT**

204 – 18003 Improve Lake Matoaka Dam Spillway

Design Team: Draper Aden

Biennium: 2012-2014

Budget: \$5,118,913

Contractor: TBD

Funding Source: State

Obligated to date: \$957,356

Description: State Dam Safety Regulations currently require that the spillways of all high-hazard dams must pass 90% of the probable maximum flood. This results in a 7-foot overtopping of existing earthen dam.

Progress: Pre-qualified four construction contractors for sealed bid solicitation. All permits in hand. Working on resolution of adjacent landowner right-of-way issue.

204 – 18202 Construct West Utility Plant

Design Team: RMF Engineering

Biennium: 2016-2018

Budget: \$30,254,000

Contractor: DPR

Funding Source: State, W&M debt

Obligated to Date: \$29,438,259

Description: **(Phase I)** Construct an 11,500 GSF (gross square feet) utility plant building that will house three 1,200-ton chillers and three 9,900 MBH (million British Thermal Units per hour) boilers and connect to existing underground distribution networks. The plant is sized to accommodate one additional chiller and three boilers for future capacity demand. **(Phase II)** As the budget allows, chilled water and hot water lines will be piped into the West Campus area so that facilities and future projects may use this plant.

Progress: Phase II extends piping from west of the Ukrop Way Bridge to the manhole adjacent to the tennis courts next to Commons Dining Hall. Phase II was completed in August 2020.

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Page 2 of 8**204 – 18292 Construct Fine and Performing Arts Complex, Ph 1 and 2**

Design Team: Moseley/HGA

Biennium: 2016-2018

Budget: \$122,142,000

Contractor: Whiting-Turner Construction

Funding Source: State

Obligated to Date: \$21,654,458

Description: Design and construct two phases of a three phase “Arts Quarter” program. Major project components are:

Music Building – New construction of 74,529 GSF of new teaching and performance space for Music. Key program elements include four classrooms/seminar rooms, 16 teaching studios, 32 practice rooms, 100-person choral and 117-person instrument practice rooms, a 125-seat recital hall, and a 441-seat recital hall.

Phi Beta Kappa Hall (PBK) Addition/Renovation - Adaptive reuse of PBK Hall for Theater, Speech, and Dance which will produce a 99,485 GSF facility (61,751 GSF new + 37,734 GSF renovation). Key components will be a 205-seat dance recital studio, 98-seat student laboratory, a 246-seat black box theater, and a 495-seat renovated main theater.

Progress: Additional state funding is needed due to market factors and unforeseen conditions. Division of Engineering & Buildings (DEB) has validated this need. An additional \$16.6M was included in the 2018 – 2020 caboose bill. DEB is ready to issue building permit upon release of funding.

204 – 18329 Design Integrated Science Center, Ph 4

Design Team: Goody Clancy/Baskervill

Biennium: 2018-2020

Budget: \$76,500,000

Contractor: Skanska

Funding Source: State

Obligated to Date: \$3,386,091

Description: This fourth phase of the Integrated Science Center (ISC) will house Mathematics, Computer Science, Kinesiology, and Design/Engineering. The project will construct 124,000 GSF of new space and renovate 10,000 GSF of existing space in order to connect to the adjacent ISC facility.

Progress: Preliminary design, Construction Manager (CM) cost estimate, and value engineering are complete. Preliminary design under technical and cost review by DEB. Need state authorization to complete design and begin construction.

204 – 18360 Sadler West Addition

Design Team: Grimm & Parker/William Rawn

Biennium: 2018-2020

Budget: \$37,742,000

Contractor: Kjellstrom & Lee

Funding Source: W&M debt

Obligated to Date: \$4,530,024

Description: Construct a 46,000 GSF addition to the Sadler Center. The addition will house administrative space for Student Affairs and student organization offices currently in the Campus Center. The program includes reuse of the Student Health Center.

Progress: DEB review of 2nd working drawings submission in progress. Expect comments mid-September. Construction to start early October.

204 – 90011 Construct Tribe Field Hockey Center

Design Team: McKinney & Company

Biennium: 2018-2020

Budget: \$2,748,754

Contractor: VIRTEXCO Corp.

Funding Source: Private funds

Obligated to Date: \$2,598,718

Description: Construct a 3,648 GSF, one-story building which provides public restrooms and team support facilities for the women's field hockey program.

Progress: Project complete.

204 – 18100-010 & 204-18218 One Tribe Place RemediationDesign Team: Clark Nexsen, WDP & Associates,
McKinney and Company

Biennium: 2016-2018

Budget: \$16,015,385

Contractor: Kjellstrom & Lee

Funding Source: W&M debt

Obligated to Date: \$15,163,386

Description: Perform design and construction activities to remediate the structural issues identified in the Post-Tension Structural Slab Assessment and Water Testing Final Report by WDP & Associates, Consulting Engineers. Finalize switchgear replacement and upgrades to the Fire Protection Systems in the occupied areas of the building.

Progress: Structural repairs, storm water and waterproofing work complete. Significant mold discovered in occupied portions of the building – repairs and refurbishment of all student rooms complete. Original building roof replacement in progress – completion

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expected late September 2020. Additional work has been budgeted and slated for Summer 2021, including final repairs and improvements to sprinkler systems, window replacements, and HVAC unit repairs.

204 – 18112-003 Blow Hall IT Data Center Renovation &**204 – 18017 (MR) Blow Hall Generator Increase**

Design Team: Clark Nexsen

Biennium: 2016-2018

Budget: \$2,279,400

Contractor: TBD

Funding Source: W&M debt, MR

Obligated to Date: \$218,111

Description: Install new IT racks with in row cooling; install new HVAC system for computer rack cooling to correct the hot and cold aisle air mixing; install redundant power sources - two electrical buses (A&B) for scalability and ease of maintenance; and, for uninterruptible power supply, two new uninterruptible power supplies will be installed and backed up by a new generator power source. The generator will be sized to handle the loads currently on the existing generator plus additional emergency loads.

Progress: A/E addressing DEB working drawing comments. Pre-qualification of contractors in progress.

204 – 90010 Plan Martha Wren Briggs Center for Visual Arts

Design Team: Odell/Pelli Clarke Pelli

Biennium: 2016-2018

Budget: \$30,000,000

Contractor: Kjellstrom & Lee

Funding Source: Private funds

Obligated to Date: \$511,010

Description: Through a combination of renovation and additional construction to the existing museum, create updated and functional exhibition and support spaces.

Progress: Budget has been established. Conceptual design and layout have been established. Project team working to prioritize program needs most efficiently into the renovation of the existing museum and the new addition. Kjellstrom & Lee selected as construction manager.

204 – 90009 Design & Construct: Alumni House Addition/Renovation

Design Team: Glave & Holmes

Biennium: 2016-2018

Budget: \$23,013,000

Contractor: Kjellstrom & Lee

Funding Source: Private funds, Auxiliary funds

Obligated to Date: \$22,174,758

Description: A 35,000 GSF expansion of the Alumni Center which will include a welcome center, event spaces, and seated dining for 400 guests (795 seats for lecture seating).

Progress: Project complete except for the Family Courtyard. Courtyard completion expected September 2020.

204 – 80001 Reveley Garden, Phases 1 and 2

Design Team: Draper Aden Associates

Biennium: 2018-2020

Budget: \$1,983,241

Contractor: Branscome

Funding Source: Private funds, MR, Gift-in-kind

Obligated to Date: \$1,956,752

Description: Project includes creation of the 1926 Charles Gillette garden that was designed as part of W&M's Beaux-Arts campus plan but never constructed. Project creates a compelling outdoor circulation space and garden as intended by the original designer while merging with a modern campus. W&M is partnering with the Garden Club of Virginia (GCV) for a portion of the project scope.

Progress: Site excavation and utilities work completed September 2019. Installation of the landscaping elements by GCV contractor to be completed September 2020. Sprinkler system will be installed in October 2020. Final grading and planting by GCV contractor in October and November 2020.

204 – 80002 Memorial to African Americans Enslaved by William & Mary

Design Team: Baskervill

Biennium: 2020-2022

Budget: \$361,500 (design)

Contractor: Kjellstrom & Lee

Funding Source: Private funds

Obligated to Date: \$321,367

Description: Design and construction of a memorial to the enslaved persons and their families who supported the establishment of the university and subsequently maintained

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it. Selected from multiple entries in a multi-national competition, the chosen concept is a brick structure that represents a hearth. Located south of the Wren Building, it includes the names of enslaved workers and allows additional names to be added as new persons are identified.

Progress: Concept went through several iterations since the approval by the DRB in March 2020. Final concept agreed to by the building committee in August 2020 and referred to DRB on September 23rd and AARB on October 2nd. SD/PD and narrative development in progress. Kjellstrom & Lee selected as CM.

204 – 90012 Kaplan Arena Renovation & Addition

Design Team: Moseley/HNTB

Biennium: 2020-2022

Budget: \$57,600,000

Contractor: DPR

Funding Source: Private funds

Obligated to Date: \$4,479,324

Description: Renovate portions of existing structure, provide an addition on the north side to create a prominent entrance and construct a sports performance center and practice facility on the northwest side.

Progress: Moseley Architects in partnership with HNTB selected as A/E. DPR selected as CM. Site and concept approved by DRB February 2020. SD completed March 2020. CM, A/E, and Athletics continue working to develop PD within available budget.

Other Projects:

204 – 12713 Maintenance Reserve (MR)

Funding Source: State/General funds

FY 2020	Carry Over	\$6,382,749
FY 2021	Appropriation*	\$3,707,638
Total:		\$10,090,387

<u>Projects Currently Identified</u>	<u>\$6,085,485</u>
Maintenance Reserve Fund Balance	\$4,004,902

**Amount expected provided there are no changes to maintenance reserve funding during the 2020 Special Session I of the General Assembly*

Projects Currently Identified

Number	Project Description
204 – 18005	Washington Hall Water Intrusion Repair
204 – 18006	Wren Building Water Intrusion Repair
204 – 18008	College Apartments Fire Alarm Design
204 – 18009	Law School VAV/FCU Replacement (Original Bldg)
204 – 18010	Washington Hall HVAC Controls Repair
204 – 18012	James Blair Mechanical Upgrades
204 – 18015	Swem Library Cooling Tower Replacement and Structural Repair
204 – 18017	Blow Hall Generator Increase
204 – 18025	Main Utility Plant Heat Exchanger Repair
204 – 19003	School of Education Rm 1011 Air Stratification Repair
204 – 19004	Admission Building Waterproofing
204 – 19005	Wren Building Gutter Repairs
204 – 19006	Lake Matoaka Art Studio Fume Hood Repair
204 – 19007	McGlothlin Street Hall Vacuum Pump Skid Repair
204 – 19008	McGlothlin Street Hall Attic HVAC Component Repair
204 – 19009	Swem Library Fire Pump Replacement
204 – 19010	Swem Library Main Bldg Window Flashing Repair Design
204 – 19013	Morton Hall Control Air Compressor/Hot Water Pump Replacement
204 – 19014	Facilities Management Bldg AHU2 & Chill Water Valves Replacement
204 – 19016	Swem Library Fire Alarm Replacement
204 – 19018	ISC Lab Compressed Air System Repair
204 – 19020	BMP Repairs
204 – 19022	Swem Library Terrazzo Floor Repair
204 – 19023	McGlothlin Street Hall Balcony Repair
204 – 19024	ISC Bi-Directional Antenna Installation
204 – 19025	Repair Building Automation Communication Trunk
204 – 19026	Alumni House Roof Replacement
204 – 19027	Pop Lab Boiler/FCU/Piping Replacement
204 – 19030	Stormwater Repairs/Renovations

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204 – 20001	School of Education HVAC Component Replacement
204 – 20002	Wren Building HVAC Component Replacement
204 – 20003	Main Plant Floor Resurfacing and Seating
204 – 20004	Adair Hall – Repair Pool Leakage
204 – 20005	Replace Crim Dell Deck
204 – 20006	Main Plant Chilled Water Pump VFD Replacement
204 – 20007	James Blair Fire Pump Replacement
204 – 20008	Swem Library Loading Dock Ramp
204 – 20009	Blow Hall Fire Pump Replacement
204 – 20010	Blow Hall Fire Department Connection
204 – 20011	Brick Walkway Repair (Sunken Garden)
204 – 20012	Asphalt Paving 2020 (James Blair Drive, Alumni Drive & Bright St.)
204 – 20013	Campus Wide Historic Area Roof Repairs
204 – 20014	Blow Hall Cupola and Roof Repairs
204 – 20015	Stormwater Repairs Best Mgmt Practices
204 – 21XXX	Washington Hall Fire Alarm
204 – 21XXX	Replace JCI DX-9100 Field Controllers
204 – 21XXX	ISC Exhaust Fan and Duct Repairs
204 – 21XXX	Swem Library VAV Controls Replacement
204 – 21XXX	Jamestown Rd Houses – Asbestos Removal
204 – 21XXX	James Blair EPDM Roof Replacement
204 – 21XXX	Adair Hall – Replace Roof
204 – 21XXX	Graduate Housing BMP Restoration
204 – 21XXX	Dillard Library Storage BMP Restoration
204 – 21XXX	Brafferton to Campus Center Sewer Repair
204 – 21XXX	Dillard Complex – Replace Underground Water Line
204 – 21XXX	Fire Alarm Cable Repairs

WILLIAM & MARY
2020-2021 Operating Budget Summary

	2017-2018 Actual	2018-2019 Actual	Approved 2019-2020 Budget	2019-2020 Actual	Variance	Approved 2020-2021 Budget	Projected 2020-2021 Budget ²
Revenue							
General Funds							
Educational/General	43,641,218	44,464,414	49,052,900	50,098,252	1,045,352	51,274,900	51,274,900
Student Aid	4,443,597	4,521,322	4,904,500	4,907,326	2,826	4,904,200	4,904,200
Sponsored Programs	79,206	126,416	75,000	133,200	58,200	131,900	131,900
Nongeneral Funds							
Educational/General	157,995,553	163,609,887	167,437,500	173,271,159	5,833,659	169,632,500	166,632,500
Student Aid	34,950,696	39,995,668	43,301,500	43,478,196	176,696	45,351,100	45,351,100
Auxiliary Enterprise	110,863,811	115,005,152	113,804,000	109,305,454	(4,498,546)	118,635,000	98,822,000
Sponsored Programs	28,853,189	28,029,649	31,350,000	28,851,690	(2,498,310)	31,350,000	31,350,000
University Private Funds ¹	\$14,318,999	\$15,291,864	\$14,675,771	\$ 13,880,133	\$ (795,638)	\$ 13,381,900	\$ 13,381,900
Subtotal, University Revenues	\$ 395,146,269	\$ 411,044,372	\$ 424,601,171	\$ 423,925,410	\$ (675,761)	\$ 434,661,500	\$ 411,848,500
Reimbursements from WMF	2,462,706	9,095,161	-	11,789,022	11,789,022	-	-
Bequest designated funds - Interim Use for ISC4 Planning	-	3,000,000	-	-	-	-	-
Total, Revenue	\$ 397,608,975	\$ 423,139,533	\$ 424,601,171	\$ 435,714,432	\$ 11,113,261	\$ 434,661,500	\$ 411,848,500
Expenditures							
Instruction	113,578,941	114,924,573	125,836,994	119,319,347	6,517,647	126,718,600	127,077,800
Research	2,041,980	2,478,712	2,212,744	2,569,246	(356,502)	2,496,200	2,496,200
Public Service	26,888	41,160	46,488	38,070	8,418	44,500	44,500
Academic Support	31,446,309	33,688,178	33,818,355	34,089,365	(271,010)	35,543,900	35,543,900
Student Services	9,611,561	10,478,565	10,488,618	10,364,972	123,646	10,851,700	11,198,900
Institutional Support	34,478,436	33,168,029	34,973,979	32,939,081	2,034,898	31,496,100	32,189,700
Plant Operations	20,244,726	18,853,354	22,440,230	20,880,587	1,559,643	20,618,100	20,618,100
Student Aid	46,989,648	49,111,601	53,630,165	53,133,925	496,240	55,746,300	55,746,300
Auxiliary Enterprise	108,263,262	113,701,952	112,504,400	108,036,418	4,467,982	114,695,400	123,295,400
Sponsored Programs	28,932,395	28,156,065	31,425,000	28,984,890	2,440,110	31,481,900	31,481,900
Contingency	-	-	-	-	-	2,422,300	-
Total Expenditures Not Reimbursed by WMF	\$ 395,614,146	\$ 404,602,190	\$ 427,376,973	\$ 410,355,901	\$ 17,021,072	\$ 432,115,000	\$ 439,692,700
Expenses supported by WMF	2,462,706	9,098,157	-	11,733,254	(11,733,254)	-	-
Total Expenditures	\$ 398,076,852	\$ 413,700,347	\$ 427,376,973	\$ 422,089,155	\$ 5,287,818	\$ 432,115,000	\$ 439,692,700

¹In FY20, University Private Funds include projected current year revenue of \$14.7 million plus \$3.1 million from the beginning fund balance.

²Projected changes to initially approved budget reflect already known revenue and expenditure decreases. Pending status of campus operation and/or any fee reductions, revenue could decrease.

WILLIAM & MARY
Education and General
2020-2021 Operating Budget Summary¹

	2017-2018 Actual	2018-2019 Actual	Approved 2019-2020 Budget	2019-2020 Actual	Variance	Approved 2020-2021 Budget	Projected 2020-2021 Budget
Revenue							
General Funds	43,641,218	44,464,414	49,052,900	50,098,252	1,045,352	51,274,900	51,274,900
Nongeneral Funds	<u>157,995,553</u>	<u>163,609,887</u>	<u>167,437,500</u>	<u>173,271,159</u>	<u>5,833,659</u>	<u>169,632,500</u>	<u>166,632,500</u>
Total Revenue ²	\$ 201,636,771	\$ 208,074,301	\$ 216,490,400	\$ 223,369,411	\$ 6,879,011	\$ 220,907,400	\$ 217,907,400
Expenditures³							
Instruction	112,321,749	113,928,209	124,566,000	118,312,933	6,253,067	125,252,300	125,611,500
Research	1,448,933	1,689,605	1,386,100	1,871,989	(485,889)	1,710,700	1,710,700
Public Service	6,597	11,574	21,500	8,476	13,024	7,900	7,900
Academic Support	30,556,368	32,453,456	32,486,900	32,865,283	(378,383)	34,144,300	34,144,300
Student Services	9,348,582	9,670,044	9,688,200	9,739,960	(51,760)	10,051,200	10,398,400
Institutional Support	26,571,999	27,701,674	28,092,000	26,562,457	1,529,543	28,330,300	29,023,900
Plant Operations	19,991,152	18,491,452	20,241,500	18,908,191	1,333,309	18,988,400	18,988,400
Contingency	-	-	-	-	-	2,422,300	-
Total Expenditures	\$ 200,245,380	\$ 203,946,015	\$ 216,482,200	\$ 208,269,289	\$ 8,212,911	\$ 220,907,400	\$ 219,885,100

¹Excludes required transfers to the state.

²Excludes prior year cash balance carryover.

³Includes known expenditures to date for fall semester COVID-19 response. Costs could grow depending on usage of cleaning/PPE supplies and frequency of replenishment.

**WILLIAM & MARY
Education and General
2020-2021 Operating Budget Detail¹**

	<u>2017-2018 Actual</u>	<u>2018-2019 Actual</u>	<u>Approved 2019-2020 Budget</u>	<u>2019-2020 Actual</u>	<u>Variance</u>	<u>Approved 2020-2021 Budget</u>	<u>Projected 2020-2021 Budget2</u>
Revenue							
General Funds	43,641,218	44,464,414	49,052,900	50,098,252	1,045,352	51,274,900	51,274,900
Nongeneral Funds	157,995,553	163,609,887	167,437,500	173,271,159	5,833,659	169,632,500	166,632,500
Total Revenue ²	\$ 201,636,771	\$ 208,074,301	\$ 216,490,400	\$ 223,369,411	\$ 6,879,011	\$ 220,907,400	\$ 217,907,400
Expenditures							
Instruction							
Personal Services	105,140,835	106,865,746	116,581,300	108,160,283	8,421,017	117,267,600	117,267,600
Non-Personal Services	7,180,914	7,062,463	7,984,700	10,152,650	(2,167,950)	7,984,700	8,343,900
Instruction Total	\$ 112,321,749	\$ 113,928,209	\$ 124,566,000	\$ 118,312,933	\$ 6,253,067	\$ 125,252,300	\$ 125,611,500
Research							
Personal Services	1,361,957	1,560,621	1,306,300	1,719,150	(412,850)	1,630,900	1,630,900
Non-Personal Services	86,976	128,984	79,800	152,839	(73,039)	79,800	79,800
Research Total	\$ 1,448,933	\$ 1,689,605	\$ 1,386,100	\$ 1,871,989	\$ (485,889)	\$ 1,710,700	\$ 1,710,700
Public Service							
Personal Services	-	-	-	250	(250)	-	-
Non-Personal Services	6,597	11,574	21,500	8,226	13,274	7,900	7,900
Public Service Total	\$ 6,597	\$ 11,574	\$ 21,500	\$ 8,476	\$ 13,024	\$ 7,900	\$ 7,900

¹Excludes required transfers to the state.

²Excludes prior year cash balance carryover.

	2017-2018 Actual	2018-2019 Actual	Approved 2019-2020 Budget	2019-2020 Actual	Variance	2020-2021 Budget	2020-2021 Budget2
Academic Support							
Libraries							
Personal Services	5,982,540	6,430,655	6,823,300	6,048,858	774,442	7,123,600	7,123,600
Non-Personal Services	4,933,281	4,834,817	4,667,800	4,924,668	(256,868)	4,819,900	4,819,900
Libraries Total	\$ 10,915,821	\$ 11,265,472	\$ 11,491,100	\$ 10,973,526	\$ 517,574	\$ 11,943,500	\$ 11,943,500
Other Academic Support							
Personal Services	16,140,103	17,212,335	17,354,900	17,382,785	(27,885)	18,119,700	18,119,700
Non-Personal Services	3,500,444	3,975,650	3,640,900	4,508,972	(868,072)	4,081,100	4,081,100
Other Acad Suppt Total	\$ 19,640,547	\$ 21,187,985	\$ 20,995,800	\$ 21,891,757	\$ (895,957)	\$ 22,200,800	\$ 22,200,800
Academic Support Total	<u>\$ 30,556,368</u>	<u>\$ 32,453,456</u>	<u>\$ 32,486,900</u>	<u>\$ 32,865,283</u>	<u>\$ (378,383)</u>	<u>\$ 34,144,300</u>	<u>\$ 34,144,300</u>
Student Services							
Personal Services	7,736,847	8,022,798	8,032,500	7,962,688	69,812	8,395,500	8,742,700
Non-Personal Services	1,611,735	1,647,246	1,655,700	1,777,272	(121,572)	1,655,700	1,655,700
Student Services Total	<u>\$ 9,348,582</u>	<u>\$ 9,670,044</u>	<u>\$ 9,688,200</u>	<u>\$ 9,739,960</u>	<u>\$ (51,760)</u>	<u>\$ 10,051,200</u>	<u>\$ 10,398,400</u>
Institutional Support							
Personal Services	20,391,388	22,019,071	21,869,600	21,686,376	183,224	22,055,100	22,055,100
Non-Personal Services	6,180,611	5,682,603	6,222,400	4,876,081	1,346,319	6,275,200	6,968,800
Institutional Support Total	<u>\$ 26,571,999</u>	<u>\$ 27,701,674</u>	<u>\$ 28,092,000</u>	<u>\$ 26,562,457</u>	<u>\$ 1,529,543</u>	<u>\$ 28,330,300</u>	<u>\$ 29,023,900</u>
Plant Operations							
Personal Services	2,289,470	2,007,277	2,325,700	2,438,583	(112,883)	2,181,700	2,181,700
Non-Personal Services	17,701,682	16,484,175	17,915,800	16,469,608	1,446,192	16,806,700	16,806,700
Plant Operations Total	<u>\$ 19,991,152</u>	<u>\$ 18,491,452</u>	<u>\$ 20,241,500</u>	<u>\$ 18,908,191</u>	<u>\$ 1,333,309</u>	<u>\$ 18,988,400</u>	<u>\$ 18,988,400</u>
Contingency	-	-	-	-	-	2,422,300	-
Total Expenditures	<u><u>\$ 200,245,380</u></u>	<u><u>\$ 203,946,015</u></u>	<u><u>\$ 216,482,200</u></u>	<u><u>\$ 208,269,289</u></u>	<u><u>\$ 8,212,911</u></u>	<u><u>\$ 220,907,400</u></u>	<u><u>\$ 219,885,100</u></u>

**WILLIAM & MARY
Student Financial Assistance
2020-2021 Operating Budget Summary¹**

	2017-2018 Actual	2018-2019 Actual	Approved 2019-2020 Budget	2019-2020 Actual	Variance	Approved 2020-2021 Budget	Projected 2020-2021 Budget²
Revenue							
General Funds	4,443,597	4,521,322	4,904,500	4,907,326	2,826	4,904,200	4,904,200
Nongeneral Funds	34,950,696	39,995,668	43,301,500	43,478,196	176,696	45,351,100	45,351,100
Auxiliary Enterprises	900,000	897,000	900,000	900,000	-	900,000	900,000
Total Revenue ²	\$ 40,294,293	\$ 45,413,990	\$ 49,106,000	\$ 49,285,522	\$ 179,522	\$ 51,155,300	\$ 51,155,300
Expenditures							
Total Expenditures	\$ 42,381,898	\$ 45,413,990	\$ 49,106,000	\$ 49,285,522	\$ (179,522)	\$ 51,155,300	\$ 51,155,300

¹Excludes student financial assistance support included in Board of Visitors private fund budget.

²Excludes prior year cash balance carryover.

**WILLIAM & MARY
Auxiliary Enterprise
2020-2021 Operating Budget Summary¹**

	2017-2018 Actual	2018-2019 Actual	Approved 2019-2020 Budget	2019-2020 Actual	Variance	Approved 2020-2021 Budget	Projected 2020-2021 Budget²
Revenue							
Student Housing	33,565,069	34,761,218	35,402,300	31,269,778	(4,132,522)	36,417,500	28,111,100
Food Service	22,969,332	23,274,739	22,521,000	20,343,898	(2,177,102)	23,044,600	19,168,300
Technology	3,709,789	3,710,779	3,117,800	3,042,821	(74,979)	3,255,200	3,255,200
Student Unions	3,237,348	3,245,585	3,336,900	3,268,650	(68,250)	3,236,100	3,236,100
Kaplan Arena	2,815,854	2,724,988	2,855,800	2,808,008	(47,792)	2,798,600	2,798,600
Athletics	27,203,961	27,381,446	28,085,400	29,516,210	1,430,810	29,231,800	25,931,800
Other	16,462,458	19,009,397	17,584,800	18,156,089	571,289	19,751,200	15,420,900
Total Revenue ³	\$ 109,963,811	\$ 114,108,152	\$ 112,904,000	\$ 108,405,454	\$ (4,498,546)	\$ 117,735,000	\$ 97,922,000
Expenditures							
Student Housing	33,569,512	34,900,111	36,442,200	33,210,248	3,231,952	35,109,400	35,109,400
Food Service	19,842,296	21,064,321	20,497,000	17,602,738	2,894,262	20,899,000	20,899,000
Technology	3,799,779	3,928,868	3,117,800	3,905,526	(787,726)	3,255,200	3,255,200
Student Unions	3,566,572	3,474,801	3,336,400	3,321,625	14,775	3,223,800	3,223,800
Kaplan Arena	2,363,290	2,772,502	2,855,800	2,491,841	363,959	2,798,600	2,798,600
Athletics	27,294,227	27,663,210	28,085,400	29,639,458	(1,554,058)	29,231,800	29,231,800
COVID-19 Expenses ⁴	-	-	-	-	-	-	8,600,000
Other	17,827,586	19,898,139	18,169,800	17,864,982	304,818	20,177,600	20,177,600
Total Expenditures	\$ 108,263,262	\$ 113,701,952	\$ 112,504,400	\$ 108,036,418	\$ 4,467,982	\$ 114,695,400	\$ 123,295,400

¹Does not include revenue allocated to support Student Aid.

²Projected changes to initially approved budget reflect already known revenue and expenditure decreases. Pending status of campus operation and/or any fee reductions, revenue could decrease.

³Excludes prior year cash balance carryover.

⁴Includes expenses for testing, staffing, contact tracing, etc. Expenses in this category may increase dependent upon status of spring operations.

**WILLIAM & MARY
Sponsored Programs
2020-2021 Operating Budget Summary**

	<u>2017-2018 Actual</u>	<u>2018-2019 Actual</u>	<u>Approved 2019-2020 Budget</u>	<u>2019-2020 Actual</u>	<u>Variance</u>	<u>Approved 2020-2021 Budget</u>	<u>Projected 2020-2021 Budget²</u>
Revenue							
General Fund	79,206	126,416	75,000	133,200	58,200	131,900	131,900
Nongeneral Fund	<u>28,853,189</u>	<u>28,029,649</u>	<u>31,350,000</u>	<u>28,851,690</u>	<u>(2,498,310)</u>	<u>31,350,000</u>	<u>31,350,000</u>
Total Revenue	\$ 28,932,395	\$ 28,156,065	\$ 31,425,000	\$ 28,984,890	\$ (2,440,110)	\$ 31,481,900	\$ 31,481,900
Expenditures							
Total Expenditures	<u>\$ 28,932,395</u>	<u>\$ 28,156,065</u>	<u>\$ 31,425,000</u>	<u>\$ 28,984,890</u>	<u>\$ 2,440,110</u>	<u>\$ 31,481,900</u>	<u>\$ 31,481,900</u>

<p align="center">WILLIAM & MARY Private Funds 2020-2021 Operating Budget Summary</p>							
	2017-2018 Actual	2018-2019 Actual	Approved 2019-2020 Budget	2019-2020 Actual	Variance	Approved 2020-2021 Budget	Projected 2020-2021 Budget2
Revenue							
Distributed Endowment Income	3,354,091	3,794,707	3,850,771	3,852,562	1,791	4,061,800	4,061,800
Administrative Overhead Allocation	300,000	300,000	299,600	300,000	400	300,000	300,000
Transfers from Other Sources	209,287	299,806	100,000	261,570	161,570	-	-
Earnings on Short-term Investments	760,273	1,325,573	940,000	1,173,530	233,530	640,000	640,000
Annual Gifts	7,404,184	8,582,048	8,900,000	7,418,851	(1,481,149)	8,000,000	8,000,000
Bequest for Campus	-	155,432	-	-	-	-	-
Drawdown from BOV Quasi Endowment	500,000	500,000	-	-	-	-	-
Distribution from External Trusts	52,648	29,895	35,000	32,587	(2,413)	30,100	30,100
Proceeds on Sale of Land Parcel	771,072	-	-	-	-	-	-
Other Revenue	967,444	304,403	550,400	841,033	290,633	350,000	350,000
Total University Private Funds Revenue	\$ 14,318,999	\$ 15,291,864	\$ 14,675,771	\$ 13,880,133	\$ (795,638)	\$ 13,381,900	\$ 13,381,900
Other Private Funds							
Reimbursements from WMF	2,462,706	9,095,161	-	11,789,022	11,789,022	-	-
Bequest designated funds - Interim Use for ISC4 Planning	-	3,000,000	-	-	-	-	-
Total, Revenue	\$ 16,781,705	\$ 27,387,025	\$ 14,675,771	\$ 25,669,155	\$ 10,993,384	\$ 13,381,900	\$ 13,381,900
Expenditures							
Instruction	1,257,192	996,364	1,270,994	1,006,414	264,580	1,466,300	1,466,300
Research	593,047	789,107	826,644	697,257	129,387	785,500	785,500
Public Service	20,291	29,586	24,988	29,594	(4,606)	36,600	36,600
Academic Support	889,941	1,234,722	1,331,455	1,224,082	107,373	1,399,600	1,399,600
Student Services	262,979	808,521	800,418	625,012	175,406	800,500	800,500
Institutional Support	7,906,437	5,466,355	6,881,979	6,376,624	505,355	3,165,800	3,165,800
Plant: Operations & Capital Improvements	253,574	361,902	2,198,730	1,972,396	226,334	1,629,700	1,629,700
Student Aid	4,607,750	3,697,611	4,524,165	3,848,403	675,762	4,591,000	4,591,000
Total Expenditures Not Reimbursed by WMF	\$ 15,791,211	\$ 13,384,168	\$ 17,859,373	\$ 15,779,782	\$ 2,079,591	\$ 13,875,000	\$ 13,875,000
Expenses supported by WMF ¹	2,462,706	9,098,157	-	11,733,254	(11,733,254)	-	-
Total Expenditures	\$ 18,253,917	\$ 22,482,325	\$ 17,859,373	\$ 27,513,036	\$ (9,653,663)	\$ 13,875,000	\$ 13,875,000

¹Various WMF program expenditures paid by the College using local funds which are reimbursed by the Foundation once requested by the departments.

**VIRGINIA INSTITUTE OF MARINE SCIENCE
OPERATING BUDGET SUMMARY**

	2017-2018	2018-2019	Approved			Approved
	<u>Actual</u>	<u>Actual</u>	<u>Budget</u>	2019-2020	<u>Variance</u>	2020-2021
				<u>Actual</u>		<u>Budget</u>
<u>REVENUE</u>						
General Fund	\$21,798,906	\$23,241,488	\$25,246,373	\$24,985,752	(260,621)	\$25,158,765
Nongeneral Funds						
Educational/General	1,819,801	1,783,559	1,892,041	1,675,663	(216,378)	1,888,402
Eminent Scholars	70,023	55,775	75,000	57,686	(17,314)	75,000
Sponsored Programs	<u>22,515,417</u>	<u>20,947,892</u>	<u>23,250,000</u>	<u>19,170,688</u>	<u>(4,079,312)</u>	<u>23,250,000</u>
Total Revenue	\$46,204,148	\$46,028,714	\$50,463,414	\$45,889,789	(4,573,625)	\$50,372,167
<u>EXPENDITURES</u>						
Instruction	\$1,028,199	\$929,280	\$1,564,673	\$1,046,436	(518,238)	\$1,133,039
Research and Advisory Services	9,839,313	9,703,703	11,501,459	11,498,833	(2,626)	11,601,628
Academic Support	4,983,686	5,577,027	6,096,399	5,416,102	(680,297)	5,898,970
Institutional Support	3,247,986	3,776,055	2,744,643	3,748,904	1,004,261	3,159,830
Plant Operations	4,116,010	4,684,620	4,870,238	4,458,659	(411,579)	4,932,698
Student Financial Assistance	321,002	321,002	321,002	321,002	-	321,002
Sponsored Programs/Eminent Scholars	<u>22,585,440</u>	<u>21,003,667</u>	<u>23,325,000</u>	<u>19,228,374</u>	<u>(4,096,626)</u>	<u>23,325,000</u>
Total Expenditures	\$46,121,636	\$45,995,355	\$50,423,414	\$45,718,310	(4,705,105)	\$50,372,167



Important Advancement Dates

October 2020	February 2021	April 2021	May 2021	June 2021	September 2021
October 15 – Class of 2020 Alumni Induction	February 5-6 – Charter Day Weekend	April – One Tribe One Day (tentative)	May 14 – Plumeri Awards	June 10-13 – W&M Weekend San Francisco	September 17-19 – Women’s Weekend
October 16 – Zoom-unions (5 Year Class Reunions 10 th - 45 th)	February 6 – Alumni Medallion Ceremony	April 23-25 – Traditions Weekend	May 22 - Commencement		
October 18 – Sunset Ceremony					
October 19-23 – Affinity & Inclusion Gatherings					