

# BOARD OF VISITORS WILLIAM & MARY

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## COMMITTEE MEETINGS

*Board Rooms - Blow Memorial Hall*

April 18 – 20, 2018

**SCHEDULE**  
Board of Visitors Committee Meetings  
William & Mary  
April 18-20, 2018  
Blow Memorial Hall - William & Mary

**WEDNESDAY, APRIL 18:**

**BOARD DINING ROOM**

1:00 p.m.                      Coffee available

**BOARD ROOM – RICHARD BLAND COLLEGE COMMITTEE**

1:30-3:30 p.m.              Richard Bland College Committee                      John E. Littel, Chair  
(President Sydow)                      Warren W. Buck III, Vice Chair

Sue H. Gerdelman  
Barbara L. Johnson  
William H. Payne II  
Lisa E. Roday  
Karen Kennedy Schultz  
Brian P. Woolfolk  
Matthew J. Smith; faculty representative  
Kayla G. Zanders, student representative

- A. Approval of Minutes
  - 1. February 7, 2018
- B. General Reports
- C. Action Material
  - 1. **Resolution 1**, page 14
  - 2. **Resolution 2**, page 15
  - 3. **Resolution 3**, page 16&16-a
  - 4. **Resolution 28**, page 16-b
  - 4. **Resolution 4**, page 17
  - 5. **Resolution 5**, page 18
  - 6. **Resolution 6**, page 19
  - 7. **Resolution 7**, pages 20-23
  - 8. **Resolution 8**, pages 24-26
  - 9. **Resolution 9**, page 27
  - 10. **Resolution 10**, page 28
- D. Informational Material
  - 1. Enclosure A, pages 29-40
  - 2. Enclosure B, page 41
  - 3. Enclosure C, pages 42-44
  - 4. Enclosure D, pages 45-46
- E. Closed Session (if necessary)

Board of Visitors  
SCHEDULE  
April 18-20, 2018

**WEDNESDAY, APRIL 18:**

**BOARD CONFERENCE ROOM – INVESTMENTS SUBCOMMITTEE**

3:30-4:15 p.m.

## Investments Subcommittee

(Mr. Jones)  
S. Douglas Bunch  
Christopher M. Little  
H. Thomas Watkins III

James A. Hixon, Chair  
Mirza Baig, Vice Chair

- A. Approval of Minutes – February 7, 2018
- B. Investment Portfolio Evaluation Overview
  - 1. Enclosure E (*see separate booklet*)
- C. Closed Session (if necessary)

**BOARD CONFERENCE OFFICE - EXECUTIVE COMMITTEE**

4:15-6:15 p.m.

## Executive Committee

(Mr. Fox)

Todd A. Stottlemeyer, Chair  
H. Thomas Watkins III, Vice Chair  
Sue H. Gerdelman, Secretary

Thomas R. Frantz  
William H. Payne II  
Lisa E. Roday  
Karen Kennedy Schultz

- A. Approval of Minutes – February 7, 2018  
B. Discussion topics  
C. Closed Session (if necessary)

## PLUMERI HOUSE

6:30 p.m.

### Board Reception and Dinner

Board of Visitors  
SCHEDULE  
April 18-20, 2018

THURSDAY, APRIL 19:

## BOARD DINING ROOM

7:00 a.m. Breakfast available

## BOARD CONFERENCE ROOM – COMMITTEE ON NOMINATIONS

7:30-8:15 a.m. Committee on Nominations Todd A. Stottlemeyer, Chair

S. Douglas Bunch  
Karen Kennedy Schultz

- A. Introductory remarks  
B. **Approval of Minutes** – April 6, 2018 called meeting  
C. Closed Session (if necessary)

## BOARD ROOM - COMMITTEE ON AUDIT AND COMPLIANCE

7:30-9:30 a.m.	<u>Committee on Audit and Compliance</u> (Mr. Erdahl, Ms. Boyce, Mr. Jones) Mirza Baig Barbara L. Johnson John E. Littel	Christopher M. Little, Chair Brian P. Woolfolk, Vice Chair
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- A. Approval of Minutes – February 8, 2018
- B. Report from Chief Compliance Officer
- C. Report from Director of Internal Audit
- D. Report from Auditor of Public Accounts
- E. Report from Chief Financial Officer
- F. Closed Session (if necessary)

**BLOW HALL ROOM 201- COMMITTEE ON ACADEMIC AFFAIRS**

9:30-10:15 a.m.	<u>Committee on Academic Affairs</u> (Provost Halleran) Mirza Baig Warren W. Buck III James A. Hixon Anne Leigh Kerr John E. Littel J.E. Lincoln Saunders Eric D. Chason, faculty representative Elijah S. Levine, student representative	Karen Kennedy Schultz, Chair S. Douglas Bunch, Vice Chair
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**THURSDAY, APRIL 19:**

Committee on Academic Affairs (continued):

- A. Approval of Minutes – February 8, 2018
- B. Report from Provost
- C. Report from Faculty Liaison Committee Chair
- D. Closed Session (if necessary)
- E. Action Materials
  - 1. **Resolution 11**, pages 52-54
  - 2. **Resolution 12**, page 55
  - 3. **Resolution 13**, page 56
  - 4. **Resolution 14**, page 57
  - 5. **Resolution 15**, page 58
  - 6. **Resolution 16**, pages 59-60
  - 7. **Resolution 17**, page 61
  - 8. **Resolution 18**, page 62
  - 9. **Resolution 19**, pages 63-64
  - 10. **Resolution 20**, page 65
  - 11. **Resolution 21**, pages 66-67

**BOARD ROOM – COMMITTEE ON UNIVERSITY ADVANCEMENT**

10:15-11:00 a.m. Committee on University Advancement Sue H. Gerdelman, Chair  
(Mr. Lambert) Christopher M. Little, Vice Chair

- A. Approval of Minutes
  - 1. November 16, 2017
  - 2. February 10, 2018 – Campaign Steering Committee
- B. University Advancement Report
- C. Closed Session (if necessary)

**Board of Visitors  
SCHEDULE  
April 18-20, 2018**

THURSDAY, APRIL 19:

**BOARD ROOM - COMMITTEE ON ADMINISTRATION, BUILDINGS AND GROUNDS**

11:10-11:45 a.m. Committee on Administration, Buildings  
and Grounds  
(Mr. Jones)  
Warren W. Buck III  
James A. Hixon  
Barbara L. Johnson  
J.E. Lincoln Saunders  
Karen Kennedy Schultz  
Christopher J. Abelt, faculty representative  
Annelise Yackow, student representative

William H. Payne II, Chair  
Anne Leigh Kerr, Vice Chair

- A. Approval of Minutes – February 8, 2018
- B. Report from Building Official
  - 1. Enclosure F, page 70
- C. Report from Virginia Institute of Marine Science Dean/Director
  - 1. Enclosure G, pages 71-74
- D. Report from Senior Vice President for Finance and Administration
  - 1. Enclosure H, pages 75-81
  - 2. **Resolution 22**, pages 82-85
  - 3. **Resolution 23**, pages 86-88
- E. Closed Session (if necessary)

11:45 a.m. Walk to Wren Building

**WREN PORTICO**

**Noon-12:40 p.m. Dedication of 50<sup>th</sup> and 100<sup>th</sup> anniversary plaques**

12:45 p.m. Depart for Swem Library

**SWEM LIBRARY**

**1:00-2:40 p.m. Lunch in the Special Collections Reading Room**

## Tour of Special Collections Research Center

2:45 p.m. Depart for Blow Memorial Hall

Board of Visitors  
SCHEDULE  
April 18-20, 2018

**THURSDAY, APRIL 19:**

## BOARD ROOM

3:00-3:45 p.m.	Provost Report and Faculty Presentation	Karen Kennedy Schultz
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## BOARD ROOM – COMMITTEE ON STUDENT AFFAIRS

3:45-4:40 p.m. Committee on Student Affairs  
(Ms. Ambler) Lisa E. Roday, Chair  
William H. Payne II, Vice Chair

- A. Report from Vice President for Student Affairs
  - 1. Radio Station Report
  - 2. Enclosure I, pages 90-91
- B. Report from Student Liaisons
- C. Panel Discussion

## BOARD ROOM - COMMITTEE ON ATHLETICS

4:45-5:15 p.m.      Committee on Athletics  
                              (Ms. Huge)  
                              Thomas R. Frantz  
                              J.E. Lincoln Saunders  
                              H. Thomas Watkins III  
                              Rex K. Kincaid, faculty representative  
                              Conor J. Rooney, student representative

Sue H. Gerdelman, Chair  
S. Douglas Bunch, Vice Chair

- A. Call to Order and Introductory Remarks  
B. Approval of Minutes – February 8, 2018  
C. Closed Session (if necessary)

5:15 p.m.                      Recess Committee meetings

**5:15 p.m. Annual Board Photograph - Location to be determined**

## THE WREN PORTICO AND COURTYARD:

5:30-7:30 p.m. Provost and Board of Visitors Faculty Reception and Picnic Dinner and Tribute to Taylor Reveley

**FRIDAY, APRIL 20:**

**BOARD DINING ROOM**

7:15 a.m.                Breakfast available

**BOARD ROOM – COMMITTEE ON STRATEGIC INITIATIVES AND NEW VENTURES**

7:45-9:00 a.m.        Committee on Strategic Initiatives                      Thomas R. Frantz, Chair  
                                 and New Ventures                                      John E. Littell, Vice Chair  
                                 (Mr. Broaddus)

- A. Introductory Remarks
- B. Strategic Planning Dashboard
  - 1. Enclosure J, pages 94-98
- C. Closed Session (if necessary)

**BOARD ROOM – COMMITTEE ON FINANCIAL AFFAIRS**

9:00-10:00 a.m.        Committee on Financial Affairs                      H. Thomas Watkins III, Chair  
                                 (Mr. Jones)    James A. Hixon, Vice Chair  
                                 Mirza Baig  
                                 S. Douglas Bunch  
                                 Thomas R. Frantz  
                                 Anne Leigh Kerr  
                                 Christopher M. Little  
                                 Brian P. Woolfolk  
                                 Catherine A. Forestell, faculty representative  
                                 T. Nicole Ng, student representative

- A. Approval of Minutes – February 9, 2018
- B. Report from Senior Vice President for Finance and Administration and Chief Financial Officer
  - 1. **Resolution 24**, pages 100-102
  - 2. Enclosure K, pages 103-106
  - 3. Enclosure L, page 107
  - 4. Enclosure M, pages 108-109
  - 5. Enclosure N, pages 110-121
  - 6. Enclosure O, pages 122-125
  - 7. **Resolution 25**, pages 126-134
  - 8. Enclosure P, pages 135-142
- C. Report from Virginia Institute of Marine Science Dean/Director
  - 1. **Resolution 26**, pages 143-145
  - 1. Enclosure Q, page 146
- D. Investments Subcommittee report
- E. Closed Session (if necessary)



Board of Visitors  
SCHEDULE  
April 18-20, 2018

**FRIDAY, APRIL 20:**

10:00 a.m.    Recess Committees

**BOARD ROOM – BOARD OF VISITORS MEETING**

10:15 a.m.            ANNUAL BOARD MEETING - see MEETING AGENDA    Mr. Stottlemeyer

**BLOW HALL ROOM 201**

12:15-1:30 p.m.    Lunch with W&M students

AGENDA ITEMS  
Board of Visitors Meeting  
William & Mary

April 18-20, 2018  
Board Room - Blow Memorial Hall

RICHARD BLAND COLLEGE

Resolution 1, page 14	Appointments to Fill Vacancies in the Instructional Faculty
Resolution 2, page 15	Appointments to Fill Vacancies in the Professionals and Professional Faculty
Resolution 3, page 16&16-a	Approval of Academic Promotion
Resolution 28, page 16-b	Faculty Leaves of Absence
Resolution 4, page 17	Resolution of Appreciation for Chuck Moore Director of Athletics and Head Men's Basketball Coach
Resolution 5, page 18	Retirement of Lois S. Wray, Registrar
Resolution 6, page 19	Retirement of Gregory J. Taylor, Custodian
Resolution 7, pages 20-23	Resolution to Approve the Proposed Revisions to the Regulation Regarding Weapons on Campus
Resolution 8, pages 24-26	Resolution to Approve the Proposed Regulation Regarding Open Flames on Campus
Resolution 9, page 27	Approval of FY 2018-19 Tuition and Fees
Resolution 10, page 28	Approval of 2018-2019 Operating Budget Proposal
Enclosure A, pages 29-40	Administrative Update: RBC-19 Progress Report
Enclosure B, page 41	2017-2018 Operating Budget Update
Enclosure C, pages 42-44	Report from Faculty Representative
Enclosure D, pages 45-46	Report from Student Representative

Board of Visitors  
AGENDA ITEMS  
April 18-20, 2018

WILLIAM & MARY

Resolution 11, pages 52-54	Appointments to Fill Vacancies in the Instructional Faculty
Resolution 12, page 55	Designated Professorships
Resolution 13, page 56	Faculty Leaves of Absence
Resolution 14, pages 57	Faculty Promotions
Resolution 15, pages 58	Award of Academic Tenure
Resolution 16, pages 59-60	Retirement of Patricia Kelly Charles, Department of Kinesiology and Health Sciences
Resolution 17, page 61	Retirement of Randall G. Drake, Department of Kinesiology and Health Sciences
Resolution 18, page 62	Retirement of James R. Haltiner, Mason School of Business
Resolution 19, pages 63-64	Retirement of Kenneth A. Moore, School of Marine Science
Resolution 20, page 65	Retirement of Phillip B. Shane, Mason School of Business
Resolution 21, pages 66-67	Retirement of Kim P. Whitley, Department of Kinesiology and Health Sciences
Resolution 22, pages 82-85	Resolution to Approve Final Regulation Regarding Weapons on Campus
Resolution 23, pages 86-88	Resolution to Approve Proposed Regulation Regarding Open Flames on Campus
Resolution 24, pages 100-102	William & Mary FY 2018-19 Operating Budget Proposal
Resolution 25, pages 126-134	FY 2018-19 Tuition and Fee Structure for Full- and Part-Time Students

Board of Visitors  
AGENDA ITEMS  
April 18-20, 2018

Resolution 26, pages 143-145	Virginia Institute of Marine Science FY2018-19 Operating Budget Proposal
Resolution 27	Revisions to the Bylaws of the Board of Visitors
Enclosure E	Investment Update for Periods Ending March 31, 2018 ( <b><i>see separate booklet</i></b> )
Enclosure F, page 70	Report from College Building Official
Enclosure G, pages 71-74	Virginia Institute of Marine Science Capital Outlay Projects Progress Report
Enclosure H, pages 75-81	William & Mary Capital Outlay Projects Progress Report
Enclosure I, pages 90-91	WCWM-FM Annual Report 2017-2018
Enclosure J, pages 94-98	Strategic Planning Dashboard
Enclosure K, pages 103-106	FY 2018-19 Operating Budget Detail for Educational and General Programs
Enclosure L, page 107	FY 2018-19 Operating Budget Detail for Sponsored Programs
Enclosure M, pages 108-109	FY 2018-19 Operating Budget Detail for Student Financial Aid
Enclosure N, pages 110-121	FY 2018-19 Operating Budget Detail for Board of Visitors Private Funds
Enclosure O, pages 122-125	FY 2018-19 Operating Budget Detail for Auxiliary Enterprises
Enclosure P, pages 135-142	William & Mary 2017-2018 Operating Budget Summary
Enclosure Q, page 146	Virginia Institute of Marine Science 2017-2018 Operating Budget Summary

RICHARD BLAND COLLEGE COMMITTEE

April 18, 2018

1:30 - 3:30 p.m.

Board Room – Blow Memorial Hall

John E. Littel, Chair

Sue H. Gerdelman, Vice Chair

- I. Introductory Remarks – Mr. Littel
- II. Approval of Minutes – February 7, 2018
- III. General Reports
  - A. Report from President and Administration – Debbie L. Sydow
  - B. Report from Faculty Representative – Matt Smith
  - C. Report from Student Representative – Kayla Zanders
- IV. Action Material
  - A. Appointment to Fill Vacancies in the Instructional Faculty Resolution   1
  - B. Appointment to Fill Vacancies in the Professionals and Professional Faculty Resolution   2
  - C. Approval of Academic Promotion Resolution   3
  - D. Faculty Leaves of Absence Resolution  28
  - E. Resolution of Appreciation for Chuck Moore  
Director of Athletics and Head Men's Basketball Coach Resolution   4
  - F. Retirement of Lois S. Wray, Registrar Resolution   5
  - G. Retirement of Gregory J. Taylor, Custodian Resolution   6
  - H. Resolution to Approve the Proposed Revisions to the Regulation Regarding Weapons on Campus Resolution   7
  - I. Resolution to Approve the Proposed Regulation Regarding Open Flames on Campus Resolution   8
  - J. Approval of FY 2018-2019 Tuition and Fees Resolution   9
  - K. Approval of 2018-2019 Operating Budget Proposal Resolution  10

RICHARD BLAND COLLEGE COMMITTEE  
April 18, 2018

V. Informational Material

- |  |                        |
|--|------------------------|
| A. Administrative Update: RBC-19 Progress Report | Enclosure <u>  A  </u> |
| B. 2017-2018 Operating Budget Update             | Enclosure <u>  B  </u> |
| C. Report from Faculty Representative            | Enclosure <u>  C  </u> |
| D. Report from Student Representative            | Enclosure <u>  D  </u> |

VI. Closed Session (if necessary)

VII. Discussion

VIII. Adjourn

RICHARD BLAND COLLEGE COMMITTEE  
MINUTES – FEBRUARY 7, 2018

**MINUTES**  
**Richard Bland College Committee**  
**February 7, 2018**  
**Board Room – Blow Memorial Hall**

Attendees: John E. Littel, Chair; Warren W. Buck III, Vice Chair, Sue H. Gerdelman, Barbara L. Johnson, William H. Payne II, Lisa E. Roday; Karen Kennedy Schultz; Brian P. Woolfolk, faculty representative Matthew J. Smith and student representative Kayla G. Zanders. Board members present: S. Douglas Bunch, Christopher M. Little and staff liaison Terence A. Fassanella. Others present: President Debbie L. Sydow, Kenneth LaTessa, Eric Kondzielawa, J. Tyler Hart, Rick Pearce, Henry R. Broaddus, Kent B. Erdahl, Deborah A. Love, Cynthia Norwood, Joanne Williams, Brian W. Whitson, Amy S. Sebring, Michael J. Fox, Kate Conley, Sallie Marchello, Janice L. Zeman, Sandra J. Wilms

Chair John Littel called the Committee to order at 2:30 p.m. and offered brief opening remarks.

Recognizing that a quorum was present, Mr. Littel asked for a motion to approve the minutes of the meeting of November 15, 2017. Motion was made by Ms. Roday, seconded by Ms. Schultz, and approved by voice vote of the Committee.

President Sydow briefly reported on the progress of RBC-19, noting that a major goal is academic quality improvement, and the College has made steady progress on that front over the past few years. The SACS-COC Board of Directors at their annual meeting in December 2017 determined that Richard Bland has met all required standards for student learning outcomes assessment and, therefore, is officially released from “monitoring.”

As the RBC-19 strategic plan moves into its final year of implementation in 2018-2019, preliminary discussions are already underway about a process for ensuring that all stakeholders are substantively engaged in the development of Richard Bland’s next strategic plan. The strategic plan development process will commence in the fall of 2018 with the goal of presenting a new strategic plan to the Board for action in April of 2019.

Another significant planning initiative underway is a comprehensive update of Richard Bland’s Strategic Enrollment Management (SEM) Plan. A draft timeline has been developed by the Associate Provost of Enrollment Management and Student Success, and a detailed report on progress toward plan development will be presented at the April Committee meeting.

The public-private partnership that Richard Bland established with Navitas last year is yielding positive outcomes, both financially and in terms of enhanced support services for international students through the Global Student Success Program (GSSP).

The RBC-W&M MOUs signed in November are in the early phase of implementation. Amy Sebring and the W&M finance team are working in tandem with Rick Pearce and the RBC finance team to address deficiencies previously identified by APA, support a DOA quality assurance review, and respond to APA auditors as they conduct the FY 2017 audit. Three members of the RBC senior management team are serving on the search committee for the Executive Director of Financial Operations.



Richard Bland College Committee  
MINUTES  
Page 2

A process for identifying the inaugural group of Promise Scholars has been developed, and forty students who meet established criteria will be invited to apply for inclusion in the fall cohort. The first Promise Scholars cohort will be invited to attend the April meeting of the RBC Committee.

The Ellucian Banner ERP Version 9 upgrade plan is well underway, with two-thirds of the tasks complete. RBC Information Technology has connected with William & Mary Information Technology, and the result to date is a beneficial and supportive consultative relationship. Appreciation goes to Courtney Carpenter and the W&M IT team for their professionalism and collegiality in conducting a review of opportunities for shared services.

Since the Governor's budget was released in December, considerable time has been spent with members of the General Assembly advocating for a budget amendment that would fully fund the initial request of \$552,250 in each year of the 2018-20 biennium to add staffing and software needed to address APA audit findings.

Following brief discussion, the administrative reports began with Acting Provost Ken LaTessa, who reported on the SACS-COC reaffirmation process, as well as enrollment promotion and retention and provided an update on the Promise Scholars initiative. Professor Matthew Smith, who serves as the SACS-COC Accreditation Liaison, reported that one of the new criteria to be addressed in their report was the requirement for a Board of Visitors self-evaluation. A brief discussion ensued.

Director of Human Resources Takeya McLaurin reported on the RBC workplace survey which was conducted in December, and reviewed the results.

Associate Dean of Academic Support & Learning Resources Aimee Joyaux reported on Art Inside/Out, an initiative for acquiring, exhibiting and programming art on campus. She presented examples of several recent acquisitions.

Professor Smith briefly commented on his attendance in November at the Online Learning Consortium conference in which he accepted the Digital Learning Innovation Faculty-led Team Award for RBC for advancing undergraduate success through the adoption of digital courseware. The Committee expressed their congratulations.

Professor Smith introduced the faculty presentation. Dr. Vanessa Stout (Assistant Professor of Sociology), and Dr. Evanda Watts-Martinez (Director of Counseling Services) related recent experiences with ESE 101, the College's first-year experience course for incoming freshmen, and showcased some of the exciting and novel experiences that this course is providing to RBC students. RBC student Tatyana Jones provided a student perspective on her ESE class. Student representative Kayla Zanders commented on general student experiences with ESE.

Following a brief discussion, Mr. Littel moved that the Richard Bland College Committee of the Board of Visitors of the College of William and Mary convene in closed session to discuss the evaluation of the performance of departments or schools of public institutions of higher education where such evaluation will necessarily involve discussion of the performance of specific

Richard Bland College Committee  
MINUTES  
Page 3

individuals; and to discuss contracts for services or work to be performed by the College, as provided for in Section 2.2-3711.A.1. and 9. of the Code of Virginia. Motion was seconded by Mr. Buck. The observers were asked to leave the room and the Committee went into Closed Session at 3:14 p.m.

The Committee reconvened in open session at 4:08 p.m. Mr. Littel reviewed the topics discussed during the closed session, and then moved adoption of the **Resolution** certifying the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by Ms. Roday and approved by roll call vote of the Committee members conducted by Mr. Littel. (Certification **Resolution** is appended.)

There being no further business, the Committee adjourned at 4:09 p.m.

February 7, 2018

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors Richard Bland College Committee has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Committee that such closed session was conducted in conformity with Virginia law;

NOW, THEREFORE, BE IT RESOLVED, That the Richard Bland College Committee, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Richard Bland College Committee.

VOTE

AYES: 8

NAYS: 0

ABSENT DURING CLOSED SESSION:

  
\_\_\_\_\_  
John E. Littel  
Chair  
Richard Bland College Committee

**RICHARD BLAND COLLEGE  
APPOINTMENT TO FILL VACANCIES IN THE  
INSTRUCTIONAL FACULTY**

Vacancies in the Instructional Faculty of Richard Bland College of The College of William & Mary have resulted because of a retirement, a vacancy, or a newly created position.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William & Mary approves the appointment of the following individuals to fill these positions:

**MIRJANA MARKOVICH-MITOV**, Instructor of Biology, effective January 10, 2018:

Ph.D., Pharmaceutical Science, University of Belgrade, Belgrade, Serbia and Montenegro, 2011

M.S., Biology, Institute of Biology, Kragujevac, Serbia and Montenegro, 2001

B.S., Biology, Institute of Biology, Kragujevac, Serbia and Montenegro, 1996

Fortis College:

General Education Instructor, 2013 – 2016

Stratford University:

Health Science Instructor, 2010 – 2011

American International Biotechnologies Services:

ELISA Researcher, 2009

Project Coordinator, Clinical Trials, 2009

Project Coordinator, Department of Molecular Biology, 2009

University of Belgrade, Faculty of Pharmacy, Department of Clinical Biochemistry:

Clinical Manager – Ph.D. Researcher (clinical trials), 2002 – 2009

University of Perugia, Perugia, Italy:

Visiting Assistant Professor, 1999

University of Science, Kragujevac, Serbia:

Assistant Professor, 1997 – 2001

**RICHARD BLAND COLLEGE  
APPOINTMENT TO FILL VACANCIES IN THE  
PROFESSIONAL AND PROFESSIONAL FACULTY**

Vacancies in the Professionals and Professional Faculty of Richard Bland College of the College of William and Mary have resulted because of a retirement, a vacancy, or a newly created position.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William and Mary approves the appointment of the following individual to fill these positions:

**GIOVANKA OBERMULLER**, Director of Records and Retention, effective February 25, 2018:

M.B.A., Human Resource Management, Averett University, 2016  
B.S., Business, Medgar Evers College, 1993  
A.A.S., Secretarial Science, Medgar Evers College, 1992

Richard Bland College:  
Associate Registrar, 2006 – 2018

Virginia State Police:  
Program Support Technician, 2004 – 2006  
Office Services Specialist, 1999 – 2000

Fayetteville Technical Community College:  
Admissions Evaluator, 2001 – 2003

Interfaith Medical Center, School of Nursing:  
Admissions/Finance Officer, 1994 – 1998

Medgar Evers College:  
College Assistant, 1992 – 1994

**RICHARD BLAND COLLEGE  
APPROVAL OF ACADEMIC PROMOTION**

The following members of the instructional faculty of Richard Bland College have been recommended for the award of academic promotion by the Peer Review Committee and the Provost.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William and Mary approves the award of academic promotion to the following faculty members, effective with the beginning of the 2018-19 academic year:

**BARBARA M. MORGAN**, from Associate Professor of Psychology to  
Professor of Psychology:

Ph.D., Counselor Education, The College of William and Mary, 1998  
Ed.S., Professional Counseling, The College of William and Mary, 1996  
M.Ed., Agency Counseling, The College of William and Mary, 1995  
B.S. Psychology, Virginia Commonwealth University, 1994  
L.P.C., Licensed Professional Counselor, 1998  
L.M.F.T., Licensed Marriage and Family Therapist, 1998

Richard Bland College:

Associate Professor, Psychology, 2011- present  
Assistant Professor, Psychology, 2007-2011  
Adjunct Professor, Psychology, 1997-2007

Virginia Commonwealth University:

Adjunct Professor, Counselor Education, 1997-2003

The College of William and Mary:

Teaching Assistant, Counselor Education, 1996-1998  
Practicum Instructor, Counselor Education, 1996

Private Practice, Licensed Professional Counselor and Marriage and  
Family Therapist, 1998-present

New Horizons Family Counseling Center:

Director, 1997-1998  
Clinical Coordinator, 1996-1997  
Family Counselor, 1995-1996  
Medical Weight Control Clinic:  
Health Counselor, 1994-1995

Signet Bank:

Human Resources/Training and Investment Services, 1983-1994

**RICHARD BLAND COLLEGE  
APPROVAL OF ACADEMIC PROMOTION**

**RACHEL FINNEY**, from Associate Professor of Spanish to  
Professor of Spanish, effective August 10, 2018:

Ph.D., Spanish, University of Virginia, 2017

M.A., Spanish, University of Arkansas at Fayetteville, 1999

B. A., English/Spanish, University of Tennessee at Martin, 1996

Richard Bland College:

Associate Professor, Spanish, 2006-present

Assistant Professor, Spanish, 2000-2006

Southern Arkansas University:

Instructor of Elementary Spanish and English, 1999-2000

University of Arkansas at Fayetteville:

Lecturer, Elementary and Intermediate Spanish, 1999

TA Coordinator, Instructor of Elementary and Intermediate  
Spanish, 1997-1999

Dyersburg State Community College:

Substitute Teacher/Office Assistant, Upward Bound Program, 1997

College Prep Instructor, 1997

University of Tennessee, Student Spanish Tutor, 1996

**RICHARD BLAND COLLEGE  
FACULTY LEAVES OF ABSENCE**

As noted below, the following faculty members have requested sabbatical leaves of absence with full pay and benefits during the 2018-2019 academic year for purposes of study and reflection. This professional enrichment will ultimately benefit Richard Bland College and its students.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William and Mary hereby approves these leaves of absence:

**Michelle Delano**, Professor of Art, to produce a professional body of artwork inspired by the natural sciences.

**Elizabeth Rescher**, Professor of English, to research the mechanisms of e-publishing, offer a class through the University of Richmond's Continuing Education program, and to participate in VA-AAUP as an Executive Committee member.



**RICHARD BLAND COLLEGE  
RESOLUTION OF APPRECIATION FOR CHUCK MOORE  
DIRECTOR OF ATHLETICS AND HEAD MEN'S BASKETBALL COACH**

WHEREAS, Mr. Chuck Moore who obtained his Bachelor of Arts Degree from Christopher Newport University and Masters in Sports Management from American Public University joined the Richard Bland College team in 2012 as Director of Athletics and Head Men's Basketball Coach. His charge was to resurrect Statesman Athletics—which had been dormant for twenty years prior—as part of a comprehensive Strategic Enrollment Management plan focused on student engagement and success; and

WHEREAS, Committed to 100% student success as the top priority, in his capacity as Director of Athletics Mr. Moore endeavored to make Statesman Athletics a robust program that would benefit not only student-athletes, but all Richard Bland College students. Toward that end, he committed unparalleled energy and passion to building a reputable, competitive program that currently includes men's basketball, women's softball, men's soccer, women's volleyball and men's and women's golf; and

WHEREAS, As Head Men's Basketball Coach, Mr. Moore completed his Statesmen coaching career with an outstanding overall record of 126-42 (.750) over the past five seasons, including 71-24 (.747) in Region 10, while recording an even more impressive 64-10 (.865) at home in Statesman Hall since 2013-14. He led the program to a pair of 30-win seasons among three 25-win campaigns, earning NJCAA Division II Top 20 national rankings in each of the five seasons. Mr. Moore led Richard Bland to the 2014-15 NJCAA Division II National Championship with a school-record of 32-4, including the Region 10 Tournament Championship. He led the Statesmen to an overall record of 30-6 during 2016-17, making their second NJCAA DII National Tournament Final Four appearance in three years, after winning another Region 10 Tournament Championship. This year's squad finished 22-11, while making the program's second-straight and third appearance in four years at the national tournament. Mr. Moore has been named the 2018 NJCAA Division II, District 15 Coach of the Year for the second consecutive season; and

WHEREAS, Mr. Moore coached two NJCAA Division II All-Americans at Richard Bland College, including Tavon Mealy (2014-15, First Team) and Jaquan Wooten (2016-17, Second Team), while Mealy (2015), Wooten (2017), Averyl Ugba (2015, MVP) and LeQuan Thomas (2015) were NJCAA Division II National Championship All-Tournament Team selections. The Statesmen have had 13 All-Region 10 honorees since 2013-14, including Mealy being named the Player of the Year in 2014-15.

THEREFORE, BE IT RESOLVED, That the President of Richard Bland College and the Board of Visitors acknowledge and express their appreciation to Mr. Chuck Moore for his significant contributions to Richard Bland College, its mission and its students;

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Mr. Chuck Moore with best wishes for continuing and creative work in the years ahead.

**RICHARD BLAND COLLEGE  
RETIREMENT OF LOIS S. WRAY  
REGISTRAR**

Lois S. Wray joined Richard Bland College on July 1, 1976. She began her career as secretary to the Registrar, then as Assistant to the Registrar. She was Campus Bookstore Manager from June 1, 1985, to April 30, 1986. On May 1, 1986, she returned to the Registrar's Office as Administrative Staff Specialist. Her increasing knowledge, experience and responsibilities in the realm of enrollment, student services and education administration prepared her to assume the role of Registrar in the Office of Records and Registration in May 1989. An alumna of Richard Bland College, Ms. Wray received her A.S. degree in Business in 1976, and her B.A. in Management from St. Leo College in 1986.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Lois S. Wray on June 1, 2017, after 41 years of dedicated service to Richard Bland College and expresses its appreciation to her for the many contributions she has made to the College.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Lois S. Wray with best wishes for continuing and creative work in the years ahead.

**RICHARD BLAND COLLEGE  
RETIREMENT OF GREGORY J. TAYLOR  
CUSTODIAN**

Gregory J. Taylor joined Richard Bland College on December 7, 1987. He began his career as a Housekeeping Worker in the Facilities Department. On August 16, 1989, he was promoted within the Department to a Grounds Worker. He returned to his Housekeeping role in August 1991. Mr. Taylor was an asset to the College and demonstrated his skills and expertise over the years by providing a clean and orderly environment in the various Halls and buildings in which he worked.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Gregory J. Taylor on December 1, 2017, after 30 years of dedicated service to Richard Bland College and expresses its appreciation to him for the many contributions he has made to the College.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Gregory J. Taylor with best wishes for continuing and creative work in the years ahead.

**RICHARD BLAND COLLEGE  
RESOLUTION TO APPROVE THE PROPOSED REVISIONS  
TO THE REGULATION REGARDING WEAPONS ON CAMPUS**

WHEREAS, protecting the safety of the Richard Bland College ("College") campus community is of utmost importance to the College Administration and the Board of Visitors of The College of William & Mary in Virginia; and

WHEREAS, § 23.1-2806.F(iii) of the Code of Virginia authorizes the Board of Visitors to make rules and regulations concerning the College; and

WHEREAS, § 2.2-4002.A.6 exempts educational institutions from the requirements of the Administrative Process Act; and

WHEREAS, this regulation is modeled after the William & Mary regulation regarding weapons on campus, which underwent a comment period, and Richard Bland College's regulation presented to the Board of Visitors for consideration has been informed by the comments received; and

WHEREAS, the Supreme Court of Virginia has confirmed that public institutions of higher education in Virginia may regulate the possession of weapons on their campuses; and

WHEREAS, College and Commonwealth policies restrict weapons possession by College students and employees; and

WHEREAS, the Board of Visitors deems it prudent to regulate weapons possession by visitors or other third parties in certain facilities controlled by the College as well as at certain College events, pursuant to its authority conveyed in § 23.1-2806.F(iii) of the Code of Virginia; and

WHEREAS, the Board of Visitors adopted a proposed Richard Bland College Weapons on Campus Regulation on December 9, 2011, which was published in the Virginia Register of Regulations on January 2, 2012 and adopted as a final regulation on February 3, 2012 with an effective date of March 1, 2012;

THEREFORE, BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors approves the proposed revised Richard Bland College Weapons on Campus Regulation, a copy of which is attached hereto, as a proposed regulation to be published in the Virginia Register, and to be considered for final adoption at the September 2018 meeting of the Board.

**TITLE 8. EDUCATION**  
**RICHARD BLAND COLLEGE**  
**Chapter 30**  
*Proposed Amended Regulation*

REGISTRAR'S NOTICE: Richard Bland College is exempt from the Administrative Process Act in accordance with § 2.2-4002 A 6 of the Code of Virginia, which exempts educational institutions operated by the Commonwealth.

Title of Regulation: **8VAC115-30.** Richard Bland College Weapons on Campus (amending **8VAC115-30-10, 8VAC115-30-20, 8VAC115-30-30**).

Statutory Authority: § 23.1-1301.A.1 of the Code of Virginia.

Effective Date:

Agency Contact: Kiersten Boyce, Compliance and Policy Officer, College of William & Mary, P.O. Box 8795, Williamsburg, VA 23187, telephone (757) 221-2743, or email kboyce@wm.edu.

Summary:

*The regulation establishes the weapons limitation policy at Richard Bland College.*

CHAPTER 30  
RICHARD BLAND COLLEGE WEAPONS ON CAMPUS

**8VAC115-30-10. Definitions.**

The following words and terms when used in this chapter shall have the following meanings unless the context clearly indicates otherwise:

"Police officer" means law-enforcement officials appointed pursuant to Article 3 (§ 15.2-1609 et seq.) of Chapter 16 and Chapter 17 (§ 15.2-1700 et seq.) of Title 15.2, Article 3 (§ 23.1-809) of Chapter 8 (§ 23.1-800 et seq.) of Title 23.1, Chapter 2 (§ 29.1-200 et seq.) of Title 29.1, or Chapter 1 (§ 52-1 et seq.) of Title 52 of the Code of Virginia or sworn federal law-enforcement officers.

"College property" means any property, vehicle, or vessel owned, leased, or controlled by Richard Bland College.

"Weapon" means any instrument of combat, or any object not designed as an instrument of combat but carried for the apparent purpose of inflicting or threatening bodily injury. Examples include but are not limited to:

(1) firearms, including any pistol, revolver, rifle, shotgun, air-pistol, paintball gun, or other weapon designed or intended to propel a bullet, cartridge, or missile of any kind by action of an explosion of any combustible material;

(2) knives, including any dirk, bowie knife, switchblade knife, ballistic knife, butterfly knife, sword, machete, razor, spring stick, or other bladed weapon with a blade longer than four inches;

(3) razors or metal knuckles;

(4) blackjacks, foils, or hatchets;

(5) bows and arrows, crossbows, and slingshots;

(6) nun chahkas, including any flailing instrument consisting of two or more rigid parts connected in such a manner as to allow them to swing freely, which may also be known as a nun chuck, nunchaku, shuriken, or fighting chain;

(8) throwing stars, including any disc, of whatever configuration, having at least two points or pointed blades which is designed to be thrown or propelled and which may be known as an oriental dart;

(9) stun guns, including any device that emits a momentary or pulsed output that is electrical, audible, optical, or electromagnetic in nature and that is designed to temporarily incapacitate a person;

(8) any explosive or incendiary device, including fireworks or other devices relying on any combination of explosives and combustibles to be set off to generate lights, smoke, or noise; or

(9) any firearm or any other weapon listed in § 18.2-308 A of the Code of Virginia.

"Weapon" does not include the following: (1) knives or razors commonly used for domestic or academic purposes; or pen or folding knives with blades less than three inches in length; or (2) mace, pepper spray, and other such items possessed, stored, or carried for use in accordance with the purpose intended by the original manufacturer.

#### **8VAC115-30-20. Possession of weapons prohibited; exceptions.**

A. Possession, storing, or carrying of any weapon by any person, except a police officer or an individual authorized pursuant to university policy, is prohibited on college property, whether in any college building, academic buildings, administrative buildings, student residence and student life buildings, or dining or athletic facilities, or while attending an official university event, such as an athletic, academic, social, recreational or educational event, or on vehicles or vessels that are college property. This prohibition also applies to all events or activities on college property where people congregate in any public or outdoor areas.

B. This prohibition does not apply to:

a. Police officers on college property in an official capacity pursuant to a college request, mutual aid agreement, or on active duty and within their jurisdiction



- b. A college employee possessing, storing, or carrying a weapon: (1) as authorized or required by the terms of the college employment; or (2) as permitted by the Director of Campus Safety and Chief of Police or his designee for good cause shown, and may contain conditions to maintain the safety of the college community. The Chief's authority to grant such permission extends to persons who are neither students nor employees.
- c. A student as authorized by the Director of Campus Safety and Chief of Police or his designee for good cause shown. Requests for such authorization shall be evaluated on a case-by-case basis, and may contain conditions to maintain the safety of the college community.

Entry upon such college property in violation of this prohibition is expressly forbidden. Persons violating this prohibition will be asked to remove the weapon immediately from college property. Failure to comply with this request may result in arrest for trespass. Members of the college community are also subject to disciplinary action.

**8VAC115-30-30. Person lawfully in charge.**

In addition to individuals authorized by college policy or job duties, Richard Bland College police officers are lawfully in charge for the purposes of forbidding entry upon or remaining upon college property while possessing or carrying weapons in violation of this prohibition.

**RICHARD BLAND COLLEGE  
RESOLUTION TO APPROVE THE PROPOSED  
REGULATION REGARDING OPEN FLAMES ON CAMPUS**

WHEREAS, protecting the safety of the Richard Bland College ("College") campus community is of utmost importance to the College Administration and the Board of Visitors of The College of William & Mary in Virginia; and

WHEREAS, § 23.1-2806.F(iii) of the Code of Virginia authorizes the Board of Visitors to make rules and regulations concerning the College; and

WHEREAS, § 2.2-4002.A.6 exempts educational institutions from the requirements of the Administrative Process Act; and

WHEREAS, this regulation is modeled similarly after the William & Mary regulation regarding open flames, which underwent a comment period, and Richard Bland College's regulation presented to the Board of Visitors for final adoption has been informed by the comments received; and

WHEREAS, existing College policy requires a permit before igniting any open flame on College property; and

WHEREAS, third parties and visitors to campus may be unaware of College policy, but are responsible for awareness of regulations that have the force of law; and

WHEREAS, the Board of Visitors deems it prudent to supplement existing College policy by adopting a regulation requiring a permit before igniting or maintaining an open flame on property controlled by the College, including in its buildings; and

WHEREAS, the Board of Visitors has reviewed the proposed regulation governing Open Flames on Campus;

THEREFORE, BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors approves the proposed Richard Bland College Open Flames on Campus Regulation, a copy of which is attached hereto, as a proposed regulation to be published in the Virginia Register, and to be considered for final adoption at the September 2018 meeting of the Board.



**TITLE 8. EDUCATION**  
**RICHARD BLAND COLLEGE**  
**Chapter 50**  
*Proposed Regulation*

REGISTRAR'S NOTICE: Richard Bland College is exempt from the Administrative Process Act in accordance with § 2.2-4002 A 6 of the Code of Virginia, which exempts educational institutions operated by the Commonwealth.

Title of Regulation: 8VAC115-50. Richard Bland College Open Flames on Campus (adding 8VAC115-50-10, 8VAC115-50-20, 8VAC115-50-30, 8VAC115-50-40, 8VAC115-50-50).

Statutory Authority: § 23.1-1301.A.1 of the Code of Virginia.

Effective Date:

Agency Contact: Kiersten Boyce, Compliance and Policy Officer, College of William & Mary, P.O. Box 8795, Williamsburg, VA 23187, telephone (757) 221-2743, or email kboyce@wm.edu.

Summary:

*The regulation establishes the limitations on the presence of open flames in college buildings or on college property, and imposes the requirement for a permit for certain activities involving open burning or open flames. This is the first regulation on this subject.*

CHAPTER 50  
RICHARD BLAND COLLEGE OPEN FLAMES ON CAMPUS

**8VAC115-50-10. Definitions.**

*The following words and terms when used in this chapter shall have the following meanings unless the context clearly indicates otherwise:*

*"Open flame" means any activity or device producing a flame, including, but not limited to candles, tiki torches, oil lanterns, butane or other gas burners, incense, campfires, bonfires, fire pits, and grills.*

*"College property" means any property, vehicle, or vessel owned, leased, or controlled by Richard Bland College.*

**8VAC115-50-20. Permit required for open burning and open flames; exceptions.**

- A. Open flames are prohibited on all college property, including within college buildings and facilities, except pursuant to a permit issued by the college's Department of Campus Safety and Police.*
- B. Exceptions to the requirement for a permit are:*

1. *Activities taking place within the scope of academic coursework when under the supervision of the relevant faculty member;*
2. *Flames created for the transient purpose of lighting a cigarette, cigar, pipe, or similar smoking device, provided such activity is in an authorized location, is otherwise lawful, and the burning or smoking elements are safely and responsibly disposed;*
3. *Small celebration candles used briefly and in an appropriate quantity in connection with a celebration, provided such activity is not left unattended, is in an authorized location, is otherwise lawful, and the smoking or burning elements are safely and responsibly disposed; and*
4. *Activities undertaken by college contractors whose contract has been approved by the President or her designee and which contract authorizes open flames.*

**8VAC115-50-30. Permits.**

*Persons seeking to ignite an open flame must apply to the Department of Campus Safety and Police for a permit to perform the activity. Permits may be issued for a one-time event or activity, or on a recurring or on-going basis.*

*Applicants must apply at least five working days in advance of the activity to ensure consideration. An applicant's history of compliance with previous permits will be considered in a decision to grant a permit.*

*Persons granted permits are required to comply with all conditions of the permit.*

**8VAC115-50-40. Person lawfully in charge.**

*In addition to individuals authorized by college policy, Richard Bland College police officers and representatives of the Department of Campus Safety and Police are lawfully in charge for the purposes of forbidding entry upon or remaining upon college property of those who are in violation of this prohibition.*

**8VAC115-50-50. Compliance with Policy.**

*Persons who fail to obtain a permit or to comply with its conditions will be asked to extinguish the open flame or bring the activity into compliance with the terms of the permit. Failure to comply may result in a request to leave campus. Failure to leave campus may result in arrest for trespass. Members of the campus community are also subject to disciplinary action, including termination or expulsion.*

Board of Visitors

Resolution   9  

April 18-20, 2018

**RICHARD BLAND COLLEGE**

**APPROVAL OF  
FY 2018-2019 TUITION AND FEES**

**TO BE SENT UNDER SEPARATE COVER**

Board of Visitors

Resolution 10

April 18-20, 2018

**RICHARD BLAND COLLEGE**  
**APPROVAL OF**  
**2018-2019 OPERATING BUDGET PROPOSAL**

**TO BE SENT UNDER SEPARATE COVER**

April 18-20, 2018

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**Richard Bland College**  
*of WILLIAM & MARY*

## **RBC-19 PROGRESS REPORT**

**April 2018**

**Dr. Kenneth O. LaTessa**

*Acting Provost*

### **Academics**

Efforts are currently underway to identify the inaugural Promise Scholars cohort. A diverse group of freshmen are eligible for this opportunity, including eight students who have been accepted into the inaugural cohort. Specific areas of interest for the cohort include neuroscience, kinesiology, pre-med, computer science, business, marine biology, and pre-vet, and art. Many have indicated that the opportunity to participate in research with peers and faculty at W&M is a primary draw to the Promise Scholars Program. Interviews will continue over the next few weeks. Programming and curriculum outcomes are being finalized for the RBC leadership course for Promise freshmen, the ASPIRE Living/Learning Community in residence halls, and service learning opportunities for the coming academic year.

On February 28, 2018, RBC mailed the completed Compliance Certification Report (CCR) to the Commission and to all members of the off-site review committee. Review of the CCR is scheduled for the week of April 24, 2018. The College expects to receive a report from SACSCOC regarding the CCR review by mid-May. After receipt of any findings from the off-site review, the College will prepare a response document, the Focused Report, which will be due to the Commission in mid-August. Submission of the final Quality Enhancement Plan is also due at that time.

Overall, the Reaffirmation Steering Committee (the Institutional Effectiveness Committee) is optimistic about the outcome of the review of RBC's CCR. Nevertheless, in the interim, the Steering Committee has begun to identify potential areas of concern that could result in a finding of "non-compliance." One such standard, specific to the Board of Visitors, is Standard 4.2.g: "The governing board defines and regularly evaluates its responsibilities and expectations."

As the Board considers formalizing a self-evaluation process in its Bylaws at the April, 2018 meeting, it is important to note that the timeliness of this action and the subsequent implementation of a formal evaluation process is a critical one for Richard Bland College. Should RBC be found "non-compliant" with Standard 4.2.g, the College would have until mid-August to demonstrate that "regular" evaluation is ongoing. Therefore, it is respectfully requested that the Board conduct a self-evaluation prior to that date as this would be a tremendous step toward

April 18-20, 2018

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demonstrating compliance with this standard prior to the on-site reaffirmation team's visit to RBC in fall 2018.

President Sydow signed a Memorandum of Agreement last week that provides Richard Bland students the mechanism to enroll in the Virginia State University Army Senior Reserve Officers' Training Corps (SROTC) Program. Classroom activities in military science/leadership have been occurring on the RBC campus for some time, but this new agreement allows students to participate in the Leadership Labs requirement and affords students the option to continue without disruption in SROTC programs when they transfer to other institutions. Richard Bland College will provide transportation to VSU for students participating in the program.

Dr. Elizabeth Rescher, Professor of English, and Michelle Delano, Professor of Art, have requested and been granted sabbatical leave for the 2018-19 academic year.

A number of new resources came online in February, including a fully supported Testing Center, Writing Lab and a Chromebook rental program that provides students with the option of checking out a Chromebook for the semester at no charge.

### **Athletics**

Chuck Moore, Athletic Director and Head Basketball Coach, resigned his position effective June 30, 2018 to pursue other professional opportunities. Preston Beverly, Assistant Athletic Director/Assistant Men's Basketball Coach, has been named Head Coach of the men's basketball team and will continue the legacy of excellence left by Coach Moore.

Scott Newton, Head Women's Volleyball Coach, has been appointed Acting Athletic Director and will begin his new duties on April 10, 2018. Coach Newton was previously the Program Manager for Junior League at the Richmond Volleyball Club and brings 7 years of athletics administrative experience to the role.

Upon Eduardo De Souza's resignation as Head Men's Soccer Coach, a formal search process was launched to find his replacement. A large number of applications for the position were received, and Damien Westfield has been selected as the new Head Men's Soccer Coach. Coach Westfield has extensive coaching experience, most recently serving as Assistant Coach at Young Harris College in Georgia. He holds a Ph.D. in Human Sciences with a specialization in Leadership Studies from the University of Nebraska-Lincoln, a Master's in Communication Studies from Bellevue University (NE), and a baccalaureate degree in Organizational Communication from Creighton University (NE).

The RBC Men's Basketball team finished second in the NJCAA Region 10 tournament and qualified for the National Tournament in Danville, IL as a seven seed. This was the team's second consecutive year (third overall) to qualify for the tournament. Chuck Moore was announced as the 2018 NJCAA Division II, District 15 Coach of the Year for the second consecutive season.

## Enrollment Management & Student Success

### 2017-18 Final Enrollment Numbers

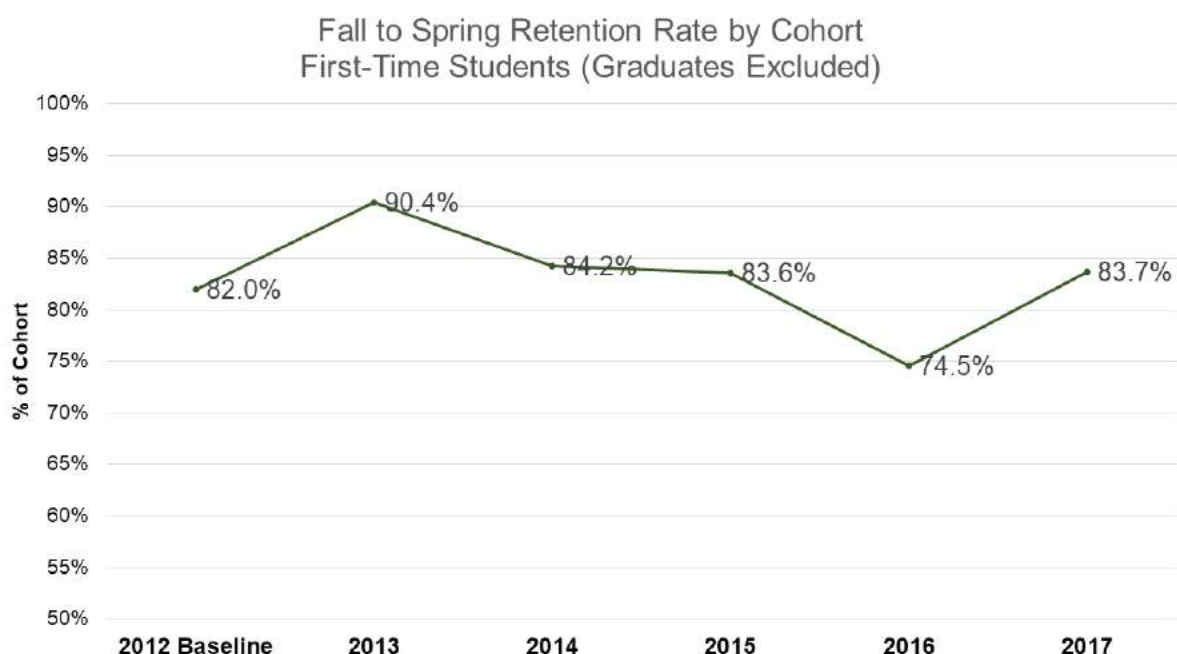
Final enrollment numbers for the FY18 academic year are summarized in Table 1, along with a side-by-side comparison of FY17. Overall, the college experienced a 9.3% drop in FTE from FY17 to FY18 (1,284 FTE vs 1,164 FTE) inclusive of the Dual Enrollment student population. For undergraduate (non-dual enrollment) students specifically, FTE count dropped from 908 in FY17 to 786 in FY18 (13.4%). This anticipated drop in enrollment resulted from the increase in admission standards implemented in the fall 2017 incoming class, as well as more stringent procedures for collection of student account balances.

Retention for first-time students from fall to spring jumped from 74.5% to 83.7%. This is demonstrated in Figure 1.

Table 1: Final comparison of census numbers, FY17 vs FY18

	Fall 2016			Fall 2017		
	Headcount	Credit Hours	FTE	Headcount	Credit Hours	FTE
Undergraduates	1,105	15,143	1,010	931	12,510	834
Dual Enrollment	1,425	5,936	396	1,575	6,583	439
<b>Total</b>	<b>2,530</b>	<b>21,079</b>	<b>1,405</b>	<b>2,506</b>	<b>19,093</b>	<b>1,273</b>
	Spring 2017			Spring 2018		
	Headcount	Credit Hours	FTE	Headcount	Credit Hours	FTE
Undergraduates	925	12,113	808	823	11,083	739
Dual Enrollment	1,312	5,330	355	1,130	4,752	316
<b>Total</b>	<b>2,237</b>	<b>17,443</b>	<b>1,163</b>	<b>1,953</b>	<b>15,835</b>	<b>1,055</b>

Figure 1: Year over year retention rates, full and part-time students (preliminary)



**2018-19 Recruitment Efforts**

Recruitment for the fall 2018 freshman class is progressing on track, and ongoing initiatives continue to show good results (Table 2).

Table 2 (updated 03/27/2018).

Stage Name	Applicant			Admit			Confirmation		
RegionName	TargetValue	CurrentValue	Percent of Target	TargetValue	CurrentValue	Percent of Target	TargetValue	CurrentValue	Percent of Target
Non-Virginia	167	92	55.1 %	65	27	41.5 %	43	11	25.6 %
Central Virginia	2078	1053	50.7 %	810	547	67.5 %	535	242	45.2 %
Tidewater	294	142	48.3 %	115	59	51.3 %	76	14	18.4 %
Northern Neck	68	57	83.8 %	26	23	88.5 %	17	10	58.8 %
Northern Virginia	271	81	29.9 %	106	37	34.9 %	70	16	22.9 %
Valley	90	67	74.4 %	35	37	105.7 %	23	6	26.1 %
Western Virginia	45	19	42.2 %	18	9	50.0 %	12	2	16.7 %
Southwest	23	1	4.3 %	9	0	0.0 %	6	0	0.0 %
Southside	90	66	73.3 %	35	28	80.0 %	23	19	82.6 %
<b>Total</b>	<b>3126</b>	<b>1578</b>	<b>50.5 %</b>	<b>1219</b>	<b>767</b>	<b>62.9 %</b>	<b>805</b>	<b>320</b>	<b>39.8 %</b>

Admits and confirmed deposits for fall 2018 are up 61% (764 vs 475 admits) and 57% (319 vs 203 deposits), respectively, over last year. Furthermore, conversion percentages from completed applications (candidates) to admitted students are up substantially over last year (85% vs 70%) indicating that prospective students are submitting higher quality applications.

Figure 2. Admits comparison Fall 2018 vs. Fall 2017 (updated 03/27/2018)

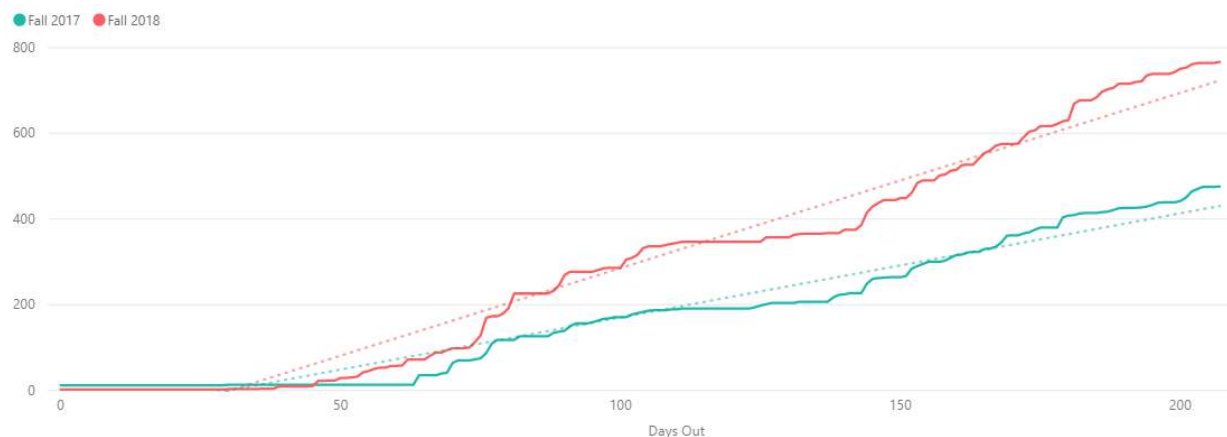
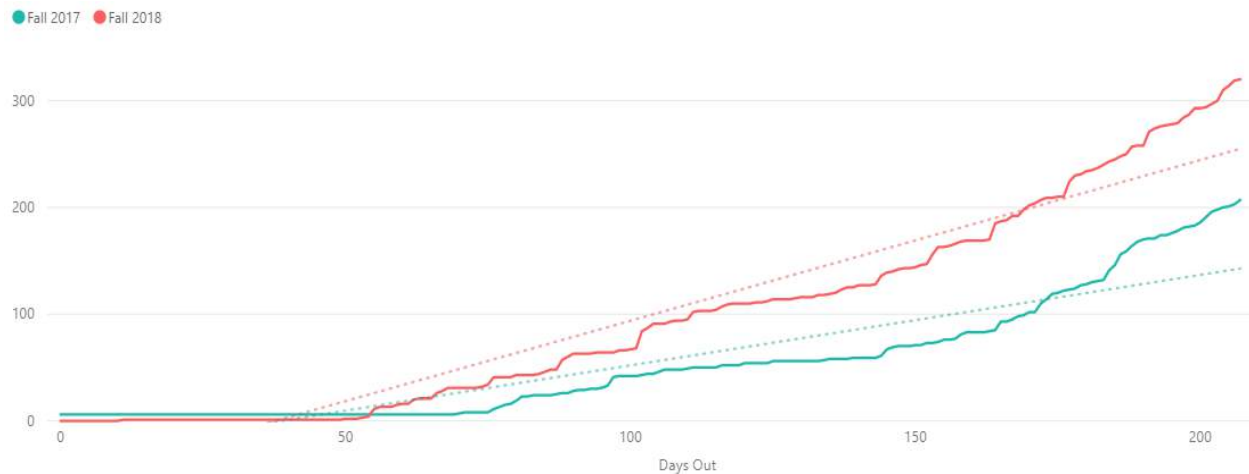




Figure 3. Confirmation (deposit) comparison Fall 2018 vs. Fall 2017 (updated 03/27/2018)



### Information & Technology Services

The Virginia Auditor of Public Accounts (APA) concluded the FY 2017 audit in Information and Technology Services at RBC with a meeting on March 13, 2018. The auditor requested a final short list of information, which the technical team at RBC immediately submitted. Indications from the lead auditor are that all open management points will be closed and that no additional recommendations will be proffered in the final report.

Roma Radford, Applications Developer, directed and executed the technical buildout for the digital version of the SACS-COC Compliance Certification Report. The report went to the Commission and members of the SACS off-site review committee on a USB thumb drive. The application was interactive, easy to use and attractive. Mr. Radford is to be commended for successful completion of a monumental project in a remarkable timeframe (about 30 days).

The version 9 upgrade plan for the Ellucian Banner ERP is in the mid-stages (timeframe), with about 80% of the tasks complete. Infrastructure to support the new version is in place and the production database has been upgraded to the newest version, allowing the team to move efficiently through the upcoming steps. The next major milestone will be the installation of a non-production Banner 9 instance that will guide the remainder of the process. The team is confident that the project is and will remain on schedule.

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### Finance Office

Due to a delay in the RBC Director of Finance search process underway at W&M, Rick Pearce continues to oversee all aspects of financial operations, now in a part-time capacity. He was converted from a Robert Half contract employee to a part-time College employee on February 10 to realize cost savings. To honor the spirit of the finance MOU executed in November, 2017, Mr. Pearce reports directly to Amy Sebring.

The 2017 APA audit, the DOA quality assurance review and the FY 2018 budget development process were all underway simultaneously throughout most of Q3. Based on preliminary 2017 APA audit findings, it appears that IT and HR have made significant strides toward compliance. Although there have been some notable improvements toward financial compliance, the FY 2017 audit will, as anticipated, reflect ongoing deficiencies in financial reporting, processes, documentation, etc. The report resulting from the DOA quality assurance review will serve as a roadmap over the coming year(s) as the RBC Finance operation pursues continuous improvement and full compliance.

Despite the implementation of a spending and hiring freeze in November, 2017, in anticipation of a tight budget year, a projected budget deficit was identified by Mr. Pearce in mid-March. Further review indicated that the deficit resulted from lower than anticipated tuition and housing revenue, and awarding tuition and housing waivers in excess of plan. Amy Sebring worked with the senior team to refine projections for the remainder of the fiscal year, and with this information in hand, a concerted effort is underway to end the year with a balanced budget.

Collaboration with and support from the W&M Finance team has been helpful and is appreciated.

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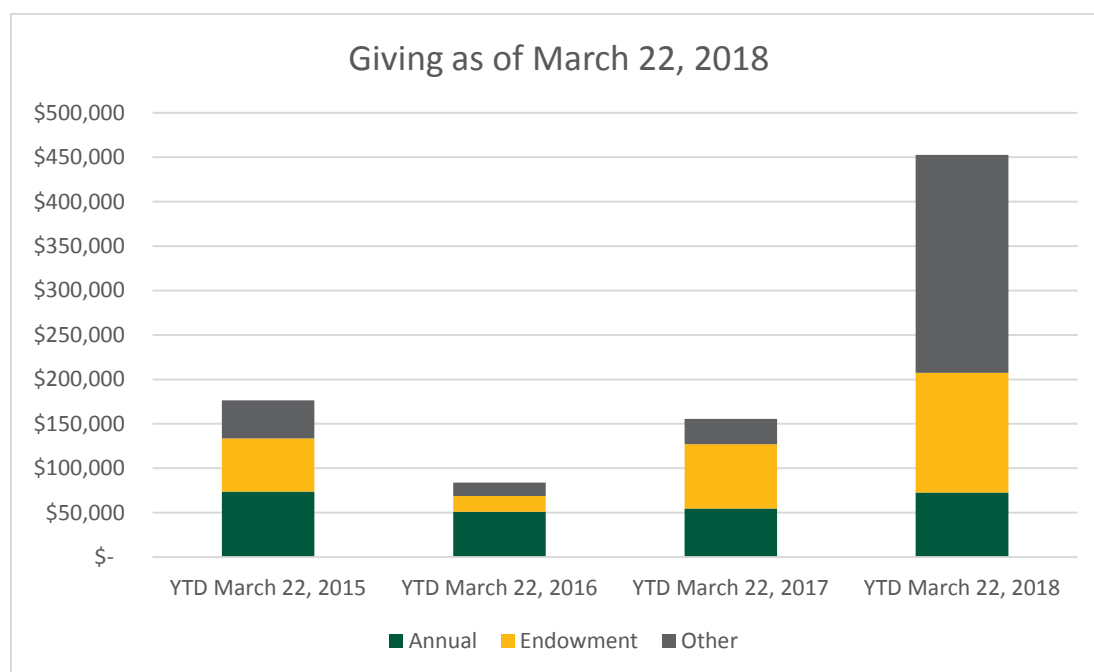
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**Dr. Tyler Hart**  
Acting Chief Development Officer

### Giving to the College

The RBC Development Team continues to make progress toward established goals for FY '18. Total giving to the Foundation is over \$450,000 for the year, exceeding the FY '17 and FY '16 combine total. A social media campaign and fiscal year mailer is expected to achieve the annual fund goal of \$100,000, up 25% over FY '17.

Figure 1. Year-to-Date Giving as of March 22, 2018



### Anne Dobie Peebles Forum

To honor a staunch supporter of RBC and Southside Virginia, the Richard Bland College Foundation established the Anne Dobie Peebles Forum. Anne grew up in Sussex County and graduated from William & Mary in 1944, eventually becoming the first female Rector of the W&M Board of Visitors in 1984. Being involved behind the scenes in politics and education throughout her career, the Anne Dobie Peebles Forum will celebrate her legacy by bringing members of the surrounding community, alumni and students together to discuss political and current events that impact education. The Anne Dobie Peebles Forum will be held annually in the spring semester, with the first forum scheduled for April 25, at 12:30 p.m. in the SHEE Auditorium.

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### **Jammin' in the Grove**

To complement and build upon the success of the fall Pecan Festival, on Saturday, April 21 from 4:00 to 8:00 p.m., the RBC Foundation will host Jammin' in the Grove. This event is headlined by Coolin' Out Band and will bring the community together to enjoy food, music and the splendor of the pecan grove in springtime.



**Richard Bland College**  
*of* WILLIAM & MARY

Very popular with members of the Community, Coolin' Out Band has been performing for more than 20 years. They feature Dance, Beach Music, Motown, R&B, Shuffle, and Oldies. All proceeds from the event will support the RBC Foundation.

April 18-20, 2018

Page   9   of  12 **Eric Kondzielawa**

Director of Operations &amp; Capital Assets

**Library Renovation**

Preliminary drawings for the redesign and renovation of the Library, including Statesman Café, are complete. Construction will begin on May 15, 2018. This phase of the project is being funded by a \$300,000 gift from the RBC Foundation that includes a \$150,000 grant from the Mary Morton Parsons Foundation.

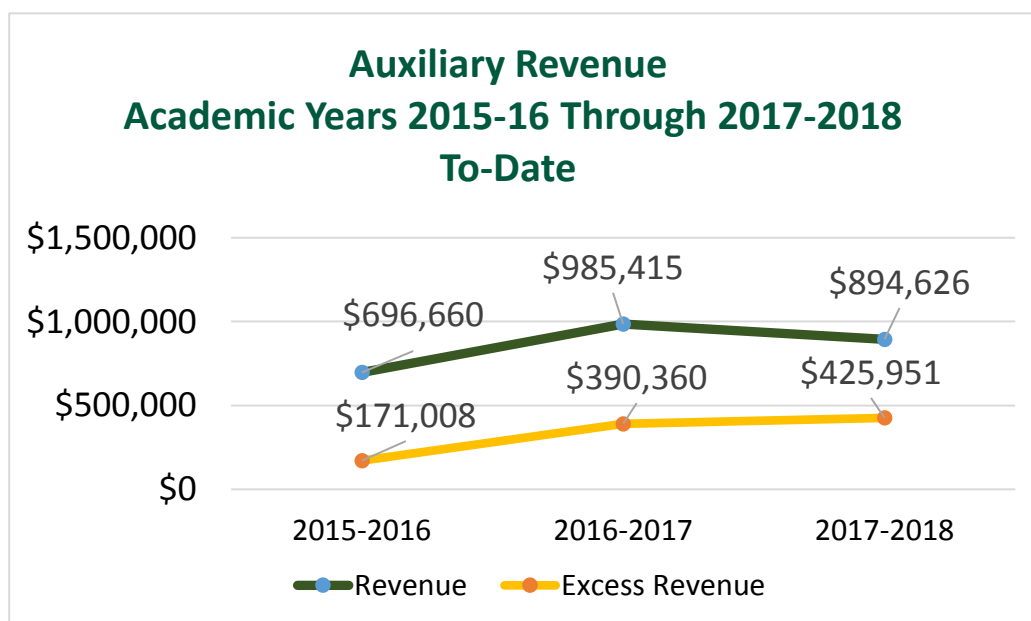
**HSS Renovation**

The \$4.2 million HSS (Commerce Hall) renovation project will be completed by July 1, 2018. Commerce Hall will house 73 students beginning in fall 2018.

**Auxiliary Services**

On Saturday, April 21, the College will host “Jammin’ in the Grove.” This spring event will include a concert and dancing with the *Coolin’ Out Band*, and will feature food, drink and selected vendors. Planning is underway for the 11<sup>th</sup> Annual RBC Foundation Golf Tournament, which is scheduled for September 5, 2018. The 3<sup>rd</sup> Annual Pecan Festival will be held on October 27, 2018.

Groups scheduled to lease campus facilities this summer include: STEAM Academy; Chrysalis; Camp Rainbow Connection; Church of Latter Day Saints, and Virginia Cares.



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Page   10   of   12  **Jeff Brown**

Director, Campus Safety &amp; Police

**Police**

In conjunction with the Office of Student Life, the Police Department implemented the “Buzzkill” program in March. Ten “Buzzkill” signs were installed across campus before spring break to remind students to abstain from drinking and driving. The “Buzzkill” program is a statewide effort designed to educate students about the consequences of underage drinking. Police officers handed out pens, water bottles and printed materials to students during alcohol prevention training sessions.



The Department added a 4-wheel drive vehicle to the police vehicle fleet, and it is fully operational. This vehicle was transferred from the Facilities Department to the Police Department in January 2018. All police equipment, including radios, lights, siren and reflective graphics have been installed.

Successfully presented the second Annual Compliance Training in conjunction with the College’s Title IX Coordinator and General Counsel (Compliance Training Team). Training included updates on Title IX, the Clery Act, the Family Educational Rights and Privacy Act (FERPA), the Virginia Conflict of Interest Act, and issues related to confidentiality, scope of employment and compliance with Richard Bland College policy. The training was offered in the fall and spring semester.

The College completed the final phase of security camera installation over the course of the fall semester and into early spring. A total of 19 cameras were placed in the library, Café, and in the CSA building in an effort to enhance security across campus.

**Emergency Management**

The College successfully installed over 45 Alertus beacons across the campus. The beacons are the newest part of the College’s robust emergency notification system. When activated, the wall mounted beacons flash LED lights and sound a siren to capture the attention of building occupants. The beacon also displays a custom message about the nature of the emergency.



The College’s Emergency Operations Plan (EOP) was updated and submitted to the Virginia Department of Emergency Management in February 2018.

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Richard Bland College hosted Virginia Department of Emergency Managements VPLS 101 course (planning software) on campus March 7, 2018. The College is one of the first IHE's authorized to use the new statewide program for its Emergency Operations Plan.

The College participated in the Commonwealth's Tornado Drill on March 20th. A series of emails were sent to the College community preparing students, faculty and staff for the drill, which also tested the College's emergency notification system.

The Emergency Management Team was busy with five weather-related incidents this semester, which advances the Team's proficiency and cohesion:

January 5 - Snow event with closed College

January 16 - Snow event with 2-hour delay

March 2 - Nor'easter with resulting power outage

March 13 - Snow event with 2-hour delay

March 21 - Snow event with 2-hour delay

April 18-20, 2018

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**Joanne Williams**  
Director of Communications

**Growing the Brand & Enrollment**

TV spots targeted to parents and students promoting the advantages of attending RBC are airing in April on WWBT or WTVR in the Richmond market. Free Application weekends are being promoted via Instagram and Facebook. Virginia This Morning (WTVR) filmed a feature on the Promise Scholars program which will air in the Richmond market in April (earned media). The feature will also be used on the RBC website and social media platforms. RBC's Statesman Scholar program is featured each Sunday in the Progress Index (earned media).

**Media**

Earned media continues to increase. From February 15, 2018 through April 3, 2018, the value of earned media for RBC was \$309,000 (source: Meltwater).

**College Video/TV Spots**

Filming continues for TV and social media spots, as well as an introduction to RBC video that will be used for branding and enrollment.

**Government Relations**

The College's request for an increase in funding of approximately \$550,000 to efficiently respond to recurring APA (Auditor of Public Accounts) recommendations is included in the House budget, but not the Senate budget. Governor Northam's budget includes approximately half of the money requested, which aligns with Governor McAuliffe's introduced budget.

Through meetings with city managers, county administrators and elected leaders, contributions to RBC are included in the budgets of Chesterfield County, Colonial Heights, Dinwiddie County, Hopewell, Prince George County and Sussex County. Hopewell and Colonial Heights also made additional contributions to the College from past budget cycles. Contributions total approximately \$40,000.



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**RICHARD BLAND COLLEGE**  
**2017-2018 Operating Budget Update March 31, 2018**

<b>Note:</b>	<b>REVENUES</b>	<b>Original FY18 Budget</b>	<b>Adjustments to Budget</b>	<b>Revised FY18 Budget</b>	<b>FY18 Actuals as of 3/31/18</b>	<b>ACTUAL TO REVISED BUDGET</b>
	Tuition & Fees	4,269,750	-	4,269,750	\$ 3,852,207	90.2%
2	Auxiliary Charges (Room, Board, Fees)	5,483,148	-	5,483,148	\$ 2,641,574	48.2%
1	State GF Appropriations	6,550,112	281,023	6,831,135	\$ 6,831,135	100.0%
	Prior Year GF Carry Over	-	536,991	536,991	\$ 536,991	100.0%
	<b>Total Revenues</b>	<b>\$ 16,303,010</b>	<b>\$ 818,014</b>	<b>\$ 17,121,024</b>	<b>\$ 13,861,907</b>	<b>81.0%</b>
<b>Note:</b>	<b>EXPENDITURES</b>	<b>Original FY18 Budget</b>	<b>Adjustments to Budget</b>	<b>Revised FY18 Budget</b>	<b>FY18 Actuals as of 3/31/18</b>	<b>ACTUAL TO REVISED BUDGET</b>
	Salaries & Wages	8,744,593	-	8,744,593	\$ 6,772,840	77.5%
	Fringe Benefits	2,808,538	-	2,808,538	\$ 2,536,896	90.3%
	Capital Lease Residence Halls	1,293,690	-	1,293,690	\$ 603,509	46.7%
2	Information Technology	949,049	-	949,049	\$ 927,912	97.8%
	Maintenance and Operations	1,600,000	-	1,600,000	\$ 1,216,373	76.0%
3	Other	550,000	-	550,000	\$ 946,364	172.1%
	<b>Total Expenditures</b>	<b>\$ 15,945,870</b>	<b>\$ -</b>	<b>\$ 15,945,870</b>	<b>\$ 13,003,894</b>	<b>81.6%</b>

**Notes:**

- 1 Adjustment to budget reflects General Fund Central Appropriations for salary actions, fringe benefit rate changes and related items.
- 2 Actual revenue reflects lower room occupancy and meal plan participation than expected.
- 3 Other includes one-time expenditures for SACS Reaccreditation, temporary staffing and skilled services for non-capital projects such as the soccer field and kitchen renovations. Additionally, the spring 2017 book voucher bill was paid in July 2017.

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Richard Bland College  
*of WILLIAM & MARY*

## **REPORT OF THE RBC FACULTY REPRESENTATIVE APRIL 2018**

### **Quality Enhancement Plan (QEP)**

Over the past several months, the QEP Task Force has evaluated the QEPs of more than a dozen other institutions that also chose “Critical Thinking” as their QEP topic. The group analyzed the practical aspects of those QEPs and used the resulting information to organize a survey for RBC faculty and staff. The goal of the survey was to gather faculty opinions about the most worthwhile programs and procedures to improve students’ critical thinking skills, and to use this information to begin to shape the actual 5-year QEP plan.

The QEP Task Force has officially been converted into the QEP Steering Committee. The Steering Committee will include increased faculty, staff, and student representation. The QEP Steering Committee plans to present a draft of the 5-year plan to the faculty for discussion at the April Faculty Assembly meeting.

### **Promise Scholars Program Update**

At the time of the preparation of this report, the selection process for the first cohort of the Promise Scholars is underway. 60 eligible students (Pell-eligible, Virginia residents with a 3.25 or better GPA) were identified. Plans for the fall 2018 implementation of this exciting program are already taking shape. Candidate interviews have begun, and the final cohort of Promise Scholars is expected to be selected by April 6, 2018.

### **Faculty Assembly**

Since the February meeting of the Board, Faculty Assembly has met twice, most recently on March 22, 2018. Several new course proposals have been considered by the Instructional Programs and Curriculum (IPC) Committee. The IPC committee, in collaboration with all academic departments, has also worked to prepare program-level outcomes for all degree programs offered at RBC. These items have all been discussed and approved by the Assembly.

The Faculty Affairs Committee held a special meeting on March 20, 2018, to discuss the College’s recent decision not to proceed with the hiring process for new, full-time faculty in the fall semester due to budgetary constraints. At that meeting, faculty were able to express concerns, ask questions, and offer suggestions to President Sydow about how they could actively engage in solutions that would contribute to the fiscal stability and sustainability of RBC going forward.

April 18-20, 2018

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The Academic Standards and Appeals Committee continues to revise the Procedures and Guidelines for Online Education document. The Faculty Assembly also continues to assist with the reaffirmation process as needed.

**Student Success-Related Updates**

The RBC Writing Lab that is being piloted this year has already seen over 80 students this semester, after some changes to its format and structure mid-year.

The Writing Lab has also launched a pilot Chromebook Loan Program—27 students have been invited to participate. These students are able to check out a Chromebook for use during the entire semester. The program was created to address the issues with the digital divide that is seen among RBC's student population. This program has significant potential for growth, with an anticipated 70 students participating in fall 2018.

**Faculty Publications and Presentations**

Prof. Eric Earnhardt: Prof. Earnhardt (English) is presenting at the Virginia Humanities Conference on March 24, 2018, at Roanoke College. The title of his presentation is "The Mockingbird Principle: T. S. Eliot's Avian Poetics from *The Waste Land* to *Four Quartets*."

Prof. Dan Franke: Prof. Franke (History) will be attending the Society for Military History annual conference in Louisville, Kentucky, April 5-8, 2018, to present in a round table on the military history of the First Crusade.

Prof. Alice Henton: Prof. Henton (English) will be presenting a paper entitled "Across the Digital Divide: Civic and Rhetorical Agency in Digital Game Studies" at the Computers and Writing Conference at George Mason University in May 2018.

Dean Aimee Joyaux – Dean Joyaux will have her work exhibited at Capital One West Creek Campus and at the Virginia Museum of Contemporary Art's New Waves 2018 exhibition this spring.

Prof. Jill Mitten: Prof. Mitten (English, Communications, and Theater) will be presenting a paper entitled "Pentadic Analysis: Examining the Stump Speech of Donald J. Trump" at the 2018 Virginia Social Science Association (VSSA) Conference to be held on Saturday, April 21, 2018, at Virginia Commonwealth University.

Prof. Jena Morrison: Prof. Morrison (Sociology) presented at the tenth Annual Conference on Higher Education Pedagogy held at Virginia Tech in March 2018. The title of her presentation was "Navigating the Space: Using Social Tensions to Teach Concepts, Civic Responsibility, and Interdisciplinary Perspectives."

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Prof. Matt Smith: Prof. Smith co-authored a manuscript recently published in the *Journal of Immunotoxicology* entitled “Immunotoxic and hepatotoxic effects of perfluoro-n-decanoic acid (PFDA) on female Harlan Sprague-Dawley rats and B6C3F1/N mice when administered by oral gavage for 28 days.”

Prof. Vanessa Stout: Prof. Stout (Sociology) has authored two papers that have been accepted for presentation at upcoming conferences:

- “Teaching Race and Ethnicity in the Age of Trump: Using Popular Culture to Teach Difficult Subjects Like Racism in The Present Day Polarized Classroom”
  - Presentation at the upcoming Southern Sociology Society conference
- “Race and the City: Nigerian Immigrants Discuss Their Encounters with Racism in Los Angeles and Dublin”
  - Presentation at the upcoming American Sociology Association conference

**Faculty Accolades, Honors, and Distinctions**

Prof. Michelle Delano: With assistance from RBC Learner Mentor Caitlin DiBenedetto, Prof. Delano (Art) and the RBC Art Program will be producing an escape room experience in Petersburg, where participants are locked in together until they solve clues to get out. This partnership further connects RBC and its students to the community, and it gives students the chance to work on a professional project with an established budget. The new “Escape” is scheduled to open in May 2018.

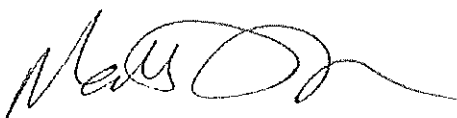
Dean Aimee Joyaux: Dean Joyaux has been invited to Kamiyama, Tokushima Japan as a visiting artist for two weeks in May. She will work alongside resident artists in this rural island environment and take trips to Kyoto and Tokyo to explore museums and other cultural landmarks.

Prof. Matt Smith: Prof. Smith was recently selected by Auburn University at Montgomery to serve as Lead QEP Evaluator for their on-site visit as part of their SACSCOC Reaffirmation of Accreditation.

**Promise Scholars to Attend the April Meeting of the RBC Committee**

At the upcoming meeting of the RBC Committee of the Board, 2018-19 Promise Scholars will be introduced. Each Promise Scholar will be introduced individually, along with a few details regarding their background. One or two of the Promise Scholars will speak briefly.

Respectfully submitted,



Matthew J. Smith, Ph.D., DABT  
SACSCOC Accreditation Liaison  
Associate Professor of Mathematics  
RBC Faculty Representative, Board of Visitors of the College of William & Mary

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**Richard Bland College**  
*of WILLIAM & MARY*

**REPORT OF THE STUDENT REPRESENTATIVE  
APRIL 2018**

As we grow closer to the end of the semester, Richard Bland College has begun announcing the excitement that is to come during the next academic year. From the simplified transfer agreement process to the new Promise Scholar program, the years to come hold tremendous promise and excitement.

I myself recently completed the process to transfer to William & Mary through the transfer agreement between our two schools. As I and many RBC students have stated before, the transfer process is a bit stressful, and it's easy to get lost in the process. Without going in to meet with a Learner Mentor, the process seemed to lack a clear-cut, easy-to-follow, and well-constructed "map" to guide and make the journey easier. However, after meeting with Dr. LaTessa (which is a requirement for those planning to transfer through the transfer agreement), I can say with confidence that future RBC students will not have to experience the same problems that I did this semester. The faculty and administrative team at RBC have put together a checklist that specifically lists every single step necessary to complete the transfer agreement. The list contains bulleted information with sub-points to clarify anything that could cause confusion, names of all contacts, important dates and deadlines, documents that must be submitted, and more. The list was handed to me when I voiced how confusing I found the transfer agreement process, and I was told that they will be handed out to everyone at the start of the next academic year. The complaints were not only heard by administrators and faculty, but acted on as well, and although I wish I would have had the same list to guide me when I first came to RBC, I can rest assured knowing that students who come after me will know exactly what to do.

As you all know, Richard Bland and William & Mary have recently signed into action a program called Promise Scholars to help promising students fund their education. I learned in a meeting with one of the women in charge of the process, Aimee Joyaux, a bit about the process and the students applying for the program. The students are, as you would expect them to be, driven, determined, and excelling in their academic lives. The application process is rigorous and demanding, and only a select few will make the cut. That being said, students applying are well-suited to the standards to which that they are being held.

Board of Visitors

Enclosure   D  

April 18-20, 2018

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Students applying to out-of-state schools are receiving a great amount of help from faculty and staff as well. Current RBC student Simon Kotto has been accepted into the Harvard University Graduate School of Design's summer program, Design Discovery. Over the last two weeks, Simon has stopped by the Writing Lab for help on his application essay. Bursting with excitement, he ran directly to the Writing Lab to celebrate the news of his recent acceptance with the people who helped him reach this magnificent achievement. When the help is there, and it is delivered in a welcoming manner, as it is at RBC, it is astounding what students can achieve.

Kayla G. Zanders

Student Representative to the Board of Visitors

INVESTMENTS SUBCOMMITTEE  
of the  
COMMITTEE ON FINANCIAL AFFAIRS  
April 18, 2018  
3:30 – 4:15 p.m.  
Board Conference Room - Blow Memorial Hall

James A. Hixon, Chair  
Mirza Baig, Vice Chair

- I. Opening Remarks - Mr. Hixon
- II. Approval of Minutes – February 7, 2018
- III. Investment Portfolio Evaluation Overview - Joseph W. Montgomery, The Optimal Service Group of Wells Fargo Advisors
  - A. Investment Update for Periods Ending March 31, 2018  
Enclosure E. (*see separate booklet*)
  - B. Review of Asset Allocation Proposal
- IV. Closed Session (if necessary)
- V. Discussion
- VI. Adjourn

INVESTMENTS SUBCOMMITTEE  
MINUTES – FEBRUARY 7, 2018



**DRAFT MINUTES**  
**Investments Subcommittee**  
**February 7, 2018**  
**Board Conference Room – Blow Memorial Hall**

Attendees: James A. Hixon, Chair; Mirza Baig, Vice Chair; S. Douglas Bunch; Christopher M. Little; and H. Thomas Watkins III. The Optimal Service Group attendees: James Johnson; Bryce Lee; Karen Logan; and Robin Wilcox. Others in attendance: Samuel E. Jones and Amy S. Sebring.

Chair James Hixon convened the Investments Subcommittee meeting at 3:30 p.m. Recognizing that a quorum was present, Mr. Hixon asked for a motion to approve the minutes of the November 15, 2017 meeting. Motion was made by Mr. Baig, seconded by Mr. Bunch and approved by voice vote of the Subcommittee.

Mr. Hixon called on the Optimal Service Group (OSG) staff for an update on performance and any recommendations. Mr. Lee began with an executive summary of the portfolio noting that the December 31, 2017 endowment value of \$85.5 million represented a new high for the portfolio. After providing an overview of the meeting agenda, Mr. Lee highlighted portfolio performance, noting fiscal year investment returns of 6.2% through December 31, 2017 and 14.5% for calendar year 2017. While returns for the fiscal year are below the 6.9% policy benchmark, calendar year returns of 14.5% exceed the benchmark by 0.6%. This calendar year return compared very favorably with other peer endowments. The Subcommittee reviewed the factors and managers driving this outperformance including recent market volatility.

The Subcommittee then moved to a discussion of Alternative Investments. Mr. Lee discussed the role and functions of Wells Fargo's Global Alternative Investments (GAI) group, highlighting its approach to sourcing and implementing alternative investments, as well as their research process. Mr. Baig asked about management fees and how embedded fees work. Mr. Lee addressed the question explaining typical fee structure for a fund of funds compared to the fee structure for single fund manager. As the portfolio has grown in time, single hedge fund managers have been added which generally has an additional benefit of reducing costs. Mr. Lee then reviewed the incoming and liquidating alternatives strategies in the portfolio in conjunction with the client update provided by GAI that is specific to BOV's alternatives. Mr. Baig asked about capital commitments, calls, and the update on where the Board of Visitors stands. Mr. Lee reviewed the pages that show detailed capital commitments and calls for each private capital strategy the Board owns.

Mr. Lee then presented a fee breakdown that the Board could expect to pay for 2018. The breakdown was as follows: OSG's annual fee is 0.10% on the assets held at SunTrust and the separately managed account fee for Blackrock is approximated to be 0.55%, thus making total estimated direct fees at \$97,700; approximate total embedded fees for mutual funds are estimated to be \$466,000; lastly, estimated embedded fees for alternatives investments are \$127,000.

The Environmental and Social Governance (ESG) Report was then presented by Ms. Logan. She highlighted that ESG limits the universe of potential investments that may have a positive or

negative impact on overall investment results. She explained the role of ESG on the Board of Visitors portfolios compared to the dedicated “Green Fund” and how the standards may differ. OSG provided this report utilizing Morningstar’s Sustainability Rating, derived from the Morningstar® Portfolio Sustainability Score™, which is calculated based on company-level ESG scores and company involvement in ESG-related controversies. The Board of Visitors portfolio has above average or average sustainability scores for seven out of the nine broad asset classes that were tested. The Subcommittee also reviewed the ESG report specific to the Green Fund. All of the asset classes in the Green Fund tested to have above average sustainability scores.

Having no further business, the Subcommittee adjourned at 4:30 p.m.



## Investment Portfolio Evaluation For Periods Ending March 31, 2018:



### Board of Visitors Endowment

#### Contents

- I. Executive Summary
- II. Equity Sector Review
- III. Fixed Income Sector Review
- IV. Green Fund Update

**The Optimal Service Group**

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Index Name	March	3 Mo	Fiscal YTD	1 Year	3 Years	5 Years	10 Years
Dow Jones Industrial Average	(3.6)	(2.0)	14.9	19.4	13.5	13.3	9.9
NASDAQ Composite	0.9	5.2	20.1	27.6	8.3	9.1	(0.3)
S&P 500	(2.5)	(0.8)	10.6	14.0	10.8	13.3	9.5
Russell 1000	(2.3)	(0.7)	10.6	14.0	10.4	13.2	9.6
Russell 1000 Value	(1.8)	(2.8)	5.5	6.9	7.9	10.8	7.8
Russell 1000 Growth	(2.7)	1.4	15.8	21.3	12.9	15.5	11.3
Russell Midcap	0.1	(0.5)	9.2	12.2	8.0	12.1	10.2
Russell Midcap Value	0.2	(2.5)	5.1	6.5	7.2	11.1	9.8
Russell Midcap Growth	(0.2)	2.2	14.9	19.7	9.2	13.3	10.6
Russell 2000	1.3	(0.1)	9.1	11.8	8.4	11.5	9.8
Russell 2000 Value	1.2	(2.6)	4.4	5.1	7.9	10.0	8.6
Russell 2000 Growth	1.3	2.3	13.6	18.6	8.8	12.9	11.0
Russell 3000	(2.0)	(0.6)	10.5	13.8	10.2	13.0	9.6
MSCI EAFE Index	(1.7)	(1.4)	8.4	15.3	6.0	7.0	3.2
MSCI World Index	(2.8)	2.6	15.9	20.8	14.3	18.1	13.2
MSCI World Ex. US Index	(2.1)	(1.2)	9.6	14.2	8.6	10.3	6.5
MSCI EM (EMERGING MARKETS)	(1.8)	1.5	17.8	25.4	9.2	5.4	3.4
MSCI FM (FRONTIER MARKETS)	(1.6)	(1.9)	8.1	14.5	5.8	6.5	3.1
MSCI ACWI	(2.1)	(0.8)	10.5	15.4	8.7	9.8	6.1
MSCI ACWI ex USA	(1.7)	(1.1)	10.4	17.0	6.7	6.4	3.2
Barclays U.S. Aggregate	0.6	(1.5)	(0.2)	1.2	1.2	1.8	3.6
Barclays U.S. Government/Credit	0.7	(1.6)	(0.3)	1.4	1.2	1.8	3.7
Barclays Intermediate U.S. Government/Credit	0.4	(1.0)	(0.6)	0.4	0.9	1.2	2.9
Barclays Municipal Bond	0.4	(1.1)	0.7	2.7	2.3	2.7	4.4
BofA Merrill Lynch Convertible Securities	0.5	3.2	10.2	13.3	8.6	11.4	9.7
BofA Merrill Lynch High Yield Master	(0.9)	(0.0)	3.1	4.1	5.2	5.4	8.1
JPM GBI Global Ex US TR USD	1.9	4.5	8.8	12.6	5.1	1.4	2.0
JPM EMBI Global Diversified	0.3	(1.7)	2.0	4.3	5.8	4.7	7.0
Citigroup 3-month T-bill	0.1	0.3	0.9	1.1	0.5	0.3	0.3
Citigroup World Government Bond Index	1.5	2.5	5.4	8.5	3.5	1.2	2.0
FTSE Nareit All REIT's	3.7	(6.7)	(3.3)	(1.0)	3.1	6.5	7.0
HFRI Fund of Funds Composite Index	(1.3)	1.9	5.4	6.7	2.3	3.7	1.3
Dow UBS Commodity Index	0.0	0.7	11.1	7.3	(1.8)	(7.4)	(6.0)

Data Sources: Morningstar Direct

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\*All returns longer than one year are annualized

# Executive Summary

## Total Fund Performance Through March 31, 2018

% of Account	\$ Value		1q18	FYTD	One Year	Three Years <sup>1</sup>	Five Years <sup>1</sup>	Seven Years <sup>1</sup>	Ten Years <sup>1</sup>	Changes (1/1/03)	Incept (Mgr)	Incept Bench	Incept Perf
100.0%	\$ 85,076,679	<b>Total BOV Account</b>	(0.2)	6.0	9.4	5.4	6.7	6.3	5.7	7.9	7.1	7.2	Jul-96
		<b>Target Benchmark<sup>2</sup></b>	(0.4)	6.2	9.1	6.0	7.5	7.4	6.1	8.0			
		<b>Policy Benchmark<sup>3</sup></b>	0.3	7.3	10.0	6.3	7.7	7.6	6.2	7.7			
5.1%	\$ 4,330,454	<b>Blackrock: Large Cap Value</b>	(2.3)	9.1	12.1	10.2	12.1	11.0	7.8	10.2	6.6	6.9	Apr-06
		Russell 1000 Value	(2.8)	5.5	6.9	7.9	10.8	10.9	7.8	9.2			
5.4%	\$ 4,571,355	<b>Vanguard Russell 1000 Growth ETF</b>	1.4	--	--	--	--	--	--	--	9.3	9.4	Sep-17
		Russell 1000 Growth	(0.8)	--	--	--	--	--	--	--			
5.4%	\$ 4,597,592	<b>iShares Russell Mid-Cap Growth ETF</b>	2.1	14.7	19.5	--	--	--	--	--	18.8	19.0	May-16
		Russell MidCap Growth	2.2	14.9	19.7	--	--	--	--	--			
5.1%	\$ 4,329,999	<b>JP Morgan Mid Cap Value</b>	(1.7)	--	--	--	--	--	--	--	2.9	2.9	Sep-17
		Russell MidCap Value	(2.5)	--	--	--	--	--	--	--			
5.8%	\$ 4,975,930	<b>Dreyfus Small Cap Index</b>	0.5	10.3	12.0	--	--	--	--	--	14.5	13.6	Nov-15
5.5%	\$ 4,669,073	<b>Mass Mutual Small Cap Fund</b>	(0.7)	--	--	--	--	--	--	--	1.1	3.2	Sep-17
		Russell 2000	(0.1)	9.1	11.8	--	--	--	--	--			
6.2%	\$ 5,269,625	<b>Dodge &amp; Cox International</b>	(2.1)	5.9	11.0	3.8	7.3	--	--	10.2	8.7	8.4	Feb-12
6.7%	\$ 5,679,707	<b>MFS International</b>	(0.7)	8.5	17.6	9.6	--	--	--	--	10.3	5.5	Oct-14
		MSCI EAFE	(1.4)	8.4	15.3	6.0	7.0	--	--	8.3			
6.2%	\$ 5,301,146	<b>Oppenheimer Developing Markets</b>	3.0	17.2	25.3	--	--	--	--	--	21.4	22.1	May-16
		MSCI EM (Emerging Markets)	1.5	17.8	25.4	--	--	--	--	--			
3.1%	\$ 2,672,865	<b>Fidelity Int'l Small Cap Equity</b>	0.3	--	--	--	--	--	--	--	5.8	3.9	Sep-17
		MSCI ACWI Ex US Small	(0.3)	--	--	--	--	--	--	--			
0.9%	\$ 796,915	<b>Nuveen REIT</b>	(7.0)	--	--	--	--	--	--	--	(4.7)	(4.3)	Sep-17
1.0%	\$ 830,533	<b>Brookfield Global REIT</b>	(4.3)	--	--	--	--	--	--	--	(0.6)	(4.3)	Sep-17
		FTSE NAREIT All Equity REITs	(6.7)	--	--	--	--	--	--	--			
11.6%	\$ 9,855,358	<b>Pioneer Strategic Income</b>	(1.0)	1.0	2.7	3.2	3.2	4.2	--	--	5.4	3.3	Jan-10
11.5%	\$ 9,821,277	<b>Dodge &amp; Cox Income Fund</b>	(0.9)	0.7	2.2	2.3	--	--	--	--	3.0	2.3	Feb-14
		Barclays U.S. Aggregate	(1.5)	(0.2)	1.2	1.2	1.8	2.9	3.6	--			
2.9%	\$ 2,440,622	<b>Templeton Global Bond</b>	1.4	--	--	--	--	--	--	--	(0.5)	3.5	Sep-17
2.9%	\$ 2,501,162	<b>Dreyfus/Standish Global Bond</b>	0.7	--	--	--	--	--	--	--	1.3	3.5	Sep-17
		Citi World Govt Bond Index	2.5	--	--	--	--	--	--	--			
4.6%	\$ 3,941,241	<b>GMO: Emerging Mkt Fixed Inc</b>	(1.2)	2.1	5.2	7.6	5.8	8.7	8.9	11.6	13.0	9.5	Jul-96
		JPM EMBI Global Diversified	(1.7)	2.0	4.3	5.8	4.7	6.4	7.0	8.5			
8.9%	\$ 7,532,508	<b>Combined Alternatives (1 mo lag)</b>	2.1	3.6	5.3	0.8	2.6	1.8	--	--	2.1	3.2	Jan-10
		HFRI FOFs Index (1 mo lag)	1.9	5.4	6.7	2.4	3.8	2.8	--	--			
1.1%	\$ 959,316	<b>Cash</b>											

<sup>1</sup> Annualized

<sup>2</sup> From 11/17/17: 10% S&P 500, 10% Russ Midcap, 11% Russ 2000, 13% MSCI EAFE, 6% MSCI Emerging Mkts Eq, 3% MSCI ACWI Ex US Small Eq, 2% FTSE NAREIT, 24% Barclays US Aggregate, 6% Citi World Government Bond, 5% JPM EMBI Global Diversified, 10% HFRI Index; From 4/1/12: 25% S&P 500, 12% Russ Midcap, 7% Russ 2000, 9% MSCI EAFE, 2% MSCI Emerging Mkts, 25% Barclays US Aggregate, 5% Citi World Government Bond, 5% JPM EMBI Global Diversified, 10% HFRI Index; From 11/1/09: 25% S&P 500, 12% Russ Midcap, 7% Russ 2000, 9% MSCI EAFE, 2% MSCI Emerging Mkts Free, 30% Barclays US Aggregate, 5% Citi World Government Bond, 5% JPM EMBI Global Diversified, 5% HFRI Index; From 1/1/03, 40% S&P 500, 12.5% Russ Midcap, 7.5% Russ 2000, 10% MSCI EAFE, 4% MSCI Emerging Mkts Free, 20% Barclays US Aggregate, 2.5% Citi World Government Bond, 2.5% JPM EMBI+; Prior to 1/1/03, 60% Russell 3000, 15% MSCI World Ex-US, 25% Barclays Aggregate

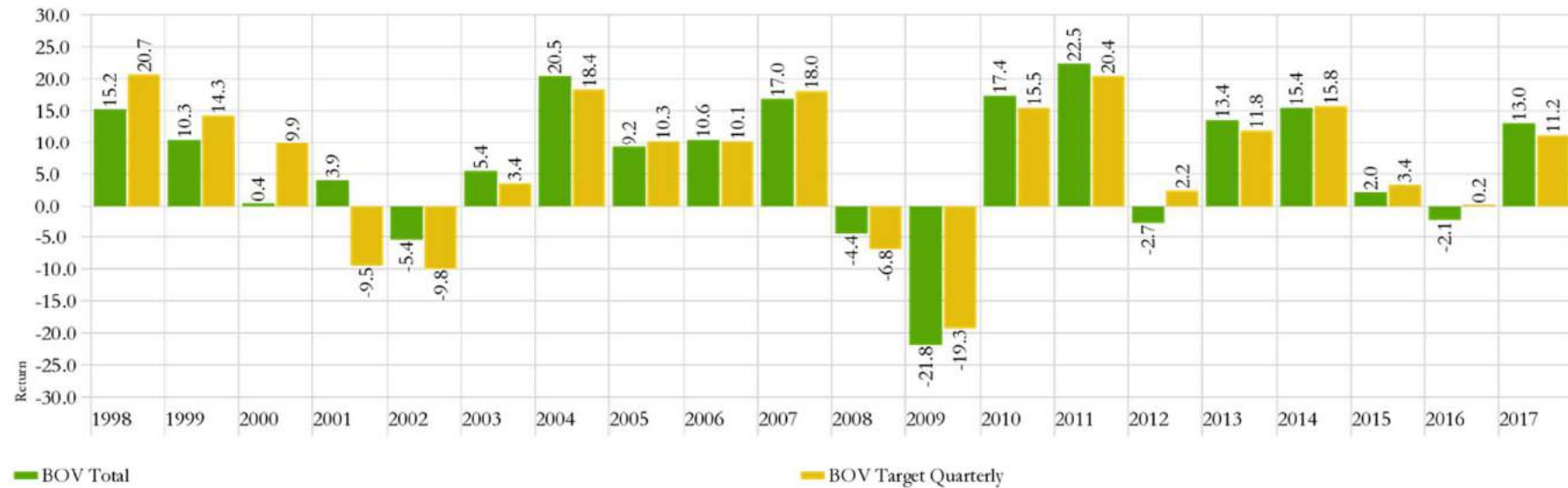
<sup>3</sup> From 11/17/17: 33% Russell 3000, 35% Barclays US Aggregate, 22% MSCI World ex US, 10% HFRI; From 4/1/12, 44% Russell 3000, 35% Barclays US Aggregate, 11% MSCI World ex US, 10% HFRI; From 1/1/10, 44% Russell 3000, 40% Barclays US Aggregate, 11% MSCI World ex US, 5% HFRI; From 1/1/96 60% Russell 3000, 25% Barclays US Aggregate, 15% MSCI World Ex US

+ Quarterly performance results prior to the third quarter of 2002, were provided by Delaware Investments Advisors and Lazard Asset Management. There were no calculations by Wells Fargo Advisors to ensure the accuracy of the results. Based on information provided by SunTrust, Wells Fargo Advisors began calculating quarterly results starting in the 4<sup>th</sup> quarter of 2002. There is no guarantee as to the accuracy of our calculations for the managers or the Total BOV Account.

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Performance is net of investment management fees

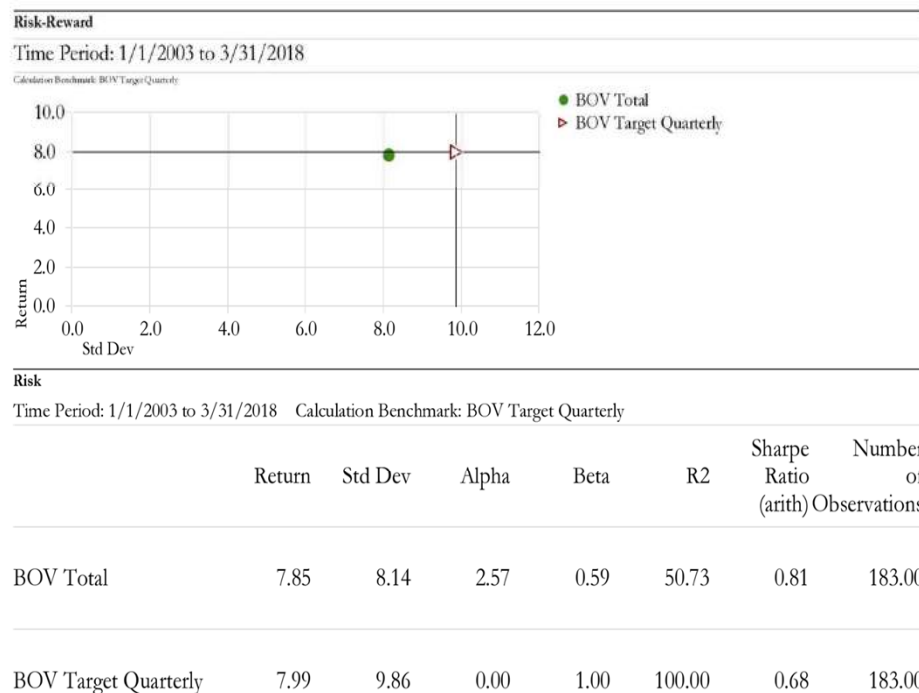
**Fiscal Year Returns**



**Fiscal Year Returns**

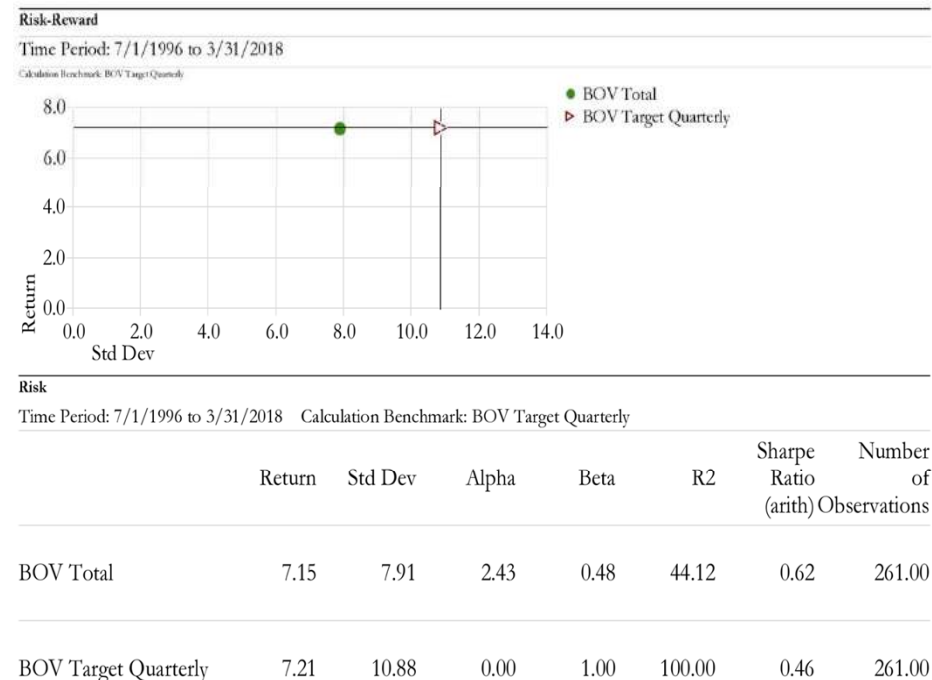
	1998	1999	2000	2001	2002	2003	2004	2005	2006	2007	2008	2009	2010	2011	2012	2013	2014	2015	2016	2017
BOV Total	15.20	10.35	0.37	3.89	-5.38	5.38	20.46	9.20	10.56	17.01	-4.38	-21.82	17.37	22.53	-2.71	13.44	15.41	2.04	-2.15	12.98
BOV Target Quarterly	20.72	14.34	9.87	-9.50	-9.82	3.40	18.40	10.31	10.15	18.03	-6.76	-19.29	15.50	20.44	2.20	11.77	15.78	3.36	0.20	11.21

## Since Changes



Source: Morningstar Direct

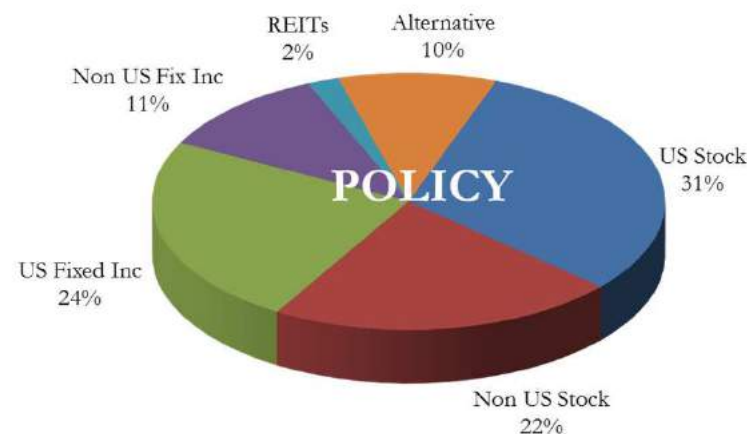
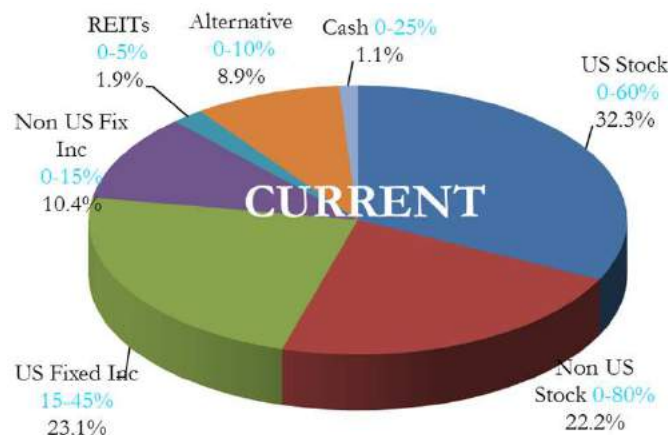
## Since Inception



Source: Morningstar Direct

\* BOV Target Benchmark = From 1/1/03, 40% S&P 500, 12.5% Russell Midcap, 7.5% Russell 2000, 10% MSCI EAFE, 4% MSCI Emerging Mkts Free, 20% Barclays Capital U.S. Aggregate, 6% Citi World Government Bond Index. Prior to 1/1/03, 60% Russell 3000, 15% MSCI World Ex-US, 25% Barclays Capital U.S. Aggregate Bond Index. From 11/1/09, 25% S&P 500, 12% Russell Midcap, 7% Russell 2000, 9% MSCI EAFE, 2% MSCI Emerging Mkts Free, 30% Barclays Capital U.S. Aggregate, 5% Citi World Government Bond Index, 5% JPM EMBI Global Diversified, 5% HFRI FoF Index.





Manager	Domestic Fixed Income	Global Fixed Income	Domestic Equity	REITs	Non-US Equity	Alternative	Cash Equiv.	Total
Vanguard Russell 1000 Growth ETF	0	0	4,571,355	0	0	0	0	4,571,355
Blackrock Large Value	0	0	4,330,454	0	0	0	0	4,330,454
JP Morgan Midcap Value	0	0	4,329,999	0	0	0	0	4,329,999
iShares Russell Mid Growth ETF	0	0	4,597,592	0	0	0	0	4,597,592
Mass Mutual Small Cap	0	0	4,669,073	0	0	0	0	4,669,073
Dreyfus Small Cap	0	0	4,975,930	0	0	0	0	4,975,930
Dodge & Cox International	0	0	0	0	5,269,625	0	0	5,269,625
MFS International Value	0	0	0	0	5,679,707	0	0	5,679,707
Oppenheimer Developing Mkts	0	0	0	0	5,301,146	0	0	5,301,146
Fidelity Int'l Small Cap Eq	0	0	0	0	2,672,865	0	0	2,672,865
Dodge & Cox Income Fund	9,821,277	0	0	0	0	0	0	9,821,277
Templeton & Dreyfus Global Bond	0	4,941,785	0	0	0	0	0	4,941,785
Grantham, Mayo EMD	0	3,941,241	0	0	0	0	0	3,941,241
Nuveen REIT	0	0	0	796,915	0	0	0	796,915
Brookfield Global REIT	0	0	0	830,533	0	0	0	830,533
Pioneer Strategic Income	9,855,358	0	0	0	0	0	0	9,855,358
Cash & Equivalents	0	0	0	0	0	0	959,316	959,316
Combined Alternatives	0	0	0	0	0	7,532,508	0	7,532,508
<b>Total BOV Account</b>	<b>19,676,635</b>	<b>8,883,025</b>	<b>27,474,404</b>	<b>1,627,448</b>	<b>18,923,343</b>	<b>7,532,508</b>	<b>959,316</b>	<b>85,076,679</b>
<b>% of Total Fund</b>	<b>23.1%</b>	<b>10.4%</b>	<b>32.3%</b>	<b>1.9%</b>	<b>22.2%</b>	<b>8.9%</b>	<b>1.1%</b>	<b>100%</b>

\* Values (except "Alternatives") are reflected at market as reported by SunTrust; Alternatives are reported by Wells Fargo Advisors; beginning and ending market values include accrued income on fixed income assets only.

*July 1, 2017 – March 31, 2018*

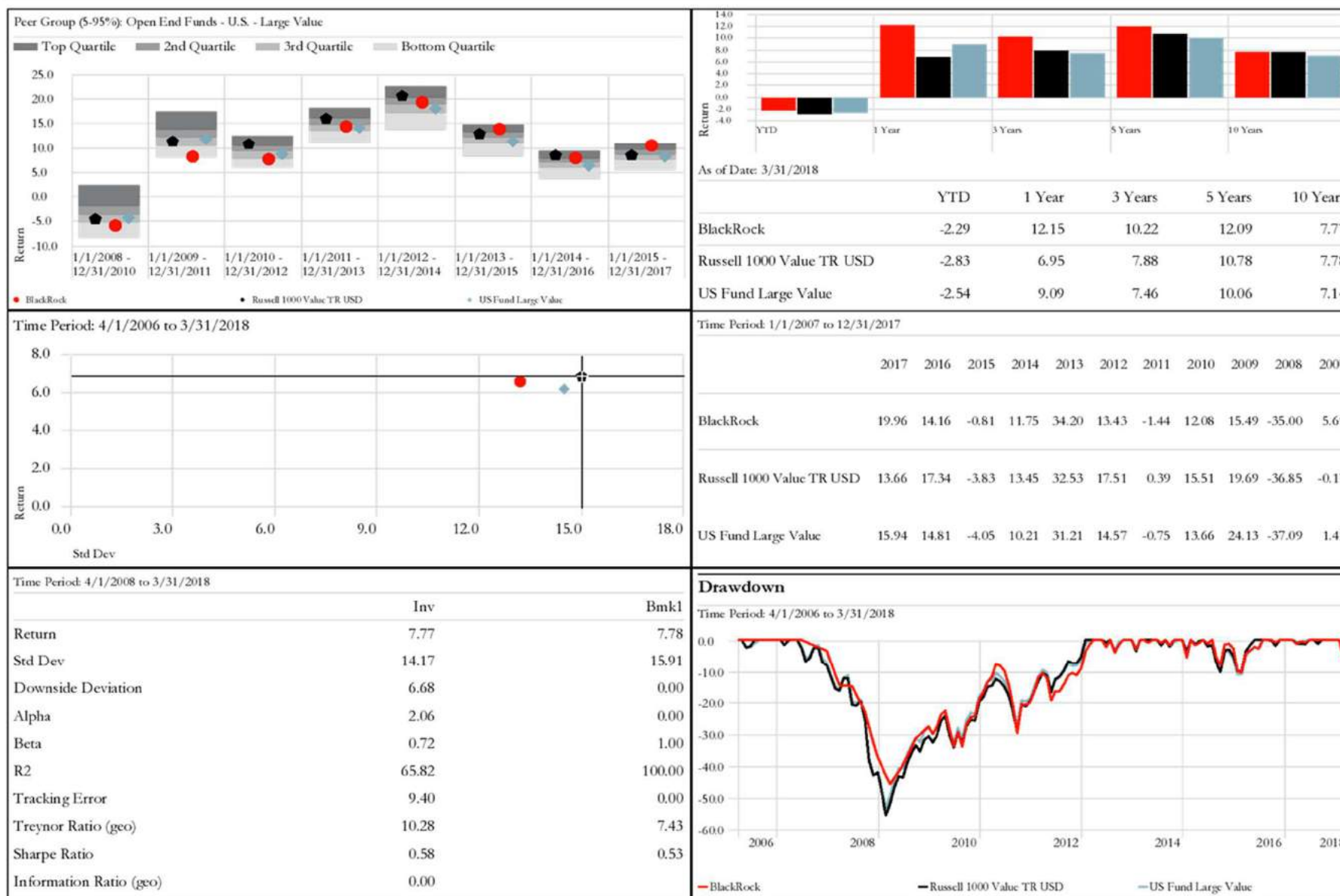
**William & Mary  
BOV Endowment**

<b>6/30/17 Market Value</b>	<b>81,516,176</b>
Net Additions/Withdrawals	(1,271,593)
Expenses	<u>(64,960)</u>
<i>Net Cash Flow</i>	<i>(1,336,553)</i>
Net Income	1,501,526
Net Realized Gain/(Loss)	6,092,023
Change Unrealized Gain/(Loss)	<u>(2,696,493)</u>
<i>Total Investment Gain/(Loss)</i>	<i>4,897,056</i>
<b>3/31/18 Market Value</b>	<b>85,076,679</b>

• *All account values (excluding “Alternatives”) are reported by SunTrust; “Alternative values are reported by Wells Fargo Advisors; to comply with GIPS Performance reporting standards, beginning and ending market values include fixed income accruals.*

## II. Equity Sector (Large-Cap Value\*)

Periods Ending March 31, 2018 - Performance



Source Morningstar Direct

\* Please note that we have linked BlackRock's composite historical returns for periods prior to 5/1/06 with BOV actual results starting on May 1, 2006.

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## Equity Sector (Large-Cap Value Separate Account)

Characteristics - As of February 28, 2017

Characteristic	Blackrock	Russell 1000 Value	Over/(Under) Weight
Median Cap (\$MM)	60,190	9,040	51,150
Avg Cap (\$MM)	156,650	118,380	38,270
Yield (%)	2.11	2.32	(0.21)
P/E Ratio	10.85	20.89	(10.04)
Price / Book	2.90	2.60	0.30
# of Stocks	61	713	(652)

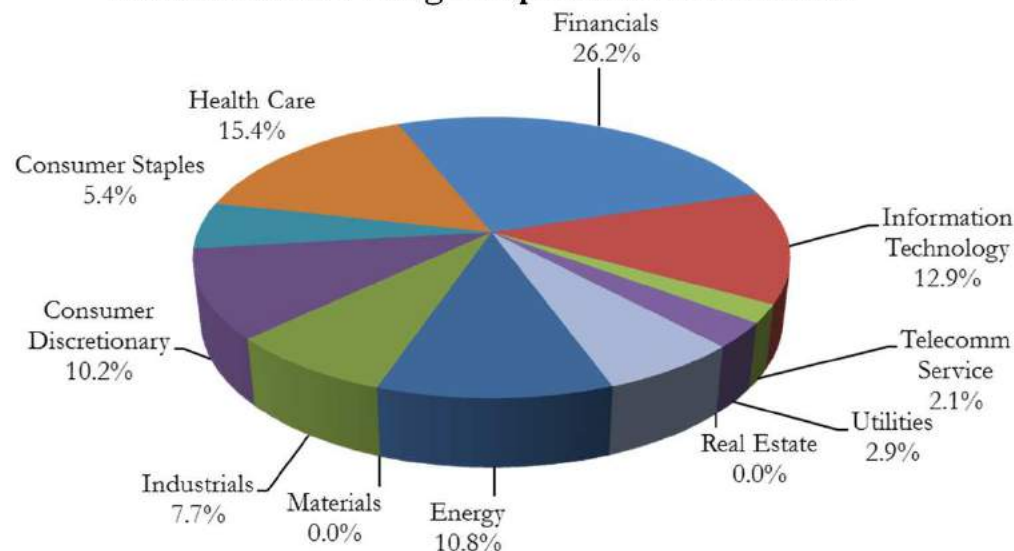
Top Ten Equity Holdings	% of Portfolio
JPMorgan Chase	6.7
Cisco Systems	4.0
Citigroup	3.8
Federated Money Market	3.6
Chevron	3.4
Pfizer	2.8
Suntrust Banks	2.8
Dow Dupont Inc.	2.8
Humana	2.5
Walmart Stores	2.5

Data Source: Blackrock, InvestorForce

# Equity Sector (Large-Cap Value Separate Account)

Characteristics - As of February 28, 2017

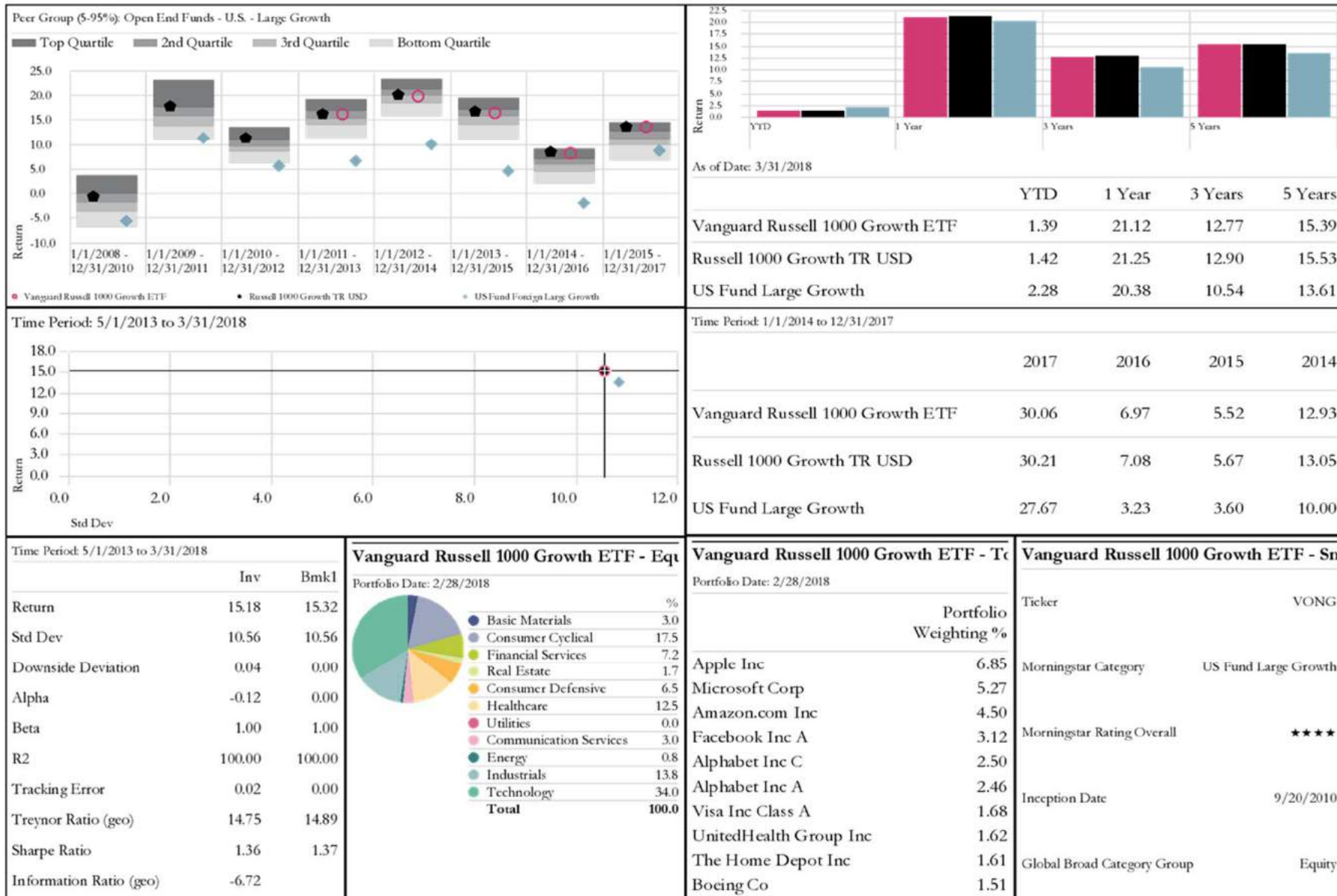
## Combined BOV Large-Cap Sector Distribution



	Blackrock Weight	Russell 1000 Value	Over / (Under)
Energy	10.8%	10.3%	0.5%
Materials	0.0%	3.0%	-3.0%
Industrials	7.7%	8.3%	-0.6%
Consumer Discretionary	10.2%	6.8%	3.4%
Consumer Staples	5.4%	8.1%	-2.7%
Health Care	15.4%	13.7%	1.7%
Financials	26.2%	27.8%	-1.6%
Information Technology	12.9%	9.3%	3.6%
Telecomm Service	2.1%	2.8%	-0.7%
Utilities	2.9%	5.6%	-2.7%
Real Estate	0.0%	4.3%	-4.3%
Cash & Equiv	6.4%	0.0	6.4%

Data Source: Blackrock, InvestorForce

## Equity Sector (Large Cap Growth)

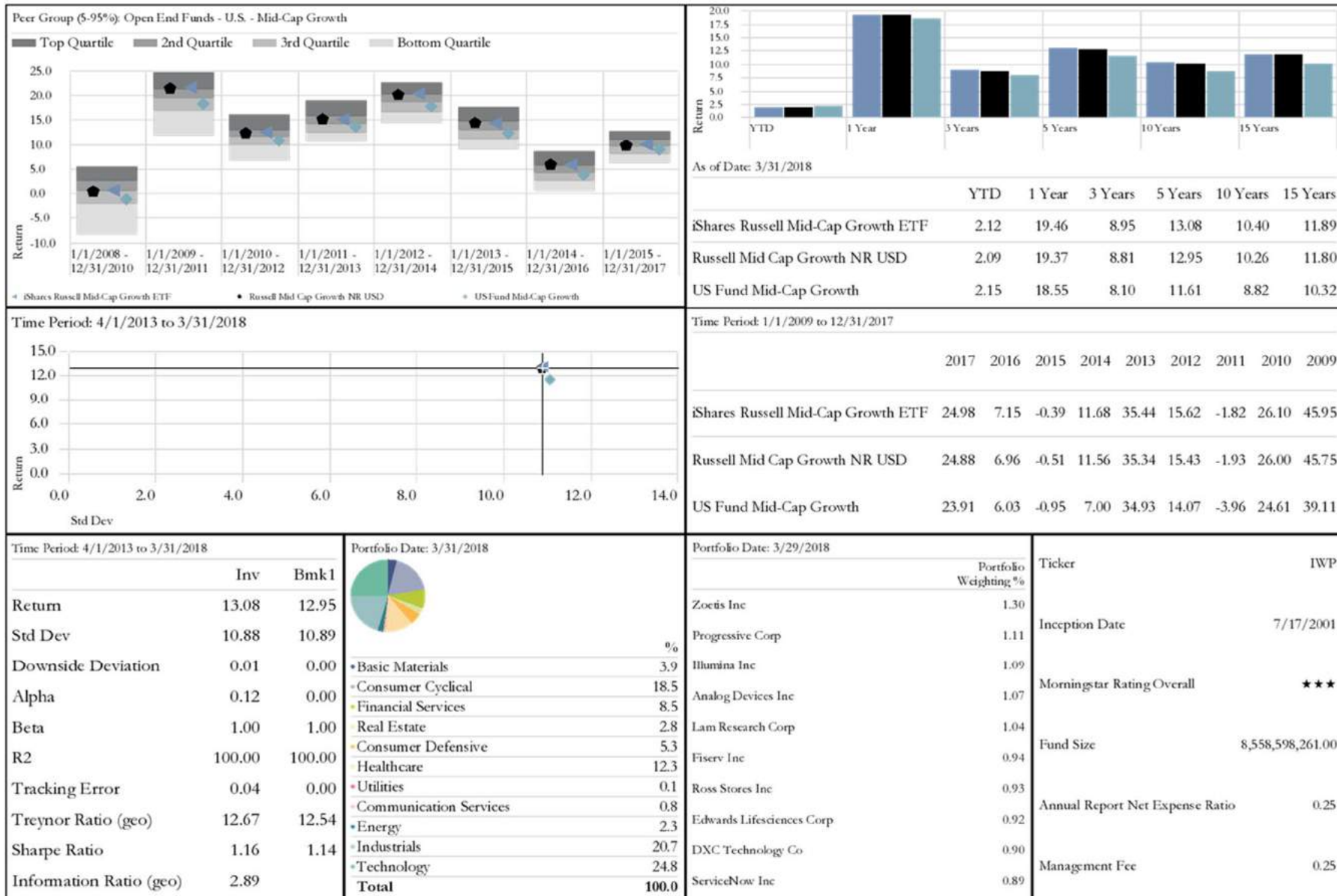


Source: Morningstar Direct

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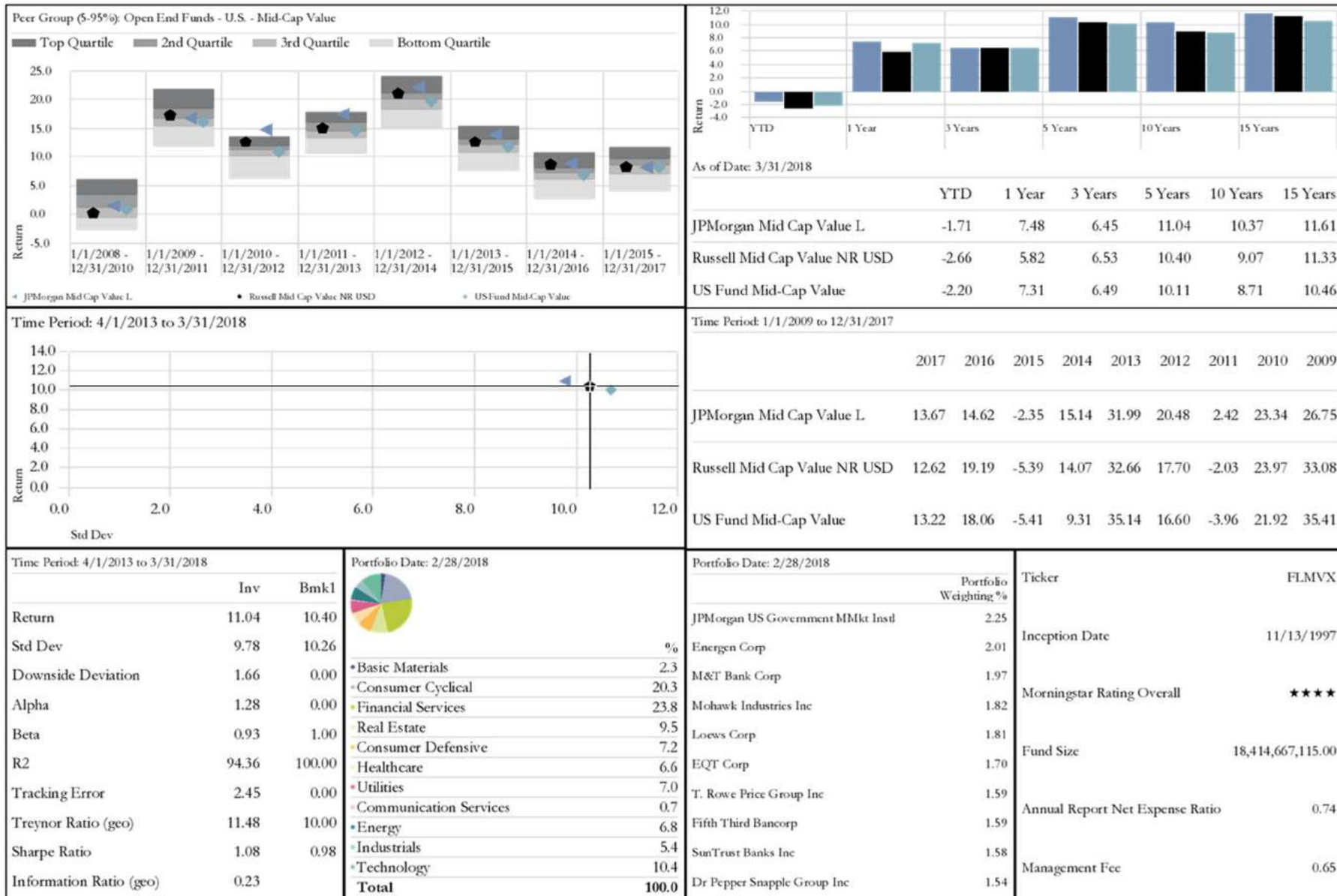
## Equity Sector (Mid Cap Growth)



Source: Morningstar Direct

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## Equity Sector (Mid Cap Value)



Source: Morningstar Direct

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## Equity Sector (Small Cap)



Source: Morningstar Direct

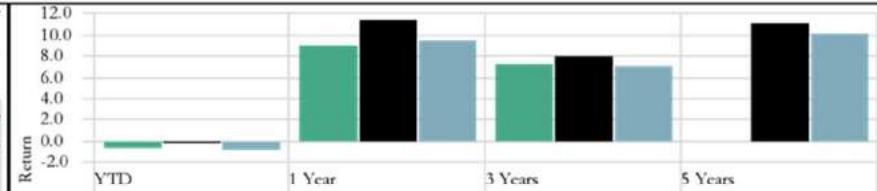
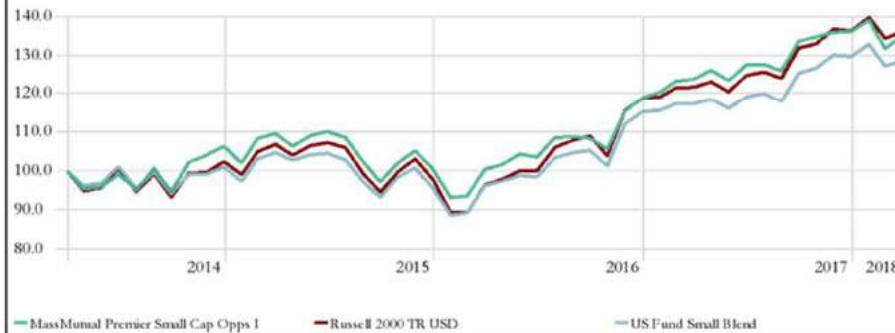
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## Equity Sector (Small Cap)

**\*Historical Purposes Only\***

### Investment Growth

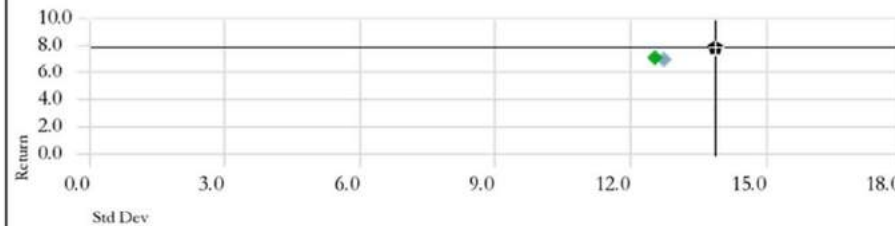
Time Period: 4/2/2014 to 3/31/2018



As of Date: 3/31/2018

	YTD	1 Year	3 Years	5 Years
MassMutual Premier Small Cap Opps I	-0.75	9.04	7.16	
Russell 2000 NR USD	-0.18	11.37	7.95	11.03
US Fund Small Blend	-0.93	9.43	7.06	10.06

Time Period: 4/1/2015 to 3/31/2018



Time Period: 1/1/2016 to 12/31/2017

	2017	2016
MassMutual Premier Small Cap Opps I	14.37	18.28
Russell 2000 NR USD	14.21	20.78
US Fund Small Blend	12.28	20.78

Time Period: 4/1/2015 to 3/31/2018

	Inv	Bmk1
Return	7.16	7.95
Std Dev	12.56	13.88
Downside Deviation	2.43	0.00
Alpha	0.04	0.00
Beta	0.88	1.00
R2	95.18	100.00
Tracking Error	3.20	0.00
Treynor Ratio (geo)	7.40	7.32
Sharpe Ratio	0.57	0.58
Information Ratio (geo)	-0.23	

Portfolio Date: 12/31/2017



	%
Basic Materials	5.5
Consumer Cyclical	10.8
Financial Services	16.3
Real Estate	8.9
Consumer Defensive	4.6
Healthcare	10.5
Utilities	4.4
Communication Services	0.6
Energy	3.0
Industrials	18.3
Technology	17.0
Total	100.0

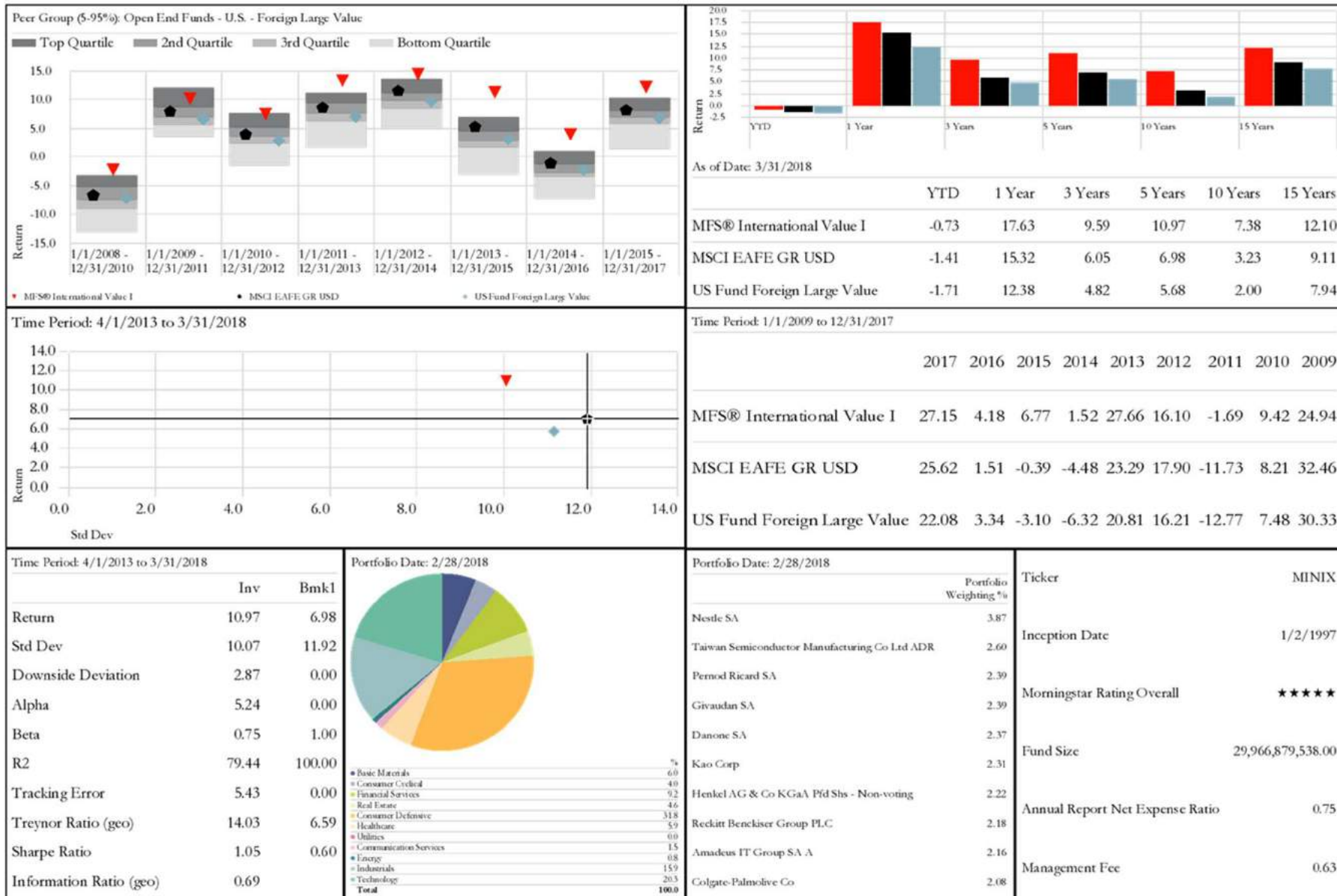
Portfolio Date: 12/31/2017

	Portfolio Weighting %
Prestige Brands Holdings Inc	2.03
Korn/Ferry International	1.96
Visteon Corp	1.92
On Assignment Inc	1.90
CACI International Inc Class A	1.90
Hostess Brands Inc A	1.79
Group 1 Automotive Inc	1.76
Brandywine Realty Trust	1.72
Zynga Inc Class A	1.67
Four Corners Property Trust Inc	1.66

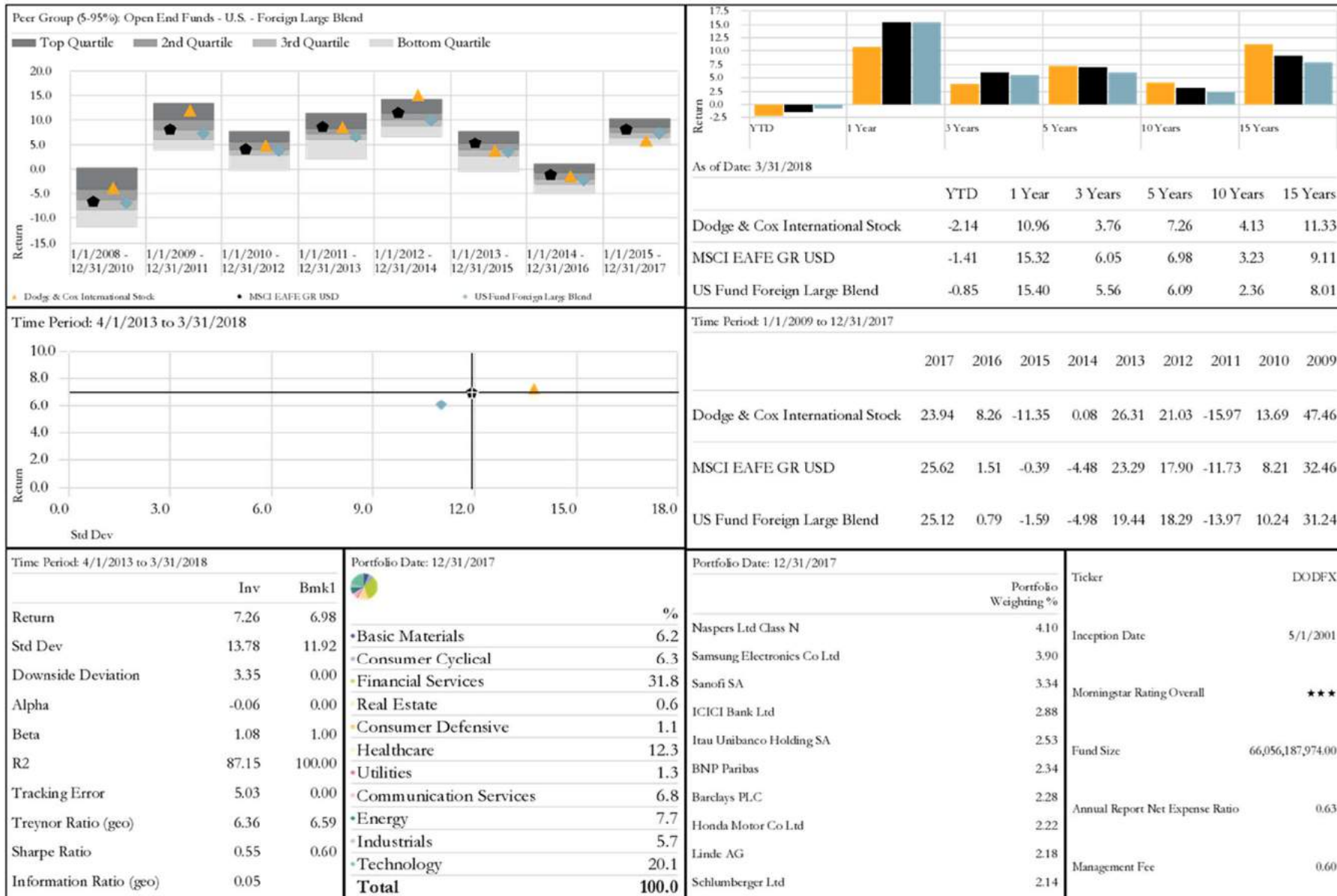
Ticker	MSOOX
Inception Date	4/1/2014
Morningstar Rating Overall	★★★
Fund Size	216,599,714.00
Annual Report Net Expense Ratio	0.70
Management Fee	0.58

Source: Morningstar Direct

## Equity Sector (International Developed)



## Equity Sector (International Developed)



Source: Morningstar Direct

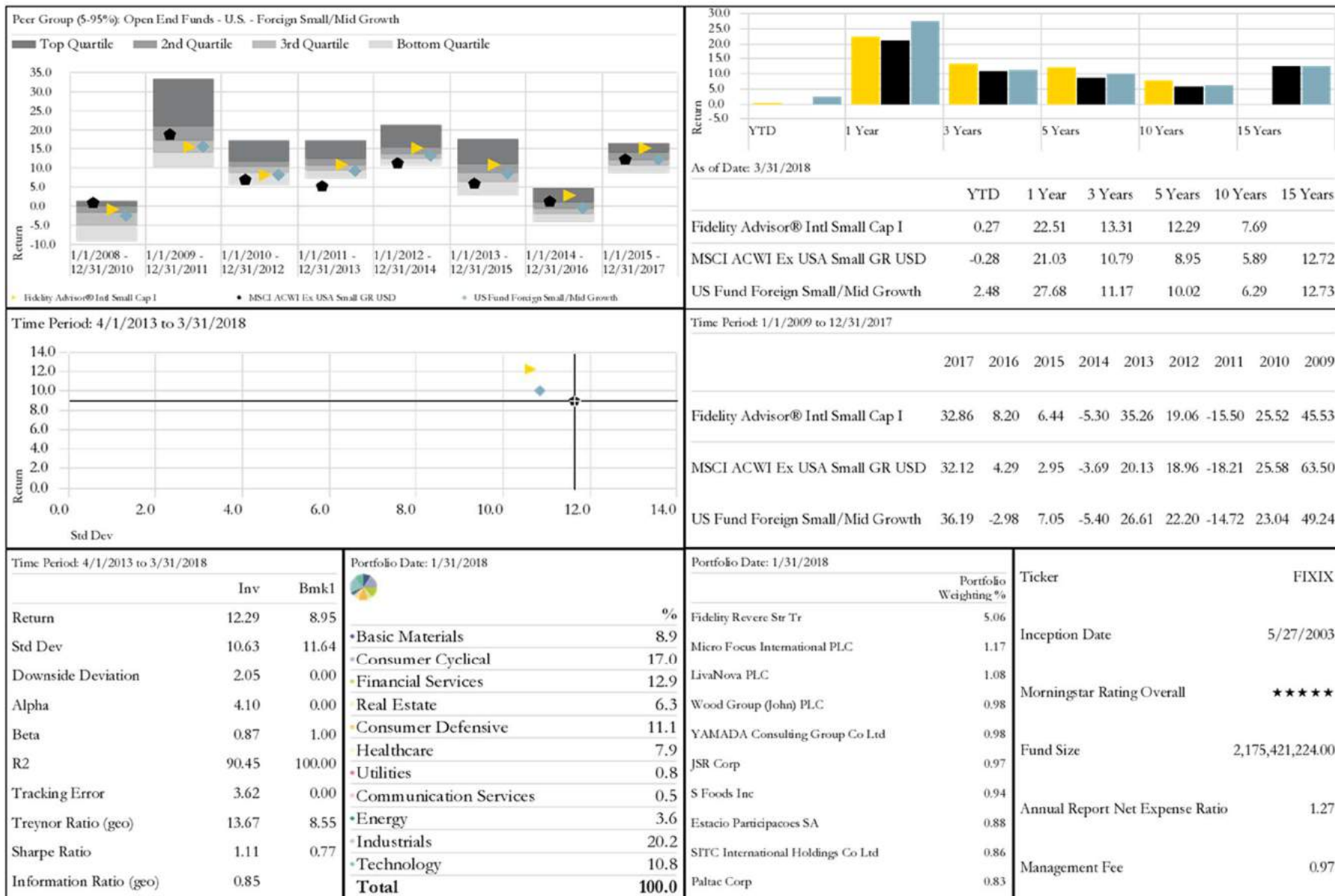
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# Equity Sector (Int'l Small Cap)

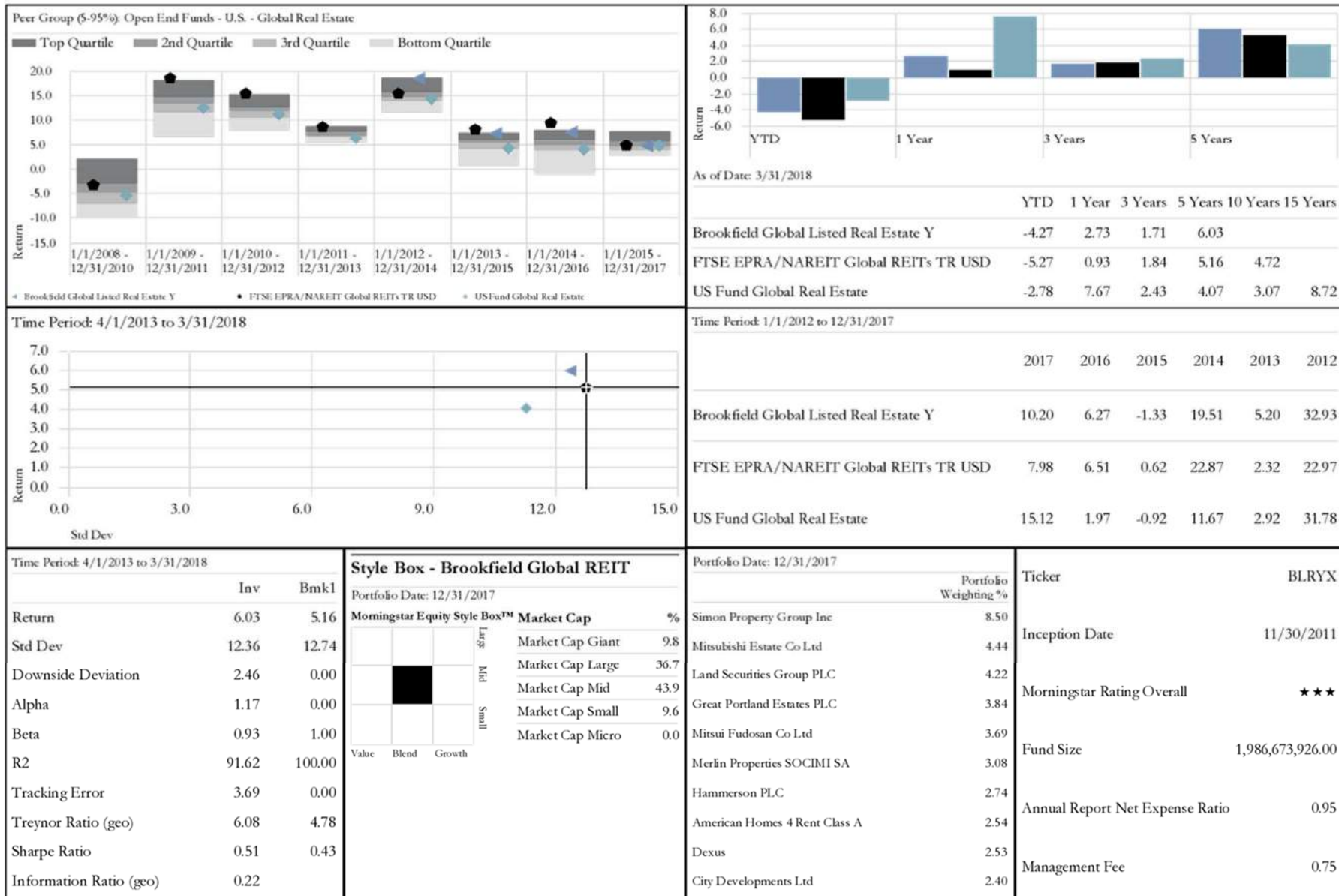
**\*Historical Purposes Only\***



Source: Morningstar Direct

# Equity Sector (REITs)

**\*Historical Purposes Only\***



Source: Morningstar Direct

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# Equity Sector (REITs)

**\*Historical Purposes Only\***

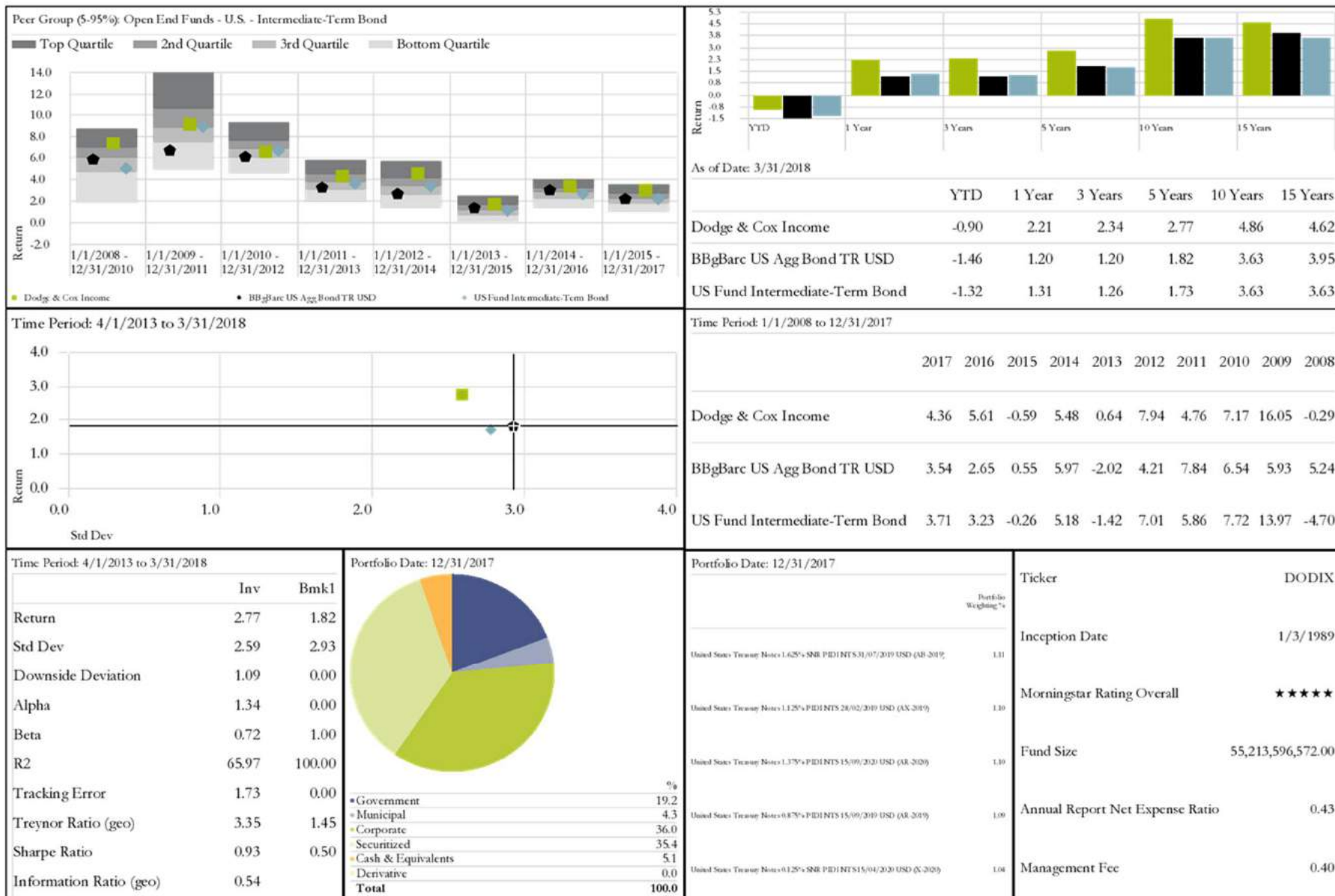


Source: Morningstar Direct

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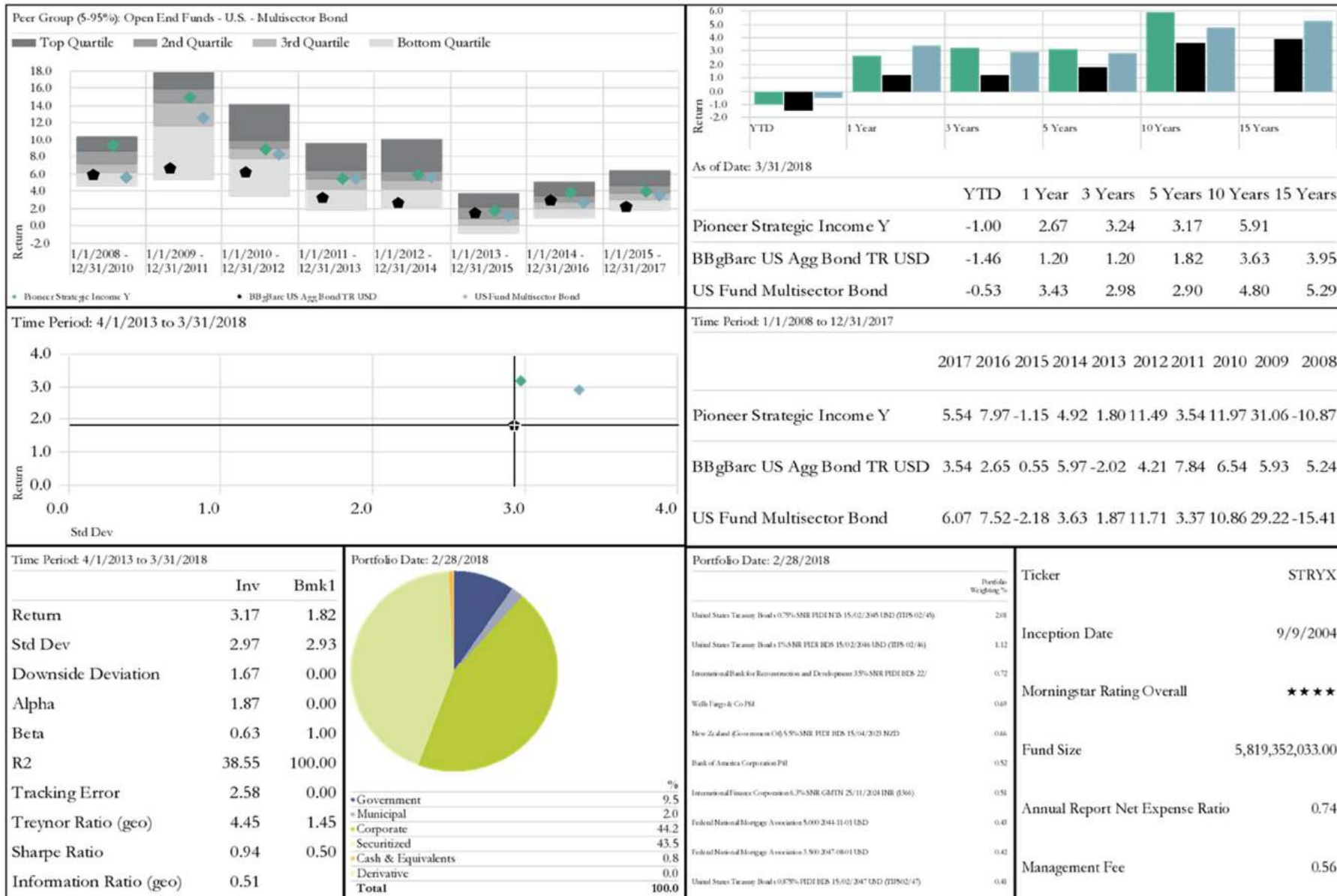
### III. Fixed Income Sector (US Bonds)



Source: Morningstar Direct

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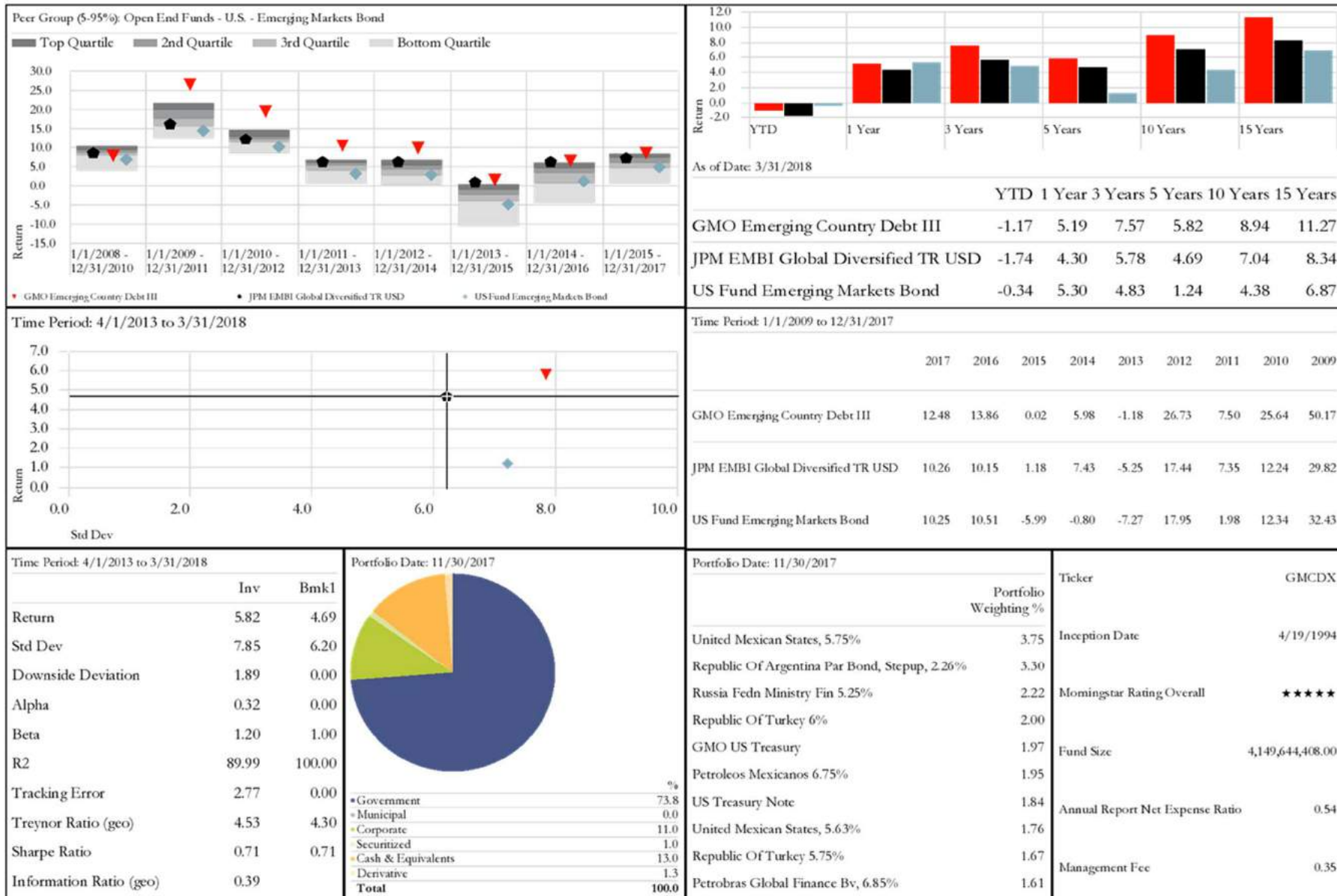
## Fixed Income Sector (US Bonds)



Source: Morningstar Direct

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## Fixed Income Sector (EM Debt)

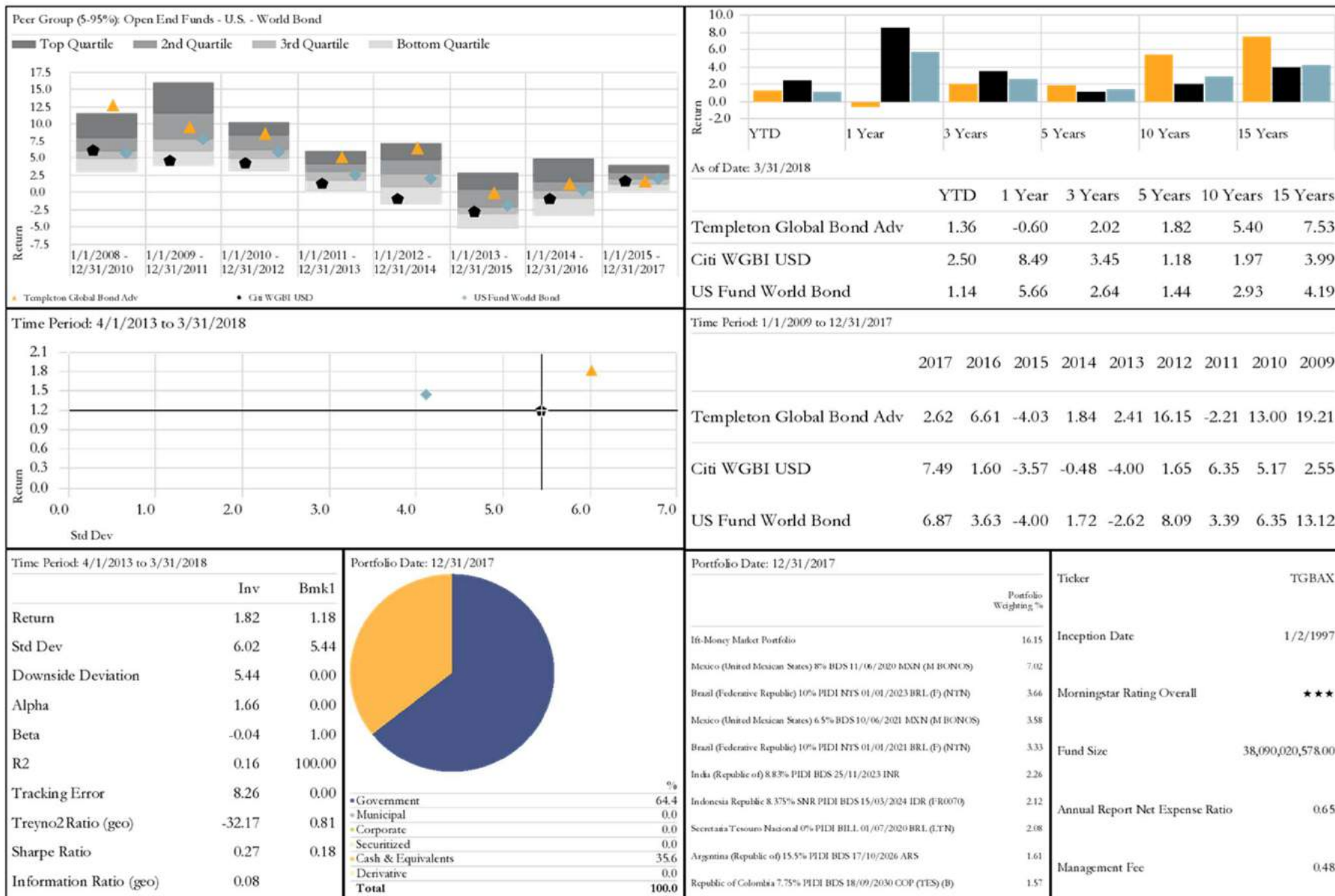


Source: Morningstar Direct

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# Fixed Income Sector (Global Debt)

**\*Historical Purposes Only\***



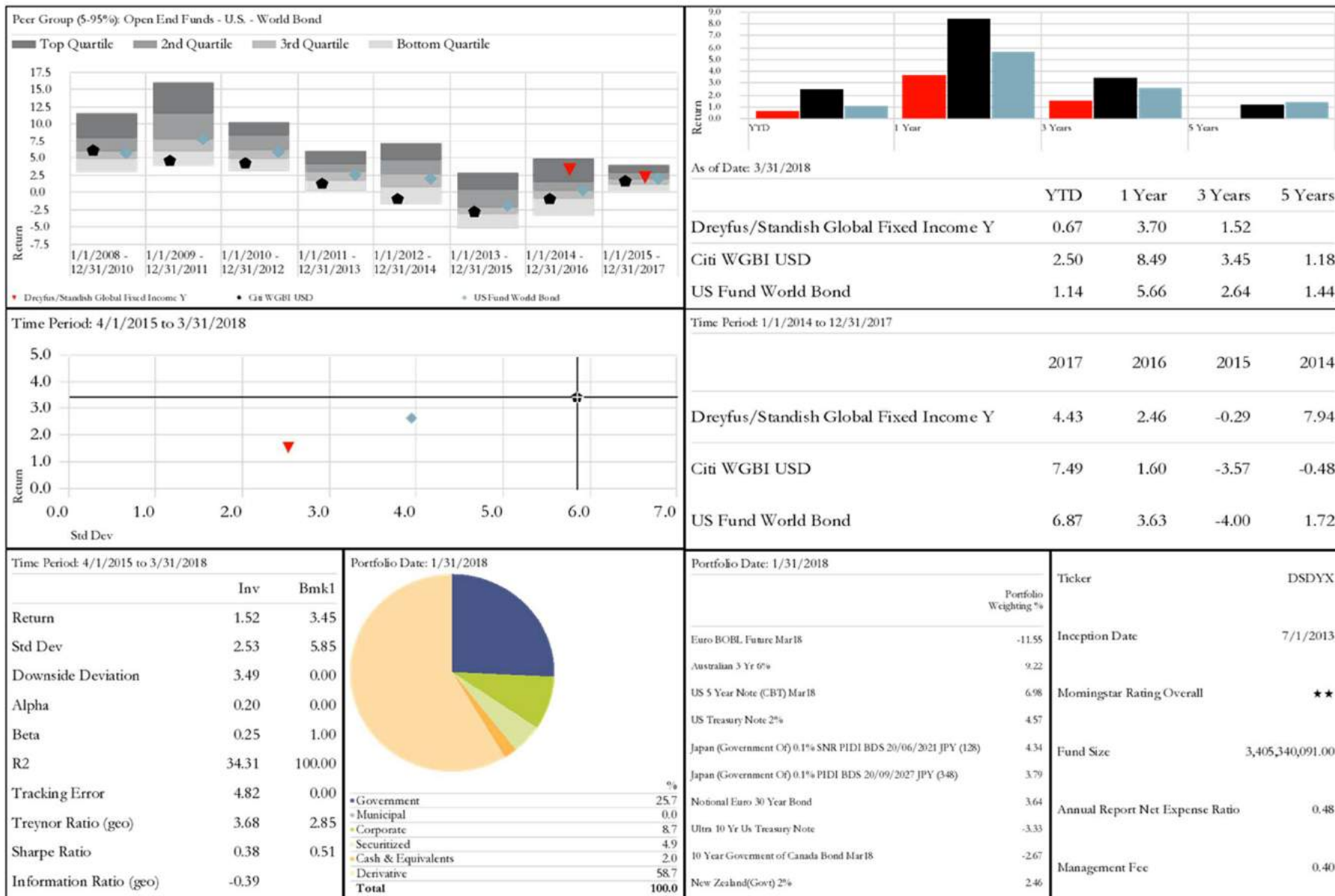
Source: Morningstar Direct

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# Fixed Income Sector (Global Debt)

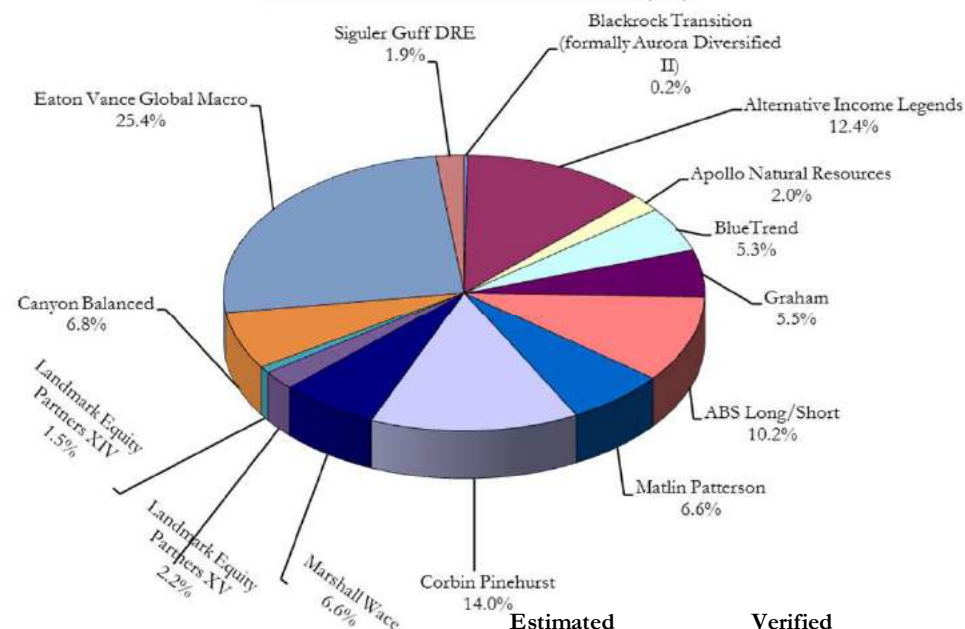
**\*Historical Purposes Only\***



Source: Morningstar Direct

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**Alternative Breakdown (%)**



<u>Manager</u>	<u>% of Account</u>	<u>Estimated Statment Value</u>	<u>Verified Values</u>	<u>Verified As Of</u>
Blackrock Transition (formerly Aurora Diversified II)	0.2%	\$17,093	\$16,753	11/30/2017
Alternative Income Legends	12.4%	\$935,205	\$894,139	11/30/2017
Apollo Natural Resources	2.0%	\$150,399	\$100,805	9/30/2017
BlueTrend	5.3%	\$396,583	\$408,764	12/31/2017
Graham	5.5%	\$416,505	\$395,953	12/31/2017
ABS Long/Short	10.2%	\$770,918	\$761,070	11/30/2017
Matlin Patterson	6.6%	\$500,000	\$500,000	2/28/2018
Corbin Pinehurst	14.0%	\$1,053,384	\$2,136,826	11/30/2017
Marshall Wace	6.6%	\$500,000	\$500,000	2/28/2018
Landmark Equity Partners XV	2.2%	\$168,514	\$160,915	9/30/2017
Landmark Equity Partners XIV	0.8%	\$58,418	\$77,898	9/30/2017
Canyon Balanced	6.8%	\$511,275	\$500,000	12/31/2017
Eaton Vance Global Macro	25.4%	\$1,910,456	\$1,910,456	3/31/2018
Siguler Guff DRE	1.9%	<u>\$143,756</u>	<u>\$157,311</u>	9/30/2017
<b>Total</b>	<b>100.0%</b>	<b>\$7,532,508</b>	<b>\$8,520,890</b>	

Data Source: Wells Fargo Advisors

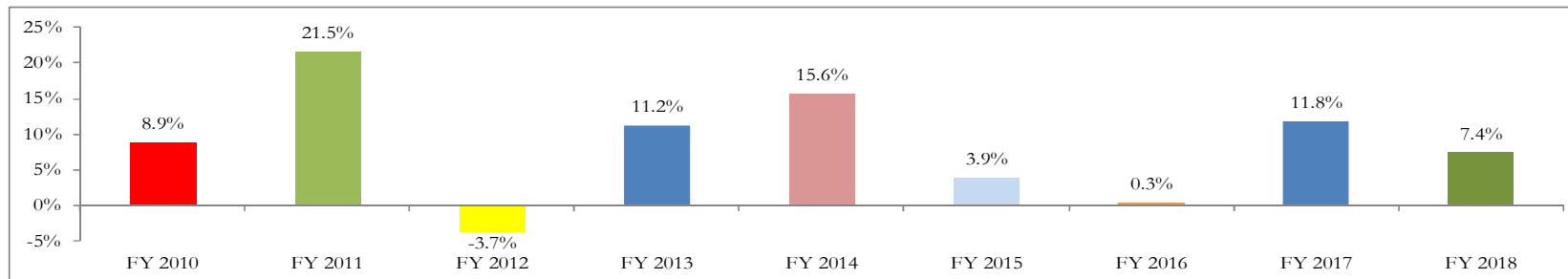
% of Account	\$ Value		1q18	FYTD	One Year	Three Years*	Five Years*	Incept (Mgr)*	Incept Bench*
100%	\$ 7,532,508	<i>Combined Alternatives (1 mo lag)</i>	2.1	3.6	5.3	0.8	2.6	2.2	3.2
		<i>HFRI FoF Index (1 mo lag)</i>	1.9	5.4	6.7	2.4	3.8		
		<u>Lower Volatility</u>							
0%	\$ 17,093	Blackrock Transition (formerly Aurora)	2.3	7.0	8.0	2.3	3.4	2.4	2.7
7%	\$ 511,275	Canyon Balanced	--	--	--	--	--	--	--
25%	\$ 1,910,456	Eaton Vance Global Macro	0.4	--	--	--	--	0.4	1.3
		<i>HFRI Conservative Index</i>	1.3	3.1	3.9	1.9	3.2		
		<u>Diversified</u>							
14%	\$ 1,053,384	Corbin Pinehurst	1.7	4.9	6.2	2.8	4.3	4.9	3.2
12%	\$ 935,205	Alternative Income Legends	4.6	7.2	8.8	--	--	2.3	3.0
7%	\$ 500,000	Matlin Patterson	--	--	--	--	--		
		<i>HFRI Fund of Funds</i>	1.9	5.4	6.7	2.4	3.8		
		<u>Hedged Equity</u>							
10%	\$ 770,918	ABS Global L/S (K2 prior to 12/12)	1.2	5.8	8.0	2.9	6.1	4.6	5.3
7%	\$ 500,000	Marshall Wace	--	--	--	--	--		
		<i>HFRI Equity Hedge</i>	2.3	9.0	11.1	5.5	6.0		
		<u>Managed Futures</u>							
5%	\$ 396,583	BlueTrend	1.6	6.9	1.4	(5.4)	(2.6)	(1.7)	
6%	\$ 416,505	Graham	4.8	6.8	1.8	(2.5)	3.4	0.3	
		<i>CS Tremont Managed Futures Index</i>	0.0	0.0	3.3	(1.6)	1.9		
		<u>Private Equity</u>							
2%	\$ 150,399	Apollo Natural Resources						13.3	
1%	\$ 58,418	Landmark Equity Partners XIV						3.0	
2%	\$ 168,514	Landmark Equity Partners XV						9.5	
2%	\$ 143,756	Sigular Guff DRE						8.7	

All returns are on a one-month lag. Performance greater than 1 year is annualized.

Data Source: Wells Fargo Advisors

**Performance for  
College of William and Mary Green Fund**

**Rates of Return**



Performance Inception Date: January 23, 2009

Since Inception ROR: 9.35%

Fiscal Year-To-Date 2018 ROR: 7.40%

Beginning Market Value (July 1, 2017): \$ 516,834

Quarter

Contributions

Withdrawals

3Q17

4Q17

1Q18

2Q18

\$ 20,000

\$ 20,000

Total Net Flows FYTD \$ 40,000

Invested Capital FYTD \$ 556,834

Appreciation/Depreciation \$ 38,517

Ending Market Value (March 31, 2018) \$ 595,351

Returns are calculated net of transaction costs and net of management fees.

Returns greater than one year are annualized.

Account data is on a trade date basis and accrued income is included in beginning and ending values. Performance is based on current market prices, as available.

Certain assets are excluded from Beginning and Ending Values and are not included in performance calculations. Selected annuities, certain types of direct investments, mutual funds held outside the firm, precious metals, coins, bullion, or any assets subject to tax- withholding (TEFRA) are among the assets not included in values or performance calculations.

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FEDERAL GOVERNMENT AGENCY

MAY LOSE VALUE

NOT A DEPOSIT OF OR GUARANTEED BY A  
BANK OR ANY BANK AFFILIATE



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**ASSET CLASS SUITABILITY:** Stocks of small companies are typically more volatile than stocks of larger companies. They often involve higher risks because they may lack the management expertise, financial resources, product diversification and competitive strengths to endure adverse economic conditions. High-yield, non-investment grade bonds are only suitable for aggressive investors willing to take greater risks, which could result in loss of principal and interest payments. Global/International investing involves risks not typically associated with US investing, including currency fluctuations, political instability, uncertain economic conditions and different accounting standards. Because the futures and commodity markets can be highly unpredictable – often swinging dramatically – investing in currency and commodities is not suitable for all investors. You may lose your entire investment, and in some cases, more than you invested.

**PAST PERFORMANCE:** Past performance is not an indication of future results.

**DATA SOURCES:** Information found in this document was derived from the following sources: Zephyr Associates, Investor Force/MSCI, Barclays Capital, Standard & Poor's, Morningstar, Thomson Reuters, direct material from managers/funds, and other sources we deem reliable.

**Dow Jones Industrial Average** - This index is comprised of 30 "blue-chip" US stocks selected for their history of successful growth and wide interest among investors. The DJIA represents about 20% of the total market value of all US stocks and about 25% of the NYSE market capitalization. It is a price-weighted arithmetic average, with the divisor adjusted to reflect stock splits and the occasional stock switches in the index.

**NASDAQ Composite** - A cap-weighted index comprised of all common stocks that are listed on the NASDAQ Stock Market (National Association of Securities Dealers Automated Quotation system).

**S&P 500** - A broad-based measurement of changes in stock market conditions based on the average performance of 500 widely held common stocks. This index does not contain the 500 largest companies nor the most expensive stocks traded in the U.S. While many of the stocks are among the largest, this index also includes many relatively small companies. This index consists of approximately 380 industrial, 40 utility, 10 transportation and 70 financial companies listed on U.S. market exchanges. It is a capitalization-weighted index (stock price times number of shares outstanding), calculated on a total return basis with dividends reinvested.

**Russell 1000** - The 1000 largest companies in the Russell 3000 index, based on market capitalization.

**Russell Mid Cap** - The index consisting of the bottom 800 securities in the Russell 1000 as ranked by total market capitalization, and it represents over 35% of the Russell 1000 total market cap.

**Russell 2000** - The 2000 smallest companies in the Russell 3000 index.

**MSCI EAFE** - A market capitalization-weighted index representing all of the MSCI developed markets outside North America. It comprises 20 of the 22 countries in the MSCI World. These 20 countries include the 14 European countries in the MSCI Europe and the 6 Pacific countries in the MSCI Pacific. This index is created by aggregating the 20 different country indexes, all of which are created separately.

**MSCI World** - This market capitalization-weighted index represents all 22 of the MSCI developed markets in the world. It is created by aggregating the 22 different country indexes, all of which are created separately.

**MSCI Emerging Markets Free (EMF)** - A market capitalization-weighted index representing 26 of the emerging markets in the world. Several factors are used to designate whether a country is considered to be emerging vs. developed, the most common of which is Gross Domestic Product Per Capita. The "Free" aspect indicates that this index includes only securities that are allowed to be purchased by global investors. This index is created by aggregating the 26 different country indexes, all of which are created separately.

**Barclays Government/Credit** - This index includes all bonds that are in the Barclays Capital Government Bond and the Barclays Capital Credit Bond indices.

**Barclays Aggregate Bond** - This index is made up of the Barclays Capital Government/Credit, the Mortgage-Backed Securities, and the Asset-Backed Securities indices. All issues in the index are rated investment grade or higher, have at least one year to maturity, and have an outstanding par value of at least \$100 million.

EXECUTIVE COMMITTEE

April 18, 2018

4:15 – 6:15 p.m.

Board Conference Room - Blow Memorial Hall

Todd A. Stottlemeyer, Chair  
H. Thomas Watkins III, Vice Chair  
Sue H. Gerdelman, Secretary

- I.     Introductory Remarks – Mr. Stottlemeyer
- II.    Approval of Minutes - February 7, 2018
- III.   Closed Session (if necessary)
- IV.   Adjourn

EXECUTIVE COMMITTEE  
MINUTES – FEBRUARY 7, 2018

**DRAFT MINUTES**  
**Executive Committee**  
**February 7, 2018**  
**Board Conference Room – Blow Memorial Hall**

Attendees: Todd A. Stottlemeyer, Chair; H. Thomas Watkins III, Vice Chair; Sue H. Gerdelman, Thomas R. Frantz; William H. Payne II, Lisa E. Roday and Karen Kennedy Schultz. Other Board members attending: John E. Littel. Others in attendance: President W. Taylor Reveley III, Michael J. Fox and Deborah A. Love.

Chair Todd A. Stottlemeyer called the meeting to order at 4:40 p.m.

Recognizing that a quorum was present, Mr. Stottlemeyer asked for a motion to approve the minutes of the meeting of November 15, 2017, and the Called Meeting of December 5, 2017. Motion was made by Ms. Roday, seconded by Mr. Watkins and approved by voice vote of the Committee.

In his opening remarks, Mr. Stottlemeyer welcomed members of the Committee and asked Secretary to the Board Michael Fox to briefly review the Board schedule for the next few days. A brief discussion ensued.

President Reveley advised that at Charter Day he would be unveiling a new presidential badge and chain of office, modeled on the badges and chains worn by the Chancellor and the Rector, and crafted by the same silversmiths from Birmingham, England. The new presidential badge and chain of office are a gift to the university in memory and honor of those William & Mary presidents who preceded him, and will succeed him. A brief discussion ensued.

At Mr. Stottlemeyer's request, Ms. Gerdelman moved that the Executive Committee of the Board of Visitors of the College of William and Mary convene in Closed Session for the purpose of discussing prospective candidates for employment; evaluation of the performance of departments or schools where such evaluation will necessarily involve discussion of the performance of specific individuals; consultation with legal counsel and briefings by staff members or consultants pertaining to actual or probable litigation; and consultation with legal counsel employed or retained by a public body regarding specific legal matters requiring the provision of legal advice by such counsel, as provided for in Section 2.2-3711.A.1., 7., and 8. of the Code of Virginia. Motion was seconded by Mr. Watkins and approved by voice vote of the Committee. The observers were asked to leave the room and the Committee went into closed session at 4:45 p.m.

The Executive Committee reconvened in open session at 6:35 p.m. Mr. Stottlemeyer reviewed the topics discussed during closed session, then asked for a motion to adopt the **Resolution** certifying that the closed session was held in compliance with the Freedom of Information Act. Motion was made by Ms. Gerdelman, seconded by Ms. Schultz and approved by roll call vote of the Committee members conducted by Secretary of the Board Sue Gerdelman. (Certification **Resolution** will be appended in final minutes.)

There being no further business, the Committee adjourned at 6:35 p.m.

February 7, 2018

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors Executive Committee has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Committee that such closed session was conducted in conformity with Virginia law;

NOW, THEREFORE, BE IT RESOLVED, That the Executive Committee, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Executive Committee.

VOTE

AYES: 7

NAYS: 0

ABSENT DURING CLOSED SESSION:

  
Todd A. Stottlemeyer  
Chair  
Executive Committee

COMMITTEE ON NOMINATIONS  
April 19, 2018  
7:30 – 8:15 a.m.  
Board Conference Room - Blow Memorial Hall

Todd A. Stottlemyer, Chair  
S. Douglas Bunch  
Karen Kennedy Schultz

- I. Introductory Remarks – Mr. Stottlemyer
- II. Approval of Minutes – April 6, 2018 called meeting
- III. Closed Session
- IV. Open Session - Certification of Closed Session **Resolution**
- V. Adjourn

COMMITTEE ON NOMINATIONS

CALLED MEETING  
MINUTES – APRIL 6, 2018

**MINUTES**  
**Committee on Nominations Called Meeting**  
**April 6, 2018**  
**Executive Conference Room – Inova Center for Personalized Health**

Attendees: Todd A. Stottlemeyer, Chair; S. Douglas Bunch, Karen Kennedy Schultz.

Chair Todd Stottlemeyer called the meeting to order at 2:06 p.m.

In his opening remarks, Mr. Stottlemeyer welcomed the members of the Committee, Mr. Bunch and Ms. Schultz, then reviewed the process for the meeting.

Mr. Stottlemeyer moved that the Committee on Nominations of the Board of Visitors of the College of William & Mary convene in Executive Session to discuss matters pertaining to the appointment of officers of a public body, as provided for in Section 2.2-3711.A. 1. of the Code of Virginia. Motion was seconded by Mr. Bunch and approved by voice vote of the Committee. The observers were asked to leave the room and the Committee went into closed session at 2:08 p.m.

The Committee on Nominations reconvened in open session at 3:40 p.m. Mr. Stottlemeyer reviewed the topic discussed during closed session, then moved to adopt the **Resolution** certifying that the closed session was held in compliance with the Freedom of Information Act. Motion was approved by roll call vote of the Committee members conducted by Mr. Stottlemeyer. (Certification **Resolution** is appended.)

There being no further business, the Committee adjourned at 3:40 p.m.



Committee on Nominations

April 6, 2018

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Committee on Nominations of the Board of Visitors of The College of William and Mary in Virginia has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Committee that such closed session was conducted in conformity with Virginia law;

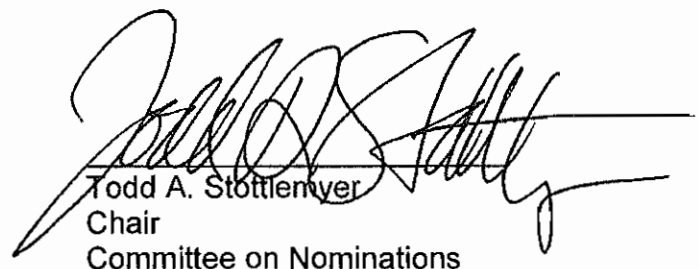
NOW, THEREFORE, BE IT RESOLVED, That the Committee on Nominations, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Committee on Nominations.

VOTE

AYES: 3

NAYS: 0

ABSENT DURING CLOSED SESSION:



Todd A. Stottlenyer  
Chair  
Committee on Nominations

COMMITTEE ON AUDIT AND COMPLIANCE

April 19, 2018

7:30 a.m. – 9:30 a.m.

Board Room – Blow Memorial Hall

Christopher M. Little, Chair  
Brian P. Woolfolk, Vice Chair

- I. Introductory Remarks – Mr. Little
- II. Approval of Minutes – February 8, 2018
- III. Report from Director of Internal Audit – Kent B. Erdahl
- IV. Report from Chief Compliance Officer – Kiersten L. Boyce
- V. Report from Auditor of Public Accounts – Eric M. Sandridge
- VI. Report from Chief Financial Officer – Amy S. Sebring
- VII. Closed Session (if necessary)
- VIII. Discussion
- IX. Adjourn

COMMITTEE ON AUDIT AND COMPLIANCE  
MINUTES – FEBRUARY 8, 2018

**MINUTES**  
**Committee on Audit and Compliance**  
**February 8, 2018**  
**Board Room—Blow Memorial Hall**

Attendees: Christopher M. Little, Chair; Brian P. Woolfolk, Vice Chair; Mirza Baig, Barbara L. Johnson, and John E. Littel. Board members present: Sue H. Gerdelman. Others present: Virginia M. Ambler, Kiersten L. Boyce, Courtney M. Carpenter, Deborah Cheesebro, Kate Conley, Kent B. Erdahl, Michael J. Fox, Samuel E. Jones, Deborah A. Love, Joe Martinez, Pamela H. Mason, Cynthia H. Norwood, Richard Pearce, John M. Poma, Amy S. Sebring, Sandra J. Wilms, Brian W. Whitson, and other William and Mary and Richard Bland staff.

Eric Sandridge and Jenny Schoeller of the Auditor of Public Accounts (APA) were also present.

Chair Christopher Little called the meeting to order at 8:00 a.m.

Recognizing that a quorum was present, Mr. Little asked that the spelling of 'dual' be corrected in Mr. Carpenter's report, and then asked for a motion to approve the minutes of the meeting of November 16, 2017, as corrected. Motion was made by Ms. Johnson, seconded by Mr. Littel, and approved by voice vote.

Mr. Little welcomed Eric Sandridge of the APA and asked for his report.

Mr. Sandridge communicated that the FY'17 audit is underway. The APA is targeting the April Board of Visitors meeting to have the audit entirely completed. This audit completion date is several months ahead of the prior year. He explained that additional staff have been added to the audit to help accommodate the accelerated timetable. Mr. Little thanked Mr. Sandridge for the APA's commitment to completing the audit timely.

Mr. Sandridge provided rationale for the increased level of testing in recent years at Richard Bland College. The audit scope was reevaluated for the 2012 audit due to a change in significant component guidance. The reevaluation resulted in expanded Richard Bland College testing. Expanded testing identified several internal control recommendations, which resulted in lower materiality levels and an increased level of testing. As the level and severity of internal control findings declines, the APA risk assessment and materiality levels will be reassessed.

In the interest of time, Mr. Sandridge stated that the information sheet outlining "Required Communications with the Board" will be sent by email to each of the Committee members.

Mr. Little asked Chief Financial Officer Amy Sebring for her report on the recently executed Memorandum of Understanding (MOU) with Richard Bland College.

Ms. Sebring provided an overview of the MOU core principles including Richard Bland College maintaining ownership of overall financial performance. She also reminded the Committee that no direct William and Mary financial support will be provided to Richard Bland College, but that there will be in-kind support as needed.

Committee on Audit and Compliance

MINUTES

Page 2

Ms. Sebring reported that the FY'17 books have been closed and account reconciliations have been completed in preparation for the APA audit. She indicated that William & Mary accounting/finance personnel have been onsite at Richard Bland College and provided operational recommendations to Richard Bland College staff. Mr. Littel thanked Ms. Sebring and the William & Mary accounting staff for their assistance to Richard Bland College. He commented that the assistance has been very helpful and the level of collaboration has been high.

The MOU provided for a Department of Accounts (DOA) operational review. Ms. Sebring indicated that the DOA is currently onsite at RBC performing the review. She explained that the purpose of the review is to provide recommendations to enhance financial operations.

Ms. Sebring reported that senior members of the William & Mary IT department traveled to Richard Bland College and performed a high-level assessment of Richard Bland's IT systems. A summary report was provided to the Committee and generally concluded that Richard Bland College IT systems were operating effectively. Ms. Sebring indicated that the implementation of Banner 9 is on schedule.

Ms. Sebring briefly commented on the follow-up status of APA FY'16 management letter comments. She stated that the accounting department audit findings are targeted for completion by the end of this fiscal year, but some of the corrective action may extend into FY'19.

Mr. Little asked Internal Audit Director Kent Erdahl for his report.

Mr. Erdahl provided a final accounting of 2017 internal audit activity. He reported that some audit projects were canceled and other audits added as risks and circumstances change. Mr. Erdahl reported a decline in Inspector General hotline calls this year; an especially large decline was noted for Richard Bland College hotline calls.

Mr. Erdahl provided an update on recent audits including the Emergency Management readiness review where several positive action items were noted. A summary of the Bursar's Office audit was also provided, noting internal control improvement opportunities were identified.

Mr. Erdahl provided an update on FY'16 APA audit corrective action status. Solid progress was noted for William & Mary, Richard Bland College IT, and Richard Bland College HR. Corrective action for Richard Bland College accounting findings is not as far along. Personnel turnover and other challenges have slowed accounting department progress. A target of June 30 has been established to address the accounting issues.

Mr. Little asked Chief Compliance Officer Kiersten Boyce for her report.

Ms. Boyce provided a brief report on reports and investigations of sexual misconduct matters in the second quarter (October 1-December 31, 2017). Reports are down from the second quarter of the previous year.

Committee on Audit and Compliance

MINUTES

Page 3

Mr. Little moved that the Committee on Audit and Compliance of the Board of Visitors of the College of William & Mary convene in Executive Session for the purpose of discussing specific personnel matters pertaining to the performance and evaluations of specific employees, discussing internal investigations involving identifiable students and/or employees, and for consultation with legal counsel employed by a public body regarding specific legal matters requiring legal advice by such counsel, as provided for in Section 2.2-3711.A. 1., 2., and 8., of the Code of Virginia. Motion was seconded by Mr. Littel and approved by voice vote of the Committee. Observers were asked to leave the room and the Committee went into Executive Session at 8:45 a.m.

The Committee reconvened in open session at 9:16 a.m. Mr. Little reviewed the topics discussed in Executive Session and moved the adoption of the **Resolution** certifying that the closed session was held in accordance with the Freedom of Information Act. Motion was seconded by Mr. Woolfolk and approved by roll call vote conducted by Mr. Little. (Certification **Resolution** will be appended in final minutes.)

There being no further business, the Committee adjourned at 9:17 a.m.

February 8, 2018

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors Committee on Audit and Compliance has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Committee that such closed session was conducted in conformity with Virginia law;

NOW, THEREFORE, BE IT RESOLVED, That the Committee on Audit and Compliance, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Committee on Audit.

VOTE

AYES: 5

NAYS: 0

ABSENT DURING CLOSED SESSION:



Christopher M. Little

Chair

Committee on Audit and Compliance

COMMITTEE ON ACADEMIC AFFAIRS  
April 19, 2018  
9:30 – 10:15 a.m.  
Blow Hall Room 201 - Blow Memorial Hall

Karen Kennedy Schultz, Chair  
S. Douglas Bunch, Vice Chair

- I. Introductory Remarks – Ms. Schultz
- II. Approval of Minutes – February 9, 2018
- III. Report from Provost Michael R. Halleran
- IV. Report from Faculty Liaison Committee Chair Jack B. Martin
- V. Closed Session (if necessary)
- VI. Action Materials - Provost Michael R. Halleran
  - 1. Appointments to Fill Vacancies in the Instructional Faculty      **Resolution 11**
  - 2. Designated Professorships      **Resolution 12**
  - 3. Faculty Leaves of Absence      **Resolution 13**
  - 4. Faculty Promotions      **Resolution 14**
  - 5. Award of Academic Tenure      **Resolution 15**
  - 6. Retirement of Patricia Kelly Charles, Department of  
Kinesiology and Health Sciences      **Resolution 16**
  - 7. Retirement of Randall G. Drake, Department of Kinesiology  
and Health Sciences      **Resolution 17**
  - 8. Retirement of James R. Haltiner, Mason School of Business      **Resolution 18**
  - 9. Retirement of Kenneth A. Moore, School of Marine Science      **Resolution 19**
  - 10. Retirement of Phillip B. Shane, Mason School of Business      **Resolution 20**
  - 11. Retirement of Kim P. Whitley, Department of Kinesiology  
and Health Sciences      **Resolution 21**
- VII. Discussion
- VIII. Adjourn



COMMITTEE ON ACADEMIC AFFAIRS  
MINUTES – FEBRUARY 8, 2018

**MINUTES**  
**Committee on Academic Affairs**  
**February 8, 2018**  
**Room 201– Blow Memorial Hall**

Attendees: Karen Kennedy Schultz, Chair; S. Douglas Bunch, Vice Chair; Mirza Baig, Warren W. Buck III, James A. Hixon; John E. Littel and J.E. Lincoln Saunders. Board members present: Christopher M. Little, Lisa E. Roday. Others present: Provost Michael R. Halleran, Henry Broaddus, Courtney Carpenter, Cathy Forestell, Michael J. Fox, Sallie Marchello, Jack Martin, Jeremy Martin, John Poma, Anne Marie Stock, Virginia Torczon, John T. Wells, Timothy Wolfe, Janice Zeman and other College faculty and staff.

Chair Karen Kennedy Schultz called the Committee to order at 9:00 a.m. Recognizing that a quorum was present, Ms. Schultz asked for a motion to approve the minutes of the meeting of November 16, 2017. Motion was made by Mr. Buck, seconded by Mr. Bunch and approved by voice vote of the Committee.

Following brief opening remarks, Provost Halleran gave updates on the following:

- 1) Two SCHEV Outstanding Faculty Award winners (Debbie Bronk, VIMS, and Jamie Settle, Government) and one finalist
- 2) Promise Scholar update—strong interest at this early stage
- 3) Admissions Update
  - a. Early Decision admissions up by 10 (to 540) with equal or higher quality student profile than last year and higher number of students of color; applications down, however
  - b. Regular admissions applications down about 2%, even though in-state applications were up a bit and international applications held constant; early in process but quality metrics at a par with or slightly higher than last year
- 4) Several successful events about free speech and campus protest held over the last several months—both at the Law School and on the main campus; thanks to Law School for taking the lead on these important conversations, which included an excellent workshop on February 2

Faculty Liaison Committee Chair Professor Jack Martin announced the Faculty Assembly presentation would be given in the Provost's Report later today.

Ms. Schultz moved that the Committee on Academic Affairs convene in Executive Session for the purpose of discussing and/or approving personnel actions pertaining to the appointments, promotions, leaves, retirements and tenure of instructional faculty, as provided for in Section 2.2-3711.A.1., of the Code of Virginia. Motion was seconded by Mr. Bunch and approved by voice vote of the Committee. The Committee went into executive session at 9:20 a.m.

The Committee reconvened in open session at 10:11 a.m. Ms. Schultz reviewed the topics discussed during closed session, and then moved to adopt the **Resolution** certifying the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by

Committee on Academic Affairs

MINUTES

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Mr. Hixon and approved by roll call vote of the Committee members conducted by Secretary to the Board Michael Fox. (Certification **Resolution** is appended.)

Ms. Schultz asked for a motion to adopt as a block **Resolution 1**, Appointments to Fill Vacancies in the Instructional Faculty; **Resolution 4**, Designated Professorships; **Resolution 5**, Faculty Leave of Absence; **Resolution 6**, Resolution to Approve a Bachelor of Science Program in Computational & Applied Mathematics & Statistics; **Resolution 7**, Retirement of Jonathan F. Arries, Department of Modern Languages and Literatures; **Resolution 8**, Retirement of Joanne M. Braxton, Department of English; **Resolution 9**, Retirement of Maryse Fauvel, Department of Modern Languages and Literatures; **Resolution 10**, Retirement of Julie Galambush, Department of Modern Languages and Literatures; **Resolution 11**, Retirement of John Philip Kearns, Department of Computer Science; **Resolution 12**, Retirement of Michael C. Newman, School of Marine Science; **Resolution 13**, Retirement of Katherine K. Preston, Department of Music; and **Resolution 14**, Retirement of Ronald B. Rapoport, Department of Government. Motion was made by Mr. Littel, seconded by Mr. Buck and approved by voice vote of the Committee.

**Resolution 2**, Award of Academic Tenure, and **Resolution 3**, Faculty Promotions, were deferred for discussion with the full Board.

There being no further business, the Committee adjourned at 10:14 a.m.

February 8, 2018

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors Committee on Academic Affairs has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Committee that such closed session was conducted in conformity with Virginia law;

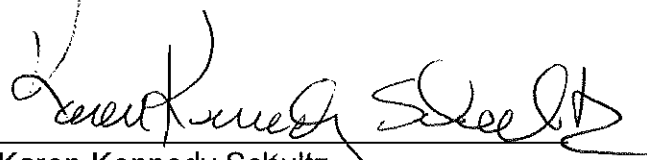
NOW, THEREFORE, BE IT RESOLVED, That the Committee on Academic Affairs, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Committee on Academic Affairs.

VOTE

AYES: 7

NAYS: 0

ABSENT DURING CLOSED SESSION:



Karen Kennedy Schultz  
Chair  
Committee on Academic Affairs

WILLIAM & MARY  
APPOINTMENTS TO FILL VACANCIES IN THE  
INSTRUCTIONAL FACULTY

Vacancies in the Instructional Faculty of William & Mary have resulted because of resignations, terminations or the approval of additional positions.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves the appointment of the following individuals to fill these positions effective with the 2018-19 academic year:

MITCHELL BROWN, Assistant Professor of Classical Studies

B.A., Wabash College, 2010  
Ph.D., University of Cincinnati, 2016

University of Cincinnati  
Assistant Professor, 2017-2018  
Lecturer, 2017

JON PINEDA, Assistant Professor of English

B.A., James Madison University, 1994  
M.F.A., Virginia Commonwealth University, 2000

University of Mary Washington  
Assistant Professor, 2013-2018

Queens University of Charlotte  
M.F.A. Faculty, 2007-2018

Old Dominion University  
Assistant Professor (Adjunct), 2005-2010

WILLIAM & MARY  
APPOINTMENTS TO FILL VACANCIES IN THE  
INSTRUCTIONAL FACULTY

KATHERINE ANANDI ROWE, Professor of English

B.A., Carleton College, 1984  
M.A. (1987) and Ph.D. (1992), Harvard University

Smith College  
Provost and Dean of Faculty, 2014-2018  
Interim Vice President for Inclusion, Diversity and Equity, 2017-2018  
Professor, 2014-2018

Byrn Mawr College  
Director, Katherine Houghton Hepburn Center, 2010-2014  
Chair, Department of English, 2006-2011  
Professor of English, 2004-2014  
Associate Professor, 1998-2004

Tri-Colleges: Swarthmore, Haverford, and Bryn Mawr  
Director, Trico Digital Humanities, 2010-2013  
Director, Mellon Tri-College Faculty Forum, 2002-2004

Yale University  
Assistant Professor, 1992-1998

XIAOWEN TAKKALA, Assistant Professor of Psychological Sciences

B.Sc. (2008), M.A. (2011) and Ph.D. (2016), University of Toronto

University of Toronto  
Postdoctoral Fellow, 2016-2018

WILLIAM & MARY  
APPOINTMENTS TO FILL VACANCIES IN THE  
INSTRUCTIONAL FACULTY

ANDREW TOBOLOWSKY, Assistant Professor of Religious Studies

B.A. (2007), M.A. (2011) and Ph.D. (2015), Brown University  
M.Phil., Trinity College, Dublin, Ireland, 2008

William & Mary  
Visiting Assistant Professor, 2016-2018

Brown University  
Visiting Assistant Professor, Spring 2016

Wheaton College  
Visiting Assistant Professor, Spring 2016

Georgetown University  
Lecturer, Fall 2015

RACHEL VARRA, Assistant Professor of Modern Languages and  
Literatures and Linguistics

B.A., The Pennsylvania State University, 2001  
M.A., The American University in Cairo, 2004  
Ph.D., The City University of New York, 2013

University of Miami  
Visiting Assistant Professor, 2015-2018

Cedar Crest College  
Assistant Professor, 2015

The City College of New York  
Adjunct Assistant Professor, 2013-2015

WILLIAM & MARY  
DESIGNATED PROFESSORSHIPS

The following members of the Instructional Faculty of William & Mary have been recommended for designated professorships.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves designated professorships for the following members of the Faculty, effective with the 2018-2019 academic year:

JAMES P. BARBER, Clark G. and Elizabeth H. Diamond Term Associate Professor of Education

LAURA A. HEYMANN, Chancellor Professor of Law

SHANTÁ D. HINTON, Class of 2021 Associate Professor of Biology

SIMON P. JOYCE, Sara & Jess Cloud Professor of English

BETSY O. KONEFAL, Class of 1952 Distinguished Term Associate Professor of History

JACK B. MARTIN, Chancellor Professor of English and Linguistics

GUILIA PACINI, Robert and Sarah Boyd Term Associate Professor of French and Francophone Studies

THOMAS B. PAYNE, David N. and Margaret C. Bottoms Professor of Musicology



WILLIAM & MARY  
FACULTY LEAVES OF ABSENCE

The following faculty members have requested a leave of absence without pay or with partial pay during the 2018-2019 academic year for the reasons given.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves these leaves of absence:

JESSICA L. PAGA, Assistant Professor of Classical Studies, to accept a fellowship at the Center for Hellenic Studies in Washington, D.C.

ADAM S. POTKAY, Professor of English and William R. Kenan Professor of Humanities, to serve as the Laurence T. Rockefeller Visiting Professor of Distinguished Teaching at the Center for Human Values at Princeton University

NATHAN J. RABALAIS, Assistant Professor of French & Francophone Studies, to accept a National Endowment for the Humanities Fellowship

BARBETTE S. SPAETH, Associate Professor of Classical Studies, to serve as Mellon Professor in Charge at the Intercollegiate Center for Classical Studies in Rome, Italy

WILLIAM & MARY  
FACULTY PROMOTIONS

The following members of the Instructional Faculty of William & Mary have been recommended for promotion in academic rank by the appropriate departmental committees and chairs, the appropriate deans, and by the Provost and President.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves academic promotion of the following members of the Faculty, effective with the beginning of the 2018-19 academic year:

Associate Professor to Professor

ERIC J. HILTON, School of Marine Science

MICHAEL A. KORDOSKY, Department of Physics

SCOTT MCCOY, Mason School of Business

IRINA NOVIKOVA, Department of Physics

BARBETTE S. SPAETH, Department of Classical Studies

SIMON A. STOW, Department of Government

PATRICIA L. VAHLE, Department of Physics

DENISE D. WADE, Department of Theatre, Speech and Dance

ELIZABETH A. WILEY, Department of Theatre, Speech and Dance

LAURIE J. WOLF, Department of Theatre, Speech and Dance

Clinical Assistant Professor to Clinical Associate Professor

LAURA R. KILLINGER, William & Mary Law School

Research Associate Professor to Research Professor

Y. JOSEPH ZHANG, School of Marine Science

WILLIAM & MARY  
AWARD OF ACADEMIC TENURE

The following member of the Instructional Faculty at William & Mary has been recommended for the award of academic tenure by the appropriate departmental committee and chairs, the appropriate deans, and by the Provost and President.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves the following member of the Faculty be awarded academic tenure, effective with the beginning of the indicated academic year:

KATHERINE A. ROWE, Professor of English  
Effective July 1, 2018

WILLIAM & MARY  
RETIREMENT OF PATRICIA KELLY CHARLES  
DEPARTMENT OF KINESIOLOGY AND HEALTH SCIENCES

Patricia Kelly Charles earned her B.S. degree at Springfield College in 1975, a Masters of Arts in Education in Adapted Physical Activity at the University of South Florida in 1981 and an Educational Specialist degree in Counseling from William & Mary in 1991. After teaching as a Movement Specialist for several years in Boston, Florida and Puerto Rico she taught locally at Matthew Whaley Elementary School. She was then hired as an adjunct at William & Mary before accepting a full-time position in 1992 with particular responsibility for teaching a three-credit academic Adapted class in the teaching certification program and supervising student teachers.

As the Department of Kinesiology has evolved, Ms. Charles has been able and willing to take on a range of roles to meet changing needs and has enthusiastically promoted health and well-being in many settings and through her personal lifestyle example. She has taught in the academic program for majors and many activity classes, such as yoga (RYT certified), tai chi, weight training, aerobic conditioning, swimming, fundamental movement patterns and tennis. She has also been active in the departmental Wellness program and the Cardiac Health Center, in both cases working closely with Professor Ken Kambis, and the CommonHealth program for faculty and staff. Her contributions were recognized in 2016 when she was selected as recipient of the first faculty William & Mary Flourishing Award.

As well as the classes she has taught within the academic teacher preparation program, some of the most significant achievements of her teaching career at W&M are innovations in the curriculum of her own creation. For example, Ms. Charles has devised and implemented a Fitness, Leadership, and Aging class in which students learn how to teach fitness activities to older adults at Williamsburg Landing and other area senior-living facilities. Another course she recently created has garnered interest within, and beyond, the confines of the university. In her class Moving Meditation and Mindfulness, students have focused on the theory and practice of blending movement and meditation and being mindful as a way to de-stress and reconnect with sources of vitality and happiness.

On the national level, she has shared her expertise in moving meditation and mindfulness, and fitness leadership and aging with her professional community at annual meetings of the National Association for Kinesiology in Higher Education and has published in *Quest*. At the behest of the Student Assembly, Ms. Charles has led meditation and tai chi workshops for Mental Health Awareness week, she is an active member of the Healthy Campus 20/20 Coalition, and is working on the Wellness strand of the 100 Years of Women at William & Mary conference scheduled in September 2018.

Ms. Charles has led frequent workshops at Williamsburg Landing and local service organizations about fitness and aging, mindfulness and meditation. She has become the longest serving female faculty member during the past three decades, during which time she has reinvented herself many times to better serve department needs and has always been a loyal, hard-working, innovative and resourceful member of Kinesiology and Health Sciences.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Patricia Kelly Charles; expresses its appreciation for her many contributions to William & Mary; and approves, with deep gratitude for her 26 years of service, a change in status from Senior Lecturer of Kinesiology to Senior Lecturer of Kinesiology, Emerita.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Patricia Kelly Charles with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY  
RETIREMENT OF RANDALL G. DRAKE  
DEPARTMENT OF KINESIOLOGY AND HEALTH SCIENCES

Randall G. Drake earned his B.S. in Physical Education at State University of New York at Cortland in 1977. In 1997, he earned his Master's degree in Physical Education Curriculum and Instruction from Old Dominion University. Randy began with the Kinesiology & Health Sciences Department as an adjunct instructor in the Activities program in 1991, specializing in outdoor activities. His excellence in teaching and his concern for his students was recognized immediately. Accordingly, when the opportunity presented itself in 1998, Randy was promoted to a full-time, senior lecturer position in the Outdoor Activities program.

Through the years Randy has taught a variety of courses including Adventure Games, Backpacking, White Water Canoeing, White Water Kayaking, as well as Swimming and Racquetball. A particularly popular course of his was Winter Camping in the Adirondacks, in which a busload of young, enthusiastic students traveled to upstate New York every spring break for a week of outdoor activities and camping. It was not for the faint of heart as you could be sure that the weather would be cold and the snow would be deep! Yet every year the bus would leave from Adair Hall on the Friday afternoon before the start of spring break and make its way back a week later with students exhausted, but thrilled with their experiences.

Randy also performed many other duties for the university and even the greater Williamsburg community. He developed and maintained the Ropes course around Lake Matoaka on campus which was enjoyed by students throughout the campus. The Ropes course was also used by a number of organizations from all over the peninsula as a way to promote bonding among their members. Similarly, he was also responsible for the maintenance and scheduling of recreational boating activities on Lake Matoaka for both William & Mary students, and many non-College affiliated residents of the local community. In each of these venues, Randy was completely devoted to the students in his courses, and he was just as committed to community members who took advantage of the outdoor recreational activities Randy provided. All who know him will agree that Randy Drake was the consummate professional and that he added much to the university experiences of a great number of grateful W&M students through the years. Randy, thank you for your service!

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Randall G. Drake; expresses its appreciation for his many contributions to William & Mary; and approves, with deep gratitude for his 27 years of service, a change in status from Senior Lecturer of Kinesiology to Senior Lecturer of Kinesiology, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Randall G. Drake with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY  
RETIREMENT OF JAMES R. HALTINER  
RAYMOND A. MASON SCHOOL OF BUSINESS

James R. Haltiner received his undergraduate degree in mathematics, M.B.A. and D.B.A. from the University of Virginia. He then became the Acting Assistant Professor of Business Administration at the McIntire School of Commerce at the University of Virginia, and later was Assistant Professor of Business Administration at the Colgate Darden Graduate School of Business at the University of Virginia. In 1976, Jim started as Assistant Professor of Business Administration at William & Mary and was promoted to full professor in 1988.

In March 2016, he assumed the role of Co-Director of the Boehly Center for Excellence in Finance. Professor Haltiner has taught a variety of core and elective courses over the years in the undergraduate program, full-time and Flex MBA programs. In most recent years he has focused more on core financial management classes in the Flex MBA Program and Executive MBA Program and investments/portfolio electives in the BBA Program; he also has overseen the MBA student managed equity course, "The Batten Fund" and the undergraduate "Student Managed Investment Fund." In 2004, he was named the Frank Batten Professor for Distinguished MBA Teaching and in 2007 was named Chancellor Professor of Business at the Raymond A. Mason School of Business. In 2012 he was awarded the Dungan Teaching Fellowship Award for innovations and excellence in the undergraduate program.

In addition to his excellent teaching, there is hardly a committee or administrative assignment in the Mason School that Professor Haltiner has not undertaken and excelled in. He is a former Director of the MBA Program and instituted the integrated, lock-step first-year MBA curriculum during that time, which is still in place today. He has consulted with or served as an expert witness for a number of public and private organizations about financial valuation, financing, investors' opportunity cost of capital, and in matters of utility rate regulation. He is currently a member of Riverside Health System's Asset Management Committee and a former member of the state of Virginia's pension fund Investment Advisory Committee and the board of Williamsburg Landing.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of James R. Haltiner; expresses its appreciation for his many contributions to the College of William and Mary; and approves, with deep gratitude for his forty-two years of service, a change in status from Chancellor Professor and Co-Director of the Boehly Center to Chancellor Professor of Business, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor James R. Haltiner with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY  
RETIREMENT OF KENNETH A. MOORE  
SCHOOL OF MARINE SCIENCE

Kenneth Moore joined William & Mary in 1973 as a Research Scientist with expertise in chemistry and coastal plant ecology. He received his B.S. from The Pennsylvania State University in 1968. After serving active duty in the U.S. Army from 1968 to 1970 he returned to graduate school to receive his M.S. from the University of Virginia and subsequently his Ph.D. from the University of Maryland. After first joining William & Mary as a Research Scientist at VIMS, he was appointed as an Instructor, promoted to Assistant Professor, Associate Professor and finally tenured full Professor. Because of his long record of outstanding contributions to research, academics and advisory services, he was awarded both the Plumeri Award for Faculty Excellence in 2014 and the Outstanding Faculty Award in 2015.

Professor Moore is widely published with nearly 100 scientific publications and over 200 scholarly papers and scientific talks to his credit. He has also been one of our most prolific faculty in obtaining extra-mural research funds, exceeding \$25 million in grants as principal investigator during his career. Professor Moore has also contributed significantly to the academic program serving as mentor to over 40 graduate students as well as many at undergraduate and high school levels. He has served as chair of the Department of Biological Sciences and the Director of NOAA's Chesapeake Bay NERRS Graduate Research Fellowship Program. Through his international research activities his students have been able to gain invaluable experiences in many regions around the world including Africa, China, Australia, Europe as well as the North and Central Americas. He has taught a diversity of courses including Biological Oceanography, Seagrass Ecology, Plant-Sediment Interactions, Restoration Ecology, Estuarine Benthic Processes, and Marine Benthos.

Professor Moore has played pivotal roles in the Commonwealth's and nation's coastal management programs during his career, through his knowledge, experience and leadership in many areas of coastal water quality, and coastal habitats. Some of his numerous service accomplishments range from establishment of Virginia's Tidal Wetlands Act protection legislation in the 1970s, to implementation of the EPA and Coastal States Chesapeake Bay Program Partnership a decade later, continuing to the present with the development and implementation of Virginia's landmark Chesapeake Bay Water Quality Criteria and Submerged Aquatic Vegetation management legislation, as well as the establishment of the Virginia Estuarine and Coastal Observing System.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Professor Kenneth A. Moore; expresses its appreciation to him for the many contributions he has made to the College of William & Mary; and approves, with deep gratitude for his 45 years of devoted service to the University, a change in status from Professor of Marine Science to Professor of Marine Science, Emeritus.



Board of Visitors

Resolution 19

April 18-20, 2018

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BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Kenneth A. Moore with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY  
RETIREMENT OF PHILIP B. SHANE  
RAYMOND A. MASON SCHOOL OF BUSINESS

Philip B. Shane received his undergraduate degree in accounting from the University of Illinois. He received his Ph.D. in Business from the University of Oregon. He joined the Raymond A. Mason School of Business in May 2013, as Professor and Accounting Department Chair and subsequently was appointed the KPMG Professor.

His research interests include the economics of financial reporting, financial statement analysis, incentives and efficiency of financial analysts as intermediaries in the interpretation of accounting information for resource allocation in capital markets, market efficiency with respect to accounting information, and accounting for the sustainability of corporate business practices. His current research focuses on flow of information between buy- and sell-side financial analysts, the roles of financial analysts in stock market assimilation of information contained in firms' annual SEC filings, and information about the efficiency of firms' innovative activities and corporate sustainability following going concern audit opinions.

Professor Shane has presented his research at national and international conferences sponsored by the American Accounting Association, the American Finance Association, the European Accounting Association, and the Global Finance Association. His research has been published in premier journals such as *The Accounting Review*; *Journal of Accounting Research*; *Journal of Accounting and Economics*; *Contemporary Accounting Research*; *Decision Sciences*; *Journal of Accounting, Auditing & Finance*; *International Journal of Forecasting*; and the *Financial Analysts Journal*. He served as president of the Financial Accounting and Reporting Section of the American Accounting Association (2007-2008), and he held the Academic Research Fellow position at the Financial Accounting Standards Board (2010-2011).

Before coming to the Mason School of Business at William & Mary, he held faculty positions at The Pennsylvania State University, and the Universities of Kansas, Montana, Arizona, Colorado, Auckland, and Virginia. He worked in audit and tax at PWC before his academic career.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Philip B. Shane; expresses its appreciation for his many contributions to the College of William and Mary; and approves, with deep gratitude for his five years of service, a change in status from KPMG Professor of Accounting to KPMG Professor of Business, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Philip B. Shane with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY  
RETIREMENT OF KIM P. WHITLEY  
DEPARTMENT OF KINESIOLOGY AND HEALTH SCIENCES

Kim P. Whitley earned his B.S. degree (cum laude) from Old Dominion University in Recreational Leisure Studies specializing in Outdoor Recreation in 1984. He went on to earn his Master's degree in Education from William & Mary in 1991, as well as earning a degree as an Educational Specialist in Higher Education in 1996, also from William & Mary.

Kim started teaching in the Activities program in the Kinesiology Department in 1986 specializing in outdoor activities. During his career at William & Mary, Kim has taught a particularly wide range of outdoor activities such as Adventure Sports Leadership, Rock Climbing, Backpacking, Adventure Games, Canoe Camping, Flatwater Canoeing, Mountain Biking, Outdoor Leadership, and Ski/Snowboard Maine. In this last course, a number of students would travel to a large, well-known Maine ski resort over the winter break for 8-10 days and Kim would instruct them in both skiing and snowboarding, along with other winter activities. As one might imagine, this was always a very popular course among students, and a course that would prepare them for a lifetime of outdoor fun.

Kim was also active in service, in particular serving on the Evaluation, Outdoor, and Personnel committees for his department, along with managing the boathouse on Lake Matoaka. He has also been very active with the American Canoe Association, serving as its vice president, secretary, and Chair of the Safety, Education, and Instruction Council, as well as Chair of its National Instruction Committee. For even more credentials, Kim is certified as a Wilderness First Responder and Professional CPR, he's certified in Basic Whitewater Rescue, as an instructor for White Water Canoeing, and even as a Surf Kayak instructor.

Kim is a published author having co-written the "Instructor's Manual" for the American Canoe Association, as well the book titled "Introduction to Paddling Canoe Basics for Lakes and Rivers" by Menasha Ridge Press. Moreover, he has served as a reviewer for a number of books on canoeing and kayaking. With respect to more personal accomplishments, Kim is most proud of climbing the Matterhorn, and Mount Ranier. He is, and should be, particularly proud of assisting then Governor, now Senator, Mark Warner on his mountain climbing excursions. Such a record of depth and excellence! For both the students in his classes, and the many others he has taught and assisted, Well Done, Kim Whitley!

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Kim P. Whitley; expresses its appreciation for his many contributions to William & Mary; and approves, with deep gratitude for his 32 years of service, a change in status from Senior Lecturer of Kinesiology to Senior Lecturer of Kinesiology, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Kim P. Whitley with best wishes for continuing and creative work in the years ahead.

COMMITTEE ON UNIVERSITY ADVANCEMENT

April 19, 2018

10:15 – 11:00 a.m.

Board Room - Blow Memorial Hall

Sue H. Gerdelman, Chair  
Christopher M. Little, Vice Chair

- I. Opening Remarks – Ms. Gerdelman
- II. Approval of Minutes
  - 1. November 16, 2017
  - 2. February 10, 2018 – Campaign Steering Committee
- III. University Advancement Report – Vice President Matthew T. Lambert
- IV. Closed Session (if necessary)
- V. Discussion
- VI. Adjourn

COMMITTEE ON UNIVERSITY ADVANCEMENT  
MINUTES – NOVEMBER 16, 2017

CAMPAIGN STEERING COMMITTEE  
MINUTES – FEBRUARY 10, 2018

**MINUTES**  
**Committee on University Advancement**  
**November 16, 2017**  
**Board Room - Blow Memorial Hall**

Attendees: Sue H. Gerdelman, Chair, Christopher M. Little, Vice Chair. Board members present: Rector Todd A. Stottlemeyer, Mirza Baig, Warren W. Buck III, S. Douglas Bunch, Thomas Frantz, James A. Hixon, Barbara L. Johnson, Anne Leigh Kerr, John E. Littel, William H. Payne II, Lisa E. Roday, J. E. Lincoln Saunders, Karen Kennedy Schultz, H. Thomas Watkins III, Brian P. Woolfolk, Faculty Representative Eric D. Chason, Staff Liaison Terence A. Fassanella.

Others attending: President W. Taylor Reveley III, Provost Michael R. Halleran, Virginia M. Ambler, Mark L. Begly, Henry R. Broaddus, Kate Conley, Kent B. Erdahl, Michael J. Fox, Daniel H. Frezza, Heather E. Golden, Earl T. Granger III, Samantha K. Huge, Samuel E. Jones, Matthew T. Lambert, Jennifer B. Latour, Deborah A. Love, Susan Manix, Jeremy P. Martin, Marilyn W. Midyette, Jennifer J. Morgan, Spencer G. Niles, John M. Poma, Amy Sebring, Jennifer Shimp-Bowerman, John T. Wells, Brian W. Whitson and Sandra J. Wilms.

Chair Sue H. Gerdelman called the meeting to order at 10:00 a.m., welcomed everyone and introduced Sue Manix, President of the Alumni Association. She announced that Mike Foradas, Chair of the William & Mary Foundation Board, was unable to attend.

Recognizing that a quorum was present, Mrs. Gerdelman asked for a motion to approve the minutes of the September 14, 2017, Committee meeting and the October 21, 2017 Campaign Steering Committee meeting. Motion was seconded by Mr. Little and approved by voice vote.

Ms. Gerdelman asked Vice President for University Advancement Matthew Lambert to share news on the progress of the Campaign.

Vice President Lambert announced the Homecoming 2017 highlights and reported that the weekend had record attendance.

Mr. Lambert shared progress on achieving 40% alumni participation. The undergraduate alumni participation for FY17 was 29.9%. The goal for FY18 is 34%. He explained our ranking growth over the past decade. The W&M alumni base is supportive of their alma mater and they are investing in growing numbers as well.

Mr. Lambert reported on fundraising events around campus -

- W&M Impact Week - Thanks to the Parents Fund for sponsoring another successful W&M Impact Week. It was held the week of October 2 with over 400 student donors. The first place winner was Kappa Sigma (\$2,500): In partnership with St. Bede's Outreach Center, Kappa Sigma will use the funds to purchase necessary items that will ensure warm beds, food and warm clothing for deserving families in the Williamsburg community. The second place

winner was Community Partnership for Adult Learners (\$1,500): CPALs will be using Impact Week funds to support their existing program, which is a completely student-run organization that offers free English as a Second Language classes to adults in the Williamsburg community. In third place was Alpha Phi Alpha (\$1,000): Distinguished Gentlemen, an initiative of the fraternity's National Program "Go to High School Go to College," will send fraternity members to Berkeley Middle School to mentor middle school boys of all backgrounds and help them learn skills such as curriculum navigation, how to tie a tie, and social etiquette, among many others.

- Tag Day - W&M celebrated their fifth Tag Day on November 9. This day allows students, faculty & staff to get a real sense of philanthropy around campus.
- Tribefunding – Small projects come together to fund big projects. This semester there were six projects with 14 submissions. The spring launch had seven projects with 27 submissions. They raised \$50,000 in the spring with more than 300 unique donors, half of whom made their first ever gift to William & Mary.
- Giving Tuesday – Giving Tuesday will be held on November 28 and it kicks off our calendar year-end efforts.
- One Tribe One Day – April 10, 2018, will be the fifth anniversary of One Tribe One Day. This is a day for giving back and paying it forward.

Mr. Lambert reported that as of September 30, 2017, \$736M has been raised towards the **For The Bold** Campaign. A special thanks to Jim and Kate Hixon for making a \$1.8M gift for international scholarships. To increase awareness and giving, initiative pages will now be available on the campaign website. They will include Diversity and Inclusion Initiatives, Women's Initiatives and Health and Wellness Initiatives.

Ms. Gerdelman updated the Committee on the Society of 1918. To date, there are 143 members and they have committed more than \$1.3M. The goal is to raise \$1.918M by the W&M Women's weekend on September 21-23, 2018.

Mr. Lambert shared **Dates to Remember** with the Board members.

Ms. Gerdelman moved that the Committee on University Advancement convene in closed session pursuant to Section 2.2-3711.A.8., of the Code of Virginia, in order to discuss specific recommendations related to future fundraising strategies as well as current development activities. Motion was seconded by Ms. Roday and approved by voice vote. The observers were asked to leave the room and the Committee went into closed session at 10:28 a.m.



Committee on Advancement

MINUTES

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The Committee reconvened in open session at 10:50 a.m. Ms. Gerdelman reviewed the topics discussed during closed session for the benefit of the observers, then moved to adopt the **Resolution** certifying that the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by Mr. Hixon and approved by roll call vote conducted by Secretary to the Board Michael Fox. (Certification **Resolution** is appended).

Ms. Gerdelman thanked Vice President Lambert and his staff for their roles with the progress of the Campaign.

There being no further business, the Committee adjourned at 10:51 a.m.

November 16, 2017

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Committee on University Advancement has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and


WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Committee that such closed session was conducted in conformity with Virginia law;

NOW, THEREFORE, BE IT RESOLVED, That the Committee on University Advancement, reconvening in open session, hereby certifies that, to the best of each Board of Visitors member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Committee on University Advancement.

VOTE

AYES: 17

NAYS: 0



Sue H. Gerdelman  
Chair, Committee on University Advancement

**MINUTES**  
**Campaign Steering Committee**  
**February 10, 2018**  
**School of Education - Matoaka Woods Room**

Board of Visitors Attendees:

Sue H. Gerdelman

Others attending: President W. Taylor Reveley III, Provost Michael R. Halleran, Matthew T. Lambert, Mark L. Begly, David T. Croall, Lynn M. Dillon, Teri D. Dungan, Tom F. Dungan, Rob W. Estes, Jean Estes, Mike P. Foradas, John W. Gerdelman, Glenne H. Harding, Thomas P. Hollowell, Cindy S. Jarboe, Anna M. Mahalak, Audra L. Mallow, Nancy W. Mathews, Bee McLeod, Ed E. Moreland, Charlie J. Natale, Luke M. Schwenke, Hunter J. Smith, Susan S. Trice, Robert H. Trice, J. Goody Tyler III, Bobbie B. Ukrop, Jim E. Ukrop, Suzie M. Armstrong, Monique D. Dandridge, Lee J. Foster, Dan Frezza, Nick A. Georges, Heather E. Golden, Earl T. Granger III, Rebecca A. Murray, Jennifer Shimp-Bowerman. The following students were also in attendance: Ryder Bell, Jared Bergen, Lydia Boike, Elysse Branton, Christian Cargill, Nicole Carrasco, Meredith Evans, Leah Horrell, Hannah Kwawu, Ellery Lea, Divya Mathew, Erin Menges, Jimmy Moore, Yaw Ofori-Addae, Nathanael Paige, Noah Petersen, Brandon Posner, Jeff Staker, Tessa Tilton, and Bezi Yohannes.

Vice President for Advancement Matthew Lambert called the meeting to order at 11:40 a.m. and expressed his thanks to those attending.

Mr. Lambert gave an overview of the progress of the campaign thus far, highlighting the three goals of **For the Bold** and the many accomplishments to date. He also gave an update on upcoming activities and ways to get involved. After Mr. Lambert's presentation, Associate Vice President for Development/Campaign Director Mark Begly gave an update on the total amount raised and what will be required to finish the campaign.

Campaign Chair Sue Gerdelman closed the meeting with brief remarks about President Reveley and thanked him for all that he has done in the last ten years as President. She asked if there were any questions or concerns about the information that was presented during the meeting. Nothing was raised.

There being no further business, the Campaign Steering Committee adjourned at 1:18 p.m.

COMMITTEE ON ADMINISTRATION, BUILDINGS AND GROUNDS

April 19, 2018

11:10 – 11:45 a.m.

Board Room - Blow Memorial Hall

William H. Payne II, Chair  
Anne Leigh Kerr, Vice Chair

- I. Introductory Remarks – William H. Payne II
- II. Approval of Minutes – February 8, 2018
- III. Report from College Building Official – David W. Rudloff. Enclosure F.
- IV. Report from Virginia Institute of Marine Science – Dean/Director John T. Wells
  - A. Capital Outlay Projects Progress Report. Enclosure G.
- V. Report from Senior Vice President for Finance and Administration – Samuel E. Jones
  - A. Capital Outlay Projects Progress Report. Enclosure H.
  - B. Resolution to Approve Final Regulation Regarding Weapons on Campus. **Resolution 22**.
  - C. Resolution to Approve Proposed Regulation Regarding Open Flames on Campus. **Resolution 23**.
- VI. Closed Session (if necessary)
- VII. Discussion
- VIII. Adjourn

COMMITTEE ON ADMINISTRATION,  
BUILDINGS AND GROUNDS  
MINUTES – FEBRUARY 8, 2018

**MINUTES**  
**Committee on Administration, Buildings and Grounds**  
**February 8, 2018**  
**Board Room - Blow Memorial Hall**

Attendees: William H. Payne II, Chair; Warren W. Buck III; James A. Hixon; Barbara L. Johnson, J.E. Lincoln Saunders, Karen Kennedy Schultz; faculty committee representative Christopher J. Abelt, and student committee representative Annelise Yackow.

Board members present: S. Douglas Bunch, Sue H. Gerdelman, Christopher M. Little, John E. Littel, Lisa Roday, and staff liaison, Terence A. Fassanella.

Others present: President W. Taylor Reveley III, Provost Michael Halleran, Virginia M. Ambler; Kiersten L. Boyce; Henry R. Broaddus; Kent B. Erdahl; Michael J. Fox, W. Fanchon Glover; Samantha K. Huge; Samuel E. Jones, Deborah H. Love, Amy S. Sebring, Dean John T. Wells, Brian T. Whitson, Sandra J. Wilms, H. Van Dobson, John M. Poma, Courtney M. Carpenter, Chief Deborah Cheesebro, and other College staff.

Chair William H. Payne II, called the meeting to order at 10:55 a.m. and welcomed Annelise Yackow and Chris Abelt. Recognizing that a quorum was present, Mr. Payne requested a motion to approve the minutes of the November 16, 2017, meeting. Motion was made by Mr. Hixon, seconded by Mr. Saunders, and approved by voice vote of the Committee.

Mr. Payne acknowledged some awards and milestones met by the university: 1) ISSA awarded the Cleaning Industry Management Standard (CIMS) award to the university's custodial staff for its sustainability efforts; 2) the Committee on Sustainability has accomplished over \$50K in projects; and 3) Highland is the first museum in the nation to use augmented reality on its tours. Mr. Payne also noted that the two resolutions regarding the proposed regulations on weapons and open flames on campus that had been deferred at the November meeting would be considered at this meeting.

Building Code Official Dave Rudloff provided a written report of work in progress, detailed in **Enclosure F**. The Committee had no questions.

Dean John T. Wells provided highlights from VIMS' written report on capital outlay projects as detailed in **Enclosure G**. The Committee had no questions. Dean Wells reviewed **Resolution 15**, Resolution to Approve Property Acquisition: 29 Brooklyn Avenue. Mr. Payne advised that the Committee would address all resolutions as a block at the end of the meeting.

Senior Vice President for Finance and Administration Samuel E. Jones provided capital project highlights for William & Mary from the written report detailed in **Enclosure H**. He broke down the projects by those under construction, in design or in preplanning, answering several committee questions. It was noted that the Real Estate Foundation hosted a groundbreaking ceremony for the Shenkman Jewish Center on February 7, 2018.

Mr. Jones advised that **Handout Resolution 19**, Resolution to Approve Electrical Easement Serving the New West Utility Plant, was needed to address the lack of existing easements behind the Parking Deck and Adair Hall that could serve the new West Utility Plant. In order not to impede sitework and the necessary move of a transformer out of the building footprint, the easement requirement was only recently identified.

Mr. Jones reviewed the remaining resolutions. University Counsel Deb Love ensured William & Mary was consistent with other institutions on Resolutions 16 and 17. The Committee had no questions and Mr. Payne requested a motion to approve the resolutions as a block:

- **Resolution 15**, Resolution to Approve Property Acquisition: 29 Brooklyn Avenue.
- **Resolution 16**, Resolution to Approve Proposed Amended Regulation Regarding Weapons on Campus.
- **Resolution 17**, Resolution to Approve Proposed Regulation Regarding Open Flames on Campus.
- **Resolution 18**, Resolution to Approve Easement for Providing Underground Electric Service to the Property Known as 628 Hofstader Road in Newport News to Virginia Electric and Power Company.
- **Resolution 19**, Resolution to Approve Electrical Easement Serving the New West Utility Plant.

Motion was made by Mr. Hixon, seconded by Mr. Buck and approved by voice vote of the Committee. (**Handout Resolution 19** is appended.)

The reports being completed, and there being no further business, the Committee adjourned at 11:15 a.m.

**COLLEGE OF WILLIAM & MARY  
RESOLUTION TO APPROVE  
ELECTRICAL EASEMENT SERVING THE NEW WEST UTILITY PLANT**

**WHEREAS**, the College requests Board of Visitors' approval of the following utility easement for Virginia Electric and Power Company, doing business as Dominion Energy Virginia; and

**WHEREAS**, the College and the Commonwealth entered into a 2006 Management Agreement (the "Agreement"), governed by the restructured Higher Education Financial and Administrative Operations Act of 2005, Chapter 10 of the Title 23.1 et seq., of the *Code of Virginia*; and

**WHEREAS**, the Agreement was renewed in 2009 and 2013; and

**WHEREAS**, the Agreement authorizes the College's Board of Visitors to approve easements locally; and

**WHEREAS**, Virginia Electric and Power Company requested an easement of right-of-way, fifteen feet (15') in width, to lay, erect, construct, operate, maintain and repair underground electric facilities and all equipment, accessories and appurtenances necessary in connection therewith, for the purpose of providing underground electric facilities to the new West Utility Plant, 281 Ukrop Way, which will be constructed at the corner of Landrum Drive and Ukrop Way; and

**WHEREAS**, the new easement will address retroactively the lack of existing easements to serve existing lines ("bulk feeder" and "primary loop") placed behind the Parking Deck and Adair Hall by a previous project; and

**WHEREAS**, there is no transfer of property involved which would require action by the General Assembly (per Chapter 774, 1995 Acts of Assembly); thus

**THEREFORE BE IT RESOLVED**, by the Rector and the Board of Visitors of The College of William and Mary in Virginia, that approval is given, in accordance with all authorities, policies, and procedures in force and necessary to accomplish same, for the easement named in the resolution; and

**FURTHER RESOLVED**, That the Senior Vice President for Finance and Administration and his staff are authorized to prepare and execute any and all necessary documents pertaining to the approval and recordation of such easements in the College's name.





April 18-20, 2018

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**To: Mr. Will Payne, Chairman - Committee on Administration, Buildings and Grounds**

**From: David W. Rudloff, College Building Official**

**Date: April 03, 2018**

**Re: College Building Official Report – April 2018**

All business is proceeding smoothly; there are no significant issues to report.

Since the previous Board meeting of February 2018, we have completed Code review of the construction documents and issued a building permit for the following projects:

- Corner House – New Central Heat Pump (Two Permits Issued)
  - Mechanical: Heat pump and Ductwork
  - Electrical: Electrical equipment and circuits to support heat pump installation
- Facilities Management Warehouse: Installation of partition wall and door
- Preston Hall Structural Repairs (Grouting of Foundation Void)
- Sadler Center Flue Stack Repair (Two Permits Issued)
  - Replace Flue Stack
  - Repair Fire Rated Wall
- VIMS – Repair Sewage Pump Stations #1 and #2

Final construction completion documents have been issued for the following projects:

- Jefferson Hall Fire Alarm Replacement
- Preston Hall Structural Repairs (Grouting only)
- Zable Stadium Improvements – Certificate of Use & Occupancy

Please let me know if there are any questions I might answer. If not, thank you for the opportunity to report.

## **VIRGINIA INSTITUTE OF MARINE SCIENCE CAPITAL OUTLAY PROJECT PROGRESS REPORT**

### **268-18344 Replace Oyster Hatchery**

Appropriation Amount: \$800,000

Biennium: 2016-2018

Design Team: TBD

Obligated to Date: \$1,067

Fund Sources: Higher Education Operating

Contractor: TBD

Description: This appropriation funds the detailed planning for a new state-of-the-art 22,000 square-foot Oyster Hatchery which will house space for research, education, and training as well as space for outreach activities with industry that promotes economic development.

Progress: Architect and Engineering proposals have been received and a short list has been generated. A/E interviews are scheduled for April 19-20, 2018 and a final selection will occur immediately thereafter. It is anticipated the design will take 14 months following the architect's contract award and a subsequent construction phase of approximately 13 months.

### **268-18320 Eastern Shore Laboratory Education, Administration and Research Complex**

Appropriation Amount: \$1,626,000

Biennium: 2016-2018

Design Team: VIA Design Architects, PC

Obligated to Date: \$748,527

Fund Sources: VCBA Bonds

Contractor: TBD

Description: This appropriation funds the detailed planning for a new building complex totaling 22,218 square-feet that includes a new Administration Building, Education Building, Visiting Scientist/Student Center, Shellfish Aquaculture Hatchery, Maintenance Shop, and two Storage Shops.

Progress: VIA Design Architects has completed the Schematic Design and is proceeding with the Preliminary Design. Presentation of the exterior elevations and materials will be presented to the College's Design Review Board on April 18, 2018. VIMS has issued a Purchase Agreement to the seller for the property located at 29 Brooklyn Avenue. Design will be complete in December 2018 and we anticipate that construction will commence in the Spring of 2019.

### **268 - 18281 Chesapeake Bay Hall HVAC & Envelope Replacement**

Appropriation Amount: \$932,000

Biennium: 2016-2018

Design Team: RMF Engineering

Obligated to Date: \$805,918

Fund Sources: VCBA Bonds

Contractor: Kjellstrom and Lee, Inc.

Description: This appropriation funds the detailed planning of the replacement of the heating and ventilation systems and repair of the exterior envelope of Chesapeake Bay Hall.

Progress: RMF Engineering has completed the Schematic Design and is proceeding with the Preliminary Design. Coordination meetings with the College of William & Mary's Code Review Team and the building occupants have been held. Kjellstrom and Lee is under contract as the Construction Manager for preconstruction services. The schematic cost estimate has been received and is currently being evaluated against budget and scope.

### **268 - 18088 Facilities Management Building**

Appropriation Amount: \$7,460,000

Biennium: 2014-2016

Design Team: RRMM

Obligated to Date: \$6,464,241

Fund Sources: VCBA Bonds

Contractor: Daniel & Company

Description: This appropriation funds the planning and construction of a new 15,000 square-foot building to provide space for the Facilities Management Department, Safety and Environmental Programs, and Shipping/Receiving/Mail Room to include administrative offices, trade shops, vehicle repair, grounds, housekeeping and storage.

Progress: Daniel & Company continues to work on restoring the VIMS staff parking area that was displaced by construction. Archeology is complete and archeologists remain on "stand by" to observe excavations as they arise. The concrete foundation, block walls, floor slabs, and structural steel are complete. Brick veneer has begun and is 25% complete. Project substantial completion has been revised to September 2018 due to weather delays and final completion will be in October 2018.

### **268 - 17950 Research Vessel**

Appropriation Amount: \$10,050,000

Biennium: 2012-2014

Design Team: JMS Naval Architects

Obligated to Date: \$9,932,969

Fund Sources: VCBA Bonds

Contractor: Meridian Maritime  
Reparation

Description: This appropriation funds the planning and construction of a new custom designed research vessel to replace the R/V Bay Eagle.

Progress: Stern module has been relocated and connected to the bow module. Stern module coating is complete. Progress continues in the Lazaret and Engine rooms. Generators, propulsion engines, and the gear box have been placed. Hydraulic piping and electrical distribution systems are 80% complete. Pilothouse fabrication has begun. Construction of the A-Frame and J-Frame are complete and both are waiting to be coated. Deck machinery has been delivered to the shipyard. Delivery is scheduled for July/August 2018.

### **268 - 17993 Consolidated Scientific Research Facility (Davis Hall)**

Appropriation Amount: \$14,403,021

Biennium: 2012-2014

Design Team: Moseley Architects

Obligated to Date: \$14,212,512

Fund Sources: VCBA Bonds

Contractor: Barton Malow Company

Description: This appropriation funds the planning and construction of a new 32,000 square-foot building to provide research, study, office, and technology space for the following units: Information Technology, Marine Advisory Services, Virginia Sea Grant, Center for Coastal Resources Management, and News and Media Services.

Progress: All major construction is complete. Crews are focusing on systems testing and the final finish punch list items. The furniture has been delivered and installed. The occupant move has been delayed while Gloucester County resolves water supply issues for the building. The building dedication occurred on April 12, 2018.

### **268 - 16634 Property Acquisition: VA-NERRS**

Appropriation Amount: \$350,000

Biennium: 2000-2002

Fund Source: Federal Funds

Obligated to Date: \$193,000

Description: This appropriation funds the purchase of properties by the Virginia Estuarine & Coastal Research Reserve System using federal grants.

Progress: No current properties available. The appropriation remains open for future acquisitions.

### **268 - 16299 Property Acquisition: Master Plan Properties**

Appropriation Amount: \$1,210,000

Biennium: 2000-2002

Fund Sources: VCBA Bonds

Obligated to Date: \$1,099,781

Higher Education Operating

Description: This appropriation funds the purchase of properties contiguous to the Gloucester Point campus.

Progress: VIMS is in the process of acquiring the Hampton Roads Sanitation District (HRSD) property located at 1317 Vernon Street. The Purchase Agreement is currently being ratified with HRSD. The property purchase and closing date is anticipated this Summer 2018.

### **268 - 16149 Property Acquisition: Wachapreague**

Appropriation Amount: \$778,026

Biennium: 1998-2000

Fund Sources: Private Funds

Obligated to Date: \$763,878

Higher Education Operating

Description: This appropriation funds the purchase of properties contiguous to the Eastern Shore Laboratory campus at Wachapreague, Virginia.

Progress: No current properties available. The appropriation remains open for future acquisitions.

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Page   4   of   4  **268-12331 Maintenance Reserve**Funding Sources: VCBA (0817)  
E&G (0100)

2017	Carry Over:	\$ 415,837
2018	Appropriation:	\$ 578,436
	<b>Total:</b>	<b>\$ 994,273</b>

Spent-to-date	(\$257,166)
	\$ 737,107

Remaining Project Commitments	(\$587,613)
Maintenance Reserve Fund Balance	\$ 149,494

**2017 Projects**

## Projects Completed:

- Replace Turbocor Compressor at Chesapeake Bay Hall – Phase 2
- Replace Various HVAC Units-Chesapeake Bay Hall Controls Compressor
- Replace Various HVAC Units-Wilson House Phase 1
- Replace Various HVAC Units-Kauffman Aquaculture Center

**2018 Projects**

## Projects in Design:

- Replace Boilers in Three Buildings (Andrews Hall-Phase II, Fisheries Science Laboratory, and Seawater Research Laboratory)
- Various HVAC Replacements
- Various Roof Replacements

## Projects in Progress:

- Replace Various HVAC Units-Wilson House Phase 2
- Replace Various HVAC Units-Clayton House
- Repair Sewer Pump Station #1 and #2
- Renovate Watermen's Hall Restrooms

## Projects Completed:

- Replace Rooftop HVAC/Roof at Fisheries Science Building
- Replace Turbocor Compressor at Chesapeake Bay Hall – Phase 3
- Replace Various HVAC Units-Chesapeake Bay Hall Compressor Receivers
- Dredging of the Boat Basin

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## **WILLIAM & MARY CAPITAL OUTLAY PROJECT PROGRESS REPORT**

### **204 – 18002 Improve Accessibility Infrastructure**

Design Team: Clark-Nexsen

Budget: \$2,889,456

Funding Source: state

Biennium: 2012-2014

Contractor: Pembroke

Const/JW Enochs

Obligated to date: \$2,733,117

Description: The project supports review, design, and construction of improvements to remedy accessibility issues at facilities not currently targeted for renovation within the next decade, and at various other campus locations.

Progress: The Adair Hall elevator is under construction at this time and pathway construction will resume at the west end of the Sunken Garden on May 20. Estimated completion is August 2018.

### **204 – 18003 Improve Lake Matoaka Dam Spillway**

Design Team: Draper Aden

Budget: \$6,799,000 (estimate)

Funding Source: state

Biennium: 2012-2014

Contractor: TBD

Obligated to date: \$348,848

Description: State Dam Safety Regulations currently require that the spillways of all high-hazard dams must pass 90% of the probable maximum flood. This results in 7 foot overtopping of existing earthen dam.

Progress: Preliminary Design will be complete in April 2018. BCOM will establish the final project budget after their review of PD's. Working Drawings are estimated for completion in June 2018. Completion of project remains dependent on the allocation of additional funding from the Commonwealth.

### **204 – 18004 Improve Campus Stormwater Infrastructure**

Design Team: Draper Aden

Budget: \$3,391,198

Funding Source: state

Biennium: 2012-2014

Contractor: Branscome

Obligated to date: \$2,912,881

Description: Create a new Stormwater Management Plan to achieve compliance with state regulations and federal Chesapeake Bay Total Maximum Daily Limit pollutant guidelines. Planning includes inventory of existing stormwater structures, and the identification, design and construction of projects to achieve regulatory compliance. The university must achieve a 5% contaminant reduction during 2013-2018; 40% during 2019-2024; and 100% during 2025-2030.

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Progress: Wildflower and Health Center ponds are complete. Improvements to Crim Dell and the service lane behind Ewell, Washington, McGlothlin Street and Landrum Halls will be executed this summer. Work is being coordinated with the Reveley Garden at the east end of service lane. Estimated completion for storm water work is early Fall 2018.

**204 – 18100 – 006 Renovate Landrum Hall**

Design Team: Clark Nexsen

Budget: \$19,463,177

Funding Source: CWM debt

Biennium: 2016–2018

Contractor: W.M. Jordan

Obligated to Date: \$18,334,855

Description: The residential space in the 1958 era, 221-bed facility will be renovated in order to bring the facility into compliance with current building code, replace 59-year old building systems and replace interior finishes with modern materials and design, similar to the work achieved in Chandler Hall.

Progress: New building mechanical, electrical and plumbing systems are about 50% complete with interior wall framing and drywall installation underway. New elevator structure is complete. Estimated completion remains July 2018.

**204 – 18192 Construct McLeod Tyler Wellness Center**

Design Team: EYP

Budget: \$19,000,000

Funding Source: CWM debt/Aux/private funds

Biennium: 2016-2018

Contractor: Whiting-Turner

Obligated to Date: \$17,309,668

Description: Construct a 31,500 GSF wellness center to house, integrate and enhance the functions of the existing Student Health Center, the Counseling Center, Health Promotions and select recreational activities (yoga, massage, expressive movement, etc.). The center will promote proactive holistic wellness.

Progress: Construction progressing as scheduled, building envelope nearing completion with interior framing and wall close-in underway. Expect to be weather tight in April. Project is tracking to budget; completion is scheduled for August 2018.

**204 – 18202 Construct West Utility Plant**

Design Team: RMF Engineering

Budget: \$30,264,000

Funding Source: state/ CWM debt

Biennium: 2016-2018

Contractor: DPR

Obligated to Date: \$2,149,792

Description: **(Phase I)** Construct an 11,500 GSF utility plant building that will house three 1200 ton chillers and three 9,900 MBH (million British Thermal Units / hour) boilers and connect to existing underground distribution networks. The plant will be sized to accommodate one additional chiller and three boilers for future capacity demand. **(Phase II)** As the budget allows, chilled water and hot water lines will be piped into the West Campus area so that facilities and future projects may use this plant.



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Progress: Working drawings were completed in December of 2017 with construction permit expected by April 2018. First phase of construction will last 14 months with a completion date of June 2019, and system tie in over summer of 2019.

**204 – 18292 Construct Fine and Performing Arts Complex, Ph 1 and 2**

Design Team: Moseley/HGA

Biennium: 2016-2018

Budget: \$118,503,000

Contractor: Holder Construction

Funding Source: state

Obligated to Date: \$5,752,693

Description: Design and construct two phases of a three phase "Arts Quarter" program. Major project components are:

*Music Building* – New construction of 66,200 GSF of new teaching and performance space for Music. Key program elements include four classrooms/seminar rooms, 15 teaching studios, 33 practice rooms, 60-person choral and 45-person instrument practice rooms, a 139 seat recital hall and a 441 seat recital hall.

*PBK Addition/Renovation* - Adaptive reuse of Phi Beta Kappa Hall for Theater, Speech and Dance which will produce a 95,200 GSF facility (78,550 sf new + 16,650 GSF renovation). Key components will be a 73-seat dance recital studio, 98-seat student laboratory, a 219-seat black box theater, and a 499 seat renovated main theater.

Progress: Construction of Dillard temporary space begins May 2018, and will be complete in September 2018. Temporary space has been assigned as necessary. Construction of the Music Building will begin early 2019, no swing space required. Preliminary Design is complete for all structures; final budget will be established following BCOM review. Schedule is being developed to meet completion date of December 2020.

**204 – 18329 Design Integrated Science Center, Ph 4**

Design Team: Goody Clancy/Baskervill

Biennium: 2018-2020

Budget: TBD (\$67,584,000 estimated)

Contractor: TBD

Funding Source: CWM aux/state

Obligated to Date: \$1,287,700

Description: Using institutional funds, design schematics of Phase 4 of the Integrated Science Complex (ISC), which will house Mathematics, Computer Science, Kinesiology and Design/Engineering. The project will construct 124,000 GSF of new space and renovate 10,000 GSF of existing space in order to connect to the adjacent ISC.

Status: Multiple Building Committee meetings have been conducted. Programing phase will be finalized in early April 2018, with the Schematic Design to follow.

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Page   4   of   7  **204 – 90011 Design Sadler West Addition Pre-Planning Study**

Design Team: Grimm &amp; Parker/William Rawn

Biennium: 2018-2020

Budget: TBD (\$37,742,000 estimated)

Contractor: TBD

Funding Source: CWM aux/CWM debt

Obligated to Date: \$315,624

Description: Perform a pre-planning study to determine the program, location(s), associated budget, and massing for an estimated 46,000 GSF addition to the Sadler Center space program. The addition will house administrative space for Student Affairs and student organization offices currently in Campus Center. The program will take into consideration unused assembly space at One Tribe Place and potential reuse of the Student Health Center.

Progress: The Building Committee was established and the study began in May 2017. Several user programming workshops have been held and the study was completed and the final report was received in March. Initiation of design may begin in July 2018, upon authorization by the General Assembly.

**204 – 17811-009 Design Green & Gold Village Pre-Planning Study**

Design Team: Glave &amp; Holmes

Biennium: 2018-2020

Budget: \$201,262

Contractor: TBD

Funding Source: CWM aux/CWM debt

Obligated to Date: \$171,375

Description: Perform a pre-planning study to determine the recommended space program, massing, and design intent, identify significant code compliance issues, and develop the associated conceptual budget. The study will cover options for village renovation and/or replacement.

Progress: An architect has been selected, and the building committee added the DuPont site to the preplanning study to investigate the potential to build replacement housing while maintaining or increasing bed count. Renovation of the existing GGV facilities has been determined not cost effective and additional planning on the Dupont site is on-going. Study will be complete in May 2018.

**0101-002-17 Construct Wren Building ADA Ramp**

Design Team: Mesick Cohen Wilson Baker

Biennium: 2016-2018

Budget: \$499,750

Contractor: TBD

Funding Source: private funds, MR

Obligated to Date: \$25,313

Description: Complete design of a simple ADA ramp along the south side of the Wren building. Develop cost estimate for construction.

Progress: The project has been approved by the Design Review Board and Department of Historic Resources with minor comments. Construction is expected to begin in June 2018.

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Page   5   of   7  **204-90011 Construct Century Project- Busch Field Team Facility**

Design Team: McKinney &amp; Company

Biennium: 2018-2020

Budget: \$2,544,540.00

Contractor: TBD

Funding Source: private funds

Obligated to Date: \$172,485

Description: 2,900 sf one story building which provides public restrooms and team support facilities for Women's Athletic Program.

Progress: An architect has been selected, and the contract signed. The building committee has been assembled and began meeting in March 2018. Project is expected to move through design fairly quickly with construction estimated to begin in Fall 2018.

**"For the Bold" Capital Campaign Projects:****204 – 90010 Plan Martha Wren Briggs Center for Visual Arts**

Design Team: Odell/Pelli Clarke Pelli

Biennium: 2016-2018

Budget: \$40.0M (estimated)

Contractor: TBD

Funding Source: private funds

Obligated to Date: \$307,701

Description: Develop a renovation and expansion of the existing museum to create a state of the art facility.

Progress: User workshops are on-going as efforts to determine programming, size, scope, and budget continue.

**204 – 90009 Design & Construct: Alumni House Addition/Renovation**

Design Team: Glave &amp; Holmes

Biennium: 2016-2018

Budget: \$21.428M

Contractor: Kjellstrom &amp; Lee

Funding Source: private funds/CWM aux

Obligated to Date: \$2,527,154

Description: 35,000 GSF expansion of the Alumni Center will include a welcome center, event spaces, and seated dining for 400 guests (795 seats for lecture seating).

Progress: Working drawings are now underway, and tracking for May 25 completion. Construction is expected to start in August 2018 and be completed in November 2019.

**0046-001-18 Reveley Garden, Phases 1 and 2**

Design Team: Draper Aden Associates

Biennium: 2018-2020

Budget: \$1,627,783

Contractor: TBD

Funding Source: private funds/gift in kind

Obligated to Date: \$0

Description: Project includes creation of the 1926 Charles Gillette garden that was designed as part of W&M's Beaux-Arts campus plan but never constructed. Project creates a compelling outdoor circulation space and garden as intended by the original designer while merging with a modern campus. W&M will partner with the Garden Club of Virginia (GCV) for a portion of the project scope.

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Progress: A formal agreement between GCV and W&M is in review with the intent to formalize in April 2018. Project will be designed and constructed in two phases: 1) site excavation and utilities; and 2) the installation of the landscaping elements. Groundbreaking for this project is planned for June 13 with phase one starting soon thereafter. Tentative completion date for all work is November 2019.

**204-12713 Maintenance Reserve - Funding Source: VCBA (0817) & GF (0100)**

2017	Carry Over:	\$3,392,908
2018	Appropriation:	\$2,685,340
	<b>Total:</b>	<b>\$6,078,248</b>

Projects Identified thru 2018	\$7,571,967
Maintenance Reserve Fund Balance	<b>-\$1,493,718</b>

**2018 Projects**

Projects Planned:

- 204 – 00007-02 Ewell Hall Elevator Repair
- 204 – 00027-01 Ewell Hall Fire Alarms
- 204 – 16006 Yates Lot Runoff Drainage
- 204 – 17002 McGlothlin Street Fire Alarm Replacement
- 204 – 17003 SOE & Library BMP (Dillard Library Storage)
- 204 – 17004 Adair Hall Pool Sand Filtration *COMPLETE*
- 204 – 17005 Swem Library Humidifier Replacement
- 204 – 17006 Wren Pathways
- 204 – 17007 McGlothlin Street Hall Pump/Controller Replacement
- 204 – 17008 Asphalt Roadway Repair *COMPLETE*
- 204 – 17009 Prince George House Fire Alarms *COMPLETE*
- 204 – 17010 Galt Houses Fire Alarm *COMPLETE*
- 204 – 17011 Jamestown Row and Other Small House Fire Alarms *COMPLETE*
- 204 – 18001 Swem Plant Boiler Controls Repair
- 204 – 18002 Main Plant Boiler Controls Repair
- 204 – 18003 Swem Basement Pump Stations
- 204 – 18004 Sewage Pump Stations
- 204 – 18005 Washington Hall Water Intrusion Design & Repair
- 204 – 18006 Wren Building Water Infiltration Design and Repair
- 204 – 18007 School of Education Chiller Replacement
- 204 – 18008 College Apartments Fire Alarm Design
- 204 – 18009 Law School VAV/FCU Replacement (Original Bldg)
- 204 – 18010 Washington Hall HVAC Controls Repair

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- 204 – 18011 Wren Bldg Exterior Wood Repair
- 204 – 18012 James Blair Mechanical Upgrades
- 204 – 18013 President's House Sanitary Sewer
- 204 – 18014 Campus Light Pole Conversion
- 204 – 18015 Swem Library Cooling Tower Structural Repair
- 204 – 18016 Washington Room 201 Replace Fixed Seating *COMPLETE*
- 204 – 18017 Blow Hall Generator Increase
- 204 – 18018 Asphalt Road Repair
- 204 – 18019 Blow/Jefferson Hall Column Repairs
- 204 – 18020 Wren ADA Ramp
- 204 – 18XXX BMP Repairs
- 204 – 18XXX Elevator Controls
- 204 – 18XXX Ewell Hall Sprinkler Riser Configuration Repairs
- 204 – 18XXX Fire Alarm Cable Repairs
- 204 – 18XXX James Blair EPDM Roof Replacement
- 204 – 18XXX McGlothlin Street Hall Door Replacement
- 204 – 18XXX Pathways
- 204 – 18XXX Pop Lab Boiler/FCU/Piping Replacement
- 204 – 18XXX Washington Hall Fire Alarm
- 204 – 18XXX Wren Yard Sewer Repair
- 204 – XXXXX James Blair Flood Prevention Design and Repair
- 204 – XXXXX Swem Main Building Window Repair
- 204 – XXXXX Swem Pavilion B Window Repair

**WILLIAM & MARY**

**RESOLUTION TO APPROVE  
FINAL REGULATION REGARDING WEAPONS ON CAMPUS**

WHEREAS, protecting the safety of the campus community is of utmost importance to the Board of Visitors of The College of William & Mary in Virginia; and

WHEREAS, § 23.1-1301.A.1 of the Code of Virginia authorizes boards of visitors of the institutions of higher education in Virginia to make regulations and policies concerning the institution; and

WHEREAS, the Supreme Court of Virginia has confirmed that public institutions of higher education in Virginia may regulate the possession of weapons on their campuses; and

WHEREAS, pursuant to this authority, in 2011 the Board of Visitors promulgated the Weapons on Campus Regulation, governing the possession of weapons by visitors and third parties in certain facilities and at certain university events; and

WHEREAS, on February 9, 2018, the Board of Visitors proposed amendments to the Regulation to address possession of weapons inside all buildings controlled by the university, at outdoor locations where people congregate, and at events on property controlled by the university; and

WHEREAS, the proposed amendments were the subject of a comment period, which ended on March 30, 2018; and

WHEREAS, the Board deems appropriate certain changes to the proposed Regulations;

THEREFORE, BE IT RESOLVED, That, upon recommendation of the President, the amended Weapons on Campus Regulation, a copy of which is attached hereto, is hereby adopted as a final regulation, to be effective upon publication in the Virginia Register of Regulations; and

BE IT FURTHER RESOLVED, That the College's Regulatory Coordinator is hereby authorized and directed to take all necessary action to implement this resolution.

## TITLE 8. EDUCATION

### COLLEGE OF WILLIAM AND MARY

#### Chapter 20

#### Final ~~Proposed-Amended~~ Regulation

REGISTRAR'S NOTICE: The College of William and Mary is exempt from the Administrative Process Act in accordance with § 2.2-4002 A 6 of the Code of Virginia, which exempts educational institutions operated by the Commonwealth.

Title of Regulation: **8VAC115-20**. Weapons on Campus (amending **8VAC115-20-10**, **8VAC115-20-20**, **8VAC115-20-30**).

Statutory Authority: § 23.1-1301.A.1 of the Code of Virginia.

Effective Date:

Agency Contact: Kiersten Boyce, Compliance and Policy Officer, College of William & Mary, P.O. Box 8795, Williamsburg, VA 23187, telephone (757) 221-2743, or email kboyce@wm.edu.

Summary:

*The regulation establishes the weapons limitation policy at the College of William & Mary; proposed changes are noted.*

#### CHAPTER 20

#### WEAPONS ON CAMPUS

#### **8VAC115-20-10. Definitions.**

The following words and terms when used in this chapter shall have the following meanings unless the context clearly indicates otherwise:

"Police officer" means law-enforcement officials appointed pursuant to Article 3 (§ 15.2-1609 et seq.) of Chapter 16 and Chapter 17 (§ 15.2-1700 et seq.) of Title 15.2, Article 3 (§ 23.1-809) of Chapter 8 (§ 23.1-800 et seq.) of Title 23.1, Chapter 2 (§ 29.1-200 et seq.) of Title 29.1, or Chapter 1 (§ 52-1 et seq.) of Title 52 of the Code of Virginia or sworn federal law-enforcement officers.

"University property" means any property, vehicle, or vessel owned, leased, or controlled by the College of William & Mary in Virginia, including the Virginia Institute of Marine Science.

"Weapon" means any instrument of combat, or any object not designed as an instrument of combat but carried for the apparent purpose of inflicting or threatening bodily injury. Examples include but are not limited to:



(1) firearms, including any pistol, revolver, rifle, shotgun, air-pistol, paintball gun, or other weapon designed or intended to propel a bullet, cartridge, or missile of any kind by action of an explosion of any combustible material;

(2) knives, including any dirk, bowie knife, switchblade knife, ballistic knife, butterfly knife, sword, machete, razor, spring stick, or other bladed weapon with a blade longer than four inches;

(3) razors or metal knuckles;

(4) blackjacks, foils, or hatchets;

(5) bows and arrows, crossbows, and slingshots;

(6) nun chakhas, including any flailing instrument consisting of two or more rigid parts connected in such a manner as to allow them to swing freely, which may also be known as a nun chuck, nunchaku, shuriken, or fighting chain;

(8) throwing stars, including any disc, of whatever configuration, having at least two points or pointed blades which is designed to be thrown or propelled and which may be known as an oriental dart

(9) stun guns, including any device that emits a momentary or pulsed output that is electrical, audible, optical, or electromagnetic in nature and that is designed to temporarily incapacitate a person; or

(8) any explosive or incendiary device, including fireworks or other devices relying on any combination of explosives and combustibles to be set off to generate lights, smoke, or noise-any firearm or any other weapon listed in § 18.2-308 A of the Code of Virginia.

“Weapon” does not include items: (1) knives or razors commonly used for domestic or academic purposes; or pen or folding knives with blades less than three inches in length; or (2) mace, pepper spray, and other such items possessed, stored, or carried for use in accordance with the purpose intended by the original manufacturer.

**8VAC115-20-20. Possession of weapons prohibited; exceptions.**

A. Possession, storing, or carrying of any weapon by any person, ~~except a police officer or an individual authorized pursuant to university policy,~~ is prohibited on university property, whether in any university building, ~~academic buildings, administrative buildings, student residence and student life buildings,~~ or dining or athletic facilities, or while attending an official university event, ~~such as an athletic, academic, social, recreational or educational event;~~ or on vehicles or vessels that are university property. This prohibition also applies to all events or activities on university property where people congregate in any public or outdoor areas.

B. This prohibition does not apply to:

a. Police officers on university property in an official capacity pursuant to a university request, mutual aid agreement, or on active duty and within their jurisdiction



- b. A university employee possessing, storing, or carrying a weapon: (1) as authorized or required by the terms of the university employment; or (2) as permitted by the Chief of the William & Mary Police Department or designee<sup>[LD1]</sup> for good cause shown, and may contain conditions to maintain the safety of the university community. The Chief's authority to grant such permission extends to persons who are neither students nor employees.
- c. A student as authorized by the Dean of Students or designee<sup>[LD2]</sup> for good cause shown. Requests for such authorization shall be evaluated on a case-by-case basis, and may contain conditions to maintain the safety of the university community.

Entry upon such university property in violation of this prohibition is expressly forbidden. Persons violating this prohibition will be asked to remove the weapon immediately from university property. Failure to comply with this request may result in arrest for trespass. Members of the university community are also subject to disciplinary action.

**8VAC115-20-30. Person lawfully in charge.**

In addition to individuals authorized by university policy of job duties, College of William & Mary police officers are lawfully in charge for the purposes of forbidding entry upon or remaining upon university property while possessing or carrying weapons in violation of this prohibition.

**WILLIAM & MARY**

**RESOLUTION TO APPROVE  
PROPOSED REGULATION REGARDING OPEN FLAMES ON CAMPUS**

WHEREAS, protecting the safety of the campus community is of utmost importance to the Board of Visitors of The College of William & Mary in Virginia; and

WHEREAS, § 23.1-1301.A.1 of the Code of Virginia authorizes the Board of Visitors to make rules and regulations concerning the College; and

WHEREAS, the Board of Visitors existing College policy requires a permit before igniting any open flame on College property; and

WHEREAS, third parties and visitors to campus may be unaware of College policy, but are responsible for awareness of regulations that have the force of law; and

WHEREAS, the Board of Visitors deems it important to supplement existing College policy by adopting a regulation requiring a permit before the igniting or maintaining an open flame on property controlled by the College, including in its buildings; and

WHEREAS, on February 9, 2018, the Board of Visitors proposed a Regulation Regarding Open Flames on Campus; and

WHEREAS, the proposed Regulation was the subject of a comment period that ended on March 30, 2018; and

WHEREAS, the Board deems appropriate certain changes to the proposed Regulations;

THEREFORE, BE IT RESOLVED, That, upon recommendation of the President, the Board approves the Open Flames on Campus Regulation, a copy of which is attached hereto, as a final regulation to be effective upon publication in the Virginia Register; and

BE IT FURTHER RESOLVED, That the Regulatory Coordinator is hereby authorized and directed to take all necessary action to implement this resolution.

**TITLE 8. EDUCATION**  
**COLLEGE OF WILLIAM AND MARY**  
**Chapter 40**  
*Proposed Regulation*

REGISTRAR'S NOTICE: The College of William and Mary is exempt from the Administrative Process Act in accordance with § 2.2-4002 A 6 of the Code of Virginia, which exempts educational institutions operated by the Commonwealth.

Title of Regulation: **8VAC115-40. Open Flames on Campus** (adding **8VAC115-40-10, 8VAC115-40-20, 8VAC115-40-30**).

Statutory Authority: § 23.1-1301.A.1 of the Code of Virginia.

Effective Date:

Agency Contact: Kiersten Boyce, Compliance and Policy Officer, College of William & Mary, P.O. Box 8795, Williamsburg, VA 23187, telephone (757) 221-2743, or email kboyce@wm.edu.

Summary:

*The regulation establishes the limitations on the presence of open flames in university buildings or on university property, and imposes the requirement for a permit for certain activities involving open burning or open flames. This is the first regulation on this subject.*

CHAPTER 40  
OPEN FLAMES ON CAMPUS

**8VAC115-40-10. Definitions.**

*The following words and terms when used in this chapter shall have the following meanings unless the context clearly indicates otherwise:*

*"Open flame" means any activity or device producing a flame, including, but not limited to candles, tiki torches, oil lanterns, butane or other gas burners, incense, campfires, bonfires, fire pits, and grills.*

*"University property" means any property, vehicle, or vessel owned, leased, or controlled by the College of William & Mary in Virginia, including the Virginia Institute of Marine Science.*

**8VAC115-~~240-320~~. Permit required for open burning and open flames; exceptions.**

- A. *Open flames are prohibited on all university property, including within university buildings and facilities, except pursuant to a permit issued by the university.*
- B. *Exceptions to the requirement for a permit are:*

1. Activities taking place within the scope of academic coursework when under the supervision of the relevant faculty member;
2. ~~Flames created for the transient purpose of lighting a cigarette, cigar, pipe, or similar smoking device, provided such activity is in an authorized location, is otherwise lawful, and the burning or smoking elements are safely and responsibly disposed; and~~
- 2.3. ~~Activities undertaken by university contractors whose contract has been approved by the office of Procurement Services and which contract authorizes open flames.~~

#### 8VAC115-40-30. Permits.

Persons seeking to ignite an open flame must apply to the ~~office of Environmental Health & Safety Office~~ (EH&S) for a permit to perform the activity. Permits may be issued for a one-time event or activity, or on a recurring or on-going basis.

**Commented [LD1]:** The contracting process should ensure that contractors employ safe practices, and relieves them of the need to secure a permit and comply with its terms in addition to complying with contract terms. Contractors whose contracts do not contemplate the use of open flames may still seek a permit for unexpected circumstances. Such permits may be issued on an event-by-event basis or for a period of time.

**Commented [LD2]:** Corrected name of office

Applicants must apply at least five working days in advance of the activity to ensure consideration. An applicant's history of compliance with previous permits will be considered in a decision to grant a permit.

Persons granted permits are required to comply with all conditions of the permit.

#### 8VAC115-40-40. Person lawfully in charge.

In addition to individuals authorized by university policy, College of William & Mary police officers and representatives of the ~~Office of Environmental Health & Safety Office~~ are lawfully in charge for the purposes of forbidding entry upon or remaining upon university property of those who are in violation of this prohibition.

**Commented [LD3]:** Corrected name of office

#### 8VAC115-40-50. Compliance with Policy.

Persons who fail to obtain a permit or to comply with its conditions ~~will be asked to extinguish the open flame or bring the activity into compliance with the term of the permit. Failure to comply with this request may result in arrest for trespass, are subject to arrest, and to prosecution under State law.~~ Members of the university community are also subject to disciplinary action, including termination or expulsion.

**Commented [LD4]:** This change aligns with the weapons regulation, and states more accurately that potential criminal charges do not arise from a violation of the regulation per se. Instead, violators are acting outside the scope of their permission to be on campus; refusal to bring their conduct into compliance renders them subject to arrest for trespass.

COMMITTEE ON STUDENT AFFAIRS

April 19, 2018

3:45 – 4:40 p.m.

Board Room - Blow Memorial Hall

Lisa E. Roday, Chair  
William H. Payne II, Vice Chair

I. Introductory Remarks - Ms. Roday

II. Report from Vice President for Student Affairs – Virginia M. Ambler

A. Radio Station WCWM-FM Annual Report 2017-2018

B. Enclosure I.

III. Academic Enrichment Programs: Promoting Student Success

*S. Marjorie Thomas, Dean of Students*

*Nancy A. Everson, Senior Assistant Dean, Director of Academic Enrichment Programs*

IV. Student Liaisons Report

Academic Enrichment Experiences, Student Panel

*Conor Rooney and Nicole Ng (moderators), Student Liaisons to the Board*

V. Adjourn

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**WILLIAM & MARY**  
**WCWM-FM ANNUAL REPORT 2017-2018**

In accordance with the Board of Visitors' operating policies for WCWM-FM, the following report is submitted as an annual review of the station's operations for 2017-18.

WCWM-FM (90.9 MHz.) is a student-funded, student-run, radio station falling under William & Mary's Media Council. The operating budget for the FY18 is \$28,057, which is derived from the Student Activities portion of the General Fee allocated by the Media Council. This budget covers basic operating expenses such as a contracted engineer, license fees, streaming fees, electricity, phone service, memberships, subscriptions and computer leases. Major repairs, equipment purchases or replacement items, when necessary, are covered through the reserve fund maintained by the Media Council.

WCWM's membership consists of undergraduate and graduate students who show an interest in working at the station. The station actively recruits new freshmen and transfer students at Organization & Activities fairs (held in August and January). Those interested in becoming a member can also join by contacting the station and attending the weekly station meetings.

Training and orientation sessions for members are conducted each semester. DJ's are required to participate in pre-established training sessions and complete a required number of volunteer hours before they are allowed to go on the air. This training is vital to the success of the station. WCWM's leadership is primarily responsible for training. Our contracted engineer, Mr. Joe Wetherbee (RDX Systems), holds training sessions for station leaders at the beginning of each semester to discuss FCC guidelines and general station protocol. This year 35 new DJ's were recruited and trained, an increase of 34% from the previous year.

WCWM contracts RDX Systems to perform monthly inspections, measurements and calibrations and to monitor transmission frequency to maintain WCWM transmitters in accordance with FCC regulations. RDX Systems provides on call emergency repair service 24-hour per day, seven days a week. RDX Systems is also used for consulting in areas of equipment upgrades and purchases.

WCWM continues to host an annual WCWM Fest at William & Mary. This event is open campus wide as well as attracts some visitors from other Virginia college radios stations. This year's Fest, held in April, faced some scheduling hurdles yet put together an impressive and female-focused bill. Indie rock bands Half Waif and Remember Sports co-headlined the event with opening performances from campus bands Dull Ache, The Masaharu Effect, Brown Thunder, The Offbrands, and EDM Club. The event was financially supported by Media Council, Alma Mater Productions, and the SA Activities & Events funds.

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Other highlights from 2017-2018 include:

- Repair of the transmitter in spring 2018
- Filing of Biennial Ownership Report
- Renewed and resolved license fees with Sound Exchange and ASCAP
- Co-sponsored “South by South Boundary” with Meridian Coffeehouse
- Continued progress with the Record Library Cataloguing project (collection can be viewed at: <https://www.discogs.com/user/WCWM>). Current library is being reorganized with creation of a database so DJs can more easily access the location of each record in the station
- Created Vinyl Tap “working group” – for the forthcoming publication to support WCWM initiatives

Under the leadership of Co-Station Managers Colin Morgan ‘18 and Elizabeth Stephenson ‘19, the station also reviewed and revised its leadership structure in order to better meet the needs of the organization and station. In FY19, WCWM will pay close attention to the renovations taking place at Phi Beta Kappa Hall as the station transmitter and booster reside on top of the building. It is not yet clear if there will be impact to navigate as a result of the renovation but we are in close contact with Facilities Management. RDX Systems also indicates that we will need to continue to pay attention to aging infrastructure (which contributed to the spring 2018 transmitter repair needs). Next year, WCWM will begin the process of renewing their license with the FCC prior to the October 1, 2019 expiration of the current license.

Anne Arseneau, Director  
Office of Student Leadership Development

Patricia Fredrick, Associate Director  
Office of Student Leadership Development

COMMITTEE ON ATHLETICS  
April 19, 2018  
4:45 – 5:15 p.m.  
Board Room - Blow Memorial Hall

Sue H. Gerdelman, Chair  
S. Douglas Bunch, Vice Chair

- I. Introductory Remarks and Welcome – Ms. Gerdelman
- II. Approval of Minutes – February 8, 2018
- III. Athletics Director Report – Samantha K. Huge
- IV. Closed Session (if necessary)
- V. Other Business
- VI. Adjourn



COMMITTEE ON ATHLETICS  
MINUTES – FEBRUARY 8, 2018

**MINUTES**  
**Committee on Athletics**  
**February 8, 2018**  
**Holly Room – School of Education**

Board of Visitors' Athletics Committee members present: Sue H. Gerdelman, Chair; S. Douglas Bunch; Vice Chair; Thomas R. Frantz; J.E. Lincoln Saunders and H. Thomas Watkins III.

Other Board members present: Warren W. Buck III, Barbara L. Johnson, William H. Payne II, Lisa E. Roday, Karen Kennedy Schultz

W&M Foundation Athletics Sub-Committee members present: Maryellen Feeley, Chair; Melissa Friedery, Melissa Friedery, Andrew Horrocks, Jen Mackesy, Audra Mallow, John Novogratz, John Reilly, Michael Sapnar, Craig Staub

Others present: Chelsey Burk, Bobby Dwyer, Michael Fox, Nick Georges, Peel Hawthorne, Samantha Huge, Matthew Lambert, Julie Leach, Julia Martin, Taylor Reveley and Kim Sosa.

Chair Sue Gerdelman called the meeting to order at 3:45 p.m.

Noting that this was a joint meeting of the Board of Visitors' Committee on Athletics and the Athletics Sub-Committee of the College of William & Mary Foundation, Ms. Gerdelman welcomed the members of the Foundation Sub-Committee.

Foundation Athletics Sub-Committee Chair Maryellen Feeley had brief opening remarks and moved adoption of the minutes of the Foundation Athletics Sub-Committee meeting of October 19, 2017.

Recognizing that a quorum was present, Ms. Gerdelman asked for a motion to adopt of the minutes of the November 16, 2017 meeting of the Board of Visitors Committee on Athletics. Motion was made by Mr. Frantz, seconded by Mr. Saunders and approved by voice vote of the Committee.

Director of Athletics Samantha Huge welcomed the group by introducing staff members Bobby Dwyer, Senior Associate Athletic Director for External Affairs, Julia Martin, Coordinator of Engagement and Chief of Staff, Chelsea Burk, CFO/Associate Athletic Director for Finance, Peel Hawthorne, Senior Women's Administrator/Associate Athletic Director, Nick Georges, Assistant Director of Athletic Development and Julie Leach '99, Assistant Director of Development.

Ms. Huge began her presentation with "the first 8 months", noting that her focus has been on things that matter and things that we can control. In the past eight months, her focus has been on people – student athletes, coaches, staff, campus partners, external constituents and development. She has spent a lot of time away from campus, contributing to campaign goals and really listening.

Ms. Huge shared information on a new electronic platform recently developed for student athletes to provide feedback in regards to how William & Mary is serving them, what they are

thinking and what can be done better. Ms. Huge turned the meeting over to Bobby Dwyer for Campaign update questions. The Campaign Update and Major Gifts for Athletics handouts were distributed prior to the meeting.

Ms. Huge commented on Pride vs. Spirit and that getting more spirit is another goal. A large Griffin statue has been acquired by W&M from Wentworth Military Academy in Missouri. The plan is for it to be placed in front of Zable Stadium. Plans include a bricked plaza with the Griffin on a pedestal. Ms. Huge believes that it will help in building spirit and enhance the game day experience. The Griffin statue is 7 ft. long 5 ft. tall. More soon on the arrival date.

Following a brief discussion, Ms. Gerdelman moved that the Committee on Athletics convene in Closed Session for the purpose of discussing specific recommendations related to fundraising initiatives, priorities and strategies, as provided for in Section 2.2-3711.A.8., of the Code of Virginia. Motion was seconded by Mr. Frantz and approved by voice vote. Observers were asked to leave the room and the Committee went into closed session at 4:15 p.m.

The Committee reconvened in open session at 4:50 p.m. Ms. Gerdelman reviewed the topic discussed in closed session and moved adoption of the **Resolution** certifying the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by Mr. Saunders and approved by roll call vote conducted by Secretary to the Board Michael Fox. (Certification **Resolution** is appended.)

Ms. Huge introduced Brandon Britt '14, former W&M basketball player who is now earning his MBA at W&M. Brandon gave remarks to the group regarding his background, his experience and what W&M means to him. Next introduced was Julie Shackford '88, recently hired Head Coach of the Women's Soccer team. Julie shared her career, education and life story with the group and how honored and humble she is to continue the great tradition in taking the Women's Soccer team to the next level.

There being no further business, and following brief closing remarks, Ms. Gerdelman thanked Ms. Huge for her hard work and the attendees for joining the meeting, then adjourned the meeting at 5:04 p.m. to attend the dedication of the squash court at the Student Rec Center.

February 8, 2018

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors Committee on Athletics has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Committee that such closed session was conducted in conformity with Virginia law;

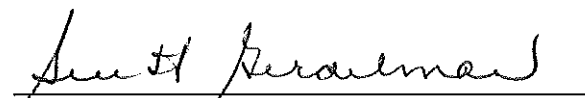
NOW, THEREFORE, BE IT RESOLVED, That the Committee on Athletics, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Committee on Athletics.

VOTE

AYES: 5

NAYS: 0

ABSENT DURING CLOSED SESSION:

  
Sue H. Gerdelman  
Chair  
Committee on Athletics

COMMITTEE ON STRATEGIC INITIATIVES AND NEW VENTURES

April 20, 2018

7:45 – 9:00 a.m.

Board Room – Blow Memorial Hall

Thomas R. Frantz, Chair

John E. Littel, Vice Chair

- I. Introductory Remarks – Mr. Frantz
- II. Strategic Planning Dashboard - Enclosure J.
- III. Closed Session (if necessary)
- IV. Adjourn



# STRATEGIC PLANNING DASHBOARD

■ NEW DATA  
■ FUTURE DATA

CHALLENGE : Leading Liberal Arts University										COMPARISONS	
MEASURES	10-yr Trend (up=positive)	10 Yrs Ago (2008-09)	2014-15	2015-16	2016-17	2017-18	TARGET	UVA	BROWN		
1 Undergraduate acceptance rate		34.1%	33.0%	34.5%	36.5%	35.9%	34%	30% (2016-17)	9% (2016-17)		
2 Undergraduate yield rate		35.0%	31.4%	29.5%	28.7%	28.6%	34%	38% (2016-17)	56% (2016-17)		
3 Undergraduate SAT scores: 25th - 75th percentile range		1250-1440	1270-1470	1260-1460	1250-1470	1300-1480*	1250-1450	1240-1460 (2016-17)	1370-1570 (2016-17)		
4 Undergraduate graduation rate: completions within 6 years		91%	90%	91%	92%	Available 4/2019	95%	94% (2010 cohort)	96% (2010 cohort)		
5 Student-faculty ratio		11:1	12:1	12:1	12:1	11:1	12:1	15:1 (2016-17)	7:1 (2016-17)		
6 Small undergraduate classes: percent with 2-19 students		45%	48%	49%	49%	49%	50%	56% (2016-17)	68% (2016-17)		
7 USNWR: Best Undergraduate Teaching		6	4	12	7	Available 9/2018	1	17 (tie) (2016-17)	3 (2016-17)		
8 Gap between avg. faculty salary and 60th percentile of SCHEV peers		15.3%	15.7%	17.7%	21.3%	Available 4/2019	60th pctl.	N/A	N/A		
CHALLENGE : Diversity										COMPARISONS	
MEASURES	10-yr Trend (up=positive)	10 Yrs Ago (2008-09)	2014-15	2015-16	2016-17	2017-18	TARGET	UVA	BROWN		
9 Undergraduate students who are members of historically underrepresented groups		22%	29%	29%	29%	29%	N/A	30% (2016-17)	38% (2016-17)		
10 Graduate/professional students who are members of historically underrepresented groups		13%	14%	16%	18%	20%	N/A	19% (2016-17)	24% (2016-17)		
11 Undergraduate Pell grant recipients		9%	11%	11%	11%	Available 3/2019	N/A	12% (2015-16)	15% (2015-16)		



# STRATEGIC PLANNING DASHBOARD

■ NEW DATA  
■ FUTURE DATA

CHALLENGE : Global Engagement										COMPARISONS		
MEASURES		10-yr Trend (up=positive)	10 Yrs Ago (2008-09)	2014-15	2015-16	2016-17	2017-18	TARGET	UVA	BROWN		
12	Undergraduate students with international citizenship		2%	5%	6%	6%	6%	N/A	5% (2016-17)	12% (2016-17)		
13	Graduate students with international citizenship		10%	15%	14%	14%	13%	N/A	18% (2016-17)	30% (2016-17)		
14	Undergraduate students who study abroad		N/A	50%	51%	53%	Available 9/2018	60%	N/A	N/A		
15	Total international student enrollment		N/A	667	687	694	702	600	N/A	N/A		
16	Total countries with students enrolled		N/A	58	65	61	61	60	N/A	N/A		
CHALLENGE : Lifelong Connection										COMPARISONS		
MEASURES		10-yr Trend (up=positive)	10 Yrs Ago (2008-09)	2014-15	2015-16	2016-17	2017-18	TARGET	UVA	BROWN		
17	Alumni giving participation rate: undergraduates with degrees		22.4%	27.1%	28.6%	29.9%	Available 9/2018	40%	20% (2015-16)	28% (2015-16)		
CHALLENGE : Communications										COMPARISONS		
MEASURES		10-yr Trend (up=positive)	10 Yrs Ago (2008-09)	2014-15	2015-16	2016-17	2017-18	TARGET	UVA	BROWN		
18	USNWR: National Universities		33	34 (tie)	32 (tie)	32 (tie)	Available 9/2018	N/A	25 (2016-17)	14 (2016-17)		
19	USNWR: Public Universities		6	6	6	6	Available 9/2018	N/A	3 (2016-17)	N/A		





# STRATEGIC PLANNING DASHBOARD

■ NEW DATA  
■ FUTURE DATA

CHALLENGE : Business Plan										COMPARISONS		
	MEASURES	10-yr Trend (up=positive)	10 Yrs Ago (2008-09)	2014-15	2015-16	2016-17	2017-18	TARGET	UVA	BROWN		
20	USNWR: Financial Resources		88	113	112	111	Available 9/2018	70	55 (2016-17)	22 (2016-17)		
21	Total sponsored program expenditures (in millions)		\$50	\$61	\$63	\$62	Available 4/2019	\$60	\$361 (2016-17)	\$116 (2016-17)		
22	Debt service as percent of operating expense		N/A	5.6%	7.2%	5.5%	Available 9/2018	7%	N/A	N/A		
23	Annual giving to the Fund for W&M plus expendable scholarships (in millions)		\$5.7	\$8.6	\$8.2	\$7.3	Available 9/2018	\$9	N/A	N/A		
24	Total annual giving not including gifts >\$100K (in millions)		\$13.9	\$20.6	\$21.3	\$21.2	Available 9/2018	\$22	N/A	N/A		
25	Total new private gifts and commitments (in millions)		\$62	\$106	\$143	\$135	Available 9/2018	\$100	N/A	N/A		
26	Endowment per student (year end)		\$65,711	\$100,572	\$99,381	\$106,143	Available 10/2018	\$175,000	\$262,079 (2016-17)	\$333,103 (2016-17)		
27	Total endowment (in millions)		\$495	\$811	\$804	\$874	Available 10/2018	\$1,500	\$5,852 (2016-17)	\$2,963 (2016-17)		
28	Average per-borrower cumulative undergraduate debt		\$18,410	\$26,017	\$26,400	\$24,072	Available 2/2019	\$20,000	\$24,598 (2016-17)	\$23,810 (2016-17)		
29	Undergraduate students who graduate with debt		40%	37%	35%	36%	Available 2/2019	40%	33% (2016-17)	37% (2016-17)		
CHALLENGE : Administrative Resources and Infrastructure										COMPARISONS		
	MEASURES	10-yr Trend (up=positive)	10 Yrs Ago (2008-09)	2014-15	2015-16	2016-17	2017-18	TARGET	UVA	BROWN		
30	All E&G Facilities Condition Index		11.0%	5.8%	4.8%	4.6%	4.6%	10%	4.9% (2016-17)	N/A		



## DASHBOARD NOTES

The dashboard contains selected measures keyed to challenge areas within the strategic plan. W&M tracks many of these measures against a set of 16 peer universities identified in the strategic planning process. This dashboard contains publicly available data for two of those schools for illustrative purposes. Comparisons with other schools are challenging and require careful analysis. UVA and Brown, for example, both have engineering and medical schools, and W&M does not.

### Source Notes by Dashboard Measure Number

1	Integrated Postsecondary Education Data System (IPEDS) of US Dept. of Education Nat'l Center for Education Statistics (Data for required reports could vary among school by one year for some measures).
2	IPEDS. See note 1.
3	US News & World Report (USNWR): rankings published in September based on data submitted in previous spring. Reported for year data collected. Calculated by adding the 25th and 75th percentiles for Critical Reading and the percentiles for Math. *For 2017-18 data, we use NEW SAT scores. If a student only submits OLD scores, we convert the OLD to NEW based on College Board's concordance tables. If a student submits both OLD and NEW, we convert OLD to NEW (Old Critical Reading & Writing to New Evidence-Based Reading and Writing, and Old Math to New Math). Then we use whichever total is higher, the converted old scores or the new scores. W&M does superscore within the same SAT test but not across the OLD and NEW SAT. For example, we will not combine the highest converted old CRW with the new SAT M.
4	IPEDS. See note 1.
5	USNWR. See note 3. Does not include faculty or students in stand-alone graduate or professional programs (e.g., Law)
6	Common Data Set (or institutional website as available).
7	USNWR. See note 3.
8	IPEDS HR data reporting. Currently FY1415 W&M's average faculty salary is \$96,741 and 60th percentile of the peer group is \$111,956. The 15.7% gap in between in dollar amount is \$15,215.
9	IPEDS. See note 1. Underrepresented groups include American Indian or Alaska Native, Asian, Native Hawaiian/ Other Pacific Islander, Black or African American, Hispanic, Two or more races. Underrepresented groups do not include White, Unknown, Nonresident aliens.
10	IPEDS. See note 1. Underrepresented groups include American Indian or Alaska Native, Asian, Native Hawaiian/ Other Pacific Islander, Black or African American, Hispanic, Two or more races. Underrepresented groups do not include White, Unknown, Nonresident aliens.
11	IPEDS. See note 1. Calculated by dividing the number of Pell Grant recipients by the total number of undergraduates—financial aid cohort. Data unavailable prior to 2008-09, so percent receiving federal aid used as a proxy.
12	IPEDS. See note 1. This measure reflects the IPEDS variable "nonresident alien," which is defined as "a person who is not a citizen or national of the United States and who is in this country on a visa or temporary basis and does not have the right to remain indefinitely."
13	IPEDS. See note 12.
14	Institute of International Education, "Open Doors Data" reports. Since 2012-13, W&M has been using an internal calculation that includes both IIE's Open Doors number and adds international students who study abroad.
15	W&M records.
16	W&M records. Total number of countries represented by W&M students.

## DASHBOARD NOTES

The dashboard contains selected measures keyed to challenge areas within the strategic plan. W&M tracks many of these measures against a set of 16 peer universities identified in the strategic planning process. This dashboard contains publicly available data for two of those schools for illustrative purposes. Comparisons with other schools are challenging and require careful analysis. UVA and Brown, for example, both have engineering and medical schools, and W&M does not.

Source: Notes by Dashboard Measure Number

17	Council for Aid to Education/Voluntary Support of Education. Money received and irrevocable commitments only. Does not include all pledges. Annual rate based on Council for Aid to Education/Voluntary Support of Education (VSE). Uva data is not available from CAE VSE report. Uva data is from USNWR which reflects the average percentage of living alumni with bachelor's degrees who gave to their school during the previous two most recent years, e.g., USNWR 2016 release reflects the average percentage of 2013-2014 and 2014-2015.
18	USNWR/ See note 3.
19	USNWR/ See note 3.
20	USNWR/ See note 3.
21	IPEDS. See note 1.
22	National Association of College and University Business Officers (NACUBO).
23	W&M records. "Gifts" of \$100,000 and more are not included.
24	W&M records. Gifts of more than \$100,00 not included.
25	W&M records. New gifts plus new commitments.
26	National Association of College and University Business Officers (NACUBO).
27	(NACUBO). See not 26.
28	Common Data Set (or institutional website, as available). CDS_HS: Average cumulative principal borrowed by undergraduate borrowers who started as freshmen at the institution and who had earned bachelor's in the current year.
29	Common Data Set (or institutional website, as available). CDS_HS: Average cumulative principal borrowed by undergraduate borrowers who started as freshmen at the institution and who had earned bachelor's in the current year.
30	The Facilities Condition Index (FCI) is a fitness indicator for the College's facilities and infrastructure. The FCI is the ratio of known maintenance deficiencies to the current replacement value of academic facilities. Industry standards suggest that an FCI of 5% or less indicates good condition, 5% to 10% fair condition, and above 10% poor condition. "All E&G Facilities" are those facilities supported by general funds allocated by the Commonwealth. These facilities include "major" E&G facilities (classrooms, class lab facilities, Wren Building, Admissions, Blow Memorial Hall, Cohen Career Center) and "minor" E&G facilities (e.g., Jamestown Road Houses, Galt Houses, Quonset Huts, physical plant-related facilities). E&G facilities do not have a revenue generating component and exclude facilities that are self-supporting such as dormitories, athletic facilities, food service facilities.

COMMITTEE ON FINANCIAL AFFAIRS

April 20, 2018

9:00 – 10:00 a.m.

Board Room - Blow Memorial Hall

H. Thomas Watkins III, Chair

James A. Hixon, Vice Chair

- I. Introductory Remarks - Mr. Watkins
- II. Approval of Minutes – February 9, 2018
- III. Report by Senior Vice President for Finance and Administration Samuel E. Jones and Chief Financial Officer Amy S. Sebring
  - A. FY 2018-19 Operating Budget Proposal. **Resolution 24**.
  - B. FY 2018-19 Operating Budget Detail for Educational and General Programs. Enclosure K.
  - C. FY 2018-19 Operating Budget Detail for Sponsored Programs. Enclosure L.
  - D. FY 2018-19 Operating Budget Detail for Student Financial Aid. Enclosure M.
  - E. FY 2018-19 Operating Budget Detail for Board of Visitors Private Funds. Enclosure N.
  - F. FY 2018-19 Operating Budget Detail for Auxiliary Enterprises. Enclosure O.
  - G. FY 2018-19 Tuition and Fee Structure for Full- and Part-Time Students. **Resolution 25**.
  - H. 2017-2018 Operating Budget Summary. Enclosure P.
- IV. Report from Virginia Institute of Marine Science Dean John T. Wells
  - A. FY 2018-19 Operating Budget Proposal. **Resolution 26**.
  - B. 2017-2018 Operating Budget Summary. Enclosure Q.
- V. Investments Subcommittee Report – Mr. Hixon
- VI. Closed Session (if necessary)
- VII. Discussion
- VIII. Adjourn

COMMITTEE ON FINANCIAL AFFAIRS  
MINUTES – FEBRUARY 9, 2018

**MINUTES**  
**Committee on Financial Affairs**  
**February 9, 2018**  
**Board Room - Blow Memorial Hall**

Attendees: H. Thomas Watkins III, Chair; James A Hixon, Vice Chair; Mirza Baig; S. Douglas Bunch; Thomas R. Frantz; Anne Leigh Kerr; Christopher M. Little; Brian P. Woolfolk; and faculty committee representative Catherine A. Forestell. Board members present: Rector Todd A. Stottlemeyer; Sue H. Gerdelman; Barbara L. Johnson, John E. Littel; William H. Payne II, Lisa E. Roday and Karen Kennedy Schultz. Others in attendance: President W. Taylor Reveley III; Provost Michael R. Halleran; Henry R. Broaddus; Kent B. Erdahl; Michael J. Fox; Samuel E. Jones; Jacob P. Long, Deborah A. Love, Jeremy P. Martin; John M. Poma, Amy S. Sebring; Richard Bland College President Debbie Sydow; Brian W. Whitson, Timothy A. Wolfe, Virginia Institute of Marine Science Dean and Director John T. Wells; and other College and VIMS staff.

Chair Thomas Watkins convened the meeting at 8:18 a.m. Recognizing that a quorum was present, Mr. Watkins requested a motion to approve the minutes of the November 17, 2017, meeting. Motion was made by Mr. Little, seconded by Mr. Woolfolk and approved by voice vote of the Committee.

Chief Financial Officer Amy Sebring reported on the State's FY18 budget, noting there was a 5.9% increase in General Fund revenues, year to date, through December 2018, surpassing the 3.4% forecast. The key higher education priorities in the Governor's FY 2018-2020 budget include undergraduate need-based financial aid; research investment; increased funding for maintenance reserve; and a 2% salary increase in FY 2020.

The Governor's introduced budget includes language to 1) allow William & Mary to extend Tier 3 status to Richard Bland College to assist with RBC operations; 2) restore financial incentives under restructuring; and 3) authorize the creation of a reserve fund, capped at 3% of the Educational & General (E&G) general fund appropriation.

The following operating budget amendment, as detailed in Enclosure 1, has been submitted to the 2018 General Assembly on behalf of William & Mary.

	<u>FY 2018-19</u>	<u>FY 2019-20</u>
Public Policy/Whole of Government	\$250,000 GF	\$200,000 GF
On-Line Curriculum	1.50 FTE	2.00 FTE

Virginia Institute of Marine Science Dean and Director John Wells provided a summary of the following operating budget requests submitted to the 2018 General Assembly for VIMS, as outlined in Enclosure K.

	<u>FY 2018-19</u>	<u>FY 2019-20</u>
Increase Graduate Financial Aid	\$425,000 GF	\$425,000 GF
Support for Operation & Maintenance of New Facilities Coming Online	\$625,430 GF \$ 97,227 NGF	\$640,038 GF \$ 99,283 NGF

Committee on Financial Affairs  
MINUTES  
Page 2

Investments Subcommittee Chair James Hixon reported the market value of the Board of Visitor's endowment was at \$85.5 million as of December 31, 2017, reflecting an investment return of 6.2%. Although fiscal year-to-date returns are below the 6.9% policy benchmark, calendar year returns of 14.5% exceed the benchmark by 0.6%. Bryce Lee, of the Optimal Service Group reviewed alternative investments with the Subcommittee. Mr. Lee also presented a breakdown of the Optimal Service Group fee structure for 2018 as it applies to the BOV portfolio. The Subcommittee reviewed the Environmental and Social Governance report specific to the Green Fund, noting that all of the asset classes in the Green Fund have above average sustainability scores.

There being no further business, the Committee adjourned at 8:50 a.m.

**WILLIAM & MARY**  
**FY 2018-19 OPERATING BUDGET PROPOSAL**

This summary provides an overview of William & Mary's proposed operating budget for fiscal year 2018-2019 (FY 2019) and identifies specific budget actions. Detailed budgets by program are contained in the accompanying enclosures. The budget for the Virginia Institute of Marine Sciences is presented separately under Resolution 26.

The FY 2019 budget was developed in the context of the university's strategic planning process and the Six-Year Plan as approved by the Board of Visitors. To the extent resources are available, expenditures in support of the university's academic mission reflect the priorities outlined in these plans.

**Sources of Revenue for FY 2019**

The FY 2019 operating budget, as presented, combines the university's state appropriated funds as well as donor funds and other revenue maintained locally at the university. Projected revenues fall into five main categories as follows:

1. **State general fund (GF) appropriations** (\$48.6 million) primarily support the university's academic mission, commonly referred to as the Educational and General (E&G) program, and need-based financial aid. The FY 2019 projected state GF support includes technical adjustments for the state's share of the annualization of prior year salary actions and anticipated changes in fringe benefits. It does not include potential state funding for new initiatives or incremental funding to existing programs, pending the outcome of the 2018 Special Session of the General Assembly.
2. **Tuition and fee revenue** (\$201.9 million) reflects anticipated funds based on proposed FY 2019 tuition and fee rates included in Resolution 25. These funds are used to support the university's (E&G) academic programs as well as need-based, undergraduate financial aid.
3. Unrestricted and restricted gifts as well as endowment earnings received by the College are categorized as **BOV Private Funds** (\$14.5 million). They do not include funds budgeted as part of the College of William and Mary Foundation or other university-affiliated foundations. Likewise, funds from those foundations that are used as revenue to offset expenditures through university local funds are not included here.
4. Funds from grants and contracts for **Sponsored Programs** (\$32.1 million) include both direct funding for research as well as indirect cost recoveries used to support research facilities and administrative infrastructure. In addition, William & Mary receives \$75,000 in general fund support for state-sponsored research, which is included in the state general fund amounts above.

5. Student and user fees support **Auxiliary Enterprises** (\$115.3 million), which provide non-academic goods or services to students, faculty and staff, including housing, dining, student health, and athletics.

In addition, continuing its focus on business innovation and efficiency, the budget reallocates \$1.7 million from current operations to enhance existing priority programs or provide funding for new initiatives in this budget.

### **Proposed Spending Priorities for FY 2019**

FY 2019 operating budget recommendations recognize the need for William & Mary to make sustained investments in university programs, using all available fund sources to support its various programs and activities. The proposed budget maintains the William & Mary Promise for the sixth year. The Promise is an innovative business model that enhances the quality of a William & Mary education while improving predictability and affordability for in-state undergraduate students. Since its inception in FY 2014, key pieces of the Promise have included improved predictability through a guaranteed four-year tuition for in-state undergraduate students, more financial aid for qualifying low and middle-income Virginia families, lower assumptions regarding average student loan debt for Virginia students, better efficiency through innovation, more seats at William & Mary for Virginia students, and more resources to recruit and retain the superb faculty crucial to continued academic excellence.

Through a combination of incremental tuition revenue (\$5.6 million), incremental restricted BOV private funds for student financial aid (\$1.4 million), and anticipated incremental revenue for student financial aid provided through the College of William and Mary Foundation (\$500,000), the cumulative investment in FY 2019 for student financial aid will reach \$7.5 million over the original FY 2018 budget.

In addition, the FY 2019 budget, as proposed, includes incremental funding for the following priorities:

- restoration of funding for selected initiatives that had been deferred in FY 2018;
- final implementation of the COLL curriculum;
- investments in campus safety for additional campus police and resources to enhance awareness, training, and compliance;
- contingency funds to cover the university's share of a 2% bonus in FY 2019 for operational and classified staff being considered by the General Assembly;
- support for additional library materials and software acquisitions;
- funding for the first year of the RBC Scholars program;
- infrastructure support for advancement, student accounting and financial aid; and
- continued investments in athletics and auxiliary services, particularly in student health and wellness.

In total, the university's FY 2019 proposed operating budget includes \$412.4 million in projected revenues and \$410.7 million in expenditures.



**FY 2019 William & Mary Operating Budget Summary**

Revenue	FY 2018 Estimated	FY 2019 Proposed	Change from Prior Year	
			\$ Change	% Change
State General Fund	48,161,945	48,599,639	437,694	0.9%
Tuition and E&G Fees	192,609,730	201,859,703	9,249,973	4.8%
Grants & Contracts	32,250,000	32,050,000	(200,000)	-0.6%
BOV Private Funds	15,280,163	14,547,707	(732,456)	-4.8%
Auxiliary Revenue	109,770,705	115,341,533	5,570,828	5.1%
<b>Total Revenue</b>	<b>398,072,543</b>	<b>412,398,582</b>	<b>14,326,039</b>	<b>3.6%</b>

Expenditures, by Program	FY 2018 Estimated	FY 2019 Proposed	Change from Prior Year	
			\$ Change	% Change
Instruction	116,710,605	118,404,242	1,693,637	1.5%
State Supported Research	1,877,350	1,883,004	5,654	0.3%
Public Service	26,304	28,054	1,750	6.7%
Academic support	31,827,674	32,503,748	676,074	2.1%
Student Services	9,965,705	10,026,699	60,994	0.6%
Institutional Support	34,116,322	33,225,829	(890,493)	-2.6%
Operation & Maintenance of Plant	19,401,466	19,722,460	320,994	1.7%
Financial Aid	47,225,154	51,149,811	3,924,657	8.3%
Sponsored Programs	32,325,000	32,125,000	(200,000)	-0.6%
Auxiliary Services	105,515,614	111,586,438	6,070,824	5.8%
<b>Total Expenditures</b>	<b>398,991,194</b>	<b>410,655,285</b>	<b>11,664,091</b>	<b>2.9%</b>

Notes: Excludes the Virginia Institute of Marine Science and funds maintained in university-affiliated foundations.

Detail for each of the major program areas are provided in Enclosures K through O.

THEREFORE, BE IT RESOLVED, That the Board of Visitors of The College of William and Mary in Virginia approves the FY 2019 Operating Budget of the College as displayed herein.

BE IT FURTHER RESOLVED, That the Board of Visitors approves this FY 2019 budget and revisions thereto resulting from actions of the 2018 Special Session of the General Assembly and the carry forward of any unspent fund balances as of July 1, 2018.

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**WILLIAM & MARY  
FY 2018-19 OPERATING BUDGET DETAIL  
FOR EDUCATIONAL AND GENERAL PROGRAMS**

The Educational and General (E&G) Program constitutes those activities that support the delivery of academic services to William & Mary's students and the Commonwealth. The E&G Program is the largest program at the university, representing 50% of its annual expenditures, and is comprised of seven subprograms, based on national higher education reporting standards.

These subprograms directly support the university's mission of teaching, research, and public service, with major activities of each outlined below:

<u><i>Subprogram</i></u>	<u><i>Major Activity</i></u>
<i>Instruction</i>	<i>Instructional faculty; departmental operating costs</i>
<i>Research</i>	<i>Targeted, state supported research</i>
<i>Public Service</i>	<i>Community outreach activity</i>
<i>Academic Support</i>	<i>Library materials, access, and services; information technology; dean and directors school level costs</i>
<i>Student Services</i>	<i>Registrar; admissions; financial aid; career services; etc.</i>
<i>Institutional Support</i>	<i>Executive management; fiscal services; human resources; police; purchasing; fund raising etc.</i>
<i>Physical Plant</i>	<i>Buildings/grounds maintenance; plant personnel, utilities</i>

**Revenue Assumptions for FY 2019**

E&G programs are supported primarily from the state general fund (tax dollars) and student tuition revenues, which are appropriated as nongeneral funds of the Commonwealth. In total, the proposed E&G budget for FY 2019 will reach \$205.4 million, an increase of 2.0% over the FY 2018 estimated E&G budget. Incremental funding will come from two primary sources:

- **Incremental State General Fund Support for E&G Programs:** For FY 2019, pending the adoption of the Commonwealth's 2018-2020 biennial budget, general fund support for the university is expected to increase by \$437,700 based on technical adjustments primarily related to prior year salary actions and anticipated changes in state fringe benefit rates.
  - Not included in this budget are additional funds requested by the university to create a new counseling program through the School of Education aimed at supporting veterans and their families or funds to develop on-line courses for the Master of Public Policy (M.P.P.) program's first year curriculum in support of the Whole of Government initiative. If general fund support is provided in the state's budget for these items in FY 2019, those funds would be in addition to the

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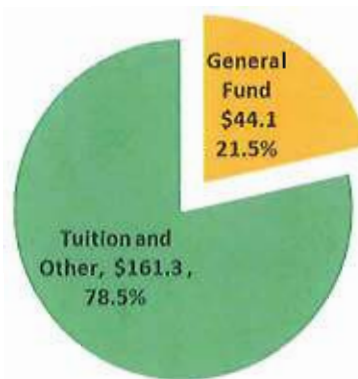
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amounts reported here.

- **Incremental Tuition Revenue for E&G Programs:** Estimated nongeneral funds, principally tuition revenue, available for E&G expenditure in FY 2019 total \$161.3 million, an increase of 2.3% or almost \$3.7 million above the prior year's budget.

Overall, state general fund support will account for an estimated 21.5% of the university's E&G budget (excluding VIMS), with 78.5% of the total \$205.3 million budget coming from tuition and E&G fee revenue, as shown in the chart below.

Sources of E&G Revenue  
\$205.4 million  
(\$ in millions)



### Spending Priorities for FY 2019

W&M's FY 2019 proposed budget continues to fund key strategic initiatives included in the six-year plan, most notably need-based undergraduate financial aid for Virginia students as part of the Promise. In FY 2018, the university welcomed the most socio-economically diverse entering class in its history. Although the university had set aside an incremental \$2.3 million in tuition revenue to support projected incremental need-based aid, actual costs in FY 2018 were \$5.8 million higher than in the prior year. For FY 2018, the university was able to fund the \$3.5 million difference through one-time budget actions. The FY 2019 budget allocates anticipated incremental tuition revenue to fund the full base adjustment going forward. In addition, it provides \$2.1 million to address anticipated increases in need-based, undergraduate student financial aid under the Promise for the incoming class that will join the university this fall. In total, the FY 2019 budget allocates \$5.6 million in incremental tuition revenue to support the university's financial aid budget. This funding is supplemented by an additional \$1.9 million in

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private support. (See more detail on the proposed FY 2019 Financial Aid budget under Enclosure M.)

After accounting for the investment in need-based, undergraduate financial aid, the budget includes an additional \$3.7 million in anticipated incremental tuition revenue for E&G priorities. The budget also includes \$1.7 million that is being redirected from current operations through on-going reallocation efforts as part of the university's business innovation strategies. The combined \$5.4 million is being used to support priority initiatives including:

- restoration of funding for selected initiatives that had been deferred in FY 2018;
- final implementation of the COLL curriculum;
- investments in campus safety for additional campus police and resources to enhance awareness, training, and compliance;
- contingency funds to cover the university's share of a 2% bonus in FY 2019 for operational and classified staff being considered by the General Assembly;
- support for additional library materials and software acquisitions;
- funding for the first year of the RBC Scholars program; and
- infrastructure support for advancement, student accounting and financial aid.

	FY 2018 Estimated Actual	FY 2019 Proposed Budget	\$ Change from Prior Year	% Change from Prior
<b>REVENUE, by Source</b>				
General Fund	43,642,723	44,080,417	437,694	1.0%
Nongeneral Fund	157,659,034	161,336,164	3,677,130	2.3%
<b>Total Revenue</b>	<b>\$201,301,757</b>	<b>\$205,416,581</b>	<b>\$4,114,824</b>	<b>2.0%</b>
<b>EXPENDITURES, by Program</b>				
Instruction	115,398,346	117,315,735	1,917,389	1.7%
Research	1,292,874	1,302,405	9,531	0.7%
Public Service	8,021	8,021	-	0.0%
Academic Support	30,751,563	31,236,244	484,681	1.6%
Student Services	9,235,614	9,378,972	143,358	1.6%
Institutional Support	25,496,491	26,911,426	1,414,935	5.5%
Plant Operations	19,118,848	19,263,778	144,930	0.8%
<b>Total Expenditures</b>	<b>\$201,301,757</b>	<b>\$205,416,581</b>	<b>\$4,114,824</b>	<b>2.0%</b>

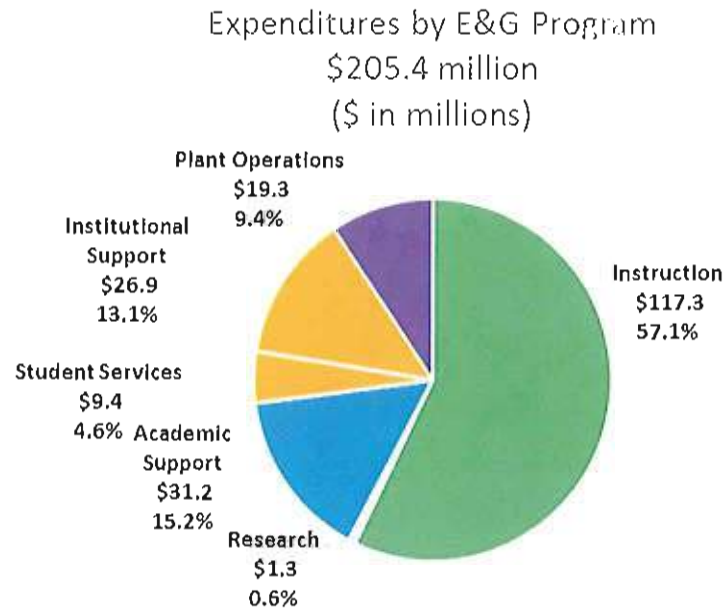
In total, these actions result in FY 2019 E&G operating budget of \$205.4 million, an increase of \$4.1 million or 2.0%.

In looking at the proposed expenditures by subprogram, the FY 2019 budget allocates a combined \$148.5 million or 72.3% of its available E&G resources to instruction and academic

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support -- the heart of its activities. A complete breakdown by subprogram is provided in the chart below.



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Page   1   of   1  **WILLIAM & MARY****FY 2019 OPERATING BUDGET DETAIL  
FOR SPONSORED PROGRAMS**

Sponsored programs activity reflects estimated FY 2019 expenditures of \$32.1 million primarily from federal grants and contracts. Due to the continued uncertainty of research support from the federal government, on-going grant and new contract awards for FY 2019 are estimated to remain at FY 2018 levels. In all areas, students continue to benefit directly as they work alongside faculty on major research projects, often resulting in joint publication of the results. In addition to covering direct costs of research programs, sponsored research activity also provides indirect cost recoveries to the university. Indirect cost recoveries enable the university to reinvest funds back into its research programs, including support for faculty start-up, grant matching funds, technology transfer, debt service payments associated with construction of various science facilities, and participation in high speed network systems. For FY 2019, indirect cost recoveries are expected to drop slightly from prior year as reflected in the table below.

**William & Mary  
Sponsored Programs  
FY19 Operating Budget**

	Estimated FY18 Budget	Projected FY19 Budget	Change from Prior Year
<b>REVENUE</b>			
General Fund	\$75,000	\$75,000	\$0
Grant Awards - Direct Costs	27,350,000	27,350,000	0
Indirect Cost Recoveries	<u>4,900,000</u>	<u>4,700,000</u>	<u>(200,000)</u>
<b>Total Revenue</b>	<b>32,325,000</b>	<b>32,125,000</b>	<b>(200,000)</b>
<b>EXPENDITURES</b>	<b>\$32,325,000</b>	<b>\$32,125,000</b>	<b>(200,000)</b>

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**WILLIAM & MARY**  
**FY 2019 OPERATING BUDGET DETAIL**  
**FOR STUDENT FINANCIAL AID**

The university's FY 2019 proposed operating budget for student financial aid totals \$51.1 million. We have assumed no increase in state general fund support, pending the outcome of the 2018 Special Session of the General Assembly. As proposed, the FY 2019 budget reflects an increase of \$3.9 million, or 8.3%, increase over FY18 estimated actuals for undergraduate and graduate student financial aid.

	Estimated FY 2018 Actual	Proposed FY 2019 Budget	Change from Prior Year
<b>REVENUE:</b>			
State General Fund	\$4,444,222	\$4,444,222	\$0
Tuition Revenue*	34,950,696	40,523,539	5,572,843
Auxiliary Enterprises/Cash Balances	2,968,820	900,000	(2,068,820)
Private Funds	4,861,416	5,282,050	420,634
<b>Total Revenue</b>	<b>\$47,225,154</b>	<b>\$51,149,811</b>	<b>\$3,924,657</b>
<b>EXPENDITURES:</b>	<b>\$47,225,154</b>	<b>\$51,149,811</b>	<b>\$3,924,657</b>

Of the \$51.1 million in proposed expenditures for FY 2019, undergraduate aid is projected to total \$38.8 million with graduate aid accounting for the remaining \$12.3 million. Importantly, undergraduate aid has more than doubled since FY 2013.

**Total Undergraduate Financial Aid**  
**(\$ in Millions)**



Note: Excludes scholarships and aid from the College of William and Mary Foundation or other university-affiliated foundations

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This investment is directly related to the university's commitment to maintain access and affordability for Virginia's low- and middle-income students through the William & Mary Promise. Scholarships and financial aid funded through the College of William and Mary Foundation and other university-affiliated foundations are not included in the chart above.



April 18-20, 2018

Page   1   of  12 **WILLIAM & MARY****FY 2019 OPERATING BUDGET DETAIL  
FOR BOARD OF VISITORS PRIVATE FUNDS**

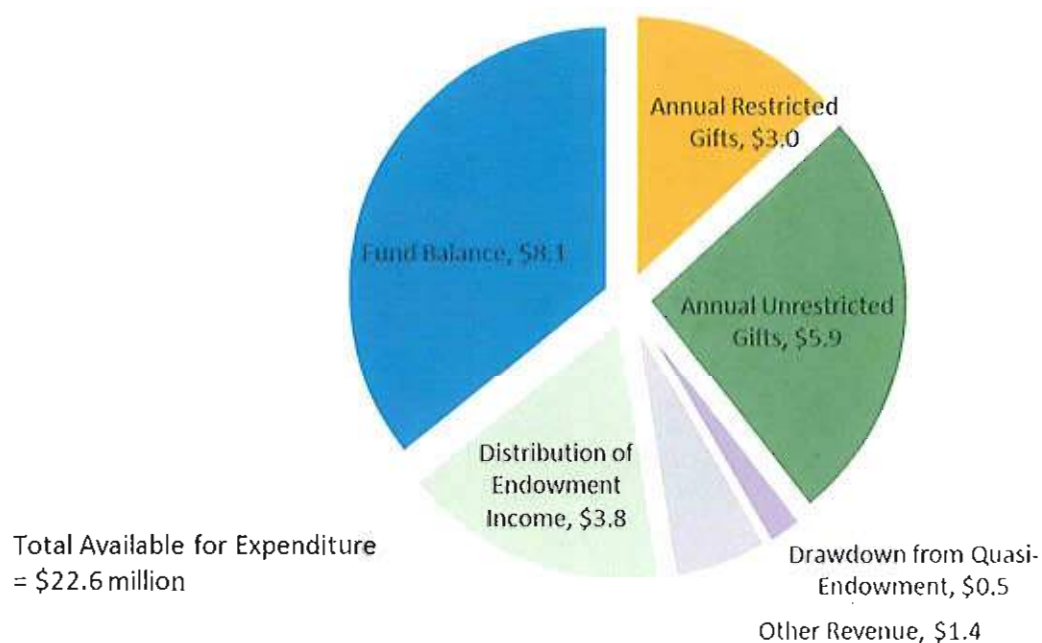
The Board of Visitors of the College of William and Mary is charged with the responsibility of administering endowed and other expendable privately donated funds for restricted and unrestricted purposes. As a result, each year the Board authorizes a private funds revenue and expenditure budget consistent with: a) donor guidance on restricted endowment and expendable funds; b) Board policy on the spending of endowment income; and c) projections of unrestricted revenue, expense, and available fund balances.

For FY 2019, a spending rate of 4.75% is applied to a rolling three year average value of Board of Visitors endowments to determine income available from each endowment. This spending rate is unchanged from FY 2018 and is established with consideration of the criteria outlined in UPMIFA (Code of Virginia, Section 64.2-1102) as outlined on pages 8-11 of this item.

FY 2019 begins with an estimated beginning fund balance of approximately \$8.1 million and projected revenues of \$14.5 resulting in \$22.6 million available for expenditure.

**FY19 Available Private Funds, by Source**

(\$ in millions)



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Budgeted expenditures total \$15.7 million with \$8.6 million anticipated from the unrestricted fund and just over \$7.0 million in restricted funds. Although overall giving is up, unrestricted giving to the Board of Visitors has not kept pace with projections, resulting in an estimated negative fund balance in unrestricted funds of almost \$1.2 million by June 30, 2018. For FY 2019, new unrestricted revenue net of the negative fund balance is expected to be at \$7.0 million, with expenditures projected at \$8.6 million. This continues the intentional drawdown against overall private fund balances to maintain the university's advancement operations. As annual giving for scholarships and other areas of operations increase over time, expenditures will be shifted to other fund sources in order to bring the unrestricted fund balance back to a positive position. Overall, BOV private funds are projected to maintain a positive fund balance of almost \$7.0 million by the end of FY 2019.

A more detailed review of revenues and expenditures is provided in the tables below.

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**WILLIAM & MARY  
SUMMARY OF BOARD OF VISITORS PRIVATE FUNDS  
RESTRICTED ENDOWMENTS  
REVENUE AND EXPENDITURES  
FY 2018 AND FY 2019**

	<u>FY 2018</u>	<u>FY 2019</u>
<b>REVENUE</b>		
Actual/Estimated Beginning Fund Balance (cash, receivables & payables)	\$321,342 (A)	\$321,342 (E)
Estimated Fiscal Year Revenue	<u>2,884,540</u>	<u>3,299,496</u>
<b>TOTAL AVAILABLE REVENUE</b>	<b>\$3,205,882</b>	<b>\$3,620,838</b>
<b>EXPENDITURES</b>		
Instruction	410,173	463,301
Research	120,582	133,579
Public Service	13,283	15,033
Academic Support	859,187	958,446
Student Services	6,531	35,904
Institutional Support	18,503	21,876
Campus Facilities and Maintenance	32,618	58,682
Student Aid	<u>1,423,663</u>	<u>1,612,675</u>
<b>TOTAL EXPENDITURES</b>	<b>\$2,884,540</b>	<b>\$3,299,496</b>
<b>ESTIMATED ENDING BALANCE TO CARRY FORWARD</b>	<b>\$321,342</b>	<b>\$321,342</b>

(A) actual

(E) estimate

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**WILLIAM & MARY  
SUMMARY OF BOARD OF VISITORS PRIVATE FUNDS  
RESTRICTED EXPENDABLE GIFTS  
REVENUE AND EXPENDITURES  
FY 2018 AND FY 2019**

	<u>FY 2018</u>	<u>FY 2019</u>
<b>REVENUE</b>		
Actual/Estimated Beginning	\$9,422,703 (A)	\$8,917,503 (E)
Fund Balance (cash, receivables & payables)		
Estimated Fiscal Year Revenue	<u>3,095,000</u>	<u>3,090,000</u>
<b>TOTAL AVAILABLE REVENUE</b>	<b>\$12,517,703</b>	<b>\$12,007,503</b>
<b>EXPENDITURES</b>		
Instruction	623,000	350,000
Research	453,000	435,000
Public Service	5,000	5,000
Academic Support	197,000	287,000
Student Services	185,000	170,000
Institutional Support	145,000	150,000
Campus Facilities and Maintenance	250,000	400,000
Student Aid	1,742,200	1,943,000
<b>TOTAL EXPENDITURES</b>	<b>\$3,600,200</b>	<b>\$3,740,000</b>
<b>ESTIMATED ENDING BALANCE TO CARRY FORWARD</b>	<b>\$8,917,503</b>	<b>\$8,267,503</b>

(A) actual

(E) estimate

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**WILLIAM & MARY  
SUMMARY OF BOARD OF VISITORS PRIVATE FUNDS  
UNRESTRICTED ENDOWMENTS AND EXPENDABLE FUNDS  
REVENUE AND EXPENDITURES  
FY 2018 AND FY 2019**

	<u>FY 2018</u>	<u>FY 2019</u>
<b>REVENUE</b>		
Actual/Estimated Beginning Fund Balance (cash, receivables & payables)	\$546,594 (A)	(\$1,153,128) (E)
Estimated Fiscal Year Revenue	<u>9,300,623</u>	<u>8,158,211</u>
<b>TOTAL AVAILABLE REVENUE</b>	<b>\$9,847,217</b>	<b>\$7,005,083</b>
<b>EXPENDITURES</b>		
Instruction	279,086	275,206
Research	10,894	12,020
Academic Support	19,924	22,058
Student Services	538,560	441,823
Institutional Support		
Fund Administration	118,000	118,000
Advancement	7,355,976	5,300,000
Admin. Support/Special Events	982,352	724,527
Student Aid	<u>1,695,553</u>	<u>1,726,375</u>
<b>TOTAL EXPENDITURES</b>	<b>\$11,000,345</b>	<b>\$8,620,009</b>
<b>ESTIMATED ENDING BALANCE TO CARRY FORWARD</b>	<b>(\$1,153,128)</b>	<b>(\$1,614,926)</b>

(A) actual

(E) estimate

**WILLIAM & MARY**  
**FY 2019 BOARD OF VISITORS PRIVATE FUNDS BUDGET**  
**REVENUE DETAIL**

	ACTUAL FUND BALANCE 6/30/2017	ESTIMATED RESTRICTED ENDOWMENTS 6/30/2018	ESTIMATED RESTRICTED GIFT FUNDS 6/30/2018	ESTIMATED UNRESTRICTED FUNDS 6/30/2018	ESTIMATED TOTAL FUND BALANCE 6/30/2018
BEGINNING FUND BALANCE (cash, receivables & payables)	\$10,290,639	\$321,342	\$8,917,503	(\$1,153,128)	\$8,085,717
REVENUES	REVISED REVENUES FY 2017-18	RESTRICTED ENDOWMENT REVENUES FY 2018-19	RESTRICTED GIFT REVENUES FY 2018-19	UNRESTRICTED REVENUES FY 2018-19	TOTAL REVENUES FY 2018-19
Distribution of Endowment Income	\$3,354,091	\$3,246,496	\$0	\$548,211	\$3,794,707
Administrative Overhead Allocation	300,000	0	0	300,000	300,000
Transfers from Other Sources	190,000	0	0	190,000	190,000
Interest on Cash Balances	450,000	0	30,000	420,000	450,000
Annual Gifts:					
Restricted	2,810,000	0	3,000,000	0	3,000,000
Unrestricted	5,600,000	0	0	5,900,000	5,900,000
Drawdown from BOV Quasi Endow	500,000	0	0	500,000	500,000
Earnings From External Trusts	46,000	53,000	0	0	53,000
Proceeds on Sale of Land Parcel	771,052	0	0	0	0
Other Revenue**	1,259,020	0	60,000	300,000	360,000
<b>TOTAL PROJECTED REVENUES</b>	<b>\$15,280,163</b>	<b>\$3,299,496</b>	<b>\$3,090,000</b>	<b>\$8,158,211</b>	<b>\$14,547,707</b>
<b>AVAILABLE FOR EXPENDITURE</b>	<b>\$25,570,802</b>	<b>\$3,620,838</b>	<b>\$12,007,503</b>	<b>\$7,005,083</b>	<b>\$22,633,424</b>

\*\*FY 18 Other Revenue includes Undesignated Gifts of \$1,004,000

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**WILLIAM & MARY  
FY 2019 BOARD OF VISITORS PRIVATE FUNDS BUDGET  
EXPENDITURE DETAIL**

	REVISED BUDGET FY 2017-18	RESTRICTED ENDOWMENT BUDGET FY 2018-19	ESTIMATED RESTRICTED GIFT EXPENDITURES FY 2018-19	UNRESTRICTED BUDGET FY 2018-19	BUDGET FY 2018-19
<b>BUDGETED EXPENDITURES</b>					
INSTRUCTION:					
Faculty Awards and Prizes	\$33,615	\$48,448	\$0	\$0	\$48,448
Eminent Scholars	111,153	115,996	0	0	115,996
Faculty Salary Pool	570,541	161,705	0	160,206	321,911
Fringe Benefits & Disability Insurance	115,000	0	0	115,000	115,000
Faculty of Arts & Sciences	418,759	109,671	310,000	0	419,671
School of Business	15,000	0	15,000	0	15,000
School of Education	6,000	0	5,000	0	5,000
School of Law	22,191	27,481	0	0	27,481
School of Marine Science	20,000	0	20,000	0	20,000
<b>SUBTOTAL</b>	<b>\$1,312,259</b>	<b>\$463,301</b>	<b>\$350,000</b>	<b>\$275,206</b>	<b>\$1,088,507</b>
<b>RESEARCH</b>	<b>\$584,476</b>	<b>\$133,579</b>	<b>\$435,000</b>	<b>\$12,020</b>	<b>\$580,599</b>
<b>PUBLIC SERVICE</b>	<b>\$18,283</b>	<b>\$15,033</b>	<b>\$5,000</b>	<b>\$0</b>	<b>\$20,033</b>
ACADEMIC SUPPORT:					
Reves Center	19,924	0	0	22,058	22,058
School of Law	83,038	91,962	0	0	91,962
Museums	450,998	475,799	120,000	0	595,799
VIMS	10,338	9,679	2,000	0	11,679
Library Support	382,065	338,874	140,000	0	478,874
Other	129,748	42,132	25,000	0	67,132
<b>SUBTOTAL</b>	<b>\$1,076,111</b>	<b>\$958,446</b>	<b>\$287,000</b>	<b>\$22,058</b>	<b>\$1,267,504</b>
STUDENT SERVICES:					
Activities and Services	696,272	2,962	170,000	411,713	584,675
Athletics	33,819	32,942	0	30,110	63,052
<b>SUBTOTAL</b>	<b>\$730,091</b>	<b>\$35,904</b>	<b>\$170,000</b>	<b>\$441,823</b>	<b>\$647,727</b>

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**WILLIAM & MARY**  
**FY 2019 BOARD OF VISITORS PRIVATE FUNDS BUDGET**  
**EXPENDITURE DETAIL**

	REVISED BUDGET FY 2017-18	RESTRICTED ENDOWMENT BUDGET FY 2018-19	RESTRICTED GIFT EXPENDITURES FY 2018-19	UNRESTRICTED BUDGET FY 2018-19	TOTAL BUDGET FY 2018-19
<b>BUDGETED EXPENDITURES</b>					
<b>INSTITUTIONAL SUPPORT:</b>					
Admin Salary Supplements & Taxes	\$591,942	\$0	\$0	\$416,500	\$416,500
Board of Visitors	17,000	0	0	17,000	17,000
Office Funds & Contingencies	104,000	0	0	19,000	19,000
Administrative Costs	208,000	0	145,000	118,000	263,000
Advancement	7,355,976	0	0	5,300,000	5,300,000
Other Institutional Support	342,913	21,876	5,000	272,027	298,903
<b>SUBTOTAL</b>	<b>\$8,619,831</b>	<b>\$21,876</b>	<b>\$150,000</b>	<b>\$6,142,527</b>	<b>\$6,314,403</b>
<b>FACILITIES AND OPERATIONS</b>	<b>\$282,618</b>	<b>\$58,682</b>	<b>\$400,000</b>	<b>\$0</b>	<b>\$458,682</b>
<b>STUDENT AID:</b>					
Awards and Prizes	6,450	5,903	2,000	0	7,903
Fellowships	163,987	71,350	41,000	59,358	171,708
Scholarships	4,640,979	1,535,422	1,900,000	1,567,017	5,002,439
Activity Support	0	0	0	50,000	50,000
Athletic Grants	50,000	0	0	50,000	50,000
<b>SUBTOTAL</b>	<b>\$4,861,416</b>	<b>\$1,612,675</b>	<b>\$1,943,000</b>	<b>\$1,726,375</b>	<b>\$5,282,050</b>
<b>TOTAL BUDGETED EXPENDITURES</b>	<b>\$17,485,085</b>	<b>\$3,299,496</b>	<b>\$3,740,000</b>	<b>\$8,620,009</b>	<b>\$15,659,505</b>
<b>PROJECTED YEAR-END BALANCES</b>	<b>\$8,085,717</b>	<b>\$321,342</b>	<b>\$8,267,503</b>	<b>(\$1,614,926)</b>	<b>\$6,973,919</b>



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**WILLIAM & MARY**  
**OFFICE OF INVESTMENT ADMINISTRATION**  
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March 29, 2018

To: The Investments Sub-Committee of the Financial Affairs Committee of the Board of Visitors

From: William D. Copan, Jr., Assistant Vice President for Investment Administration

Subject: UPMIFA Considerations in Spending from Endowment in the Proposed FY 2019 Budget

This memo is intended to inform you of certain provisions articulated in the Uniform Prudent Management of Institutional Funds Act ("UPMIFA") as amended by the Commonwealth of Virginia's General Assembly in 2012.

Per UPMIFA guidelines cited in the **Code of Virginia Title 64.2-1102 (2012), Appropriation for expenditure or accumulation of endowment fund; rules of construction**, Board fiduciaries need to recognize the following considerations specifically with respect to adoption of the annual BOV Private Funds operating budget:

"A. Subject to the intent of a donor expressed in the gift instrument, an institution may appropriate for expenditure or accumulate so much of an endowment fund as the institution determines is prudent for the uses, benefits, purposes, and duration for which the endowment fund is established. Unless stated otherwise in the gift instrument, the assets in an endowment fund are donor-restricted assets until appropriated for expenditure by the institution. In making a determination to appropriate or accumulate, the institution shall act in good faith, with the care that an ordinarily prudent person in a like position would exercise under similar circumstances, and shall consider, if relevant, the following factors:

1. The duration and preservation of the endowment fund;
2. The purposes of the institution and the endowment fund;
3. General economic conditions;
4. The possible effect of inflation and deflation;
5. The expected total return from income and the appreciation of investments;
6. Other resources of the institution; and
7. The investment policy of the institution.

B. To limit the authority to appropriate for expenditure or accumulate under subsection A, a gift instrument shall specifically state the limitation.

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- C. Terms in a gift instrument designating a gift as an endowment, or a direction or authorization in the gift instrument to use only "income," "interest," "dividends," or "rents, issues, or profits," or "to preserve the principal intact," or words of similar import:
1. Create an endowment fund of permanent duration unless other language in the gift instrument limits the duration or purposes of the fund; and
  2. Do not otherwise limit the authority to appropriate for expenditure or accumulate under subsection A."

In the absence of any donor specifications contained in a gift instrument, UPMIFA gives authority to the governing board to spend from the endowment as long as the aforementioned considerations are taken into account. With respect to the BOV's compliance with criteria cited in subsection A, the following clarifications apply:

1. **No prohibitions against spending.** Staff have researched that only 1 true endowment fund had a market value at December 31, 2017 beneath its historical gift value, i.e. "underwater", by an amount of \$1,888. This compares to the prior year when 7 endowment funds had a market value beneath their respective historical gift values by a difference of \$234,847. The total dollar amount of funds currently "underwater" equate to 0.00% of the BOV endowment's 12/31/17 market value of \$86,018,736. This one endowment is not subject to donor imposed prohibitions against spending when the fund is "underwater." Endowment funds that are subject to revenue sources outside that of distributions from the investment pool will be budgeted according to trend estimates (e.g. pattern of dividend yield).
2. **Duration and preservation of the endowment fund.** The BOV has contracted with the Optimal Service Group of Wells Fargo Advisors as its investment consultant and accordingly that engagement includes design and execution of a long-term investment approach for the BOV endowment pool that provides for broadly diversified asset allocation, best insuring the preservation of the endowment. Contributions to endowment are invested in perpetuity with a goal of providing current support to the College as well as maintaining intergenerational spending power of gift assets.
3. **Purposes of the institution and the endowment fund.** The College was chartered in 1693 as an institution of higher education. Since that time, the College has been the recipient of private contributions that are used to further the mission and initiatives of the College. Contributions to endowment are administered by the College staff in keeping with governance policies and oversight of the Board of Visitors as well as following any donor imposed restrictions with respect to use of payout from the invested endowment.
4. **General economic conditions.** Representatives from Wells Fargo Advisors are invited to attend regularly scheduled meetings of the Board in order to provide informational updates on the invested endowment portfolio. Part of their discussion time focuses on general economic conditions, domestic and global, that affect held investments.
5. **The possible effect of inflation and deflation.** Representatives from Wells Fargo Advisors, in regularly scheduled meetings with the BOV, typically address the various causal relationships that affect investment performance, including that of the possible effect of inflation and deflation on held investments in the manager portfolios.
6. **The expected total return from income and the appreciation of investments.** The BOV's average annualized compounded returns versus the portfolio's policy benchmark and the net difference in added value are displayed below:

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	One Year Thru 12/31/17	Three Years Thru 12/31/17	Five Years Thru 12/31/17	Ten Years Thru 12/31/17
<b>BOV</b>	<b>14.5%</b>	<b>6.0%</b>	<b>8.0%</b>	<b>4.9%</b>
<b>Policy Benchmark</b>	<b>15.4%</b>	<b>7.3%</b>	<b>9.1%</b>	<b>5.5%</b>
<b>Added Value</b>	<b>- 0.9%</b>	<b>-1.3%</b>	<b>-1.1%</b>	<b>-0.6%</b>

These total return statistics are net of fees and include both income and appreciation. As a policy objective, the BOV seeks to achieve maximum long-term total returns within prudent levels of risk. Risk is reduced within the portfolio by employing broadly diversified asset classes, strategies, and multiple professional investment managers. Asset allocation within the BOV portfolio is derived following intensive due diligence by the Wells Fargo consultants and review by the BOV Investments Sub-Committee, whereby additions or deletions of managers or strategies are made thoughtfully considering the risk and performance impact on the portfolio in both the short and long term. Actual performance relative to the established policy benchmark can vary widely year to year and through business cycles, however, over longer time periods positive absolute returns should be sufficiently high to support a prudent spending rate. The 2019 BOV budget has a recommended spending rate of 4.75%.

7. **Other resources of the institution.** The College's comprehensive operating budget includes revenue from both the Commonwealth and private sources. Besides state appropriations, private support can come from tuition and fees, grants and contracts, endowment payout, distributions from external funds held in trust by others, income from specifically held securities, expendable gift contributions, interest income from working capital, and other miscellaneous revenue sources. Funds with donor restrictions as to use are budgeted accordingly and unrestricted funds are allocated for discretionary use following review and endorsement by the President of the College, incorporating his/her priorities and most pressing needs.
8. **The Investment Policy of the institution.** The BOV passed a revised Investment and Spending Policy for Endowment at its Nov.15-17, 2017 meeting. Contained in the policy are specific guidelines that apply to corporate governance, investment objectives, delegation of management and investment functions, portfolio composition and asset allocation, social responsibility, manager guidelines, and spending provisions.

With respect to criteria cited in subsection B (above) of the UPMIFA guidelines, the BOV remains compliant with any donor restrictions that cap or limit the spending authority of the Board.

With respect to criteria cited in subsection C (above) of the UPMIFA guidelines, donor restrictions are documented in gift agreements, trust documents, bequest agreements (wills and estates), and other donor correspondence. Any restrictions or limitations with respect to use or spending are articulated in these types of documents.

### **Management Recommendation**

In view of the fiduciary responsibilities required by UPMIFA as cited in the Code of Virginia for a governing board to appropriate spending from an endowment pool, this memorandum serves to inform you of those various considerations that need to be taken into account before a board takes action on spending.

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Accordingly, following review by internal management we see no impediments to the spending recommendations outlined in the BOV Private Funds Budget for FY 2019, considering current economic conditions and the performance of the BOV portfolio over the past year and annualized over longer term time periods. Furthermore, management does not believe that spending from underwater funds will materially impact the corpus of those funds in the long term such that they will not be able to recover to their historical valuation at time of gift during a sustained cycle of capital market recovery.

**The Association of Governing Boards has counseled member institutions to make a management recommendation a point of record. Accordingly, this memorandum should be referenced in the Board's budget review process and later included with the minutes from the April meeting.**

Please let me know if I can be of further assistance with respect to this governance issue.

C: President W. Taylor Reveley, III  
Samuel E. Jones

**WILLIAM & MARY****FY 2018-19 OPERATING BUDGET DETAIL  
FOR AUXILIARY ENTERPRISES**

The distinguishing characteristic of Auxiliary Enterprises is that they exist to furnish goods and services to students, faculty and staff outside of the academic missions. Auxiliary Enterprises receive no funding from the state, and thus, rely on revenues from student and user fees for the goods and services provided. For public institutions of higher education, the Commonwealth of Virginia requires auxiliary enterprise activities in total, and Intercollegiate Athletics specifically, be self-supporting, including direct and indirect costs. As part of that expectation, the Commonwealth requires that if the Auxiliary Program receives support services from any of the areas of the university that are funded from the state general fund or other public monies (e.g., human resources, financial operations, housekeeping and facility maintenance, etc.) that the Auxiliary Program must reimburse the university for the use of those services. Cash balances are expected to be established and maintained in order to provide an operating reserve as well as support for future capital investment.

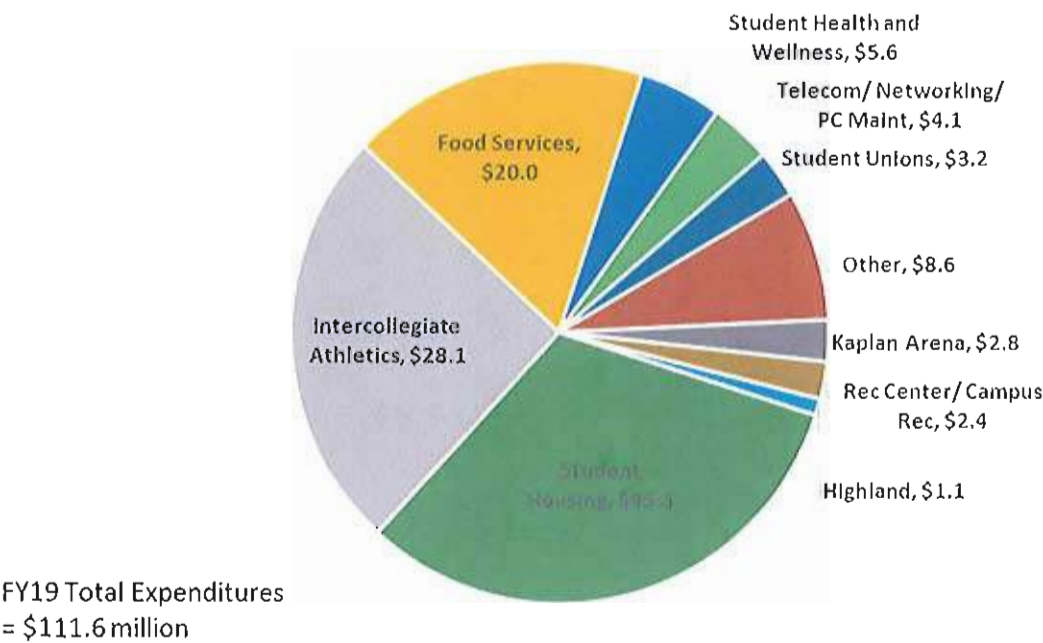
Operating budgets for Auxiliary Enterprises in FY 2019 include the following:

Food Service	Vending Program
Student Housing	Concert Series
Student Health and Wellness	Recreation Center/Sports
Student Unions	Orientation
Kaplan Arena	Campus Parking
Student Bus Service	Bookstore
General Auxiliary Services	Highland
Telecommunications/Networking	Intercollegiate Athletics
Conference Services	PC Maintenance
Tennis Center	Licensing
Express Program	I.D. Program

Auxiliary Enterprises is the university's second largest operating program, with projected revenues of \$115.3 million, and expenditures of \$111.5 million (excluding \$900,000 allocated for student aid). Revenue for auxiliary programs comes primarily through student fees designated to specific activities. FY 2019 expenditures are expected to increase to \$111.5 million, driven primarily by increases in employee fringe benefits -- most notably health insurance, as well as increases in debt service and indirect cost recoveries to the College for administrative services. As a result, the expected contribution to cash reserves in FY 2019 is approximately \$3.8 million of which \$900,000 will be used for student financial aid, consistent with prior year actions.

A breakdown of projected auxiliary enterprise expenditures by major program follows.

**FY 2019 Projected Auxiliary Enterprise Expenditures,  
by Major Program  
(\$ in millions)**



The table below provides a more detailed look of the estimated actual for FY 2018 and the proposed budget for FY 2019 by each program.

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## FY 2019 PROPOSED AUXILIARY ENTERPRISE BUDGET

Auxiliary Services	FY 2018 Estimated Actual			FY 2019 Proposed Budget		
	Revenues	Expenditures	Net Operating Margin	Revenues	Expenditures	Net Operating Margin
Campus Parking	\$2,042,000	\$2,031,198	\$10,802	\$2,224,929	\$2,224,464	\$465
College Bookstore	\$765,000	\$748,482	\$16,518	\$900,000	\$913,025	(\$13,025)
Conference Services	\$921,311	\$921,206	\$105	\$897,599	\$889,617	\$7,982
Cultural Activities	\$332,200	\$380,000	(\$47,800)	\$347,300	\$386,065	(\$38,765)
Express Program	\$812,288	\$761,500	\$50,788	\$808,500	\$778,000	\$30,500
Food Services	\$22,750,000	\$19,665,967	\$3,084,033	\$22,870,000	\$20,032,937	\$2,837,063
General Auxiliary Services	\$1,647,700	\$825,333	\$822,367	\$1,803,200	\$888,917	\$914,283
Highland	\$785,224	\$1,033,162	(\$247,938)	\$833,687	\$1,146,343	(\$312,656)
ID Program	\$394,700	\$443,919	(\$49,219)	\$451,600	\$443,033	\$8,567
Intercollegiate Athletics	\$26,783,751	\$26,783,751	\$0	\$28,134,777	\$28,134,033	\$744
Kaplan Arena	\$2,825,000	\$2,697,817	\$127,183	\$2,780,250	\$2,780,250	\$0
Licensing	\$170,000	\$8,834	\$161,166	\$184,000	\$25,670	\$158,330
Orientation	\$612,592	\$610,718	\$1,874	\$635,710	\$635,682	\$28
PC Maintenance	\$307,982	\$306,689	\$1,293	\$349,750	\$349,750	\$0
Radio System Rental	\$120,000	\$110,000	\$10,000	\$120,000	\$110,000	\$10,000
Recreation Center/Campus Recreation	\$2,455,432	\$2,485,120	(\$29,688)	\$2,464,950	\$2,434,950	\$30,000
Student Bus Service	\$469,550	\$448,875	\$20,675	\$513,250	\$512,059	\$1,191
Student Health and Wellness	\$4,996,600	\$4,899,916	\$96,684	\$5,575,650	\$5,575,650	\$0
Student Housing	\$32,839,162	\$32,516,486	\$322,676	\$35,660,486	\$35,546,236	\$114,250
Student Unions	\$3,233,708	\$3,293,638	(\$59,930)	\$3,198,550	\$3,183,403	\$15,147
Telecommunications/Networking	\$3,754,475	\$3,754,475	\$0	\$3,769,748	\$3,769,748	\$0
Tennis Center	\$634,900	\$693,914	(\$59,014)	\$672,275	\$716,956	(\$44,681)
Vending Program	\$87,130	\$9,614	\$77,516	\$115,322	\$24,650	\$90,672
William Small Physics Laboratory	\$30,000	\$85,000	(\$55,000)	\$30,000	\$85,000	(\$55,000)
<b>TOTAL, AUXILIARY ENTERPRISES</b>	<b>\$109,770,705</b>	<b>\$105,515,614</b>	<b>\$4,255,091</b>	<b>\$115,341,533</b>	<b>\$111,586,438</b>	<b>\$3,755,095</b>

The three largest programs within Auxiliary Enterprises are Student Housing, Intercollegiate Athletics, and Food Services, generating a combined \$86.7 million or 75% of the overall auxiliary enterprise budget.

Given the state's specific focus on Intercollegiate Activities, it is worth a more detailed look at operations in this area. The Intercollegiate Athletics program at W&M is comprised of 23 varsity sports with over 500 student athletes. The program is managed by a total of 132 employees, including 61 coaches. W&M boasts one of the highest graduation rates among all Division I programs and received a Public Recognition Award by the NCAA in 2017. W&M's nine awards ranked third nationally among public universities, trailing only Big Ten programs Minnesota (11) and Michigan (11). The Tribe was one of only 23 programs (out of 306) in the country to have nine teams earn the honor, which led the CAA and the state of Virginia.

In the Fall of 2010, William & Mary became the first institution in the Colonial Athletic Association to reach 100 CAA titles. In total, the university now claims 136 CAA titles, far and away the most in league

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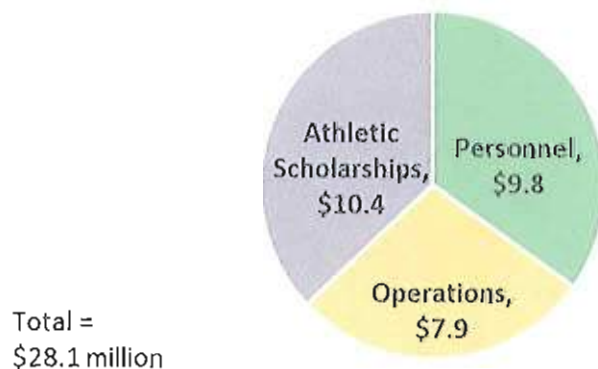
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history. Overall, 186 student-athletes have been elected to Phi Beta Kappa, while four Rhodes Scholars were W&M student-athletes. In addition, 74 W&M student athletes have been named Scholar-Athlete of the Year in his or her sport since the awards inception in 2002-03.

Of the proposed \$28.1 million budget for FY19, Athletics expects to generate 14.6% of its revenue through ticket sales, concessions, conference distributions, royalties, sponsorships, and other operating revenue. Gifts and endowment income contribute 31.3%, with the remaining support coming from student fees and other revenues.

On the expenditure side, for FY19, approximately \$9.8 million or 35.0% will be personnel expenses in four major areas: Administration, Marketing/Promotion, Student Services, and Coaching. Operations, including direct costs related to event management, will comprise another \$7.9 million or 28.0% of the athletics department operating budget, with grant-in-aid for scholar athletes comprising approximately \$10.4 million or 37.0% of the overall operating budget for Athletics.

FY 2019 Athletics Expenditures,  
by Major Function  
(\$ in millions)





**WILLIAM & MARY**  
**FY 2019 TUITION AND FEE STRUCTURE**  
**FOR FULL- AND PART-TIME STUDENTS**

Consistent with tuition and fee authority granted to William & Mary in the Code of Virginia, the attached schedules for undergraduate, graduate, first professional, and special program tuition, student fees, and room and board are recommended for approval by the Board of Visitors.

For FY 2019, the university maintains the William & Mary Promise as the operating model for enhancing and sustaining quality, affordability and access. The tuition structure included in the Promise, in combination with other elements of the plan, provides a four-year tuition guarantee for incoming Virginia undergraduates, reducing net tuition and the anticipated student loan burden for the vast majority of middle-income families, while providing the university with the resources necessary to implement its Six-Year and Strategic Plans.

In November, 2017, the Board of Visitors approved **Resolution 18 - Continuation: William & Mary Promise**, setting the FY 2019 in-state undergraduate tuition rate for new students at \$17,434. Under the Promise, this tuition is guaranteed for four years. Tuition for in-state undergraduates admitted for FY 2016, FY 2017, and FY 2018 remains at \$13,978, \$15,674, and \$16,370 respectively. Total cost (tuition, fees, room, and board) for in-state undergraduates will range from \$32,180 to \$35,636, depending on class. Out-of-state undergraduate tuition will increase by \$1,310 (3.5%) to \$38,735 with a total cost of \$57,508. Tuition and fee increases for full-time in-state and out-of-state graduate and professional students range from \$324 to \$2,052, reflecting market conditions in each area.

Revenue generated through the proposed increases will provide sufficient resources to maintain the William & Mary Promise, with continued focus on providing need-based grant aid for Virginia's low and middle-income families, while also supporting the top priorities of the university's Six-Year and Strategic Plans. In addition to increased funding for student financial aid, these revenues provide funding to: cover anticipated increases in employee health insurance; enhance campus safety; complete the final year of implementing the undergraduate general education curriculum known as the COLL curriculum; and support the first year of the RBC Scholars, a new scholarship program offering enhanced opportunities for high-ability, low-income students from Richard Bland College to transfer to William & Mary.

Fee allocations for auxiliary services, facility debt service, student activities, and average charges for room and board support projected expenditures for operations and required debt service on facilities while allowing some contribution to the College's auxiliary reserve.

THEREFORE, BE IT RESOLVED, That the Board of Visitors of The College of William and Mary in Virginia approves the Tuition and Fees outlined in Tables 1-8 for FY 2019. Pursuant to Section 23.1-1301 A.5., Code of Virginia, the Board further delegates authority to the Provost and Senior Vice President for Finance and Administration to establish: 1) course fees as needed to offset materials, supplies or additional course-specific costs not covered by general tuition and fees, and 2) special program fees for study abroad programs, on-line programs, certificate or other professional programs. The Provost and Senior Vice President for Finance and Administration shall update the Board annually on any program tuition and fees approved under this delegation.

**TABLE 1. TUITION AND FEE SUMMARY  
UNDERGRADUATE PROGRAMS, BY RESIDENCY**

<b>UNDERGRADUATE, FULL-TIME</b>						
	<b>FY 2018</b>		<b>Proposed FY 2019</b>		<b>Dollar Change</b>	
	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>
<i><b>Tuition</b></i>						
Seniors	\$13,978	\$37,425	\$13,978	\$38,735	\$0	\$1,310
Juniors	15,674	37,425	15,674	38,735	0	1,310
Sophomores	16,370	37,425	16,370	38,735	0	1,310
New Students	N/A	37,425	17,434	38,735	N/A	1,310
<i><b>Standard Fees<sup>1</sup></b></i>						
General Fee (See Table 6 Detail)	4,309	4,309	4,527	4,527	218	218
Facility Fee (See Table 7 Detail)	1,229	1,229	1,303	1,303	74	74
Technology Fee	100	100	100	100	0	0
Student Faculty Fee	5	5	5	5	0	0
HEETF Fee	31	62	31	62	0	0
State Building Fee	N/A	540	N/A	540	N/A	0
<b>Subtotal, Standard Fees</b>	<b>5,674</b>	<b>6,245</b>	<b>5,966</b>	<b>6,537</b>	<b>292</b>	<b>292</b>
<i><b>Room and Board</b></i>						
Room, Wtd. Avg.	7,183	7,183	7,436	7,436	253	253
Board (19 meals)	4,616	4,616	4,800	4,800	184	184
<b>Subtotal, Room and Board</b>	<b>11,799</b>	<b>11,799</b>	<b>12,236</b>	<b>12,236</b>	<b>437</b>	<b>437</b>
<i><b>Total Cost</b></i>						
Seniors	31,451	55,469	32,180	57,508	729	2,039
Juniors	33,147	55,469	33,876	57,508	729	2,039
Sophomores	33,843	55,469	34,572	57,508	729	2,039
New Students	N/A	55,469	35,636	57,508	N/A	2,039
<b>UNDERGRADUATE, PART-TIME INCLUDING SUMMER SESSION(S)<sup>2</sup></b>						
<i><b>Tuition Per Credit Hour</b></i>						
<b>All Undergraduates, Part-Time</b>	<b>\$400</b>	<b>\$1,195</b>	<b>\$425</b>	<b>\$1,235</b>	<b>\$25</b>	<b>\$40</b>

**Notes:**<sup>1</sup> Course specific or program specific fees may also apply.<sup>2</sup> FY 2019 part-time and summer session tuition rates are effective August 16, 2018 unless otherwise noted.

**TABLE 2. TUITION AND FEE SUMMARY  
GRADUATE PROGRAMS, BY RESIDENCY**

<b>GRADUATE (M.A., M.S., M.Ed., Ph.D., Ed.D), FULL-TIME</b>						
	<b>FY 2018</b>		<b>Proposed FY 2019</b>		<b>Dollar Change</b>	
	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>
<i><b>Tuition</b></i>						
Arts & Science/Education/VIMS	\$9,630	\$25,920	\$10,064	\$27,086	\$434	\$1,166
Master of Public Policy (M.P.P.)	22,000	32,000	22,000	32,000	0	0
<i><b>Standard Fees<sup>1</sup></b></i>						
General Fee (See Table 6 Detail)	4,309	4,309	4,527	4,527	218	218
Technology Fee	100	100	100	100	0	0
Student Faculty Fee	5	5	5	5	0	0
HEETF Fee	30	62	30	62	0	0
State Building Fee	N/A	540	N/A	540	N/A	0
<b>Subtotal, Standard Fees</b>	<b>4,444</b>	<b>5,016</b>	<b>4,662</b>	<b>5,234</b>	<b>218</b>	<b>218</b>
<i><b>Graduate Program Fees</b></i>						
Arts & Sciences/Education/VIMS Facility Fee	928	928	1,034	1,034	106	106
<i><b>Total Tuition and Fees, By Program</b></i>						
Arts & Sciences/Education/VIMS (M.A., M.S., M.Ed., Ph.D., Ed.D)	15,002	31,864	15,760	33,354	758	1,490
Master of Public Policy (M.P.P.)	27,372	37,944	27,696	38,268	324	324
<b>GRADUATE, PART-TIME INCLUDING SUMMER SESSION(S)<sup>2</sup></b>						
<i><b>Tuition, Per Credit Hour</b></i>						
Graduate, Part-Time in Arts & Sciences/Education/VIMS	\$535	\$1,265	\$560	\$1,325	\$25	\$60

**Notes:**<sup>1</sup> Course specific or program specific fees may also apply.<sup>2</sup> FY 2019 part-time and summer session tuition rates are effective August 16, 2018 unless otherwise noted.

**TABLE 3. TUITION AND FEE SUMMARY - TRADITIONAL PROGRAMS  
SCHOOL OF LAW, BY RESIDENCY**

<b>LAW (J.D., LL.M.), FULL-TIME</b>						
	<b>FY 2018</b>		<b>Proposed FY 2019</b>		<b>Dollar Change</b>	
	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>
<b>Tuition</b>						
Juris Doctor (J.D.)	\$27,261	\$35,689	\$27,962	\$36,390	\$701	\$701
Master of Law (LL.M.)	27,261	35,689	27,962	36,390	701	701
<b>Standard Fees<sup>1</sup></b>						
General Fee (See Table 6 Detail)	4,309	4,309	4,527	4,527	218	218
Technology Fee	100	100	100	100	0	0
Student Faculty Fee	5	5	5	5	0	0
HEETF Fee	30	62	30	62	0	0
State Building Fee	N/A	540	N/A	540	N/A	0
<b>Subtotal, Standard Fees</b>	<b>4,444</b>	<b>5,016</b>	<b>4,662</b>	<b>5,234</b>	<b>218</b>	<b>218</b>
<b>Law School Fees</b>						
Law Facility Fee	659	659	776	776	117	117
Law North Wing Building Fee	230	230	230	230	0	0
Law Library Building Fee	340	340	340	340	0	0
Law Printing/Activity Fee	30	30	30	30	0	0
<b>Total Tuition and Fees, by Program</b>						
Juris Doctor (J.D.)	32,964	41,964	34,000	43,000	1,036	1,036
Master of Law (LL.M.)	32,964	41,964	34,000	43,000	1,036	1,036
<b>LAW, PART-TIME INCLUDING SUMMER SESSION(S)<sup>2</sup></b>						
<b>Tuition, Per Credit Hour</b>						
<b>Law, Part-Time</b>	<b>\$825</b>	<b>\$1,225</b>	<b>\$825</b>	<b>\$1,225</b>	<b>\$0</b>	<b>\$0</b>

**Notes:**<sup>1</sup> Course specific or program specific fees may also apply.<sup>2</sup> FY 2019 part-time and summer session tuition rates are effective August 16, 2018 unless otherwise noted.

**TABLE 4. TUITION AND FEE SUMMARY  
BUSINESS, BY RESIDENCY**

<b>BUSINESS (MAcc, MBA, MSBA), FULL-TIME</b>						
	<b>FY 2018</b>		<b>Proposed FY 2019</b>		<b>Dollar Change</b>	
	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>
<b><i>Tuition</i></b>						
Master of Accountancy (MAcc)	\$26,300	\$36,000	\$27,600	\$37,750	\$1,300	\$1,750
Master of Business Administration (MBA)	26,000	36,600	26,700	37,500	700	900
Master of Science in Business Analytics (MSBA)	34,000	40,200	35,000	41,500	1,000	1,300
<b><i>Standard Fees<sup>1</sup></i></b>						
General Fee	4,309	4,309	4,527	4,527	218	218
Technology Fee	100	100	100	100	0	0
Student Faculty Fee	5	5	5	5	0	0
HEETF Fee	30	62	30	62	0	0
State Building Fee	N/A	540	N/A	540	N/A	0
<b>Subtotal, Standard Fees</b>	<b>4,444</b>	<b>5,016</b>	<b>4,662</b>	<b>5,234</b>	<b>218</b>	<b>218</b>
<b><i>Business School Fees</i></b>						
Business Facility Fee	962	962	1,046	1,046	84	84
Business Printing Fee	100	100	100	100	0	0
Business Program/Support Fee	600	600	600	600	0	0
<b><i>Total, Tuition and Fees, by Program</i></b>						
Master of Accountancy (MAcc)	32,406	42,678	34,008	44,730	1,602	2,052
Master of Business Administration (MBA)	32,106	43,278	33,108	44,480	1,002	1,202
Master of Science in Business Analytics (MSBA)	40,106	46,878	41,408	48,480	1,302	1,602
<b>GRADUATE AND PROFESSIONAL, PART-TIME INCLUDING SUMMER SESSION(S)<sup>2</sup></b>						
<b><i>Tuition, Per Credit Hour</i></b>						
Business, Flex MBA	\$825	\$1,225	\$875	\$1,275	\$50	\$50

**Notes:**<sup>1</sup> Course specific or program specific fees may also apply.<sup>2</sup> FY 2019 part-time and summer session tuition rates are effective August 16, 2018 unless otherwise noted.

**TABLE 5. TUITION AND FEE SUMMARY - SPECIAL RATE PROGRAMS**

TOTAL PROGRAM COST INCLUDING TUITION AND FEES				
	Description	FY 2018	Proposed FY 2019	Dollar Change
Business - Executive Master of Business Administration (EMBA)	Five Semester Cohort Program Cost	\$93,900	\$93,900	\$0
St. Andrews Joint Degree Program (Bachelor of Arts) <sup>1</sup>	Four-Year Undergraduate Dual Degree Program	39,950	39,990	40
Miami-Dade Executive Doctorate of Education <sup>2</sup>	Three-Year Cohort Program Cost	35,000	35,000	0
TOTAL PROGRAM TUITION ONLY				
Study Abroad Programs (Eight credit hours or less) <sup>3</sup>	Short programs offered typically during the summer terms, awarding W&M credit	1,200	1,200	0
Study Abroad Programs (More than eight credit hours) <sup>3</sup>	Longer programs typically offered during the Fall or Spring semesters, awarding W&M credit	2,200	2,200	0
PER CREDIT HOUR TUITION				
Business - On-line Master of Business Administration (OMBA)	48 credit hours required over two years	1,150	1,220	70
Business - On-line Master of Science in Business Analytics (MSBA)	Pre-requisite courses	0	800	800
Business - On-line Master of Science in Business Analytics (MSBA)	Core Program courses	0	1,300	1,300
Education - Online Med in Counseling		0	665	665
Education - Executive Doctorate of Education (E-EdD)	48 credit hours required over two and a half years	950	950	0

**Notes:**

<sup>1</sup> W&M students pay \$39,990 per academic year in tuition regardless of domicile status. Fees are assessed based on the home institution regardless of where the student is studying that year. The location of the student's first year of study is the home institution.

<sup>2</sup> Cohort start date was January 2017.

<sup>3</sup> Does not include program-specific costs related to non-educational aspects of the program for any study abroad program. For the Global Business Minor program specifically, students are also charged a separate mandatory E&G program fee listed on Table 8 to cover the additional educational costs of that program.

**TABLE 6. GENERAL FEE COMPONENTS**

	FY 2018	Proposed FY 2019	Dollar Change
<b>AUXILIARY SERVICES</b>			
Health & Wellness	\$531	\$581	\$50
Integrative Wellness Center	0	32	32
Bus Service	59	65	6
Student Unions	364	369	5
Kaplan Arena Operations	348	355	7
General Auxiliary	218	228	10
Telecom/Networking	286	288	2
PC Maintenance	40	45	5
Intercollegiate Athletics	1,900	1,992	92
Tennis Center	20	20	0
ID Office	32	32	0
<b><i>Subtotal, Auxiliary Services</i></b>	<b>\$3,798</b>	<b>\$4,007</b>	<b>\$209</b>
<b>STUDENT ACTIVITIES</b>			
Green Fee	40	40	0
Cultural Fee	44	46	2
Student Activities	98	98	0
Student Affairs	33	33	0
Recreational Sports	168	170	2
Recreational Center	128	133	5
<b><i>Subtotal, Student Activities</i></b>	<b>\$511</b>	<b>\$520</b>	<b>\$9</b>
<b>TOTAL GENERAL FEE</b>	<b>\$4,309</b>	<b>\$4,527</b>	<b>\$218</b>

**TABLE 7. UNDERGRADUATE FACILITY FEE**

	<b>FY 2018</b>	<b>Proposed FY 2019</b>	<b>Dollar Change</b>
Athletic Facilities 1	\$42	\$42	\$0
Athletic Facilities 2	15	21	6
Cooling Tower 1	122	122	0
Cooling Tower 2	121	121	0
Integrated Science Center	238	230	(8)
Integrative Wellness Center	120	122	2
Kaplan Hall 1	21	0	(21)
Kaplan Hall 2	16	16	0
Laycock Center	23	23	0
Sadler West	0	9	9
School of Business	302	270	(32)
School of Ed	30	27	(3)
Student Rec Ctr	110	123	13
Utility Improvements	49	49	0
West Utilities	20	128	108
<b>TOTAL, FACILITY FEE</b>	<b>\$1,229</b>	<b>\$1,303</b>	<b>\$74</b>



**TABLE 8. MISCELLANEOUS STUDENT FEES**

	<b>FY 2018</b>	<b>Proposed FY 2019</b>	<b>Dollar Change</b>
Undergraduate Application Fee	\$75	\$75	\$0
Graduate Continuous Enrollment Fee (Per Semester)	150	150	0
Summer Comprehensive Fee	55	55	0
Summer Registration Fee	25	25	0
International Student Orientation Fee	130	130	0
International Student Service Fee	65	65	0
Graduation Fee	95	145	50
Orientation Fee	322	334	12
Applied Music Fee (per credit hour)	425	425	0
Reves Center - Study Abroad Registration Fee	75	75	0
<b>Arts &amp; Sciences</b>			
Graduate Application Fee	50	50	0
Master of Public Policy Student Activity Fee	400	400	0
<b>Law School</b>			
Application Fee	50	50	0
<b>School of Education</b>			
Application Fee	50	50	0
Live Text Fee	110	115	5
<b>School of Business</b>			
Application Fee	100	100	0
Undergraduate Business Major Fee	5,000	5,000	0
Undergraduate Business Minor Fee	2,500	2,500	0
Global Minor Program Fee	2,200	2,200	0
Global Minor Application Fee	75	75	0
Flex MBA Orientation Fee	300	300	0
Flex MBA Graduation Fee	85	85	0
MAcc Orientation Fee	450	450	0
MBA Orientation Fee	550	550	0
MSBA Orientation Fee	200	200	0
MBA Math Bootcamp Fee	400	450	50
MSBA Bootcamp Fee	750	750	0
MAcc Bootcamp Fee (In-State)	825	875	50
MAcc Bootcamp Fee (Out-of-State)	1,225	1,275	50
OMBA Residency Fee	600	600	0
<b>DC Center</b>			
DC Semester Program Fee	500	500	0
DC Summer Institute Fee	250	250	0
DC Summer Prequel Course Fee	250	250	0
DC Spring Break Seminar Program Fee	2,500	2,500	0
DC Winter Institute Program Fee	2,500	2,500	0

Note: Excludes Course Specific Fees.

**WILLIAM & MARY**  
**2017-2018 OPERATING BUDGET SUMMARY**

	2015-2016 Actual	2016-2017 Actual	Estimated 2017-2018 Budget	Year-to-Date Through 3/31/18
CASH CARRYFORWARD	\$ 1,183,697	\$ 2,187,926	\$ -0-	\$ 2,193,394
<b>REVENUE</b>				
General Funds				
Educational/General	\$ 41,620,263	\$ 44,144,181	\$ 43,642,723	\$ 34,396,618
Student Aid	4,127,011	4,377,227	4,418,247	4,354,943
Sponsored Programs	75,000	75,000	75,000	\$ 46,750
Nongeneral Funds				
Educational/General	138,684,861	148,115,132	157,803,252	157,039,405
Student Aid	27,153,194	32,911,115	34,920,696	34,950,696
Auxiliary Enterprise	105,643,193	108,670,263	110,617,916	97,712,098
Sponsored Programs	29,473,616	30,419,417	31,650,000	22,193,268
Private Funds	15,131,292	14,810,062	14,780,091	8,902,035
<b>Total Revenue</b>	<b>\$ 361,908,430</b>	<b>\$ 383,522,397</b>	<b>\$ 397,907,925</b>	<b>\$ 359,595,813</b>
<b>EXPENDITURES</b>				
Instruction	\$ 102,849,035	\$ 103,149,101	\$ 117,704,447	\$ 92,901,999
Research	1,740,212	1,559,781	1,645,646	1,365,824
Public Service	16,280	23,931	22,304	13,413
Academic Support	29,033,769	31,129,236	31,391,342	25,562,995
Student Services	8,651,646	9,651,503	9,284,674	7,586,851
Institutional Support	30,117,672	33,490,687	33,595,146	30,804,320
Plant Operations	17,405,243	19,833,110	19,757,622	16,096,849
Student Aid	35,880,902	41,657,750	47,813,128	46,296,824
Auxiliary Enterprise	102,652,730	103,182,240	106,831,305	85,193,714
Sponsored Programs	29,548,616	30,494,417	31,725,000	22,240,018
<b>Total Expenditures</b>	<b>\$ 357,896,105</b>	<b>\$ 374,171,756</b>	<b>\$ 399,770,614</b>	<b>\$ 328,062,807</b>

**William & Mary  
Education and General  
2017-2018 Operating Budget Summary\***

	<b>2015-16 Actual</b>	<b>2016-17 Actual</b>	<b>Estimated FY 2017-18 Budget</b>	<b>2017-2018 Year-to-Date 3/31/2018</b>
CASH CARRYFORWARD	\$1,183,697	\$2,187,926	\$0	\$2,193,394
REVENUE:				
General Funds	\$41,620,263	\$44,144,181	\$43,642,723	\$34,396,618
Nongeneral Funds	138,684,861	148,115,132	157,659,034	157,039,405
Total Revenue	\$180,305,124	\$192,259,313	\$201,301,757	\$191,436,023
AVAILABLE FUNDS	\$181,488,821	\$194,447,239	\$201,301,757	\$193,629,417
EXPENDITURES:				
Instruction	\$101,054,820	\$101,650,629	\$116,064,822	\$92,030,464
Research	1,027,484	1,014,469	1,285,318	1,004,992
Public Service	10,198	8,031	8,021	4,203
Academic Support	27,937,440	30,028,551	30,297,231	24,803,826
Student Services	8,398,364	9,064,956	8,974,583	7,445,917
Institutional Support	23,908,092	28,092,532	25,146,778	20,939,689
Plant Operations	17,288,402	19,472,393	19,525,004	15,898,918
Total Expenditures	\$179,624,800	\$189,331,561	\$201,301,757	\$162,128,008

**The College of William and Mary  
Student Financial Assistance\*\*  
2017-2018 Operating Budget Summary**

	<b>2015-16 Actual</b>	<b>2016-17 Actual</b>	<b>Estimated 2017-18 Budget</b>	<b>2017-2018 Year-to-Date 3/31/2018</b>
REVENUE:				
General Funds	\$4,127,011	\$4,377,227	\$4,444,222	\$4,354,943
Nongeneral Funds	27,153,194	32,911,115	34,950,696	34,950,696
Auxiliary Enterprises/Cash Balances	899,500	900,000	2,968,820	2,968,820
Total Revenue	\$32,179,705	\$38,188,342	\$42,363,738	\$42,274,459
EXPENDITURES:				
	\$32,179,705	\$38,188,342	\$46,063,738	\$42,274,459

\* Excludes required transfers to the state  
\*\* Excludes student financial assistance support included in Board of Visitors private fund budget.

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**William & Mary**  
**Education and General**  
**2017-2018 Operating Budget Summary**

	2015-16 <u>Actual</u>	2016-17 <u>Actual</u>	Estimated 2017-18 <u>Budget</u>	2017-2018 Year-to-Date 3/31/2018
<b>CASH CARRYFORWARD</b>	\$1,183,697	\$2,187,926	\$0	\$2,193,394
<b><u>REVENUE</u></b>				
General Funds	\$41,620,263	\$44,144,181	\$43,642,723	\$34,396,618
Nongeneral Funds	138,684,861	148,115,132	157,659,034	157,039,405
<b>TOTAL REVENUE</b>	<b>\$180,305,124</b>	<b>\$192,259,313</b>	<b>\$201,301,757</b>	<b>\$191,436,023</b>
<b>AVAILABLE FUNDS</b>	<b>\$181,488,821</b>	<b>\$194,447,239</b>	<b>\$201,301,757</b>	<b>\$193,629,417</b>
<b><u>EXPENDITURES</u></b>				
<b><u>Instruction</u></b>				
Personal Services	\$93,808,266	\$94,982,255	\$107,627,098	\$86,581,297
Non-Personal Services	7,246,554	6,668,375	8,437,724	5,449,166
<b>TOTAL</b>	<b>\$101,054,820</b>	<b>\$101,650,630</b>	<b>\$116,064,822</b>	<b>\$92,030,464</b>
<b><u>Research</u></b>				
Personal Services	\$966,445	\$977,537	\$1,212,420	\$960,744
Non-Personal Services	61,039	36,932	72,898	44,248
<b>TOTAL</b>	<b>\$1,027,484</b>	<b>\$1,014,469</b>	<b>\$1,285,318</b>	<b>\$1,004,992</b>

Board of Visitors

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	2015-16 <u>Actual</u>	2016-17 <u>Actual</u>	Estimated 2017-18 <u>Budget</u>	2017-2018 Year-to-Date 3/31/2018
<b><u>Public Service</u></b>				
Personal Services	\$0	\$0	\$760	\$0
Non-Personal Services	10,198	8,031	7,261	4,203
<b>TOTAL</b>	<b>\$10,198</b>	<b>\$8,031</b>	<b>\$8,021</b>	<b>\$4,203</b>
<b><u>Academic Support</u></b>				
<b>LIBRARIES:</b>				
Personal Services	\$5,950,961	\$6,076,448	\$6,535,431	\$4,742,243
Non-Personal Services	4,914,637	5,333,158	5,085,528	4,505,283
<b>TOTAL</b>	<b>\$10,865,598</b>	<b>\$11,409,606</b>	<b>\$11,620,959</b>	<b>\$9,247,526</b>
<b>OTHER ACAD. SUPPORT:</b>				
Personal Services	\$14,182,840	\$14,771,666	\$15,107,366	\$12,773,250
Non-Personal Services	2,889,002	3,847,279	3,568,906	2,783,050
<b>TOTAL</b>	<b>\$17,071,842</b>	<b>\$18,618,945</b>	<b>\$18,676,272</b>	<b>\$15,556,300</b>
<b>TOTAL ACADEMIC SUPPORT</b>	<b>\$27,937,440</b>	<b>\$30,028,551</b>	<b>\$30,297,231</b>	<b>\$24,803,826</b>
<b><u>Student Services</u></b>				
Personal Services	\$6,854,529	\$7,523,322	\$7,769,955	\$6,144,762
Non-Personal Services	1,543,835	1,541,634	1,204,628	1,301,155
<b>TOTAL</b>	<b>\$8,398,364</b>	<b>\$9,064,956</b>	<b>\$8,974,583</b>	<b>\$7,445,917</b>

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	2015-16 <u>Actual</u>	2016-17 <u>Actual</u>	Estimated 2017-18 <u>Budget</u>	2017-2018 Year-to-Date 3/31/2018
<b><u>Institutional Support</u></b>				
Personal Services	\$19,495,463	\$21,722,030	\$20,620,617	\$15,942,595
Non-Personal Services	4,412,629	6,370,502	4,526,161	4,997,093
TOTAL	<b>\$23,908,092</b>	<b>\$28,092,532</b>	<b>\$25,146,778</b>	<b>\$20,939,689</b>
<b><u>Plant Operations</u></b>				
Personal Services	\$1,528,765	\$2,368,906	\$6,642,509	\$2,155,051
Non-Personal Services	15,759,637	17,103,487	12,882,495	13,743,867
TOTAL	<b>\$17,288,402</b>	<b>\$19,472,393</b>	<b>\$19,525,004</b>	<b>\$15,898,918</b>
E&G PROGRAM TOTAL	<b>\$179,624,800</b>	<b>\$189,331,561</b>	<b>\$201,301,757</b>	<b>\$162,128,008</b>

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**William & Mary  
Auxiliary Enterprise  
2017-2018 Operating Budget Summary**

	<u>2015-2016 Actual</u>	<u>2016-2017 Actual</u>	<u>Estimated 2017-2018 Budget</u>	<u>Year-to-Date Through 3/31/2018</u>
<b>REVENUE</b>				
Student Housing	\$32,932,608	\$33,163,843	\$32,839,162	\$32,624,960
Food Service	21,129,517	22,397,220	22,750,000	22,603,718
Telecom/Network	3,627,660	3,531,857	3,754,475	3,450,499
Student Unions	3,073,557	3,161,410	3,233,708	3,143,784
Kaplan Arena	2,492,762	2,567,343	2,825,000	2,762,314
Athletics	24,485,028	26,026,771	26,783,751	16,372,866
Other	<u>17,902,061</u>	<u>17,821,819</u>	<u>17,584,609</u>	<u>16,753,957</u>
Total Revenue	\$105,643,193	\$108,670,263	\$109,770,705	\$97,712,098
<b>EXPENDITURES</b>				
Student Housing	\$31,525,355	\$31,497,226	\$32,516,486	\$24,099,739
Food Service	19,048,208	19,416,869	19,665,967	15,351,362
Telecom/Network	3,388,905	3,424,804	3,754,475	3,404,472
Student Unions	3,245,936	3,272,053	3,293,638	2,829,677
Kaplan Arena	2,369,203	2,535,190	2,697,817	2,059,061
Athletics	24,853,182	25,819,672	26,783,751	23,218,445
Other	<u>18,221,941</u>	<u>17,216,426</u>	<u>16,803,480</u>	<u>14,230,958</u>
Total Expenditures	\$102,652,730	\$103,182,240	\$105,515,614	\$85,193,714

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**William & Mary  
Sponsored Programs  
2017-2018 Operating Budget Summary**

	<u>2015-2016 Actual</u>	<u>2016-2017 Actual</u>	<u>Estimated 2017-2018 Budget</u>	<u>Year-to-Date Through 3/31/2018</u>
REVENUE				
General Fund	\$75,000	\$75,000	\$75,000	\$46,750
Nongeneral Fund	<u>29,473,616</u>	<u>30,419,417</u>	<u>32,250,000</u>	<u>22,193,268</u>
Total Revenue	\$29,548,616	\$30,494,417	\$32,325,000	\$22,240,018
EXPENDITURES				
	\$29,548,616	\$30,494,417	\$ 32,325,000	\$ 22,240,018



**WILLIAM & MARY**  
**PRIVATE FUNDS BUDGET SUMMARY**

	2015-2016 Actual	2016-2017 Actual	Estimated 2017-2018 Budget	Year-to-Date Through 3/31/2018
<b>REVENUE:</b>				
Distributed Endowment Income	\$3,266,397	\$3,369,985	\$3,354,091	\$2,511,047
Administrative Overhead Allocation	300,000	300,000	300,000	225,000
Transfers from Other Sources	382,011	337,774	190,000	67,500
Earnings on Short-term Investments	320,921	347,099	450,000	397,818
Annual Gifts	8,523,859	9,241,402	8,410,000	4,600,526
Drawdown from BOV Quasi Endowment	1,350,000	500,000	500,000	0
Distribution from External Trusts	57,886	58,510	46,000	39,600
Proceeds on Sale of Land Parcel	0	0	771,052	771,052
Other Revenue	930,218	655,292	1,259,020 **	289,492
<b>Total Revenue</b>	<b>\$15,131,292</b>	<b>\$14,810,062</b>	<b>\$15,280,163</b>	<b>\$8,902,035</b>
<b>EXPENDITURES:</b>				
Instruction	\$1,794,215	\$1,498,472	\$1,312,259	871,535
Research	712,728	545,312	584,476	360,832
Public Service	6,082	15,900	18,283	9,210
Academic Support	1,096,330	1,100,685	1,076,111	759,169
Student Services	253,282	586,547	730,091	140,934
Institutional Support	6,209,580	5,398,155	8,619,831	9,864,631 ***
Plant: Operations & Capital Improvements	116,841	360,717	282,618	197,931
Student Aid	3,701,197	3,469,408	4,861,416	4,022,365
<b>Total Expenditures</b>	<b>\$13,890,255</b>	<b>\$12,975,196</b>	<b>\$17,485,085</b>	<b>\$16,226,607</b>

\*\* Includes Undesignated gifts of \$1,004,000.

\*\*\* Mostly Advancement expenses. In prior years, some of these expenses were funded by CWMF.

**VIRGINIA INSTITUTE OF MARINE SCIENCE****FY 2018-19 OPERATING BUDGET PROPOSAL**

For the Virginia Institute of Marine Science, educational and general programs constitute those activities that support the delivery of its tripartite mission of research, education, and advisory service to a large constituency within the Commonwealth and globally. These activities include state mandated and sponsored research, advisory service to the Commonwealth, instruction, academic support (including library, information technology, communications, field operations, seawater research laboratory, analytical, and student services), institutional/administrative support, and plant operations.

For fiscal year 2018-19 the State appropriation for the Virginia Institute of Marine Science provides \$23 million in general funds support. This assumes the General Assembly will accept Governor Northam's budget as recommended which includes an increase of \$605,210 for Improvements in Information Technology and \$378,486 for Chesapeake Bay Water Quality Improvement. Also reflected is a health insurance premium increase in the amount of \$195,000. The appropriation is further supplemented by non-general fund revenues estimated at \$25.2 million, the majority of which are due to externally funded grant and contract activities, and less than \$1 million attributable to tuition revenues.

BE IT RESOLVED, That the Board of Visitors of the College of William & Mary in Virginia approves the FY 2018-19 operating budget of the Virginia Institute of Marine Science, as displayed on the attached schedule.

**VIRGINIA INSTITUTE OF MARINE SCIENCE****FY 2018 ESTIMATED AND FY 2019 PROPOSED  
REVENUE AND EXPENDITURES**

	<b><u>Approved Budget FY 2017-2018</u></b>	<b><u>Estimated Actual FY 2017-2018</u></b>	<b><u>Proposed Budget FY 2018-2019</u></b>
<b><u>REVENUE</u></b>			
General Fund	\$21,476,210	\$21,829,228	\$23,041,574
Nongeneral Fund			
Education and General	1,793,030	1,843,030	1,892,041
Eminent Scholars	75,992	77,185	79,139
Sponsored Programs	<u>23,000,000</u>	<u>23,250,000</u>	<u>23,250,000</u>
<b>TOTAL REVENUE</b>	<b>\$46,345,232</b>	<b>\$46,999,443</b>	<b>\$48,262,754</b>

**EXPENDITURES**

Instruction	\$1,433,980	\$1,440,511	\$1,537,516
Research & Advisory Services	9,860,249	9,879,901	9,877,246
Academic Support	4,921,222	5,104,613	5,993,692
Institutional Support	2,698,281	2,450,083	2,683,218
Plant and Maintenance	4,035,891	4,420,264	4,480,941
Student Financial Assistance	319,617	321,002	321,002
Sponsored Programs	<u>23,075,992</u>	<u>23,327,185</u>	<u>23,329,139</u>
<b>TOTAL EXPENDITURES</b>	<b>\$46,345,232</b>	<b>\$46,943,559</b>	<b>\$48,222,754</b>

**VIRGINIA INSTITUTE OF MARINE SCIENCE  
OPERATING BUDGET SUMMARY**

	<u>2015-2016</u>	<u>2016-2017</u>	<u>Estimated</u>	<u>Proposed</u>	<u>Variance</u>
	<u>Actual</u>	<u>Actual</u>	<u>2017-2018</u>	<u>2018-2019</u>	
			<u>Actual</u>	<u>Budget</u>	
<b><u>REVENUE</u></b>					
General Fund	\$19,699,566	\$21,127,470	\$21,829,228	\$23,041,574	1,212,346
Nongeneral Funds					
Educational/General	1,941,120	2,263,009	1,843,030	1,892,041	49,011
Eminent Scholars	43,647	78,088	77,185	79,139	1,954
Sponsored Programs	<u>23,234,922</u>	<u>22,405,424</u>	<u>23,250,000</u>	<u>23,250,000</u>	-
<b>Total Revenue</b>	\$44,919,255	\$45,873,990	\$46,999,443	\$48,262,754	1,263,311
<b><u>EXPENDITURES</u></b>					
Instruction	\$1,045,649	\$948,236	\$1,440,511	\$1,537,516	97,005
Research and Advisory Services	9,260,758	9,834,263	9,879,901	9,877,246	(2,655)
Academic Support	4,897,996	5,150,593	5,104,613	5,993,692	889,079
Institutional Support	2,334,682	2,884,700	2,450,083	2,683,218	233,135
Plant Operations	3,855,280	4,123,222	4,420,264	4,480,941	60,677
Student Financial Assistance	241,540	319,617	321,002	321,002	-
Sponsored Programs/Eminent Scholars	<u>23,278,569</u>	<u>22,483,511</u>	<u>23,327,185</u>	<u>23,329,139</u>	1,954
<b>Total Expenditures</b>	\$44,914,473	\$45,744,142	\$46,943,559	\$48,222,754	1,279,194

**VIRGINIA INSTITUTE OF MARINE SCIENCE  
2017-2018 OPERATING BUDGET SUMMARY**

	<u>2015-2016 Actual</u>	<u>2016-2017 Actual</u>	<u>Estimated 2017-2018 Budget</u>	<u>Year-To-Date Through 3/31/2018</u>
<b><u>REVENUE</u></b>				
General Fund	\$19,699,566	\$21,127,470	\$21,829,228	\$17,281,311
Nongeneral Funds	1,941,120	2,263,009	1,843,030	1,579,248
Educational/General	43,647	78,088	77,185	-
Eminent Scholars	<u>23,234,922</u>	<u>22,405,424</u>	<u>23,250,000</u>	<u>16,671,201</u>
Sponsored Programs	\$44,919,255	\$45,873,990	\$46,999,443	\$35,531,760
<b>Total Revenue</b>				
<b><u>EXPENDITURES</u></b>				
Instruction	\$1,045,649	\$948,236	\$1,440,511	\$884,473
Research and Advisory Services	9,260,758	9,834,263	9,879,901	\$7,729,053
Academic Support	4,897,996	5,150,593	5,104,613	\$3,879,943
Institutional Support	2,334,682	2,884,700	2,450,083	\$2,822,456
Plant Operations	3,855,280	4,123,222	4,420,264	\$3,098,991
Student Financial Assistance	241,540	319,617	321,002	\$159,521
Sponsored Programs/Eminent Scholars	<u>23,278,569</u>	<u>22,483,511</u>	<u>23,327,185</u>	<u>\$16,671,201</u>
<b>Total Expenditures</b>	\$44,914,473	\$45,744,142	\$46,943,559	\$35,245,639

**WILLIAM & MARY BOARD OF VISITORS  
OFFICERS AND COMMITTEES  
2017-2018**

***STANDING COMMITTEES:***

**EXECUTIVE COMMITTEE**

Todd A. Stottlemeyer, Rector  
H. Thomas Watkins III, Vice Rector  
Sue H. Gerdelman, Secretary  
Thomas R. Frantz  
William H. Payne II  
Lisa E. Roday  
Karen Kennedy Schultz  
*Michael J. Fox*

**COMMITTEE ON ACADEMIC AFFAIRS**

Karen Kennedy Schultz, Chair  
S. Douglas Bunch, Vice Chair  
Mirza Baig  
Warren W. Buck III  
James A. Hixon  
Anne Leigh Kerr  
John E. Littel  
J.E. Lincoln Saunders  
Eric D. Chason, faculty representative  
Elijah S. Levine, student representative  
*Michael R. Halleran*

**COMMITTEE ON ADMINISTRATION, BUILDINGS AND GROUNDS**

William H. Payne II, Chair  
Anne Leigh Kerr, Vice Chair  
Warren W. Buck III  
James A. Hixon  
Barbara L. Johnson  
J.E. Lincoln Saunders  
Karen Kennedy Schultz  
Christopher J. Abelt, faculty representative  
Annelise Yackow, student representative  
*Samuel E. Jones*

(Design Review Board – William H. Payne II, Anne Leigh Kerr)

### **COMMITTEE ON ATHLETICS**

Sue H. Gerdelman, Chair  
 S. Douglas Bunch, Vice Chair  
 Thomas R. Frantz  
 J.E. Lincoln Saunders  
 H. Thomas Watkins III  
 Rex K. Kincaid, faculty representative  
 Conor J. Rooney, student representative  
*Samantha K. Huge*

### **COMMITTEE ON AUDIT AND COMPLIANCE**

Christopher M. Little, Chair  
 Brian P. Woolfolk, Vice Chair  
 Mirza Baig  
 Barbara L. Johnson  
 John E. Littel  
*Kent B. Erdahl*  
*Kiersten L. Boyce*  
*Samuel E. Jones*

### **COMMITTEE ON FINANCIAL AFFAIRS**

H. Thomas Watkins III, Chair  
 James A. Hixon, Vice Chair  
 Mirza Baig  
 S. Douglas Bunch  
 Thomas R. Frantz  
 Anne Leigh Kerr  
 Christopher M. Little  
 Brian P. Woolfolk  
 Catherine A. Forestell, faculty representative  
 T. Nicole Ng, student representative  
*Samuel E. Jones*

### **Investments Subcommittee:**

James A. Hixon, Chair  
 Mirza Baig, Vice Chair  
 S. Douglas Bunch  
 Christopher M. Little  
 H. Thomas Watkins III

**RICHARD BLAND COLLEGE COMMITTEE**

John E. Littel, Chair  
 Warren W. Buck III, Vice Chair  
 Sue H. Gerdelman  
 Barbara L. Johnson  
 William H. Payne, II  
 Lisa E. Roday  
 Karen Kennedy Schultz  
 Brian P. Woolfolk  
 Matthew J. Smith, faculty representative  
 Kayla G. Zanders, student representative  
*Debbie L. Sydow*

***COMMITTEES OF THE WHOLE:***

**COMMITTEE ON UNIVERSITY ADVANCEMENT**

Sue H. Gerdelman, Chair  
 Christopher M. Little, Vice Chair  
*Matthew T. Lambert*

**COMMITTEE ON STRATEGIC INITIATIVES AND NEW VENTURES**

Thomas R. Frantz, Chair  
 John E. Littel, Vice Chair  
*Henry R. Broaddus*

**COMMITTEE ON STUDENT AFFAIRS**

Lisa E. Roday, Chair  
 William H. Payne II, Vice Chair  
*Virginia M. Ambler*

Board Liaison to MONROE LEGACY WORKING GROUP – Chris Little

Board Liaison to RACE RELATIONS IMPLEMENTATION TEAM – Lisa Roday



# BOARD OF VISITORS WILLIAM & MARY

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## ANNUAL BOARD MEETING

*Board Room - Blow Memorial Hall*

April 20, 2018

## ANNUAL MEETING AGENDA

Board of Visitors  
William & Mary

April 20, 2018 - 10:15 a.m.  
Board Room - Blow Memorial Hall

- I. Welcome and call to order Rector Todd A. Stottlemeyer
- II. Approval of Minutes Mr. Stottlemeyer
  - A. February 7-10, 2018
  - B. Called meeting February 20, 2018
- III. Opening Remarks Mr. Stottlemeyer  
President W. Taylor Reveley III
- IV. Closed Session (if necessary) Mr. Stottlemeyer
- V. Reports of Standing Committee chairs
  - A. Richard Bland College Committee John E. Littel
    - 1. **Resolution 1:** Appointments to Fill Vacancies in the Instructional Faculty *tab #1*
    - 2. **Resolution 2:** Appointments to Fill Vacancies in the Professionals and Professional Faculty *tab #2*
    - 3. **Resolution 3:** Approval of Academic Promotion *tab #3*
    - 4. **Resolution 28:** Faculty Leaves of Absence *tab #28*
    - 5. **Resolution 4:** Resolution of Appreciation for Chuck Moore  
Director of Athletics and Head Men's Basketball Coach *tab #4*
    - 6. **Resolution 5:** Retirement of Lois S. Wray, Registrar *tab #5*
    - 7. **Resolution 6:** Retirement of Gregory J. Taylor, Custodian *tab #6*
    - 8. **Resolution 7:** Resolution to Approve the Proposed Revisions to the Regulation Regarding Weapons on Campus *tab #7*
    - 9. **Resolution 8:** Resolution to Approve the Proposed Regulation Regarding Open Flames on Campus *tab #8*
    - 10. **Resolution 9:** Approval of FY 2018-19 Tuition and Fees *tab #9*
    - 11. **Resolution 10:** Approval of 2018-2019 Operating Budget Proposal *tab #10*
  - B. Committee on Audit and Compliance Christopher M. Little
  - C. Committee on University Advancement Sue H. Gerdelman

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- D. Committee on Administration, Buildings and Grounds William H. Payne II
1. **Resolution 22:** Resolution to Approve Final Regulation Regarding Weapons on Campus *tab #22*
  2. **Resolution 23:** Resolution to Approve Proposed Regulation Regarding Open Flames on Campus *tab #23*
- E. Committee on Student Affairs Lisa E. Roday
- F. Committee on Athletics Sue H. Gerdelman
- G. Committee on Strategic Initiatives and New Ventures Thomas R. Frantz
- H. Committee on Financial Affairs H. Thomas Watkins III
1. **Resolution 24:** William & Mary FY 2018-19 Operating Budget Proposal *tab #24*
  2. **Resolution 25:** FY 2018-19 Tuition and Fee Structure for Full- and Part-Time Students *tab #25*
  3. **Resolution 26:** Virginia Institute of Marine Science FY2018-19 Operating Budget Proposal *tab #26*
- I. Investments Subcommittee James A. Hixon
- J. Committee on Academic Affairs Karen Kennedy Schultz
1. **Resolution 11:** Appointments to Fill Vacancies in the Instructional Faculty *tab #1*
  2. **Resolution 12:** Designated Professorships *tab #2*
  3. **Resolution 13:** Faculty Leaves of Absence *tab #3*
  4. **Resolution 14:** Faculty Promotions *tab #4*
  5. **Resolution 15:** Award of Academic Tenure *tab #5*
  6. **Resolution 16:** Retirement of Patricia Kelly Charles, Department of Kinesiology and Health Sciences *tab #6*
  7. **Resolution 17:** Retirement of Randall G. Drake, Department of Kinesiology and Health Sciences *tab #7*
  8. **Resolution 18:** Retirement of James R. Haltiner, Mason School of Business *tab #8*
  9. **Resolution 19:** Retirement of Kenneth A. Moore, School of Marine Science *tab #9*
  10. **Resolution 20:** Retirement of Phillip B. Shane, Mason School of Business *tab #10*
  11. **Resolution 21:** Retirement of Kim P. Whitley, Department of Kinesiology and Health Sciences *tab #11*

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- VI. Monroe Legacy Working Group Mr. Little
- VII. Race Relations Implementation Team Final Report Lisa Roday and Chon Glover
- VIII. Old Business Mr. Stottlemeyer
  - A. **Resolution 27:** Revisions to the Bylaws of the Board of Visitors
- IX. New Business Mr. Stottlemeyer
  - A. Nominations Committee Report
- X. Executive Session (if necessary) Mr. Stottlemeyer
- XI. Closing remarks
- XII. Adjournment Mr. Stottlemeyer

**BLOW HALL ROOM 201:**

12:15-1:30 p.m.      Lunch with W&M students

FULL BOARD MEETING  
MINUTES – FEBRUARY 6-10, 2018

CALLED MEETING  
MINUTES – FEBRUARY 20, 2018

**MINUTES**  
Meeting of the Board of Visitors  
The College of William & Mary in Virginia

February 6-10, 2018  
Blow Memorial Hall - College of William & Mary

On Tuesday and Wednesday, February 6-7, 2018, the Board of Visitors of The College of William & Mary in Virginia interviewed final candidates for the position of president at an off-campus location and then moved to the William & Mary campus for meetings on Wednesday, Thursday, Friday and Saturday, February 7-10, 2018.

Those present for the interviews on Tuesday and Wednesday were:

Mirza Baig	Christopher M. Little
Warren W. Buck III	William H. Payne II
S. Douglas Bunch	Lisa E. Roday
Thomas R. Frantz	J.E. Lincoln Saunders
Sue H. Gerdelman	Karen Kennedy Schultz
James A. Hixon	Todd A. Stottlemeyer
Barbara L. Johnson	H. Thomas Watkins III
Anne Leigh Kerr	Brian P. Woolfolk
John E. Littel	

On Wednesday, February 7, the Richard Bland College Committee met in the Board Room from 2:30 to 4:09 p.m. The Investments Subcommittee met from 3:30 to 4:25 p.m. and the Executive Committee met from 4:40 to 6:35 p.m. in the Board Conference Room. That evening the full Board convened for dinner in Plumeri House.

Those present on Wednesday evening were:

Mirza Baig	William H. Payne II
S. Douglas Bunch	Lisa E. Roday
Thomas R. Frantz	J.E. Lincoln Saunders
Sue H. Gerdelman	Karen Kennedy Schultz
James A. Hixon	Todd A. Stottlemeyer
Barbara L. Johnson	H. Thomas Watkins III
John E. Littel	Brian P. Woolfolk
Christopher M. Little	

Absent: Warren W. Buck III  
Anne Leigh Kerr

Also present was William & Mary President W. Taylor Reveley III.

At 8:00 p.m. the Rector convened the full Board, and asked for a motion that the Board of Visitors convene in Executive Session for the purpose of discussing personnel matters pertaining to specific personnel and the performance and evaluations of specific executive employees, as provided for in Section 2.2-3711.A.1. and 8., of the Code of Virginia. Motion was made by Ms. Gerdelman, seconded by Mr. Watkins and approved by voice vote. The observers were asked to leave the room and the Board went into closed session at 8:00 p.m.

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The Board reconvened in open session at 9:20 p.m. Mr. Stottlemeyer reviewed the topic discussed during closed session for the benefit of the observers, then asked for a motion to adopt the Resolution certifying that the closed session was held in compliance with the Freedom of Information Act. Motion was made by Ms. Gerdelman, seconded by Ms. Roday and approved by roll call vote of the Committee members conducted by Secretary of the Board Sue H. Gerdelman. (Certification **Resolution** is appended.)

There being no further business, the Board adjourned at 9:20 p.m.

On Thursday morning, February 8, the Committee on Audit and Compliance and the Committee on Administration, Buildings and Grounds met in the Board Room, while the Committee on Academic Affairs met in Blow Hall Room 201.

Those present on Thursday morning were:

Mirza Baig  
Warren W. Buck III  
S. Douglas Bunch  
Sue H. Gerdelman  
James A. Hixon  
Barbara L. Johnson  
John E. Littel  
Christopher M. Little  
William H. Payne II

Lisa E. Roday  
J.E. Lincoln Saunders  
Karen Kennedy Schultz  
Todd A. Stottlemeyer  
H. Thomas Watkins III  
Brian P. Woolfolk  
Faculty Representatives: Eric D. Chason  
Student Representative: Elijah S. Levine

Absent: Thomas R. Frantz  
Anne Leigh Kerr

Others present were:

W. Taylor Reveley  
Michael R. Halleran  
Virginia M. Ambler  
Kiersten L. Boyce  
Henry R. Broadus  
Samantha K. Huges  
Samuel E. Jones  
Kent B. Erdahl  
Michael J. Fox

W. Fanchon Glover  
James R. Golden  
Jeremy P. Martin  
John M. Poma  
Amy S. Sebring  
Brian W. Whitson  
Sandra J. Wilms  
Timothy A. Wolfe

Also in attendance were Staff Liaison Terry A. Fassanella, University Counsel Deborah Love, Dean Kate Conley, Dean Carrie Cooper, Dean John Wells and other William & Mary staff.

The Committee on Audit and Compliance met from 8:00 to 9:17 a.m. The Committee on Academic Affairs met from 9:00 to 10:14 a.m.

At 10:15 a.m., the Board convened as a committee of the whole for the Provost's Report and Faculty Presentation. Academic Affairs Committee Chair Karen Kennedy Schultz called on Provost Michael Halleran, who reported on faculty innovation, focusing on the COLL curriculum,

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the Creative Adaptation Fund, the OMBA and MSBA programs in the Mason School of Business, and the Reveley Interdisciplinary Fellows. Following a brief discussion, the Provost first introduced current Reveley Fellows John Riofrio (Hispanic Studies) and Jeremy Stoddard (Education), who are co-teaching *Unequal by Design: Race and Education in the U.S.* A brief discussion ensued. The Provost next introduced Nicholas Balascio (Geology), who discussed the development of new COLL 300 course offerings in the Geology Department, integrated with faculty research and international experiences for undergraduates. A brief discussion ensued, concluding at 10:50 a.m.

The Committee on Administration Buildings and Grounds met from 10:53 to 11:14 a.m., at which time the Board walked to the Cohen Career Center where the Board convened as a committee of the whole for the **Committee on Student Affairs**. Ms. Roday presided as chair.

Members of the Board were given a tour of the Cohen Center, led by the professional staff in Career Development:

Kathleen Powell, Associate Vice President for Career Development  
Michael Caldwell, Director of Business Careers & Employer Development  
Cristen McQuillan, Assistant Director, Business Careers – Marketing  
Kelly O'Shaughnessy, Assistant Director, Freshman & Sophomore Initiatives and  
Liaison to Tribe Athletics  
Sean Schofield, Assistant Director, Business Careers – Accounting and Finance

The tour was followed by a panel discussion, moderated by Student Liaison to the Board Nichole Ng, featuring William & Mary students who discussed how their involvement with the staff, programs, and services at the Career Center has influenced their unique career development journeys and their overall career readiness:

Peter Mackey  
Matthew Packer  
Madelin Bender  
Sana Lall-Tail  
Anissa Chams-Eddine  
Nathanael Page

At 12:15 p.m., the Board moved to the School of Education for a joint meeting with the College of William & Mary Foundation Board of Trustees, the Alumni Association Board of Directors and the Annual Giving Board of Directors in the Matoaka Woods Room of the School of Education.

Board of Visitors in attendance: Rector Todd A. Stottlemeyer; Mirza Baig, Warren W. Buck III; S. Douglas Bunch; Sue H. Gerdeman; James A. Hixon; Barbara L. Johnson, John E. Littel, Christopher M. Little; William H. Payne II, Lisa E. Roday; J.E. Lincoln Saunders, Karen Kennedy Schultz; H. Thomas Watkins III, Brian P. Woolfolk; staff liaison Terry Fassanella

William & Mary Foundation Trustees in attendance: Janet Atwater, Ben Berinstein, Maryellen Feeley, Cliff Fleet, Mike Foradas, Jody Forsyth, Melissa Friedery, Shelley Goode, Alan Hilliker, Andrew Horrocks, David Kelley, Jill Lord, Jen Mackesy, Audra Mallow, Bee McLeod, Penny Meredith, John Novogratz, Mark Patterson, Michael Powell, John Reilly, Michael Sapnar, Craig Staub, David Stone, Martha Tack, Christine Villa, Raelene Wagoner, Ron West, Dixie Wolf



Annual Giving Board members in attendance: Graham Albert, James Ambrose, Donald Beck, John Boyd, David Croall, Roger Crook, Kristen Faust, Ashley Glacel, Glenmore Harding, Jessica Laury, Amanda Lutick, Davey McKissick, Ed Moreland, Bruce Patterson, Caleb Rogers, Mark Shriver, Olympia Trumbower, Christina Colabella Wilds, Pamela Wise

William & Mary Alumni Association Board members in attendance: Sandy Bowen, Howard Busbee, Bruce Christian, George Crusier, Anna Maria DeSalva, Megan Dorward, Anna Hatfield, Cindy Jarboe, Scott Kelsey, Sophie Lee, Dennis Liberson, Anna Mahalak, Sue Manix, Pam Michael, Carla Moreland, Todd Norris, Lydia Pulley, William Richardson, John Cole Scott, Kirsten Shiroma

Staff in attendance: President W. Taylor Reveley III, Provost Michael Halleran, Ginger Ambler, Craig Anzalone, Suzie Armstrong, Mark Begly, Kiersten Boyce, Henry Broadbudd, Kate Conley, Carrie Cooper, Val Cushman, Renee Davis, Davison Douglas, Barbara Draughon, Mike Ende, Lee Foster, Michael Fox, Dan Frezza, Chon Glover, Heather Golden, Robbie Graham, Earl Granger, Phil Heavilin, Samantha Huge, Kelly Holdcraft, Sam Jones, John Kane, Matthew Lambert, Carrie Letourneau, Richard Long, Teresa Longo, Deb Love, Jeremy Martin, Marilyn Midyette, Rebecca Murray, Anna Norville, Kathleen Powell, Ann Ruble, Amy Sebring, Jennifer Shimp-Bowerman, Sani Silvenoinnen, Michael Steelman, Anne Marie Stock, Virginia Torczon, Mitch Vandervorst, Rob Waggoner, Sue Warner, John Wells, Brian Whitson, Tim Wolf

At 12:30 p.m., Rector Todd Stottlemeyer called the joint meeting to order, welcomed the group, and announced that the meeting would begin after lunch. The Rector recognized Mike Foradas, chair of the William & Mary Foundation, Sue Manix, president of the Alumni Association and David Croall, chair of the Annual Giving Board.

At 1:30 p.m., Vice President for University Advancement Matthew Lambert provided an update on FY18 fundraising and campaign progress to date, as well as an overview of the Advancement volunteer structure. A general discussion ensued.

At 2:10 p.m., Mr. Lambert introduced Associate Vice President for Career Development Kathleen Powell and Director of Alumni Career Management Professional Networks Michael Steelman. Ms. Powell and Mr. Steelman discussed career services for students and alumni. A brief discussion ensued.

At 2:50 p.m., Mr. Lambert introduced a general question and answer session with the leaders of the four leadership boards, beginning with a brief overview of the mission and work of their respective board. Board leaders responded to questions from the volunteer leadership, and the session concluded at 3:30 p.m.

Following closing remarks by the Rector, and there being no further business, the joint meeting adjourned at 3:30 p.m.

The Board of Visitors' Committee on Athletics met jointly with the William & Mary Foundation Athletics Sub-Committee from 3:45 to 5:15 p.m. in the Holly Room at the School of Education.

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On Friday, February 9, the Board reconvened at 8:00 a.m. Those present on Friday morning were:

Mirza Baig  
Warren W. Buck III  
S. Douglas Bunch  
Thomas R. Frantz  
Sue H. Gerdelman  
James A. Hixon  
Barbara L. Johnson  
Anne Leigh Kerr  
John E. Littel

Christopher M. Little  
William H. Payne II  
Lisa E. Roday  
J.E. Lincoln Saunders  
Karen Kennedy Schultz  
Todd A. Stottlemeyer  
H. Thomas Watkins III  
Brian P. Woolfolk  
Faculty Representatives: Eric D. Chason

Others present were:

W. Taylor Reveley  
Debbie L. Sydow  
Michael R. Halleran  
Virginia M. Ambler  
Kiersten L. Boyce  
Henry R. Broadus  
Samantha K. Hugu  
Samuel E. Jones  
Kent B. Erdahl  
Michael J. Fox

W. Fanchon Glover  
James R. Golden  
Jeremy P. Martin  
John M. Poma  
Amy S. Sebring  
Brian W. Whitson  
Sandra J. Wilms  
Timothy A. Wolfe

Also in attendance were Staff Liaison Terry A. Fassanella, University Counsel Deborah Love, Dean Kate Conley, Dean Dave Douglas, Dean John Wells and other William & Mary and VIMS staff.

The Committee on Financial Affairs met in the Board Room from 8:19 to 8:50 a.m.

Following a short break, at 9:00 a.m., the Board reconvened as a committee of the whole for the **Committee on Strategic Initiatives and New Ventures**. Mr. Frantz presided as chair and gave a brief update on regional activity in his opening remarks.

Mr. Frantz then moved that the Committee on Strategic Initiatives and New Ventures convene in Closed Session to discuss the evaluation of the performance of departments or schools, as provided for in Section 2.2-3711.A. 1., of the Code of Virginia. Motion was seconded by Mr. Watkins and approved by voice vote. The observers were asked to leave the room and the Committee went into closed session at 9:05 a.m.

The Committee reconvened in open session at 9:44 a.m. Mr. Frantz reviewed the topic discussed during closed session, then moved to adopt the **Resolution** certifying that the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by Mr. Littel and approved by roll call vote of the Board members conducted by Secretary to the Board Michael Fox. (Certification **Resolution** is appended.)

There being no further business, the Committee adjourned at 9:45 a.m.

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Following a short break, the Rector convened the meeting of the full Board at 10:00 a.m.

Those present were:

Mirza Baig  
Warren W. Buck III  
S. Douglas Bunch  
Thomas R. Frantz  
Sue H. Gerdelman  
James A. Hixon  
Barbara L. Johnson  
Anne Leigh Kerr  
John E. Littel  
Christopher M. Little

William H. Payne II  
Lisa E. Roday  
J.E. Lincoln Saunders  
Karen Kennedy Schultz  
Todd A. Stottlemeyer  
H. Thomas Watkins III  
Brian P. Woolfolk  
Faculty Representatives: Eric D. Chason  
Student Representative: Elijah S. Levine

Others present were:

W. Taylor Reveley  
Debbie L. Sydow  
Michael R. Halleran  
Virginia M. Ambler  
Kiersten L. Boyce  
Henry R. Broaddus  
Samantha K. Huges  
Samuel E. Jones

Kent B. Erdahl  
Michael J. Fox  
W. Fanchon Glover  
John M. Poma  
Amy S. Sebring  
Brian W. Whitson  
Sandra J. Wilms

Also in attendance were Staff Liaison Terry A. Fassanella, University Counsel Deborah Love, College Counsel Cindy Norwood, Dean Kate Conley, Dean Dave Douglas, Dean John Wells and other William & Mary staff.

Recognizing that a quorum was present, the Rector asked for a motion to adopt the minutes of the meeting of November 15-17, 2017, and the called meeting of January 29, 2018. Motion was made by Mr. Watkins, seconded by Ms. Roday and approved by voice vote.

In his opening remarks, the Rector thanked the administrative team for organizing a productive joint meeting, thanked the Board members for their participation during the meetings, thanked the members of the administration for their efforts, and encouraged Board members to attend the Charter Day ceremony and weekend activities.

In his opening remarks, President Reveley also encouraged Board members to attend Charter Day events, and commented on the productive conversations held over the last two days with the senior leadership boards.

Mr. Stottlemeyer moved that the Board of Visitors of the College of William & Mary convene in Closed Session for the purpose of discussing and/or approving personnel actions pertaining to the appointments, promotions, leaves, retirements and tenure of specific instructional faculty; discussing the evaluation of the performance of departments or schools; discussing internal investigations involving identifiable students and/or employees; and discussing specific recommendations related to fundraising initiatives, priorities and strategies, as provided for in

Section 2.2-3711.A.1., 2., and 9., of the Code of Virginia. Motion was seconded by Ms. Roday and approved by voice vote. The observers were asked to leave the room and the Board went into closed session at 10:15 a.m.

The Board reconvened in open session at 10:19 a.m. Mr. Stottlemeyer reviewed the topics discussed during closed session, then moved to adopt the **Resolution** certifying that the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by Ms. Roday and approved by roll call vote of the Board members conducted by Secretary to the Board Michael Fox. (Certification **Resolution** is appended.)

The Rector called for the reports of the standing committees.

Mr. Littel reported for the **Richard Bland College Committee** and asked President Sydow to report. There were no action items.

President Debbie Sydow expressed appreciation to Chairman Littel and all Committee members for spending time in recent months with members of the RBC leadership team as part of a "pairings" initiative. Good and productive conversations have occurred in all cases, and the President expressed optimism that this initiative will further the larger effort to deepen meaningful connections and relationships between RBC and W&M.

The President noted that a major goal of RBC's strategic plan—RBC-19—is academic quality improvement, and the College has made steady progress on that front over the past few years. The SACS-COC Board of Directors at their annual meeting in December 2017 determined that Richard Bland has met all required standards for student learning outcomes assessment and, therefore, is officially released from "monitoring."

RBC realized a 13% increase in students achieving a grade point average (GPA) of 3.25 and above for the 2017 fall semester, as compared to last year.

Thirty-six (36) student athletes were named to the Athletic Director's Academic Excellence List for the fall 2017 semester.

As the RBC-19 strategic plan moves into its final year of implementation in 2018-2019, preliminary discussions are already underway about a process for ensuring that all stakeholders are substantively engaged in the development of Richard Bland's next strategic plan. The strategic plan development process will commence in the fall of 2018 with the goal of presenting a new strategic plan to the Board for action in April of 2019.

Another significant planning initiative underway is a comprehensive update of Richard Bland's Strategic Enrollment Management (SEM) Plan. A draft timeline has been developed by the Associate Provost of Enrollment Management and Student Success, and a detailed report on progress toward plan development will be presented at the April Committee meeting.

Total giving is up 52% year over year, due in part to three new endowed scholarships, and receipt of the Mary Morton Parsons grant and matching funds that will be used for Library and Café renovations.

The public-private partnership that Richard Bland established with Navitas last year is yielding positive outcomes, both financially and in terms of enhanced support services for international students through the Global Student Success Program (GSSP).

The RBC-W&M MOUs signed in November are in the early phase of implementation. Amy Sebring and the W&M finance team are working in tandem with Rick Pearce and the RBC finance team to address deficiencies previously identified by APA, support a DOA quality assurance review, and respond to APA auditors as they conduct the FY 2017 audit. Three members of the RBC senior management team are serving on the search committee for the Executive Director of Financial Operations.

The Rector noted that the MOU signing ceremony held on the Richard Bland campus in December was very well done. President Sydow thanked the members of the Board, President Reveley and the representatives from the main campus in Williamsburg who attended.

A process for identifying the inaugural group of Promise Scholars has been developed, and forty students who meet established criteria will be invited to apply for inclusion in the fall cohort. The first Promise Scholars cohort will be invited to attend the April meeting of the RBC Committee.

The Ellucian Banner ERP Version 9 upgrade plan is well underway, with two-thirds of the tasks complete. RBC Information Technology has connected with William & Mary Information Technology, and the result to date is a beneficial and supportive consultative relationship. Appreciation goes to Courtney Carpenter and the W&M IT team for their professionalism and collegiality in conducting a review of opportunities for shared services.

Since the Governor's budget was released in December, considerable time has been spent with members of the General Assembly advocating for a budget amendment that would fully fund the initial request of \$552,250 in each year of the 2018-20 biennium to add staffing and software needed to address APA audit findings. President Sydow thanked Sam Jones, Fran Bradford, Colin Smolinsky and Amy Sebring for their help in Richmond.

After starting this season as the pre-season #2 ranked team, Richard Bland was ranked #12 in the January 9 NJCAA Division II Poll. The Statesmen hit the halfway mark of the season with a record of 11-4 overall, including 5-2 in Region 10. The campus is optimistic for another state championship.

Mr. Little reported for the **Committee on Audit and Compliance** and briefly reviewed the agenda. There were no action items.

Mr. Payne reported for the **Committee on Administration, Buildings and Grounds**, and briefly reviewed the agenda. Mr. Payne then moved as a block **Resolution 15**, Resolution to Approve Property Acquisition: 29 Brooklyn Street; **Resolution 16**, Resolution to Approve Proposed Amended Regulation Regarding Weapons on Campus; **Resolution 17**, Resolution to Approve Proposed Regulation Regarding Open Flames; **Resolution 18**, Resolution to Approve Easement for Providing Underground Electric Service to the Property Known as 628 Hofstadter Road in Newport News to Virginia Electric and Power Company; and **HANDOUT Resolution 19**: Resolution to Approve Electrical Easement Serving the New West Utility Plant. Motion was seconded by Mr. Hixon and approved by voice vote. (**HANDOUT Resolution 19** is appended.)

Chair of the **Committee on University Advancement** Sue Gerdelman thanked everyone for participating in the joint meeting, noting the importance for the joint meeting of the leadership boards.

Ms. Gerdelman reported for the **Committee on Athletics**, noting that the Committee had met jointly with the William & Mary Foundation Athletics Subcommittee. Ms. Gerdelman briefly reviewed the agenda. There were no action items.

Ms. Roday reported for the **Committee on Student Affairs**, and briefly reviewed the agenda. There were no action items.

Mr. Frantz reported for the **Committee on Strategic Initiatives and New Ventures**, and briefly reviewed the agenda. There were no action items.

Mr. Watkins reported for the **Committee on Financial Affairs**, and briefly reviewed the agenda. There were no action items.

Mr. Hixon reported for the **Investments Subcommittee**, and briefly reviewed the agenda. There were no action items.

Ms. Schultz reported for the **Committee on Academic Affairs**, and briefly reviewed the agenda.

Ms. Schultz then moved adoption as a block of **Resolution 1**, Appointments to Fill Vacancies in the Instructional Faculty; **Resolution 4**, Designated Professorships; **Resolution 5**, Faculty Leave of Absence; **Resolution 6**, Resolution to Approve a Bachelor of Science Program in Computational & Applied Mathematics & Statistics; **Resolution 7**, Retirement of Jonathan F. Arries, Department of Modern Languages and Literatures; **Resolution 8**, Retirement of Joanne M. Braxton, Department of English; **Resolution 9**, Retirement of Maryse Fauvel, Department of Modern Languages and Literatures; **Resolution 10**, Retirement of Julie Galambush, Department of Modern Languages and Literatures; **Resolution 11**, Retirement of John Philip Kearns, Department of Computer Science; **Resolution 12**, Retirement of Michael C. Newman, School of Marine Science; **Resolution 13**, Retirement of Katherine K. Preston, Department of Music; and **Resolution 14**, Retirement of Ronald B. Rapoport, Department of Government. Motion was seconded by Mr. Littel and approved by voice vote.

She advised that **Resolution 2**, Award of Academic Tenure, and **Resolution 3**, Faculty Promotions, were deferred for discussion during an Executive Session.

Mr. Stottlemeyer moved that the Board of Visitors officially confer the following honorary degrees on the individuals noted at Charter Day 2018:

Governor Ralph S. Northam – **Doctor of Public Service**  
Dr. Trudier Harris - **Doctor of Literature**  
Ms. Frances G. McGlothlin - **Doctor of Humane Letters**  
Ms. Hunter J. Smith - **Doctor of Humane Letters**

Motion was seconded by Mr. Littel and approved by voice vote.

Ms. Roday advised that her final report as the Board Liaison to the Race Relations Implementation Team would be given at the April meeting.

Mr. Little reported as the Board Liaison to the Monroe Legacy Working Group and advised that beta testing of the wearable augmented reality tour began on February 5. He advised that work to develop a public-private partnership to improve Monroe Park in Washington, DC, continues. The Monroe Commission and Working Group held a retreat last week and continue to work to secure funding for the Visitors Center. Mr. Little advised that Board members Barbara Johnson and Brian Woolfolk have been asked to be part of the effort to determine how the enslaved population is presented at Highland. Warren Buck volunteered to join this effort and Mr. Little indicated that Sara Bon-Harper would be in contact.

A discussion ensued regarding plans for William & Mary's participation in Virginia's 2019 Commemoration of the First Africans to English North America, the anniversary of the first representative assembly and the 100<sup>th</sup> anniversary of co-education at William & Mary. The 2019 event "American Evolution" is focused on the 400th anniversary of key historical events that occurred in Virginia in 1619 that continue to influence American democracy, diversity and opportunity. President Reveley advised that he would provide an update to the Board in advance of the April meeting.

There was no old business.

Under New Business, the Rector advised that:

- A new presidential medallion modeled on the Chancellor's and Rector's medallions will be unveiled today at Charter Day. President Reveley has funded its purchase and is donating it to the College for use by future presidents.
- A Board evaluation process will be formalized in the Bylaws, along with a few other revisions, all of which will be considered at the April meeting.
- An ad hoc Nominating Committee for the election of 2018-2019 officers has been appointed. The Rector will serve as the chair. The other members are Doug Bunch and Karen Schultz. The election will take place at the annual meeting in April.

Mr. Stottlemeyer moved that the Board of Visitors convene in Executive Session for the purpose of discussing personnel matters pertaining to the performance and evaluations of specific executive employees; for consultation with legal counsel and briefings by staff members or consultants pertaining to actual or probable litigation; and consultation with legal counsel employed or retained by a public body regarding specific legal matters requiring the provision of legal advice by such counsel, as provided for in Section 2.2-3711.A.1., 7. and 8., of the Code of Virginia. Motion was seconded by Ms. Gerdelman and approved by voice vote. The observers were asked to leave the room and the Board went into Executive Session at 11:17 a.m.

The Board reconvened in open session at 12:08 p.m. Mr. Stottlemeyer reviewed the topics discussed during closed session, then moved to adopt the **Resolution** certifying that the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by Ms. Roday and approved by roll call vote of the Board members conducted by the Rector. (Certification **Resolution** is appended.)

Board of Visitors  
MINUTES  
Page 11

Ms. Schultz moved adoption of **Resolution 2(R)**, Award of Academic Tenure, and **Resolution 3(R)**, Faculty Promotions. Motion was seconded by Ms. Roday and approved by voice vote. (**Resolution 2(R)** and **Resolution 3(R)** are appended.)

The Board recessed to lunch with members of the Cypher Society in Blow Room 201 at 12:09 p.m.

The Board reconvened at 1:05 p.m. Senior Assistant Attorney General Carrie Nee joined the meeting.

Mr. Stottlemeyer moved that the Board of Visitors of the College of William & Mary convene in Executive Session for the purpose of discussing prospective candidates for employment, as provided for in Section 2.2-3711.A.1., of the Code of Virginia. Motion was seconded by Ms. Roday and approved by voice vote. The observers were asked to leave the room and the Board went into Executive Session at 1:05 p.m.

The Board reconvened in open session at 3:15 p.m. Mr. Stottlemeyer reviewed the topic discussed during closed session, then moved to adopt the **Resolution** certifying that the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by Mr. Payne and approved by roll call vote of the Board members conducted by Ms. Gerdelman. (Certification **Resolution** is appended.)

The Board recessed at 3:16 p.m. to attend Charter Day.

On Saturday, February 10, the Board reconvened at 7:45 a.m.

Those present were:

Mirza Baig  
Warren W. Buck III  
S. Douglas Bunch  
Thomas R. Frantz  
Sue H. Gerdelman  
James A. Hixon  
Anne Leigh Kerr  
John E. Little  
Christopher M. Little

William H. Payne II  
Lisa E. Roday  
J.E. Lincoln Saunders  
Karen Kennedy Schultz  
Todd A. Stottlemeyer  
H. Thomas Watkins III  
Brian P. Woolfolk

On the telephone: Barbara L. Johnson

Also in attendance were Michael J. Fox and Senior Assistant Attorney General Carrie S. Nee.

In his opening remarks, Mr. Stottlemeyer welcomed members of the Board and advised that Barbara Johnson was attending a funeral in Houston, Texas, preventing her from being present, so she has asked to participate via telephone.

The Board Bylaws set forth the procedure to be followed if a member would like to fully participate in the meeting but cannot be present due to either a specified emergency or a



personal matter. Therefore, noting compliance with the Board Bylaws, Mr. Stottlemeyer moved that the Board approve the remote participation of Barbara Johnson from Houston due to a personal matter. Motion was seconded by Mr. Buck and approved by voice vote. Mr. Stottlemeyer welcomed Ms. Johnson to the meeting.

Mr. Stottlemeyer asked for a motion that the Board of Visitors of the College of William & Mary convene in Executive Session for the purpose of discussing prospective candidates for employment, as provided for in Section 2.2-3711.A.1., of the Code of Virginia. Motion was made by Ms. Gerdelman, seconded by Ms. Roday and approved by voice vote. The observers were asked to leave the room and the Board went into Executive Session at 7:49 a.m.

The Board reconvened in open session at 1:30 p.m. Mr. Stottlemeyer reviewed the topic discussed during closed session, then asked for a motion to adopt the **Resolution** certifying that the closed session was held in compliance with the Freedom of Information Act. Motion was made by Ms. Gerdelman, seconded by Mr. Littel and approved by roll call vote of the Board members conducted by Ms. Gerdelman. (Certification **Resolution** is appended.)

There being no further business, the Board adjourned at 1:30 p.m.

Board of Visitors

February 8, 2018

### CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors of The College of William and Mary in Virginia has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Board of Visitors that such closed session was conducted in conformity with Virginia law;

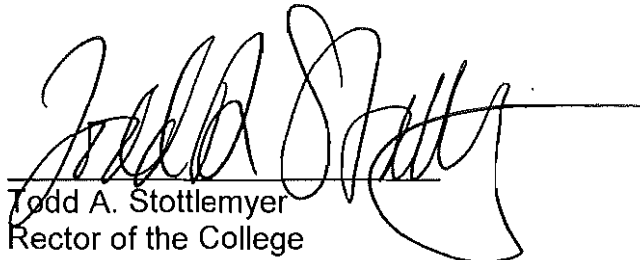
NOW, THEREFORE, BE IT RESOLVED, That the Board of Visitors, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Board of Visitors.

VOTE

AYES:

NAYS:

ABSENT DURING CLOSED SESSION:



Todd A. Stottlemeyer  
Rector of the College

February 9, 2018

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors Committee on Strategic Initiatives and New Ventures has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Committee that such closed session was conducted in conformity with Virginia law;

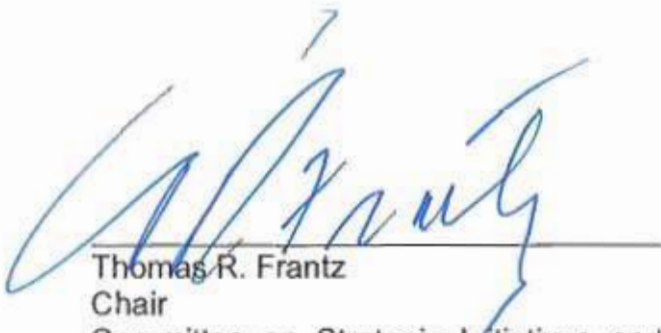
NOW, THEREFORE, BE IT RESOLVED, That the Committee on Strategic Initiatives and New Ventures, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Committee on Financial Affairs.

VOTE

AYES: 17

NAYS: 0

ABSENT DURING CLOSED SESSION:



Thomas R. Frantz  
Chair  
Committee on Strategic Initiatives and  
New Ventures

Board of Visitors

February 9, 2018

### CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors of The College of William and Mary in Virginia has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Board of Visitors that such closed session was conducted in conformity with Virginia law;


NOW, THEREFORE, BE IT RESOLVED, That the Board of Visitors, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Board of Visitors.

VOTE

AYES: 17

NAYS: 0

ABSENT DURING CLOSED SESSION:

  
Todd A. Stottlemeyer  
Rector of the College

**COLLEGE OF WILLIAM & MARY  
RESOLUTION TO APPROVE  
ELECTRICAL EASEMENT SERVING THE NEW WEST UTILITY PLANT**

**WHEREAS**, the College requests Board of Visitors' approval of the following utility easement for Virginia Electric and Power Company, doing business as Dominion Energy Virginia; and

**WHEREAS**, the College and the Commonwealth entered into a 2006 Management Agreement (the "Agreement"), governed by the restructured Higher Education Financial and Administrative Operations Act of 2005, Chapter 10 of the Title 23.1 et seq., of the *Code of Virginia*; and

**WHEREAS**, the Agreement was renewed in 2009 and 2013; and

**WHEREAS**, the Agreement authorizes the College's Board of Visitors to approve easements locally; and

**WHEREAS**, Virginia Electric and Power Company requested an easement of right-of-way, fifteen feet (15') in width, to lay, erect, construct, operate, maintain and repair underground electric facilities and all equipment, accessories and appurtenances necessary in connection therewith, for the purpose of providing underground electric facilities to the new West Utility Plant, 281 Ukrop Way, which will be constructed at the corner of Landrum Drive and Ukrop Way; and

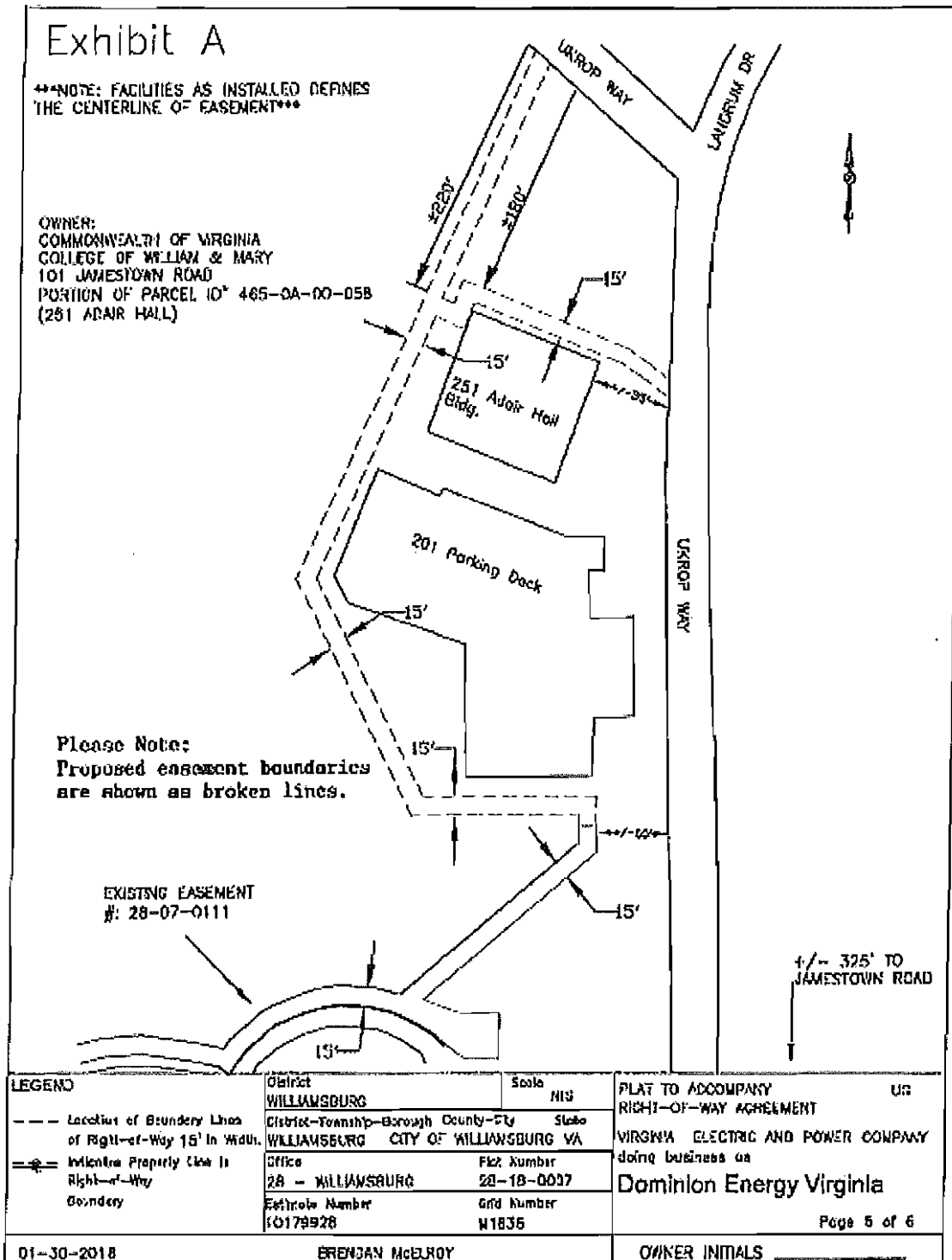
**WHEREAS**, the new easement will address retroactively the lack of existing easements to serve existing lines ("bulk feeder" and "primary loop") placed behind the Parking Deck and Adair Hall by a previous project; and

**WHEREAS**, there is no transfer of property involved which would require action by the General Assembly (per Chapter 774, 1995 Acts of Assembly); thus

**THEREFORE BE IT RESOLVED**, by the Rector and the Board of Visitors of The College of William and Mary in Virginia, that approval is given, in accordance with all authorities, policies, and procedures in force and necessary to accomplish same, for the easement named in the resolution; and

**FURTHER RESOLVED**, That the Senior Vice President for Finance and Administration and his staff are authorized to prepare and execute any and all necessary documents pertaining to the approval and recordation of such easements in the College's name.

February 7-9, 2018

Page 2 of 2

Board of Visitors

February 9, 2018

### CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors of The College of William and Mary in Virginia has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Board of Visitors that such closed session was conducted in conformity with Virginia law;

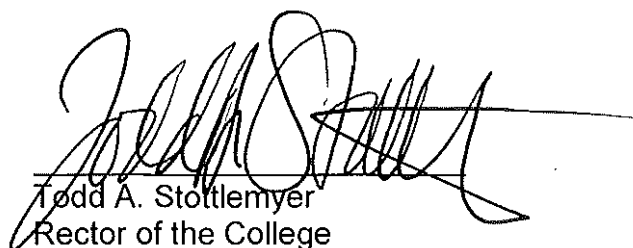
NOW, THEREFORE, BE IT RESOLVED, That the Board of Visitors, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Board of Visitors.

### VOTE

AYES: 17

NAYS: 0

ABSENT DURING CLOSED SESSION:



Todd A. Stottlemeyer  
Rector of the College

COLLEGE OF WILLIAM & MARY  
AWARD OF ACADEMIC TENURE

The following members of the Instructional Faculty at the College of William & Mary have been recommended for the award of academic tenure by the appropriate departmental committees and chairs, the appropriate deans, and by the Provost and President.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William & Mary approves the following members of the Faculty be awarded academic tenure, effective with the beginning of the 2018-2019 academic year:

ALEXANDER B. ANGELOV, Department of Religious Studies

ARIEL BENYISHAY, Department of Economics

JASON A. CHEN, School of Education

KRISTIN CONRADI SMITH, School of Education

HARMONY J. DALGLEISH, Department of Biology

NATOYA HILL HASKINS, School of Education

MATTHEW R. HILIMIRE, Department of Psychology

MARCUS C. HOLMES, Department of Government

AYFER KARAKAYA-STUMP, Department of History

MATTHEW L. KIRWAN, School of Marine Science

MICHELLE A. LELIEVRE, Department of Anthropology

ANYA L. LUNDEN, Department of English

WILLIAM R. MCNAMARA, Department of Chemistry

EUGENIY E. MIKHAILOV, Department of Physics

FABRICIO PEREIRA PRADO, Department of History



COLLEGE OF WILLIAM & MARY  
AWARD OF ACADEMIC TENURE

JOANNA SCHUG, Department of Psychology

JAIME E. SETTLE, Department of Government

CRISTINA STANCIOIU, Department of Art & Art History

ANKE R. VAN ZUYLEN, Department of Mathematics

ANDREW R. WARGO, School of Marine Science

COLLEGE OF WILLIAM & MARY  
FACULTY PROMOTIONS

The following members of the Instructional Faculty of the College of William & Mary have been recommended for promotion in academic rank by the appropriate departmental committees and chairs, the appropriate deans, and by the Provost and President.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William & Mary approves the academic promotion of the following members of the Faculty of the College, effective with the beginning of the 2018-19 academic year:

Assistant Professor to Associate Professor

Alexander B. Angelov, Department of Religious Studies

Ariel BenYishay, Department of Economics

Jason A. Chen, School of Education

Kristin Conradi Smith, School of Education

Harmony J. Dalglish, Department of Biology

Natoya Hill Haskins, School of Education

Matthew R. Hilimire, Department of Psychology

Marcus C. Holmes, Department of Government

Ayfer Karakaya-Stump, Department of History

Matthew L. Kirwan, School of Marine Science

Michelle A. Lelievre, Department of Anthropology

Anya L. Lunden, Department of English

William R. McNamara, Department of Chemistry

Eugeni E. Mikhailov, Department of Physics

Fabricio Pereira Prado, Department of History

Board of Visitors

Resolution 3 (R)

February 7-9, 2018

Page 2 of 2

COLLEGE OF WILLIAM & MARY  
FACULTY PROMOTIONS

Joanna Schug, Department of Psychology

Jaime E. Settle, Department of Government

Cristina Stancioiu, Department of Art & Art History

Anke R. van Zuylen, Department of Mathematics

Andrew R. Wargo, School of Marine Science

Board of Visitors

February 9, 2018

### CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors of The College of William and Mary in Virginia has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Board of Visitors that such closed session was conducted in conformity with Virginia law;

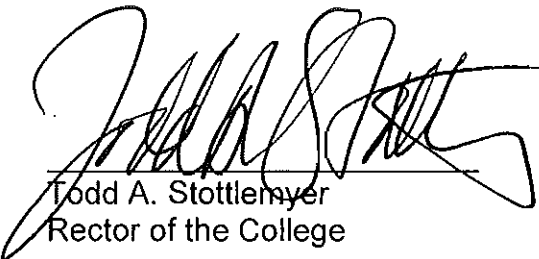
NOW, THEREFORE, BE IT RESOLVED, That the Board of Visitors, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Board of Visitors.

### VOTE

AYES: 17

NAYS: 0

ABSENT DURING CLOSED SESSION:



Todd A. Stottlemeyer  
Rector of the College

Board of Visitors

February 10, 2018

### CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors of The College of William and Mary in Virginia has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Board of Visitors that such closed session was conducted in conformity with Virginia law;

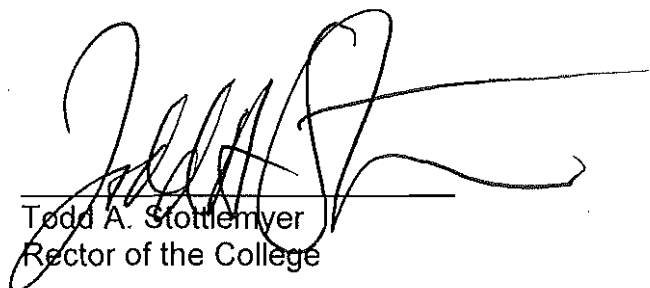
NOW, THEREFORE, BE IT RESOLVED, That the Board of Visitors, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Board of Visitors.

VOTE

AYES: 17

NAYS: 0

ABSENT DURING CLOSED SESSION:



Todd A. Stottlemeyer  
Rector of the College

## MINUTES

Called Meeting of the Board of Visitors  
The College of William & Mary in Virginia

Board Room – Blow Memorial Hall  
and  
Blue Room – Sir Christopher Wren Building  
February 20, 2018

Those present were:

Warren W. Buck III  
S. Douglas Bunch  
Thomas R. Frantz  
Sue H. Gerdelman  
James A. Hixon  
Barbara L. Johnson  
Anne Leigh Kerr  
John E. Littel

Christopher M. Little  
William H. Payne II  
Lisa E. Roday  
J.E. Lincoln Saunders  
Karen Kennedy Schultz  
Todd A. Stottlemeyer  
H. Thomas Watkins III  
Brian P. Woolfolk

Absent: Mirza Baig

Also in attendance were Henry R. Broaddus, Karla Bruno, Cheryl C. Corvello, Michael J. Fox, University Archivist Kimberly Sims, Brian W. Whitson, Sandra J. Wilms, as well as Presidential Search Committee members Julie Agnew, Lynn Dillon, Eric Chason, Suzanne Raitt, Lydia Whitaker and Yohance Whitaker; Erin Zagursky, Cortney Will, Emily Phillips and other William and Mary staff.

Rector Todd A. Stottlemeyer called the meeting to order in the Board Room at 9:30 a.m., thanked those attending for participating in this called meeting, and then recessed the meeting to the Blue Room in the Sir Christopher Wren Building.

The Board reconvened in the Blue Room at 9:40 a.m. The Rector recognized and thanked the Presidential Search Committee and the members of the Board of Visitors for their work, noting it was a good process with good feedback from all constituencies. He noted that the College Mace had been placed on the Blue Room table and commented on the significance and symbolism involved as the Board prepared to take an important vote for the future of the University.

Vice Rector Tom Watkins read and moved adoption of **Resolution 1**, Election of the 28<sup>th</sup> President of the College of William & Mary in Virginia. Motion was seconded by Ms. Roday and approved by roll call vote of the Board conducted by the Rector. The unanimous vote was met by a round of applause as the Wren bell was rung 28 times in honor of the 28<sup>th</sup> president. (**Resolution 1** is appended.)

Board of Visitors Called Meeting  
MINUTES  
Page 2

Secretary of the Board Sue Gerdelman read and moved adoption of **Resolution 2**, Resolution Commending the Presidential Search Committee, H. Thomas Watkins III, Robert M. Gates and Cheryl C. Corvello. Motion was seconded by Ms. Roday and approved by voice vote. The Rector again thanked the members of the Search Committee and Chair Tom Watkins, and recognized the Administrative Assistant to the Committee, Cheryl Corvello. (**Resolution 2** is appended.)

The Rector advised that the 28th president would be introduced to the William & Mary community in the Great Hall at 10:00 a.m.

There being no further business, the meeting adjourned at 9:48 p.m.

Board of Visitors

Resolution   1  

February 20, 2018

Page   1   of   1  

ELECTION OF THE 28TH PRESIDENT OF  
THE COLLEGE OF WILLIAM & MARY IN VIRGINIA

The Board of Visitors of The College of William & Mary in Virginia hereby elects Katherine A. Rowe, Ph.D., as the 28th President of the College of William & Mary.

Dr. Rowe shall officially assume office on July 1, 2018, for a term of five years, and be officially inaugurated at a date and time to be designated by the Rector of the College.

The Board of Visitors hereby approves and ratifies the Employment Agreement previously executed by Dr. Rowe and the Rector of the College.



RESOLUTION COMMENDING  
THE PRESIDENTIAL SEARCH COMMITTEE,  
H. THOMAS WATKINS III, ROBERT M. GATES  
AND CHERYL C. CORVELLO

At their meeting on April 19, 2017, the Board of Visitors of The College of William & Mary in Virginia charged the Presidential Search Committee to conduct a national and international search for William & Mary's 28<sup>th</sup> president in accordance with the laws of the Commonwealth and the equal opportunity practices of this university.

During the ten months since receiving their charge, the 19 members of the Presidential Search Committee – chaired by Vice Rector H. Thomas Watkins III and comprised of representatives from across the William & Mary community, including alumni, board members, faculty and staff, a current student leader and a recent graduate – devoted substantial time and effort to establish the search criteria, review resumes and interview candidates. They conducted more than 150 listening sessions on campus and across the country that involved nearly 1,600 participants. Via email and via the presidential search website, hundreds of comments were submitted and nearly 300 nominations received.

The Board of Visitors of The College of William & Mary in Virginia joins with the entire university community in acknowledging the leadership roles that the members of the Presidential Search Committee exercised during the search process.

The Committee was assisted throughout the process by the Administrative Assistant, Cheryl C. Corvello, who tirelessly managed the Search Committee office, and by William & Mary Chancellor Robert M. Gates, who served as Advisor to the Committee. Chancellor Gates and Ms. Corvello guided the committee and the university through a complicated process conducted with discretion, sensitivity, integrity and professionalism, reflecting credit and honor upon William & Mary.

NOW, THEREFORE, BE IT RESOLVED, That the Board of Visitors of the College of William & Mary commends the individual members of the Presidential Search Committee and expresses its appreciation for the efforts which have contributed to the successful completion of the search process; and

BE IT FURTHER RESOLVED, That the Board of Visitors acknowledges the leadership of Vice Rector H. Thomas Watkins III as Chair of the Search Committee and expresses its appreciation to him for the countless hours and efforts he devoted to the process; and

BE IT FURTHER RESOLVED, That the Board of Visitors acknowledges the service of Robert M. Gates as Advisor to the Search Committee; and

Board of Visitors

Resolution   2  

February 20, 2018

Page   2   of   2  

BE IT FURTHER RESOLVED, That the Board of Visitors acknowledges the service of Cheryl C. Corvello as Administrative Assistant to the Search Committee; and

BE IT FINALLY RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to each member of the Presidential Search Committee, to Chancellor Gates and to Ms. Corvello with best wishes for continuing creative work in the years ahead.

AGENDA ITEMS  
Board of Visitors Meeting  
William & Mary

April 18-20, 2018  
Board Room - Blow Memorial Hall

RICHARD BLAND COLLEGE

- |                               |   |
|-------------------------------|---|
| Resolution 1, <i>tab #1</i>   | Appointments to Fill Vacancies in the Instructional Faculty   |
| Resolution 2, <i>tab #2</i>   | Appointments to Fill Vacancies in the Professionals and Professional Faculty                        |
| Resolution 3, <i>tab #3</i>   | Approval of Academic Promotion  |
| Resolution 28, <i>tab #28</i> | Faculty Leaves of Absence   |
| Resolution 4, <i>tab #4</i>   | Resolution of Appreciation for Chuck Moore<br>Director of Athletics and Head Men's Basketball Coach |
| Resolution 5, <i>tab #5</i>   | Retirement of Lois S. Wray, Registrar   |
| Resolution 6, <i>tab #6</i>   | Retirement of Gregory J. Taylor, Custodian  |
| Resolution 7, <i>tab #7</i>   | Resolution to Approve the Proposed Revisions to the Regulation Regarding Weapons on Campus          |
| Resolution 8, <i>tab #8</i>   | Resolution to Approve the Proposed Regulation Regarding Open Flames on Campus                       |
| Resolution 9, <i>tab #9</i>   | Approval of FY 2018-19 Tuition and Fees   |
| Resolution 10, <i>tab #10</i> | Approval of 2018-2019 Operating Budget Proposal   |

WILLIAM & MARY

- |                               |   |
|-------------------------------|---|
| Resolution 11, <i>tab #11</i> | Appointments to Fill Vacancies in the Instructional Faculty |
| Resolution 12, <i>tab #12</i> | Designated Professorships                                   |

Board of Visitors  
AGENDA ITEMS  
April 18-20, 2018

Resolution 13, <i>tab #13</i>	Faculty Leaves of Absence
Resolution 14, <i>tab #14</i>	Faculty Promotions
Resolution 15, <i>tab #15</i>	Award of Academic Tenure
Resolution 16, <i>tab #16</i>	Retirement of Patricia Kelly Charles, Department of Kinesiology and Health Sciences
Resolution 17, <i>tab #17</i>	Retirement of Randall G. Drake, Department of Kinesiology and Health Sciences
Resolution 18, <i>tab #18</i>	Retirement of James R. Haltiner, Mason School of Business
Resolution 19, <i>tab #19</i>	Retirement of Kenneth A. Moore, School of Marine Science
Resolution 20, <i>tab #20</i>	Retirement of Phillip B. Shane, Mason School of Business
Resolution 21, <i>tab #21</i>	Retirement of Kim P. Whitley, Department of Kinesiology and Health Sciences
Resolution 22, <i>tab #22</i>	Resolution to Approve Final Regulation Regarding Weapons on Campus
Resolution 23, <i>tab #23</i>	Resolution to Approve Proposed Regulation Regarding Open Flames on Campus
Resolution 24, <i>tab #24</i>	William & Mary FY 2018-19 Operating Budget Proposal
Resolution 25, <i>tab #25</i>	FY 2018-19 Tuition and Fee Structure for Full- and Part-Time Students
Resolution 26, <i>tab #26</i>	Virginia Institute of Marine Science FY2018-19 Operating Budget Proposal
Resolution 27, <i>tab #27</i>	Revisions to the Bylaws of the Board of Visitors

**RICHARD BLAND COLLEGE**  
**RESOLUTIONS**

**RICHARD BLAND COLLEGE  
APPOINTMENT TO FILL VACANCIES IN THE  
INSTRUCTIONAL FACULTY**

Vacancies in the Instructional Faculty of Richard Bland College of The College of William & Mary have resulted because of a retirement, a vacancy, or a newly created position.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William & Mary approves the appointment of the following individuals to fill these positions:

**MIRJANA MARKOVICH-MITOV**, Instructor of Biology, effective January 10, 2018:

Ph.D., Pharmaceutical Science, University of Belgrade, Belgrade, Serbia and Montenegro, 2011

M.S., Biology, Institute of Biology, Kragujevac, Serbia and Montenegro, 2001

B.S., Biology, Institute of Biology, Kragujevac, Serbia and Montenegro, 1996

Fortis College:

General Education Instructor, 2013 – 2016

Stratford University:

Health Science Instructor, 2010 – 2011

American International Biotechnologies Services:

ELISA Researcher, 2009

Project Coordinator, Clinical Trials, 2009

Project Coordinator, Department of Molecular Biology, 2009

University of Belgrade, Faculty of Pharmacy, Department of Clinical Biochemistry:

Clinical Manager – Ph.D. Researcher (clinical trials), 2002 – 2009

University of Perugia, Perugia, Italy:

Visiting Assistant Professor, 1999

University of Science, Kragujevac, Serbia:

Assistant Professor, 1997 – 2001

**RICHARD BLAND COLLEGE  
APPOINTMENT TO FILL VACANCIES IN THE  
PROFESSIONALS AND PROFESSIONAL FACULTY**

Vacancies in the Professionals and Professional Faculty of Richard Bland College of the College of William and Mary have resulted because of a retirement, a vacancy, or a newly created position.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William and Mary approves the appointment of the following individual to fill these positions:

**GIOVANKA OBERMULLER**, Director of Records and Retention, effective February 25, 2018:

M.B.A., Human Resource Management, Averett University, 2016  
B.S., Business, Medgar Evers College, 1993  
A.A.S., Secretarial Science, Medgar Evers College, 1992

Richard Bland College:  
Associate Registrar, 2006 – 2018

Virginia State Police:  
Program Support Technician, 2004 – 2006  
Office Services Specialist, 1999 – 2000

Fayetteville Technical Community College:  
Admissions Evaluator, 2001 – 2003

Interfaith Medical Center, School of Nursing:  
Admissions/Finance Officer, 1994 – 1998

Medgar Evers College:  
College Assistant, 1992 – 1994

**J. TYLER HART**, Chief Development Officer, effective July 1, 2018:

Ph.D., Community College Leadership, Old Dominion University, 2016

M.B.A., Business Administration, Averett University, 2010

B.S., Business Administration, Longwood University, 2003

Richard Bland College:

Acting Chief Development Officer, 2017 – present

Dean of Enrollment Services, 2015 – 2017

Dean of Program Development & Strategic Initiatives, 2014

Director of Institutional Effectiveness & Strategic Initiatives, 2013 – 2014

Interim Director of Academic & Institutional Effectiveness, 2012 – 2013

Director of Financial/Institutional Research, 2011 – 2012

Director of Financial Aid, 2008 – 2011

Gregg & Bailey CPA's PC:

Senior Accountant, 2004 – 2008



**RICHARD BLAND COLLEGE  
APPROVAL OF ACADEMIC PROMOTION**

The following members of the instructional faculty of Richard Bland College have been recommended for the award of academic promotion by the Peer Review Committee and the Provost.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William and Mary approves the award of academic promotion to the following faculty members, effective with the beginning of the 2018-19 academic year:

**BARBARA M. MORGAN**, from Associate Professor of Psychology to  
Professor of Psychology:

Ph.D., Counselor Education, The College of William and Mary, 1998  
Ed.S., Professional Counseling, The College of William and Mary, 1996  
M.Ed., Agency Counseling, The College of William and Mary, 1995  
B.S. Psychology, Virginia Commonwealth University, 1994  
L.P.C., Licensed Professional Counselor, 1998  
L.M.F.T., Licensed Marriage and Family Therapist, 1998

Richard Bland College:

Associate Professor, Psychology, 2011- present  
Assistant Professor, Psychology, 2007-2011  
Adjunct Professor, Psychology, 1997-2007

Virginia Commonwealth University:

Adjunct Professor, Counselor Education, 1997-2003

The College of William and Mary:

Teaching Assistant, Counselor Education, 1996-1998  
Practicum Instructor, Counselor Education, 1996

Private Practice, Licensed Professional Counselor and Marriage and  
Family Therapist, 1998-present

New Horizons Family Counseling Center:

Director, 1997-1998  
Clinical Coordinator, 1996-1997  
Family Counselor, 1995-1996  
Medical Weight Control Clinic:  
Health Counselor, 1994-1995

Signet Bank:

Human Resources/Training and Investment Services, 1983-1994

**RICHARD BLAND COLLEGE  
APPROVAL OF ACADEMIC PROMOTION**

**RACHEL FINNEY**, from Associate Professor of Spanish to  
Professor of Spanish, effective August 10, 2018:

Ph.D., Spanish, University of Virginia, 2017

M.A., Spanish, University of Arkansas at Fayetteville, 1999

B. A., English/Spanish, University of Tennessee at Martin, 1996

Richard Bland College:

Associate Professor, Spanish, 2006-present

Assistant Professor, Spanish, 2000-2006

Southern Arkansas University:

Instructor of Elementary Spanish and English, 1999-2000

University of Arkansas at Fayetteville:

Lecturer, Elementary and Intermediate Spanish, 1999

TA Coordinator, Instructor of Elementary and Intermediate  
Spanish, 1997-1999

Dyersburg State Community College:

Substitute Teacher/Office Assistant, Upward Bound Program, 1997

College Prep Instructor, 1997

University of Tennessee, Student Spanish Tutor, 1996

**RICHARD BLAND COLLEGE  
FACULTY LEAVES OF ABSENCE**

As noted below, the following faculty members have requested sabbatical leaves of absence with full pay and benefits during the 2018-2019 academic year for purposes of study and reflection. This professional enrichment will ultimately benefit Richard Bland College and its students.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William and Mary hereby approves these leaves of absence:

**Michelle Delano**, Professor of Art, to produce a professional body of artwork inspired by the natural sciences.

**Elizabeth Rescher**, Professor of English, to research the mechanisms of e-publishing, offer a class through the University of Richmond's Continuing Education program, and to participate in VA-AAUP as an Executive Committee member.

**RICHARD BLAND COLLEGE  
RESOLUTION OF APPRECIATION FOR CHUCK MOORE  
DIRECTOR OF ATHLETICS AND HEAD MEN'S BASKETBALL COACH**

WHEREAS, Mr. Chuck Moore who obtained his Bachelor of Arts Degree from Christopher Newport University and Masters in Sports Management from American Public University joined the Richard Bland College team in 2012 as Director of Athletics and Head Men's Basketball Coach. His charge was to resurrect Statesman Athletics—which had been dormant for twenty years prior—as part of a comprehensive Strategic Enrollment Management plan focused on student engagement and success; and

WHEREAS, Committed to 100% student success as the top priority, in his capacity as Director of Athletics Mr. Moore endeavored to make Statesman Athletics a robust program that would benefit not only student-athletes, but all Richard Bland College students. Toward that end, he committed unparalleled energy and passion to building a reputable, competitive program that currently includes men's basketball, women's softball, men's soccer, women's volleyball and men's and women's golf; and

WHEREAS, As Head Men's Basketball Coach, Mr. Moore completed his Statesmen coaching career with an outstanding overall record of 126-42 (.750) over the past five seasons, including 71-24 (.747) in Region 10, while recording an even more impressive 64-10 (.865) at home in Statesman Hall since 2013-14. He led the program to a pair of 30-win seasons among three 25-win campaigns, earning NJCAA Division II Top 20 national rankings in each of the five seasons. Mr. Moore led Richard Bland to the 2014-15 NJCAA Division II National Championship with a school-record of 32-4, including the Region 10 Tournament Championship. He led the Statesmen to an overall record of 30-6 during 2016-17, making their second NJCAA DII National Tournament Final Four appearance in three years, after winning another Region 10 Tournament Championship. This year's squad finished 22-11, while making the program's second-straight and third appearance in four years at the national tournament. Mr. Moore has been named the 2018 NJCAA Division II, District 15 Coach of the Year for the second consecutive season; and

WHEREAS, Mr. Moore coached two NJCAA Division II All-Americans at Richard Bland College, including Tavon Mealy (2014-15, First Team) and Jaquan Wooten (2016-17, Second Team), while Mealy (2015), Wooten (2017), Averyl Ugba (2015, MVP) and LeQuan Thomas (2015) were NJCAA Division II National Championship All-Tournament Team selections. The Statesmen have had 13 All-Region 10 honorees since 2013-14, including Mealy being named the Player of the Year in 2014-15.

THEREFORE, BE IT RESOLVED, That the President of Richard Bland College and the Board of Visitors acknowledge and express their appreciation to Mr. Chuck Moore for his significant contributions to Richard Bland College, its mission and its students;

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Mr. Chuck Moore with best wishes for continuing and creative work in the years ahead.

**RICHARD BLAND COLLEGE  
RETIREMENT OF LOIS S. WRAY  
REGISTRAR**

Lois S. Wray joined Richard Bland College on July 1, 1976. She began her career as secretary to the Registrar, then as Assistant to the Registrar. She was Campus Bookstore Manager from June 1, 1985, to April 30, 1986. On May 1, 1986, she returned to the Registrar's Office as Administrative Staff Specialist. Her increasing knowledge, experience and responsibilities in the realm of enrollment, student services and education administration prepared her to assume the role of Registrar in the Office of Records and Registration in May 1989. An alumna of Richard Bland College, Ms. Wray received her A.S. degree in Business in 1976, and her B.A. in Management from St. Leo College in 1986.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Lois S. Wray on June 1, 2017, after 41 years of dedicated service to Richard Bland College and expresses its appreciation to her for the many contributions she has made to the College.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Lois S. Wray with best wishes for continuing and creative work in the years ahead.

**RICHARD BLAND COLLEGE  
RETIREMENT OF GREGORY J. TAYLOR  
CUSTODIAN**

Gregory J. Taylor joined Richard Bland College on December 7, 1987. He began his career as a Housekeeping Worker in the Facilities Department. On August 16, 1989, he was promoted within the Department to a Grounds Worker. He returned to his Housekeeping role in August 1991. Mr. Taylor was an asset to the College and demonstrated his skills and expertise over the years by providing a clean and orderly environment in the various Halls and buildings in which he worked.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Gregory J. Taylor on December 1, 2017, after 30 years of dedicated service to Richard Bland College and expresses its appreciation to him for the many contributions he has made to the College.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Gregory J. Taylor with best wishes for continuing and creative work in the years ahead.

**RICHARD BLAND COLLEGE  
RESOLUTION TO APPROVE THE PROPOSED REVISIONS  
TO THE REGULATION REGARDING WEAPONS ON CAMPUS**

WHEREAS, protecting the safety of the Richard Bland College ("College") campus community is of utmost importance to the College Administration and the Board of Visitors of The College of William & Mary in Virginia; and

WHEREAS, § 23.1-2806.F(iii) of the Code of Virginia authorizes the Board of Visitors to make rules and regulations concerning the College; and

WHEREAS, § 2.2-4002.A.6 exempts educational institutions from the requirements of the Administrative Process Act; and

WHEREAS, this regulation is modeled after the William & Mary regulation regarding weapons on campus, which underwent a comment period, and Richard Bland College's regulation presented to the Board of Visitors for consideration has been informed by the comments received; and

WHEREAS, the Supreme Court of Virginia has confirmed that public institutions of higher education in Virginia may regulate the possession of weapons on their campuses; and

WHEREAS, College and Commonwealth policies restrict weapons possession by College students and employees; and

WHEREAS, the Board of Visitors deems it prudent to regulate weapons possession by visitors or other third parties in certain facilities controlled by the College as well as at certain College events, pursuant to its authority conveyed in § 23.1-2806.F(iii) of the Code of Virginia; and

WHEREAS, the Board of Visitors adopted a proposed Richard Bland College Weapons on Campus Regulation on December 9, 2011, which was published in the Virginia Register of Regulations on January 2, 2012 and adopted as a final regulation on February 3, 2012 with an effective date of March 1, 2012;

THEREFORE, BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors approves the proposed revised Richard Bland College Weapons on Campus Regulation, a copy of which is attached hereto, as a proposed regulation to be published in the Virginia Register, and to be considered for final adoption at the September 2018 meeting of the Board.

**TITLE 8. EDUCATION**  
**RICHARD BLAND COLLEGE**  
**Chapter 30**  
*Proposed Amended Regulation*

REGISTRAR'S NOTICE: Richard Bland College is exempt from the Administrative Process Act in accordance with § 2.2-4002 A 6 of the Code of Virginia, which exempts educational institutions operated by the Commonwealth.

Title of Regulation: **8VAC115-30.** Richard Bland College Weapons on Campus (amending **8VAC115-30-10, 8VAC115-30-20, 8VAC115-30-30**).

Statutory Authority: § 23.1-1301.A.1 of the Code of Virginia.

Effective Date:

Agency Contact: Kiersten Boyce, Compliance and Policy Officer, College of William & Mary, P.O. Box 8795, Williamsburg, VA 23187, telephone (757) 221-2743, or email kboyce@wm.edu.

Summary:

*The regulation establishes the weapons limitation policy at Richard Bland College.*

CHAPTER 30  
RICHARD BLAND COLLEGE WEAPONS ON CAMPUS

**8VAC115-30-10. Definitions.**

The following words and terms when used in this chapter shall have the following meanings unless the context clearly indicates otherwise:

"Police officer" means law-enforcement officials appointed pursuant to Article 3 (§ 15.2-1609 et seq.) of Chapter 16 and Chapter 17 (§ 15.2-1700 et seq.) of Title 15.2, Article 3 (§ 23.1-809) of Chapter 8 (§ 23.1-800 et seq.) of Title 23.1, Chapter 2 (§ 29.1-200 et seq.) of Title 29.1, or Chapter 1 (§ 52-1 et seq.) of Title 52 of the Code of Virginia or sworn federal law-enforcement officers.

"College property" means any property, vehicle, or vessel owned, leased, or controlled by Richard Bland College.

"Weapon" means any instrument of combat, or any object not designed as an instrument of combat but carried for the apparent purpose of inflicting or threatening bodily injury. Examples include but are not limited to:



(1) firearms, including any pistol, revolver, rifle, shotgun, air-pistol, paintball gun, or other weapon designed or intended to propel a bullet, cartridge, or missile of any kind by action of an explosion of any combustible material;

(2) knives, including any dirk, bowie knife, switchblade knife, ballistic knife, butterfly knife, sword, machete, razor, spring stick, or other bladed weapon with a blade longer than four inches;

(3) razors or metal knuckles;

(4) blackjacks, foils, or hatchets;

(5) bows and arrows, crossbows, and slingshots;

(6) nun chahkas, including any flailing instrument consisting of two or more rigid parts connected in such a manner as to allow them to swing freely, which may also be known as a nun chuck, nunchaku, shuriken, or fighting chain;

(8) throwing stars, including any disc, of whatever configuration, having at least two points or pointed blades which is designed to be thrown or propelled and which may be known as an oriental dart;

(9) stun guns, including any device that emits a momentary or pulsed output that is electrical, audible, optical, or electromagnetic in nature and that is designed to temporarily incapacitate a person;

(8) any explosive or incendiary device, including fireworks or other devices relying on any combination of explosives and combustibles to be set off to generate lights, smoke, or noise; or

(9) any firearm or any other weapon listed in § 18.2-308 A of the Code of Virginia.

"Weapon" does not include the following: (1) knives or razors commonly used for domestic or academic purposes; or pen or folding knives with blades less than three inches in length; or (2) mace, pepper spray, and other such items possessed, stored, or carried for use in accordance with the purpose intended by the original manufacturer.

**8VAC115-30-20. Possession of weapons prohibited; exceptions.**

A. Possession, storing, or carrying of any weapon by any person, ~~except a police officer or an individual authorized pursuant to university policy,~~ is prohibited on college property, whether in any college building, academic buildings, administrative buildings, student residence and student life buildings, or dining or athletic facilities, or ~~or~~ while attending an official university event, ~~such as an athletic, academic, social, recreational or educational event;~~ or on vehicles or vessels that are college property. This prohibition also applies to all events or activities on college property where people congregate in any public or outdoor areas.

B. This prohibition does not apply to:

a. Police officers on college property in an official capacity pursuant to a college request, mutual aid agreement, or on active duty and within their jurisdiction

- b. A college employee possessing, storing, or carrying a weapon: (1) as authorized or required by the terms of the college employment; or (2) as permitted by the Director of Campus Safety and Chief of Police or his designee for good cause shown, and may contain conditions to maintain the safety of the college community. The Chief's authority to grant such permission extends to persons who are neither students nor employees.
- c. A student as authorized by the Director of Campus Safety and Chief of Police or his designee for good cause shown. Requests for such authorization shall be evaluated on a case-by-case basis, and may contain conditions to maintain the safety of the college community.

Entry upon such college property in violation of this prohibition is expressly forbidden. Persons violating this prohibition will be asked to remove the weapon immediately from college property. Failure to comply with this request may result in arrest for trespass. Members of the college community are also subject to disciplinary action.

**8VAC115-30-30. Person lawfully in charge.**

In addition to individuals authorized by college policy or job duties, Richard Bland College police officers are lawfully in charge for the purposes of forbidding entry upon or remaining upon college property while possessing or carrying weapons in violation of this prohibition.

**RICHARD BLAND COLLEGE  
RESOLUTION TO APPROVE THE PROPOSED  
REGULATION REGARDING OPEN FLAMES ON CAMPUS**

WHEREAS, protecting the safety of the Richard Bland College ("College") campus community is of utmost importance to the College Administration and the Board of Visitors of The College of William & Mary in Virginia; and

WHEREAS, § 23.1-2806.F(iii) of the Code of Virginia authorizes the Board of Visitors to make rules and regulations concerning the College; and

WHEREAS, § 2.2-4002.A.6 exempts educational institutions from the requirements of the Administrative Process Act; and

WHEREAS, this regulation is modeled similarly after the William & Mary regulation regarding open flames, which underwent a comment period, and Richard Bland College's regulation presented to the Board of Visitors for final adoption has been informed by the comments received; and

WHEREAS, existing College policy requires a permit before igniting any open flame on College property; and

WHEREAS, third parties and visitors to campus may be unaware of College policy, but are responsible for awareness of regulations that have the force of law; and

WHEREAS, the Board of Visitors deems it prudent to supplement existing College policy by adopting a regulation requiring a permit before igniting or maintaining an open flame on property controlled by the College, including in its buildings; and

WHEREAS, the Board of Visitors has reviewed the proposed regulation governing Open Flames on Campus;

THEREFORE, BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors approves the proposed Richard Bland College Open Flames on Campus Regulation, a copy of which is attached hereto, as a proposed regulation to be published in the Virginia Register, and to be considered for final adoption at the September 2018 meeting of the Board.

**TITLE 8. EDUCATION**  
**RICHARD BLAND COLLEGE**  
**Chapter 50**  
*Proposed Regulation*

REGISTRAR'S NOTICE: Richard Bland College is exempt from the Administrative Process Act in accordance with § 2.2-4002 A 6 of the Code of Virginia, which exempts educational institutions operated by the Commonwealth.

Title of Regulation: **8VAC115-50.** Richard Bland College Open Flames on Campus (adding **8VAC115-50-10, 8VAC115-50-20, 8VAC115-50-30, 8VAC115-50-40, 8VAC115-50-50**).

Statutory Authority: § 23.1-1301.A.1 of the Code of Virginia.

Effective Date:

Agency Contact: Kiersten Boyce, Compliance and Policy Officer, College of William & Mary, P.O. Box 8795, Williamsburg, VA 23187, telephone (757) 221-2743, or email kboyce@wm.edu.

Summary:

*The regulation establishes the limitations on the presence of open flames in college buildings or on college property, and imposes the requirement for a permit for certain activities involving open burning or open flames. This is the first regulation on this subject.*

***CHAPTER 50***  
***RICHARD BLAND COLLEGE OPEN FLAMES ON CAMPUS***

**8VAC115-50-10. Definitions.**

*The following words and terms when used in this chapter shall have the following meanings unless the context clearly indicates otherwise:*

*"Open flame" means any activity or device producing a flame, including, but not limited to candles, tiki torches, oil lanterns, butane or other gas burners, incense, campfires, bonfires, fire pits, and grills.*

*"College property" means any property, vehicle, or vessel owned, leased, or controlled by Richard Bland College.*

**8VAC115-50-20. Permit required for open burning and open flames; exceptions.**

- A. Open flames are prohibited on all college property, including within college buildings and facilities, except pursuant to a permit issued by the college's Department of Campus Safety and Police.*
- B. Exceptions to the requirement for a permit are:*

1. *Activities taking place within the scope of academic coursework when under the supervision of the relevant faculty member;*
2. *Flames created for the transient purpose of lighting a cigarette, cigar, pipe, or similar smoking device, provided such activity is in an authorized location, is otherwise lawful, and the burning or smoking elements are safely and responsibly disposed;*
3. *Small celebration candles used briefly and in an appropriate quantity in connection with a celebration, provided such activity is not left unattended, is in an authorized location, is otherwise lawful, and the smoking or burning elements are safely and responsibly disposed; and*
4. *Activities undertaken by college contractors whose contract has been approved by the President or her designee and which contract authorizes open flames.*

***8VAC115-50-30. Permits.***

*Persons seeking to ignite an open flame must apply to the Department of Campus Safety and Police for a permit to perform the activity. Permits may be issued for a one-time event or activity, or on a recurring or on-going basis.*

*Applicants must apply at least five working days in advance of the activity to ensure consideration. An applicant's history of compliance with previous permits will be considered in a decision to grant a permit.*

*Persons granted permits are required to comply with all conditions of the permit.*

***8VAC115-50-40. Person lawfully in charge.***

*In addition to individuals authorized by college policy, Richard Bland College police officers and representatives of the Department of Campus Safety and Police are lawfully in charge for the purposes of forbidding entry upon or remaining upon college property of those who are in violation of this prohibition.*

***8VAC115-50-50. Compliance with Policy.***

*Persons who fail to obtain a permit or to comply with its conditions will be asked to extinguish the open flame or bring the activity into compliance with the terms of the permit. Failure to comply may result in a request to leave campus. Failure to leave campus may result in arrest for trespass. Members of the campus community are also subject to disciplinary action, including termination or expulsion.*

**RICHARD BLAND COLLEGE**  
**APPROVAL OF**  
**FY 2018 - 2019 TUITION AND FEES**

The following Tuition and Fees are recommended by the administration to meet the 2018–2019 funding requirements for academic programs, student support services, and auxiliary services. The funding enables the College to continue to advance its mission and the 2014-2019 strategic plan (RBC-19) goals. This represents a pricing strategy that is intended to optimize affordability and educational excellence for students.

The proposed FY 2019 Tuition and Mandatory E&G Fees per credit hour rate of \$200 constitutes a 3.6% increase over current levels and remains one of the lowest in the Commonwealth.

For FY 2019, it is recommended that mandatory Auxiliary fees for in-state and out-of-state students increase by \$2 per credit hour, or less than 3%.

For FY 2019, pricing for most residential rooms will be held to current levels, or slightly reduced. Approximately 70 new beds will come on line in Fall 2018, and all residents will be required to purchase a meal plan. The meal plan cost will increase by 3.7% to meet increases in food and labor costs.

A proposed FY 2019 tuition and fee summary sheet is attached.

RBC's goal remains to deliver high quality educational programming, high-value university pathways and innovative education models to students while minimizing student cost.

THEREFORE, BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of The College of William & Mary in Virginia approves the FY 2018 - 2019 Tuition and Fee Summary as presented for Richard Bland College.

**RICHARD BLAND COLLEGE**  
**FY 2018-19 TUITION AND FEE SUMMARY**

<b>IN-STATE ***</b>	<u>ACTUAL FY 2017-18</u>	<u>PROPOSED FY 2018-19</u>	<u>PERCENT CHANGE</u>	<u>DOLLAR CHANGE</u>
Per Credit Hour Taken:				
Tuition	\$ 187	\$ 193		
E&G Technology Fee	6	7		
<b>Total Tuition and E&amp; G Fees</b>	<b>\$ 193</b>	<b>\$ 200</b>	<b>3.63%</b>	<b>\$ 7</b>
Auxiliary Comprehensive Fee	\$ 68	\$ 70		\$ 2
<b>Total In State Tuition &amp; Fees</b>	<b>\$ 261</b>	<b>\$ 270</b>	<b>3.45%</b>	
 <b>OUT-OF-STATE***</b>				
Per Credit Hour Taken:				
Tuition	\$ 650	\$ 650		
E&G Technology Fee	6	7		
<b>Total Tuition and Fees</b>	<b>\$ 656</b>	<b>\$ 657</b>	<b>0.2%</b>	<b>\$ 1</b>
Auxiliary Comprehensive Fee	\$ 68	\$ 70		\$ 2
<b>Total Out-of-State Tuition &amp; Fees</b>	<b>\$ 724</b>	<b>\$ 727</b>	<b>0.4%</b>	
		<b>\$ 21,810</b>		
<b>OTHER FEES:</b>				
* Application Fee	\$ 50	\$ 50	0.0%	\$ -
Blended Room Average	8,203	7,799	-4.9%	(404)
Room Change Fee	50	50	0.0%	-
Room Damage Deposit	150	150	0.0%	-
** Basic Residential Meal Plan annual	2,160	2,240	3.7%	80
Co-Enrollment Fee	1,000	1,000	0.0%	-
Transcript Fee	7	7	0.0%	-
Late Fee	50	50	0.0%	-
Returned Check Fee	50	50	0.0%	-
Parking (Per Violation)	30	30	0.0%	-
Lab Material Fee (Science)	-	48	100.0%	48
Art Fee	-	35	100.0%	35
Math Lab Fee (ALEKS)	75	75	0.0%	-
Distance Education Fee (per credit hr)	-	25	100.0%	25

\* One-Time Fee (Mandatory Non-E&G   \*\* Mandatory for all residential students. Includes dining dollars

\*\*\*apply to on campus, off campus, online, intercession and summer courses.

**RICHARD BLAND COLLEGE**

**APPROVAL OF  
2018-2019 PRELIMINARY OPERATING BUDGET PROPOSAL**

Richard Bland College has developed a preliminary operating budget for FY 2019 pending final action by the 2018 Special Session of the General Assembly.

THEREFORE, BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of The College of William & Mary in Virginia approves a preliminary FY2019 operating budget for Richard Bland College as displayed herein pending final actions of the 2018 Special Session of the General Assembly.

BE IT FURTHER RESOLVED, That the Board of Visitors will receive revisions to the FY2019 operating budget for the College for consideration and additional action, if necessary, at its next regularly scheduled meeting.





## Proposed Budget Fiscal Year 2019

<b>REVENUES</b>	<b>FY18 BUDGET</b>	<b>FY19 BUDGET</b>
Student Tuition & Fees	4,269,750	4,800,000
(less allowance for doubtful collection)		(300,000)
Unfunded Scholarships		(375,000)
Housing		2,888,906
Meal Plan		946,832
Auxiliary Comp Fee		1,680,000
Auxiliary Charges (Comp Fee, Housing, Meal Plans)	5,483,148	5,515,738
Other Revenue		
State Appropriations	6,831,135	6,831,135
<b>Total Revenues</b>	<b>16,303,010</b>	<b>16,471,873</b>
<b>EXPENDITURES</b>	<b>FY18 BUDGET</b>	<b>FY19 BUDGET</b>
Salaries & Wages	8,744,593	8,594,593
Employee Benefits	2,808,538	2,753,038
Capital Lease Residence Halls	1,293,690	1,618,690
Deferred Maintenance		200,000
Information Technology	949,049	949,049
Utilities/Maintenance/Operating	1,350,000	1,360,000
Dining Service Supplies	250,000	396,000
Athletics	250,000	250,000
Employee Training/Con't Education	100,000	100,000
Capital Project Recoveries		
Miscellaneous Expenses/ RBC share of benefit increases	200,000	222,698
<b>Total Expenditures</b>	<b>15,945,870</b>	<b>16,444,068</b>
Potential Reserve Accrual	357,140	27,805

**RICHARD BLAND COLLEGE**

**APPROVAL OF HONORARY DEGREE**

Richard Bland College seeks to honor meritorious and outstanding service to the College and the community at large, and to recognize persons whose lives serve as examples of the College's aspirations for its students by awarding the honorary Associate degree.

The Honorable Ralph S. Northam was sworn in as the 73<sup>rd</sup> Governor of the Commonwealth of Virginia on January 13, 2018.

Before he was inaugurated, Ralph Northam served as an Army doctor, pediatric neurologist, business owner, state Senator and Lieutenant Governor. After graduating with distinction from Virginia Military Institute, he served eight years of active duty and rose to the rank of major in the U.S. Army. He attended Eastern Virginia Medical School, did his residency at the Walter Reed Army Medical Center, and served as chief neurological resident at Johns Hopkins Hospital. As an Army doctor, he served in Germany, treating soldiers wounded in Operation Desert Storm.

Governor Northam began practicing pediatric neurology at Children's Hospital of the King's Daughters in Norfolk. He established Children's Specialty Group, his current medical practice, to provide expert pediatric care for patients. Governor Northam also served as an Assistant Professor of Neurology at Eastern Virginia Medical School, where he taught medicine and ethics.

Governor Northam volunteered as medical director for the Edmarc Hospice for Children in Portsmouth, where he spent 18 years caring for terminally ill children.

Governor Northam approaches public service with the same passion he brought to his military and medical service. He is committed to working with leaders from both parties to build a Virginia that works better for every family, no matter who they are or where they live.

**THEREFORE, BE IT RESOLVED**, That in recognition of Governor Ralph S. Northam's extraordinary public service, professional achievements, distinguished career, and commitment to higher education in the Commonwealth of Virginia, he receive the highest form of recognition offered by Richard Bland College to persons of exceptional distinction.

**BE IT FURTHER RESOLVED**, That upon recommendation of the President, the Board of Visitors of The College of William & Mary in Virginia, hereby approves the awarding of the honorary Associate of Science degree to Governor Northam at the Richard Bland College Commencement Ceremony on May 12, 2018, when the Governor will offer the Commencement address.

Board of Visitors

April 20, 2018

**RESOLUTION 36**

RESOLVED, That, in accordance with the terms of the Employment Contract, the Board of Visitors of The College of William & Mary in Virginia approves for the Fiscal Year 2018-2019 the salary of \$284,275 for President Debbie Sydow, and should there be a salary increase or bonus awarded to state employees during the 2018-2019 fiscal year, the Board authorizes the increase or bonus for President Sydow.

**WILLIAM & MARY  
RESOLUTIONS**

WILLIAM & MARY  
APPOINTMENTS TO FILL VACANCIES IN THE  
INSTRUCTIONAL FACULTY

Vacancies in the Instructional Faculty of William & Mary have resulted because of resignations, terminations or the approval of additional positions.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves the appointment of the following individuals to fill these positions effective with the 2018-19 academic year:

MITCHELL BROWN, Assistant Professor of Classical Studies

B.A., Wabash College, 2010  
Ph.D., University of Cincinnati, 2016

University of Cincinnati  
Assistant Professor, 2017-2018  
Lecturer, 2017

JON PINEDA, Assistant Professor of English

B.A., James Madison University, 1994  
M.F.A., Virginia Commonwealth University, 2000

University of Mary Washington  
Assistant Professor, 2013-2018

Queens University of Charlotte  
M.F.A. Faculty, 2007-2018

Old Dominion University  
Assistant Professor (Adjunct), 2005-2010

WILLIAM & MARY  
APPOINTMENTS TO FILL VACANCIES IN THE  
INSTRUCTIONAL FACULTY

KATHERINE ANANDI ROWE, Professor of English

B.A., Carleton College, 1984

M.A. (1987) and Ph.D. (1992), Harvard University

Smith College

Provost and Dean of Faculty, 2014-2018

Interim Vice President for Inclusion, Diversity and Equity, 2017-2018

Professor, 2014-2018

Byrn Mawr College

Director, Katherine Houghton Hepburn Center, 2010-2014

Chair, Department of English, 2006-2011

Professor of English, 2004-2014

Associate Professor, 1998-2004

Tri-Colleges: Swarthmore, Haverford, and Bryn Mawr

Director, Trico Digital Humanities, 2010-2013

Director, Mellon Tri-College Faculty Forum, 2002-2004

Yale University

Assistant Professor, 1992-1998

XIAOWEN TAKKALA, Assistant Professor of Psychological Sciences

B.Sc. (2008), M.A. (2011) and Ph.D. (2016), University of Toronto

University of Toronto

Postdoctoral Fellow, 2016-2018

WILLIAM & MARY  
APPOINTMENTS TO FILL VACANCIES IN THE  
INSTRUCTIONAL FACULTY

ANDREW TOBOLOWSKY, Assistant Professor of Religious Studies

B.A. (2007), M.A. (2011) and Ph.D. (2015), Brown University  
M.Phil., Trinity College, Dublin, Ireland, 2008

William & Mary  
Visiting Assistant Professor, 2016-2018

Brown University  
Visiting Assistant Professor, Spring 2016

Wheaton College  
Visiting Assistant Professor, Spring 2016

Georgetown University  
Lecturer, Fall 2015

RACHEL VARRA, Assistant Professor of Modern Languages and  
Literatures and Linguistics

B.A., The Pennsylvania State University, 2001  
M.A., The American University in Cairo, 2004  
Ph.D., The City University of New York, 2013

University of Miami  
Visiting Assistant Professor, 2015-2018

Cedar Crest College  
Assistant Professor, 2015

The City College of New York  
Adjunct Assistant Professor, 2013-2015

WILLIAM & MARY  
DESIGNATED PROFESSORSHIPS

The following members of the Instructional Faculty of William & Mary have been recommended for designated professorships.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves designated professorships for the following members of the Faculty, effective with the 2018-2019 academic year:

JAMES P. BARBER, Clark G. and Elizabeth H. Diamond Term Associate Professor of Education

LAURA A. HEYMANN, Chancellor Professor of Law

SHANTÁ D. HINTON, Class of 2021 Associate Professor of Biology

SIMON P. JOYCE, Sara & Jess Cloud Professor of English

BETSY O. KONEFAL, Class of 1952 Distinguished Term Associate Professor of History

JACK B. MARTIN, Chancellor Professor of English and Linguistics

GUILIA PACINI, Robert and Sarah Boyd Term Associate Professor of French and Francophone Studies

THOMAS B. PAYNE, David N. and Margaret C. Bottoms Professor of Musicology



WILLIAM & MARY  
FACULTY LEAVES OF ABSENCE

The following faculty members have requested a leave of absence without pay or with partial pay during the 2018-2019 academic year for the reasons given.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves these leaves of absence:

JESSICA L. PAGA, Assistant Professor of Classical Studies, to accept a fellowship at the Center for Hellenic Studies in Washington, D.C.

ADAM S. POTKAY, Professor of English and William R. Kenan Professor of Humanities, to serve as the Laurence T. Rockefeller Visiting Professor of Distinguished Teaching at the Center for Human Values at Princeton University

NATHAN J. RABALAIS, Assistant Professor of French & Francophone Studies, to accept a National Endowment for the Humanities Fellowship

BARBETTE S. SPAETH, Associate Professor of Classical Studies, to serve as Mellon Professor in Charge at the Intercollegiate Center for Classical Studies in Rome, Italy

WILLIAM & MARY  
FACULTY PROMOTIONS

The following members of the Instructional Faculty of William & Mary have been recommended for promotion in academic rank by the appropriate departmental committees and chairs, the appropriate deans, and by the Provost and President.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves academic promotion of the following members of the Faculty, effective with the beginning of the 2018-19 academic year:

Associate Professor to Professor

ERIC J. HILTON, School of Marine Science

MICHAEL A. KORDOSKY, Department of Physics

SCOTT MCCOY, Mason School of Business

IRINA NOVIKOVA, Department of Physics

BARBETTE S. SPAETH, Department of Classical Studies

SIMON A. STOW, Department of Government

PATRICIA L. VAHLE, Department of Physics

DENISE D. WADE, Department of Theatre, Speech and Dance

ELIZABETH A. WILEY, Department of Theatre, Speech and Dance

LAURIE J. WOLF, Department of Theatre, Speech and Dance

Clinical Assistant Professor to Clinical Associate Professor

LAURA R. KILLINGER, William & Mary Law School

Research Associate Professor to Research Professor

Y. JOSEPH ZHANG, School of Marine Science

WILLIAM & MARY  
AWARD OF ACADEMIC TENURE

The following member of the Instructional Faculty at William & Mary has been recommended for the award of academic tenure by the appropriate departmental committee and chairs, the appropriate deans, and by the Provost and President.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves the following member of the Faculty be awarded academic tenure, effective with the beginning of the indicated academic year:

KATHERINE A. ROWE, Professor of English  
Effective July 1, 2018

WILLIAM & MARY  
RETIREMENT OF PATRICIA KELLY CHARLES  
DEPARTMENT OF KINESIOLOGY AND HEALTH SCIENCES

Patricia Kelly Charles earned her B.S. degree at Springfield College in 1975, a Masters of Arts in Education in Adapted Physical Activity at the University of South Florida in 1981 and an Educational Specialist degree in Counseling from William & Mary in 1991. After teaching as a Movement Specialist for several years in Boston, Florida and Puerto Rico she taught locally at Matthew Whaley Elementary School. She was then was hired as an adjunct at William & Mary before accepting a full-time position in 1992 with particular responsibility for teaching a three-credit academic Adapted class in the teaching certification program and supervising student teachers.

As the Department of Kinesiology has evolved, Ms. Charles has been able and willing to take on a range of roles to meet changing needs and has enthusiastically promoted health and well-being in many settings and through her personal lifestyle example. She has taught in the academic program for majors and many activity classes, such as yoga (RYT certified), tai chi, weight training, aerobic conditioning, swimming, fundamental movement patterns and tennis. She has also been active in the departmental Wellness program and the Cardiac Health Center, in both cases working closely with Professor Ken Kambis, and the CommonHealth program for faculty and staff. Her contributions were recognized in 2016 when she was selected as recipient of the first faculty William & Mary Flourishing Award.

As well as the classes she has taught within the academic teacher preparation program, some of the most significant achievements of her teaching career at W&M are innovations in the curriculum of her own creation. For example, Ms. Charles has devised and implemented a Fitness, Leadership, and Aging class in which students learn how to teach fitness activities to older adults at Williamsburg Landing and other area senior-living facilities. Another course she recently created has garnered interest within, and beyond, the confines of the university. In her class Moving Meditation and Mindfulness, students have focused on the theory and practice of blending movement and meditation and being mindful as a way to de-stress and reconnect with sources of vitality and happiness.

On the national level, she has shared her expertise in moving meditation and mindfulness, and fitness leadership and aging with her professional community at annual meetings of the National Association for Kinesiology in Higher Education and has published in Quest. At the behest of the Student Assembly, Ms. Charles has led meditation and tai chi workshops for Mental Health Awareness week, she is an active member of the Healthy Campus 20/20 Coalition, and is working on the Wellness strand of the 100 Years of Women at William & Mary conference scheduled in September 2018.

Ms. Charles has led frequent workshops at Williamsburg Landing and local service organizations about fitness and aging, mindfulness and meditation. She has become the longest serving female faculty member during the past three decades, during which time she has reinvented herself many times to better serve department needs and has always been a loyal, hard-working, innovative and resourceful member of Kinesiology and Health Sciences.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Patricia Kelly Charles; expresses its appreciation for her many contributions to William & Mary; and approves, with deep gratitude for her 26 years of service, a change in status from Senior Lecturer of Kinesiology to Senior Lecturer of Kinesiology, Emerita.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Patricia Kelly Charles with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY  
RETIREMENT OF RANDALL G. DRAKE  
DEPARTMENT OF KINESIOLOGY AND HEALTH SCIENCES

Randall G. Drake earned his B.S. in Physical Education at State University of New York at Cortland in 1977. In 1997, he earned his Master's degree in Physical Education Curriculum and Instruction from Old Dominion University. Randy began with the Kinesiology & Health Sciences Department as an adjunct instructor in the Activities program in 1991, specializing in outdoor activities. His excellence in teaching and his concern for his students was recognized immediately. Accordingly, when the opportunity presented itself in 1998, Randy was promoted to a full-time, senior lecturer position in the Outdoor Activities program.

Through the years Randy has taught a variety of courses including Adventure Games, Backpacking, White Water Canoeing, White Water Kayaking, as well as Swimming and Racquetball. A particularly popular course of his was Winter Camping in the Adirondacks, in which a busload of young, enthusiastic students traveled to upstate New York every spring break for a week of outdoor activities and camping. It was not for the faint of heart as you could be sure that the weather would be cold and the snow would be deep! Yet every year the bus would leave from Adair Hall on the Friday afternoon before the start of spring break and make its way back a week later with students exhausted, but thrilled with their experiences.

Randy also performed many other duties for the university and even the greater Williamsburg community. He developed and maintained the Ropes course around Lake Matoaka on campus which was enjoyed by students throughout the campus. The Ropes course was also used by a number of organizations from all over the peninsula as a way to promote bonding among their members. Similarly, he was also responsible for the maintenance and scheduling of recreational boating activities on Lake Matoaka for both William & Mary students, and many non-College affiliated residents of the local community. In each of these venues, Randy was completely devoted to the students in his courses, and he was just as committed to community members who took advantage of the outdoor recreational activities Randy provided. All who know him will agree that Randy Drake was the consummate professional and that he added much to the university experiences of a great number of grateful W&M students through the years. Randy, thank you for your service!

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Randall G. Drake; expresses its appreciation for his many contributions to William & Mary; and approves, with deep gratitude for his 27 years of service, a change in status from Senior Lecturer of Kinesiology to Senior Lecturer of Kinesiology, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Randall G. Drake with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY  
RETIREMENT OF JAMES R. HALTINER  
RAYMOND A. MASON SCHOOL OF BUSINESS

James R. Haltiner received his undergraduate degree in mathematics, M.B.A. and D.B.A. from the University of Virginia. He then became the Acting Assistant Professor of Business Administration at the McIntire School of Commerce at the University of Virginia, and later was Assistant Professor of Business Administration at the Colgate Darden Graduate School of Business at the University of Virginia. In 1976, Jim started as Assistant Professor of Business Administration at William & Mary and was promoted to full professor in 1988.

In March 2016, he assumed the role of Co-Director of the Boehly Center for Excellence in Finance. Professor Haltiner has taught a variety of core and elective courses over the years in the undergraduate program, full-time and Flex MBA programs. In most recent years he has focused more on core financial management classes in the Flex MBA Program and Executive MBA Program and investments/portfolio electives in the BBA Program; he also has overseen the MBA student managed equity course, "The Batten Fund" and the undergraduate "Student Managed Investment Fund." In 2004, he was named the Frank Batten Professor for Distinguished MBA Teaching and in 2007 was named Chancellor Professor of Business at the Raymond A. Mason School of Business. In 2012 he was awarded the Dungan Teaching Fellowship Award for innovations and excellence in the undergraduate program.

In addition to his excellent teaching, there is hardly a committee or administrative assignment in the Mason School that Professor Haltiner has not undertaken and excelled in. He is a former Director of the MBA Program and instituted the integrated, lock-step first-year MBA curriculum during that time, which is still in place today. He has consulted with or served as an expert witness for a number of public and private organizations about financial valuation, financing, investors' opportunity cost of capital, and in matters of utility rate regulation. He is currently a member of Riverside Health System's Asset Management Committee and a former member of the state of Virginia's pension fund Investment Advisory Committee and the board of Williamsburg Landing.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of James R. Haltiner; expresses its appreciation for his many contributions to the College of William and Mary; and approves, with deep gratitude for his forty-two years of service, a change in status from Chancellor Professor and Co-Director of the Boehly Center to Chancellor Professor of Business, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor James R. Haltiner with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY  
RETIREMENT OF KENNETH A. MOORE  
SCHOOL OF MARINE SCIENCE

Kenneth Moore joined William & Mary in 1973 as a Research Scientist with expertise in chemistry and coastal plant ecology. He received his B.S. from The Pennsylvania State University in 1968. After serving active duty in the U.S. Army from 1968 to 1970 he returned to graduate school to receive his M.S. from the University of Virginia and subsequently his Ph.D. from the University of Maryland. After first joining William & Mary as a Research Scientist at VIMS, he was appointed as an Instructor, promoted to Assistant Professor, Associate Professor and finally tenured full Professor. Because of his long record of outstanding contributions to research, academics and advisory services, he was awarded both the Plumeri Award for Faculty Excellence in 2014 and the Outstanding Faculty Award in 2015.

Professor Moore is widely published with nearly 100 scientific publications and over 200 scholarly papers and scientific talks to his credit. He has also been one of our most prolific faculty in obtaining extra-mural research funds, exceeding \$25 million in grants as principal investigator during his career. Professor Moore has also contributed significantly to the academic program serving as mentor to over 40 graduate students as well as many at undergraduate and high school levels. He has served as chair of the Department of Biological Sciences and the Director of NOAA's Chesapeake Bay NERES Graduate Research Fellowship Program. Through his international research activities his students have been able to gain invaluable experiences in many regions around the world including Africa, China, Australia, Europe as well as the North and Central Americas. He has taught a diversity of courses including Biological Oceanography, Seagrass Ecology, Plant-Sediment Interactions, Restoration Ecology, Estuarine Benthic Processes, and Marine Benthos.

Professor Moore has played pivotal roles in the Commonwealth's and nation's coastal management programs during his career, through his knowledge, experience and leadership in many areas of coastal water quality, and coastal habitats. Some of his numerous service accomplishments range from establishment of Virginia's Tidal Wetlands Act protection legislation in the 1970s, to implementation of the EPA and Coastal States Chesapeake Bay Program Partnership a decade later, continuing to the present with the development and implementation of Virginia's landmark Chesapeake Bay Water Quality Criteria and Submerged Aquatic Vegetation management legislation, as well as the establishment of the Virginia Estuarine and Coastal Observing System.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Professor Kenneth A. Moore; expresses its appreciation to him for the many contributions he has made to the College of William & Mary; and approves, with deep gratitude for his 45 years of devoted service to the University, a change in status from Professor of Marine Science to Professor of Marine Science, Emeritus.



Board of Visitors

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BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Kenneth A. Moore with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY  
RETIREMENT OF PHILIP B. SHANE  
RAYMOND A. MASON SCHOOL OF BUSINESS

Philip B. Shane received his undergraduate degree in accounting from the University of Illinois. He received his Ph.D. in Business from the University of Oregon. He joined the Raymond A. Mason School of Business in May 2013, as Professor and Accounting Department Chair and subsequently was appointed the KPMG Professor.

His research interests include the economics of financial reporting, financial statement analysis, incentives and efficiency of financial analysts as intermediaries in the interpretation of accounting information for resource allocation in capital markets, market efficiency with respect to accounting information, and accounting for the sustainability of corporate business practices. His current research focuses on flow of information between buy- and sell-side financial analysts, the roles of financial analysts in stock market assimilation of information contained in firms' annual SEC filings, and information about the efficiency of firms' innovative activities and corporate sustainability following going concern audit opinions.

Professor Shane has presented his research at national and international conferences sponsored by the American Accounting Association, the American Finance Association, the European Accounting Association, and the Global Finance Association. His research has been published in premier journals such as *The Accounting Review*; *Journal of Accounting Research*; *Journal of Accounting and Economics*; *Contemporary Accounting Research*; *Decision Sciences*; *Journal of Accounting, Auditing & Finance*; *International Journal of Forecasting*; and the *Financial Analysts Journal*. He served as president of the Financial Accounting and Reporting Section of the American Accounting Association (2007-2008), and he held the Academic Research Fellow position at the Financial Accounting Standards Board (2010-2011).

Before coming to the Mason School of Business at William & Mary, he held faculty positions at The Pennsylvania State University, and the Universities of Kansas, Montana, Arizona, Colorado, Auckland, and Virginia. He worked in audit and tax at PWC before his academic career.

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Philip B. Shane; expresses its appreciation for his many contributions to the College of William and Mary; and approves, with deep gratitude for his five years of service, a change in status from KPMG Professor of Accounting to KPMG Professor of Business, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Philip B. Shane with best wishes for continuing and creative work in the years ahead.

WILLIAM & MARY  
RETIREMENT OF KIM P. WHITLEY  
DEPARTMENT OF KINESIOLOGY AND HEALTH SCIENCES

Kim P. Whitley earned his B.S. degree (cum laude) from Old Dominion University in Recreational Leisure Studies specializing in Outdoor Recreation in 1984. He went on to earn his Master's degree in Education from William & Mary in 1991, as well as earning a degree as an Educational Specialist in Higher Education in 1996, also from William & Mary.

Kim started teaching in the Activities program in the Kinesiology Department in 1986 specializing in outdoor activities. During his career at William & Mary, Kim has taught a particularly wide range of outdoor activities such as Adventure Sports Leadership, Rock Climbing, Backpacking, Adventure Games, Canoe Camping, Flatwater Canoeing, Mountain Biking, Outdoor Leadership, and Ski/Snowboard Maine. In this last course, a number of students would travel to a large, well-known Maine ski resort over the winter break for 8-10 days and Kim would instruct them in both skiing and snowboarding, along with other winter activities. As one might imagine, this was always a very popular course among students, and a course that would prepare them for a lifetime of outdoor fun.

Kim was also active in service, in particular serving on the Evaluation, Outdoor, and Personnel committees for his department, along with managing the boathouse on Lake Matoaka. He has also been very active with the American Canoe Association, serving as its vice president, secretary, and Chair of the Safety, Education, and Instruction Council, as well as Chair of its National Instruction Committee. For even more credentials, Kim is certified as a Wilderness First Responder and Professional CPR, he's certified in Basic Whitewater Rescue, as an instructor for White Water Canoeing, and even as a Surf Kayak instructor.

Kim is a published author having co-written the "Instructor's Manual" for the American Canoe Association, as well the book titled "Introduction to Paddling Canoe Basics for Lakes and Rivers" by Menasha Ridge Press. Moreover, he has served as a reviewer for a number of books on canoeing and kayaking. With respect to more personal accomplishments, Kim is most proud of climbing the Matterhorn, and Mount Ranier. He is, and should be, particularly proud of assisting then Governor, now Senator, Mark Warner on his mountain climbing excursions. Such a record of depth and excellence! For both the students in his classes, and the many others he has taught and assisted, Well Done, Kim Whitley!

BE IT RESOLVED, That the Board of Visitors acknowledges the retirement of Kim P. Whitley; expresses its appreciation for his many contributions to William & Mary; and approves, with deep gratitude for his 32 years of service, a change in status from Senior Lecturer of Kinesiology to Senior Lecturer of Kinesiology, Emeritus.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Kim P. Whitley with best wishes for continuing and creative work in the years ahead.

**WILLIAM & MARY**

**RESOLUTION TO APPROVE  
FINAL REGULATION REGARDING WEAPONS ON CAMPUS**

WHEREAS, protecting the safety of the campus community is of utmost importance to the Board of Visitors of The College of William & Mary in Virginia; and

WHEREAS, § 23.1-1301.A.1 of the Code of Virginia authorizes boards of visitors of the institutions of higher education in Virginia to make regulations and policies concerning the institution; and

WHEREAS, the Supreme Court of Virginia has confirmed that public institutions of higher education in Virginia may regulate the possession of weapons on their campuses; and

WHEREAS, pursuant to this authority, in 2011 the Board of Visitors promulgated the Weapons on Campus Regulation, governing the possession of weapons by visitors and third parties in certain facilities and at certain university events; and

WHEREAS, on February 9, 2018, the Board of Visitors proposed amendments to the Regulation to address possession of weapons inside all buildings controlled by the university, at outdoor locations where people congregate, and at events on property controlled by the university; and

WHEREAS, the proposed amendments were the subject of a comment period, which ended on March 30, 2018; and

WHEREAS, the Board deems appropriate certain changes to the proposed Regulations;

THEREFORE, BE IT RESOLVED, That, upon recommendation of the President, the amended Weapons on Campus Regulation, a copy of which is attached hereto, is hereby adopted as a final regulation, to be effective upon publication in the Virginia Register of Regulations; and

BE IT FURTHER RESOLVED, That the College's Regulatory Coordinator is hereby authorized and directed to take all necessary action to implement this resolution.

## TITLE 8. EDUCATION

### COLLEGE OF WILLIAM AND MARY

#### Chapter 20

#### *~~Final Proposed Amended Regulation~~*

**REGISTRAR'S NOTICE:** The College of William and Mary is exempt from the Administrative Process Act in accordance with § 2.2-4002 A 6 of the Code of Virginia, which exempts educational institutions operated by the Commonwealth.

**Title of Regulation:** **8VAC115-20.** Weapons on Campus (amending **8VAC115-20-10**, **8VAC115-20-20**, **8VAC115-20-30**).

**Statutory Authority:** § 23.1-1301.A.1 of the Code of Virginia.

**Effective Date:**

**Agency Contact:** Kiersten Boyce, Compliance and Policy Officer, College of William & Mary, P.O. Box 8795, Williamsburg, VA 23187, telephone (757) 221-2743, or email kboyce@wm.edu.

**Summary:**

*The regulation establishes the weapons limitation policy at the College of William & Mary; proposed changes are noted.*

#### CHAPTER 20

#### WEAPONS ON CAMPUS

#### **8VAC115-20-10. Definitions.**

The following words and terms when used in this chapter shall have the following meanings unless the context clearly indicates otherwise:

"Police officer" means law-enforcement officials appointed pursuant to Article 3 (§ 15.2-1609 et seq.) of Chapter 16 and Chapter 17 (§ 15.2-1700 et seq.) of Title 15.2, Article 3 (§ 23.1-809) of Chapter 8 (§ 23.1-800 et seq.) of Title 23.1, Chapter 2 (§ 29.1-200 et seq.) of Title 29.1, or Chapter 1 (§ 52-1 et seq.) of Title 52 of the Code of Virginia or sworn federal law-enforcement officers.

"University property" means any property, vehicle, or vessel owned, leased, or controlled by the College of William & Mary in Virginia, including the Virginia Institute of Marine Science.

"Weapon" means any instrument of combat, or any object not designed as an instrument of combat but carried for the apparent purpose of inflicting or threatening bodily injury. Examples include but are not limited to:

(1) firearms, including any pistol, revolver, rifle, shotgun, air-pistol, paintball gun, or other weapon designed or intended to propel a bullet, cartridge, or missile of any kind by action of an explosion of any combustible material;

(2) knives, including any dirk, bowie knife, switchblade knife, ballistic knife, butterfly knife, sword, machete, razor, spring stick, or other bladed weapon with a blade longer than four inches;

(3) razors or metal knuckles;

(4) blackjacks, foils, or hatchets;

(5) bows and arrows, crossbows, and slingshots;

(6) nun chakhas, including any flailing instrument consisting of two or more rigid parts connected in such a manner as to allow them to swing freely, which may also be known as a nun chuck, nunchaku, shuriken, or fighting chain;

(8) throwing stars, including any disc, of whatever configuration, having at least two points or pointed blades which is designed to be thrown or propelled and which may be known as an oriental dart

(9) stun guns, including any device that emits a momentary or pulsed output that is electrical, audible, optical, or electromagnetic in nature and that is designed to temporarily incapacitate a person; or

(8) any explosive or incendiary device, including fireworks or other devices relying on any combination of explosives and combustibles to be set off to generate lights, smoke, or noise any firearm or any other weapon listed in § 18.2-308 A of the Code of Virginia.

“Weapon” does not include items: (1) knives or razors commonly used for domestic or academic purposes; or pen or folding knives with blades less than three inches in length; or (2) mace, pepper spray, and other such items possessed, stored, or carried for use in accordance with the purpose intended by the original manufacturer.

**8VAC115-20-20. Possession of weapons prohibited; exceptions.**

A. Possession, storing, or carrying of any weapon by any person; except a police officer or an individual authorized pursuant to university policy, is prohibited on university property, whether in any university building, academic buildings, administrative buildings, student residence and student life buildings, or dining or athletic facilities, or while attending an official university event, such as an athletic, academic, social, recreational or educational event; or on vehicles or vessels that are university property. This prohibition also applies to all events or activities on university property where people congregate in any public or outdoor areas.

B. This prohibition does not apply to:

a. Police officers on university property in an official capacity pursuant to a university request, mutual aid agreement, or on active duty and within their jurisdiction



b. A university employee possessing, storing, or carrying a weapon: (1) as authorized or required by the terms of the university employment; or (2) as permitted by the Chief of the William & Mary Police Department or [designee]LD1] for good cause shown, and may contain conditions to maintain the safety of the university community. The Chief's authority to grant such permission extends to persons who are neither students nor employees.

c. A student as authorized by the Dean of Students or [designee]LD2] for good cause shown. Requests for such authorization shall be evaluated on a case-by-case basis, and may contain conditions to maintain the safety of the university community.

Entry upon such university property in violation of this prohibition is expressly forbidden. Persons violating this prohibition will be asked to remove the weapon immediately from university property. Failure to comply with this request may result in arrest for trespass. Members of the university community are also subject to disciplinary action.

**8VAC115-20-30. Person lawfully in charge.**

In addition to individuals authorized by university policy of job duties, College of William & Mary police officers are lawfully in charge for the purposes of forbidding entry upon or remaining upon university property while possessing or carrying weapons in violation of this prohibition.



**WILLIAM & MARY**

**RESOLUTION TO APPROVE  
PROPOSED REGULATION REGARDING OPEN FLAMES ON CAMPUS**

WHEREAS, protecting the safety of the campus community is of utmost importance to the Board of Visitors of The College of William & Mary in Virginia; and

WHEREAS, § 23.1-1301.A.1 of the Code of Virginia authorizes the Board of Visitors to make rules and regulations concerning the College; and

WHEREAS, the Board of Visitors existing College policy requires a permit before igniting any open flame on College property; and

WHEREAS, third parties and visitors to campus may be unaware of College policy, but are responsible for awareness of regulations that have the force of law; and

WHEREAS, the Board of Visitors deems it important to supplement existing College policy by adopting a regulation requiring a permit before the igniting or maintaining an open flame on property controlled by the College, including in its buildings; and

WHEREAS, on February 9, 2018, the Board of Visitors proposed a Regulation Regarding Open Flames on Campus; and

WHEREAS, the proposed Regulation was the subject of a comment period that ended on March 30, 2018; and

WHEREAS, the Board deems appropriate certain changes to the proposed Regulations;

THEREFORE, BE IT RESOLVED, That, upon recommendation of the President, the Board approves the Open Flames on Campus Regulation, a copy of which is attached hereto, as a final regulation to be effective upon publication in the Virginia Register; and

BE IT FURTHER RESOLVED, That the Regulatory Coordinator is hereby authorized and directed to take all necessary action to implement this resolution.

**TITLE 8. EDUCATION**

**COLLEGE OF WILLIAM AND MARY**

**Chapter 40**

*Proposed Regulation*

REGISTRAR'S NOTICE: The College of William and Mary is exempt from the Administrative Process Act in accordance with § 2.2-4002 A 6 of the Code of Virginia, which exempts educational institutions operated by the Commonwealth.

Title of Regulation: **8VAC115-40**. Open Flames on Campus (adding **8VAC115-40-10**, **8VAC115-40-20**, **8VAC115-40-30**).

Statutory Authority: § 23.1-1301.A.1 of the Code of Virginia.

Effective Date:

Agency Contact: Kiersten Boyce, Compliance and Policy Officer, College of William & Mary, P.O. Box 8795, Williamsburg, VA 23187, telephone (757) 221-2743, or email kboyce@wm.edu.

Summary:

*The regulation establishes the limitations on the presence of open flames in university buildings or on university property, and imposes the requirement for a permit for certain activities involving open burning or open flames. This is the first regulation on this subject.*

CHAPTER 40

OPEN FLAMES ON CAMPUS

**8VAC115-40-10. Definitions.**

*The following words and terms when used in this chapter shall have the following meanings unless the context clearly indicates otherwise:*

*"Open flame" means any activity or device producing a flame, including, but not limited to candles, tiki torches, oil lanterns, butane or other gas burners, incense, campfires, bonfires, fire pits, and grills.*

*"University property" means any property, vehicle, or vessel owned, leased, or controlled by the College of William & Mary in Virginia, including the Virginia Institute of Marine Science.*

**8VAC115-~~240-320~~. Permit required for open burning and open flames; exceptions.**

- A. *Open flames are prohibited on all university property, including within university buildings and facilities, except pursuant to a permit issued by the university.*
- B. *Exceptions to the requirement for a permit are:*

1. Activities taking place within the scope of academic coursework when under the supervision of the relevant faculty member;
2. Flames created for the transient purpose of lighting a cigarette, cigar, pipe, or similar smoking device, provided such activity is in an authorized location, is otherwise lawful, and the burning or smoking elements are safely and responsibly disposed; and
- 2.3. Activities undertaken by university contractors whose contract has been approved by the office of Procurement Services and which contract authorizes open flames.

**8VAC115-40-30. Permits.**

Persons seeking to ignite an open flame must apply to the office of Environmental Health & Safety Office (EH&S) for a permit to perform the activity. Permits may be issued for a one-time event or activity, or on a recurring or on-going basis.

Applicants must apply at least five working days in advance of the activity to ensure consideration. An applicant's history of compliance with previous permits will be considered in a decision to grant a permit.

Persons granted permits are required to comply with all conditions of the permit.

**8VAC115-40-40. Person lawfully in charge.**

In addition to individuals authorized by university policy, College of William & Mary police officers and representatives of the Office of Environmental Health & Safety Office are lawfully in charge for the purposes of forbidding entry upon or remaining upon university property of those who are in violation of this prohibition.

**8VAC115-40-50. Compliance with Policy.**

Persons who fail to obtain a permit or to comply with its conditions will be asked to extinguish the open flame or bring the activity into compliance with the term of the permit. Failure to comply with this request may result in arrest for trespass, are subject to arrest, and to prosecution under State law.

Members of the university community are also subject to disciplinary action, including termination or expulsion.

**Commented [LD1]:** The contracting process should ensure that contractors employ safe practices, and relieves them of the need to secure a permit and comply with its terms in addition to complying with contract terms. Contractors whose contracts do not contemplate the use of open flames may still seek a permit for unexpected circumstances. Such permits may be issued on an event-by-event basis or for a period of time.

**Commented [LD2]:** Corrected name of office

**Commented [LD3]:** Corrected name of office

**Commented [LD4]:** This change aligns with the weapons regulation, and states more accurately that potential criminal charges do not arise from a violation of the regulation per se. Instead, violators are acting outside the scope of their permission to be on campus; refusal to bring their conduct into compliance renders them subject to arrest for trespass.

**WILLIAM & MARY**  
**FY 2018-19 OPERATING BUDGET PROPOSAL**

This summary provides an overview of William & Mary's proposed operating budget for fiscal year 2018-2019 (FY 2019) and identifies specific budget actions. Detailed budgets by program are contained in the accompanying enclosures. The budget for the Virginia Institute of Marine Sciences is presented separately under Resolution 26.

The FY 2019 budget was developed in the context of the university's strategic planning process and the Six-Year Plan as approved by the Board of Visitors. To the extent resources are available, expenditures in support of the university's academic mission reflect the priorities outlined in these plans.

**Sources of Revenue for FY 2019**

The FY 2019 operating budget, as presented, combines the university's state appropriated funds as well as donor funds and other revenue maintained locally at the university. Projected revenues fall into five main categories as follows:

1. **State general fund (GF) appropriations** (\$48.6 million) primarily support the university's academic mission, commonly referred to as the Educational and General (E&G) program, and need-based financial aid. The FY 2019 projected state GF support includes technical adjustments for the state's share of the annualization of prior year salary actions and anticipated changes in fringe benefits. It does not include potential state funding for new initiatives or incremental funding to existing programs, pending the outcome of the 2018 Special Session of the General Assembly.
2. **Tuition and fee revenue** (\$201.9 million) reflects anticipated funds based on proposed FY 2019 tuition and fee rates included in Resolution 25. These funds are used to support the university's (E&G) academic programs as well as need-based, undergraduate financial aid.
3. Unrestricted and restricted gifts as well as endowment earnings received by the College are categorized as **BOV Private Funds** (\$14.5 million). They do not include funds budgeted as part of the College of William and Mary Foundation or other university-affiliated foundations. Likewise, funds from those foundations that are used as revenue to offset expenditures through university local funds are not included here.
4. Funds from grants and contracts for **Sponsored Programs** (\$32.1 million) include both direct funding for research as well as indirect cost recoveries used to support research facilities and administrative infrastructure. In addition, William & Mary receives \$75,000 in general fund support for state-sponsored research, which is included in the state general fund amounts above.

5. Student and user fees support **Auxiliary Enterprises** (\$115.3 million), which provide non-academic goods or services to students, faculty and staff, including housing, dining, student health, and athletics.

In addition, continuing its focus on business innovation and efficiency, the budget reallocates \$1.7 million from current operations to enhance existing priority programs or provide funding for new initiatives in this budget.

### **Proposed Spending Priorities for FY 2019**

FY 2019 operating budget recommendations recognize the need for William & Mary to make sustained investments in university programs, using all available fund sources to support its various programs and activities. The proposed budget maintains the William & Mary Promise for the sixth year. The Promise is an innovative business model that enhances the quality of a William & Mary education while improving predictability and affordability for in-state undergraduate students. Since its inception in FY 2014, key pieces of the Promise have included improved predictability through a guaranteed four-year tuition for in-state undergraduate students, more financial aid for qualifying low and middle-income Virginia families, lower assumptions regarding average student loan debt for Virginia students, better efficiency through innovation, more seats at William & Mary for Virginia students, and more resources to recruit and retain the superb faculty crucial to continued academic excellence.

Through a combination of incremental tuition revenue (\$5.6 million), incremental restricted BOV private funds for student financial aid (\$1.4 million), and anticipated incremental revenue for student financial aid provided through the College of William and Mary Foundation (\$500,000), the cumulative investment in FY 2019 for student financial aid will reach \$7.5 million over the original FY 2018 budget.

In addition, the FY 2019 budget, as proposed, includes incremental funding for the following priorities:

- restoration of funding for selected initiatives that had been deferred in FY 2018;
- final implementation of the COLL curriculum;
- investments in campus safety for additional campus police and resources to enhance awareness, training, and compliance;
- contingency funds to cover the university's share of a 2% bonus in FY 2019 for operational and classified staff being considered by the General Assembly;
- support for additional library materials and software acquisitions;
- funding for the first year of the RBC Scholars program;
- infrastructure support for advancement, student accounting and financial aid; and
- continued investments in athletics and auxiliary services, particularly in student health and wellness.

In total, the university's FY 2019 proposed operating budget includes \$412.4 million in projected revenues and \$410.7 million in expenditures.

**FY 2019 William & Mary Operating Budget Summary**

Revenue	FY 2018 Estimated	FY 2019 Proposed	Change from Prior Year	
			\$ Change	% Change
State General Fund	48,161,945	48,599,639	437,694	0.9%
Tuition and E&G Fees	192,609,730	201,859,703	9,249,973	4.8%
Grants & Contracts	32,250,000	32,050,000	(200,000)	-0.6%
BOV Private Funds	15,280,163	14,547,707	(732,456)	-4.8%
Auxiliary Revenue	109,770,705	115,341,533	5,570,828	5.1%
<b>Total Revenue</b>	<b>398,072,543</b>	<b>412,398,582</b>	<b>14,326,039</b>	<b>3.6%</b>

Expenditures, by Program	FY 2018 Estimated	FY 2019 Proposed	Change from Prior Year	
			\$ Change	% Change
Instruction	116,710,605	118,404,242	1,693,637	1.5%
State Supported Research	1,877,350	1,883,004	5,654	0.3%
Public Service	26,304	28,054	1,750	6.7%
Academic support	31,827,674	32,503,748	676,074	2.1%
Student Services	9,965,705	10,026,699	60,994	0.6%
Institutional Support	34,116,322	33,225,829	(890,493)	-2.6%
Operation & Maintenance of Plant	19,401,466	19,722,460	320,994	1.7%
Financial Aid	47,225,154	51,149,811	3,924,657	8.3%
Sponsored Programs	32,325,000	32,125,000	(200,000)	-0.6%
Auxiliary Services	105,515,614	111,586,438	6,070,824	5.8%
<b>Total Expenditures</b>	<b>398,991,194</b>	<b>410,655,285</b>	<b>11,664,091</b>	<b>2.9%</b>

Notes: Excludes the Virginia Institute of Marine Science and funds maintained in university-affiliated foundations.

Detail for each of the major program areas are provided in Enclosures K through O.

THEREFORE, BE IT RESOLVED, That the Board of Visitors of The College of William and Mary in Virginia approves the FY 2019 Operating Budget of the College as displayed herein.

BE IT FURTHER RESOLVED, That the Board of Visitors approves this FY 2019 budget and revisions thereto resulting from actions of the 2018 Special Session of the General Assembly and the carry forward of any unspent fund balances as of July 1, 2018.

**WILLIAM & MARY**  
**FY 2019 TUITION AND FEE STRUCTURE**  
**FOR FULL- AND PART-TIME STUDENTS**

Consistent with tuition and fee authority granted to William & Mary in the Code of Virginia, the attached schedules for undergraduate, graduate, first professional, and special program tuition, student fees, and room and board are recommended for approval by the Board of Visitors.

For FY 2019, the university maintains the William & Mary Promise as the operating model for enhancing and sustaining quality, affordability and access. The tuition structure included in the Promise, in combination with other elements of the plan, provides a four-year tuition guarantee for incoming Virginia undergraduates, reducing net tuition and the anticipated student loan burden for the vast majority of middle-income families, while providing the university with the resources necessary to implement its Six-Year and Strategic Plans.

In November, 2017, the Board of Visitors approved **Resolution 18 - Continuation: William & Mary Promise**, setting the FY 2019 in-state undergraduate tuition rate for new students at \$17,434. Under the Promise, this tuition is guaranteed for four years. Tuition for in-state undergraduates admitted for FY 2016, FY 2017, and FY 2018 remains at \$13,978, \$15,674, and \$16,370 respectively. Total cost (tuition, fees, room, and board) for in-state undergraduates will range from \$32,180 to \$35,636, depending on class. Out-of-state undergraduate tuition will increase by \$1,310 (3.5%) to \$38,735 with a total cost of \$57,508. Tuition and fee increases for full-time in-state and out-of-state graduate and professional students range from \$324 to \$2,052, reflecting market conditions in each area.

Revenue generated through the proposed increases will provide sufficient resources to maintain the William & Mary Promise, with continued focus on providing need-based grant aid for Virginia's low and middle-income families, while also supporting the top priorities of the university's Six-Year and Strategic Plans. In addition to increased funding for student financial aid, these revenues provide funding to: cover anticipated increases in employee health insurance; enhance campus safety; complete the final year of implementing the undergraduate general education curriculum known as the COLL curriculum; and support the first year of the RBC Scholars, a new scholarship program offering enhanced opportunities for high-ability, low-income students from Richard Bland College to transfer to William & Mary.

Fee allocations for auxiliary services, facility debt service, student activities, and average charges for room and board support projected expenditures for operations and required debt service on facilities while allowing some contribution to the College's auxiliary reserve.

THEREFORE, BE IT RESOLVED, That the Board of Visitors of The College of William and Mary in Virginia approves the Tuition and Fees outlined in Tables 1-8 for FY 2019. Pursuant to Section 23.1-1301 A.5., Code of Virginia, the Board further delegates authority to the Provost and Senior Vice President for Finance and Administration to establish: 1) course fees as needed to offset materials, supplies or additional course-specific costs not covered by general tuition and fees, and 2) special program fees for study abroad programs, on-line programs, certificate or other professional programs. The Provost and Senior Vice President for Finance and Administration shall update the Board annually on any program tuition and fees approved under this delegation.

**TABLE 1. TUITION AND FEE SUMMARY  
UNDERGRADUATE PROGRAMS, BY RESIDENCY**

<b>UNDERGRADUATE, FULL-TIME</b>						
	<b>FY 2018</b>		<b>Proposed FY 2019</b>		<b>Dollar Change</b>	
	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>
<i><b>Tuition</b></i>						
Seniors	\$13,978	\$37,425	\$13,978	\$38,735	\$0	\$1,310
Juniors	15,674	37,425	15,674	38,735	0	1,310
Sophomores	16,370	37,425	16,370	38,735	0	1,310
New Students	N/A	37,425	17,434	38,735	N/A	1,310
<i><b>Standard Fees<sup>1</sup></b></i>						
General Fee (See Table 6 Detail)	4,309	4,309	4,527	4,527	218	218
Facility Fee (See Table 7 Detail)	1,229	1,229	1,303	1,303	74	74
Technology Fee	100	100	100	100	0	0
Student Faculty Fee	5	5	5	5	0	0
HEETF Fee	31	62	31	62	0	0
State Building Fee	N/A	540	N/A	540	N/A	0
<b>Subtotal, Standard Fees</b>	<b>5,674</b>	<b>6,245</b>	<b>5,966</b>	<b>6,537</b>	<b>292</b>	<b>292</b>
<i><b>Room and Board</b></i>						
Room, Wtd. Avg.	7,183	7,183	7,436	7,436	253	253
Board (19 meals)	4,616	4,616	4,800	4,800	184	184
<b>Subtotal, Room and Board</b>	<b>11,799</b>	<b>11,799</b>	<b>12,236</b>	<b>12,236</b>	<b>437</b>	<b>437</b>
<i><b>Total Cost</b></i>						
Seniors	31,451	55,469	32,180	57,508	729	2,039
Juniors	33,147	55,469	33,876	57,508	729	2,039
Sophomores	33,843	55,469	34,572	57,508	729	2,039
New Students	N/A	55,469	35,636	57,508	N/A	2,039
<b>UNDERGRADUATE, PART-TIME INCLUDING SUMMER SESSION(S)<sup>2</sup></b>						
<i><b>Tuition Per Credit Hour</b></i>						
<b>All Undergraduates, Part-Time</b>	<b>\$400</b>	<b>\$1,195</b>	<b>\$425</b>	<b>\$1,235</b>	<b>\$25</b>	<b>\$40</b>

**Notes:**<sup>1</sup> Course specific or program specific fees may also apply.<sup>2</sup> FY 2019 part-time and summer session tuition rates are effective August 16, 2018 unless otherwise noted.



**TABLE 2. TUITION AND FEE SUMMARY  
GRADUATE PROGRAMS, BY RESIDENCY**

<b>GRADUATE (M.A., M.S., M.Ed., Ph.D., Ed.D), FULL-TIME</b>						
	<b>FY 2018</b>		<b>Proposed FY 2019</b>		<b>Dollar Change</b>	
	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>
<b><i>Tuition</i></b>						
Arts & Science/Education/VIMS	\$9,630	\$25,920	\$10,064	\$27,086	\$434	\$1,166
Master of Public Policy (M.P.P.)	22,000	32,000	22,000	32,000	0	0
<b><i>Standard Fees<sup>1</sup></i></b>						
General Fee (See Table 6 Detail)	4,309	4,309	4,527	4,527	218	218
Technology Fee	100	100	100	100	0	0
Student Faculty Fee	5	5	5	5	0	0
HEETF Fee	30	62	30	62	0	0
State Building Fee	N/A	540	N/A	540	N/A	0
<b>Subtotal, Standard Fees</b>	<b>4,444</b>	<b>5,016</b>	<b>4,662</b>	<b>5,234</b>	<b>218</b>	<b>218</b>
<b><i>Graduate Program Fees</i></b>						
Arts & Sciences/Education/VIMS Facility Fee	928	928	1,034	1,034	106	106
<b><i>Total Tuition and Fees, By Program</i></b>						
<b>Arts &amp; Sciences/Education/VIMS (M.A., M.S., M.Ed., Ph.D., Ed.D)</b>	<b>15,002</b>	<b>31,864</b>	<b>15,760</b>	<b>33,354</b>	<b>758</b>	<b>1,490</b>
<b>Master of Public Policy (M.P.P.)</b>	<b>27,372</b>	<b>37,944</b>	<b>27,696</b>	<b>38,268</b>	<b>324</b>	<b>324</b>
<b>GRADUATE, PART-TIME INCLUDING SUMMER SESSION(S)<sup>2</sup></b>						
<b><i>Tuition, Per Credit Hour</i></b>						
<b>Graduate, Part-Time In Arts &amp; Sciences/Education/VIMS</b>	<b>\$535</b>	<b>\$1,265</b>	<b>\$560</b>	<b>\$1,325</b>	<b>\$25</b>	<b>\$60</b>

**Notes:**<sup>1</sup> Course specific or program specific fees may also apply.<sup>2</sup> FY 2019 part-time and summer session tuition rates are effective August 16, 2018 unless otherwise noted.

**TABLE 3. TUITION AND FEE SUMMARY - TRADITIONAL PROGRAMS  
SCHOOL OF LAW, BY RESIDENCY**

<b>LAW (J.D., LL.M.), FULL-TIME</b>						
	<b>FY 2018</b>		<b>Proposed FY 2019</b>		<b>Dollar Change</b>	
	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>
<b><i>Tuition</i></b>						
Juris Doctor (J.D.)	\$27,261	\$35,689	\$27,962	\$36,390	\$701	\$701
Master of Law (LL.M.)	27,261	35,689	27,962	36,390	701	701
<b><i>Standard Fees<sup>1</sup></i></b>						
General Fee (See Table 6 Detail)	4,309	4,309	4,527	4,527	218	218
Technology Fee	100	100	100	100	0	0
Student Faculty Fee	5	5	5	5	0	0
HEETF Fee	30	62	30	62	0	0
State Building Fee	N/A	540	N/A	540	N/A	0
<b>Subtotal, Standard Fees</b>	<b>4,444</b>	<b>5,016</b>	<b>4,662</b>	<b>5,234</b>	<b>218</b>	<b>218</b>
<b><i>Law School Fees</i></b>						
Law Facility Fee	659	659	776	776	117	117
Law North Wing Building Fee	230	230	230	230	0	0
Law Library Building Fee	340	340	340	340	0	0
Law Printing/Activity Fee	30	30	30	30	0	0
<b><i>Total Tuition and Fees, by Program</i></b>						
Juris Doctor (J.D.)	32,964	41,964	34,000	43,000	1,036	1,036
Master of Law (LL.M.)	32,964	41,964	34,000	43,000	1,036	1,036
<b>LAW, PART-TIME INCLUDING SUMMER SESSION(S)<sup>2</sup></b>						
<b><i>Tuition, Per Credit Hour</i></b>						
<b>Law, Part-Time</b>	<b>\$825</b>	<b>\$1,225</b>	<b>\$825</b>	<b>\$1,225</b>	<b>\$0</b>	<b>\$0</b>

**Notes:**<sup>1</sup> Course specific or program specific fees may also apply.<sup>2</sup> FY 2019 part-time and summer session tuition rates are effective August 16, 2018 unless otherwise noted.

**TABLE 4. TUITION AND FEE SUMMARY  
BUSINESS, BY RESIDENCY**

<b>BUSINESS (MAcc, MBA, MSBA), FULL-TIME</b>						
	<b>FY 2018</b>		<b>Proposed FY 2019</b>		<b>Dollar Change</b>	
	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>	<b>In-State</b>	<b>Out-of-State</b>
<b><i>Tuition</i></b>						
Master of Accountancy (MAcc)	\$26,300	\$36,000	\$27,600	\$37,750	\$1,300	\$1,750
Master of Business Administration (MBA)	26,000	36,600	26,700	37,500	700	900
Master of Science in Business Analytics (MSBA)	34,000	40,200	35,000	41,500	1,000	1,300
<b><i>Standard Fees<sup>1</sup></i></b>						
General Fee	4,309	4,309	4,527	4,527	218	218
Technology Fee	100	100	100	100	0	0
Student Faculty Fee	5	5	5	5	0	0
HEETF Fee	30	62	30	62	0	0
State Building Fee	N/A	540	N/A	540	N/A	0
<b>Subtotal, Standard Fees</b>	<b>4,444</b>	<b>5,016</b>	<b>4,662</b>	<b>5,234</b>	<b>218</b>	<b>218</b>
<b><i>Business School Fees</i></b>						
Business Facility Fee	962	962	1,046	1,046	84	84
Business Printing Fee	100	100	100	100	0	0
Business Program/Support Fee	600	600	600	600	0	0
<b><i>Total, Tuition and Fees, by Program</i></b>						
Master of Accountancy (MAcc)	32,406	42,678	34,008	44,730	1,602	2,052
Master of Business Administration (MBA)	32,106	43,278	33,108	44,480	1,002	1,202
Master of Science in Business Analytics (MSBA)	40,106	46,878	41,408	48,480	1,302	1,602
<b>GRADUATE AND PROFESSIONAL, PART-TIME INCLUDING SUMMER SESSION(S)<sup>2</sup></b>						
<b><i>Tuition, Per Credit Hour</i></b>						
<b>Business, Flex MBA</b>	<b>\$825</b>	<b>\$1,225</b>	<b>\$875</b>	<b>\$1,275</b>	<b>\$50</b>	<b>\$50</b>

**Notes:**<sup>1</sup> Course specific or program specific fees may also apply.<sup>2</sup> FY 2019 part-time and summer session tuition rates are effective August 16, 2018 unless otherwise noted.

**TABLE 5. TUITION AND FEE SUMMARY - SPECIAL RATE PROGRAMS**

TOTAL PROGRAM COST INCLUDING TUITION AND FEES				
	Description	FY 2018	Proposed FY 2019	Dollar Change
Business - Executive Master of Business Administration (EMBA)	Five Semester Cohort Program Cost	\$93,900	\$93,900	\$0
St. Andrews Joint Degree Program (Bachelor of Arts) <sup>1</sup>	Four-Year Undergraduate Dual Degree Program	39,950	39,990	40
Miami-Dade Executive Doctorate of Education <sup>2</sup>	Three-Year Cohort Program Cost	35,000	35,000	0
TOTAL PROGRAM TUITION ONLY				
Study Abroad Programs (Eight credit hours or less) <sup>3</sup>	Short programs offered typically during the summer terms, awarding W&M credit	1,200	1,200	0
Study Abroad Programs (More than eight credit hours) <sup>3</sup>	Longer programs typically offered during the Fall or Spring semesters, awarding W&M credit	2,200	2,200	0
PER CREDIT HOUR TUITION				
Business - On-line Master of Business Administration (OMBA)	48 credit hours required over two years	1,150	1,220	70
Business - On-line Master of Science in Business Analytics (MSBA)	Pre-requisite courses	0	800	800
Business - On-line Master of Science in Business Analytics (MSBA)	Core Program courses	0	1,300	1,300
Education - Online Med in Counseling		0	665	665
Education - Executive Doctorate of Education (E-EdD)	48 credit hours required over two and a half years	950	950	0

**Notes:**

<sup>1</sup> W&M students pay \$39,990 per academic year in tuition regardless of domicile status. Fees are assessed based on the home institution regardless of where the student is studying that year. The location of the student's first year of study is the home institution.

<sup>2</sup> Cohort start date was January 2017.

<sup>3</sup> Does not include program-specific costs related to non-educational aspects of the program for any study abroad program. For the Global Business Minor program specifically, students are also charged a separate mandatory E&G program fee listed on Table 8 to cover the additional educational costs of that program.

**TABLE 6. GENERAL FEE COMPONENTS**

	<b>FY 2018</b>	<b>Proposed FY 2019</b>	<b>Dollar Change</b>
<b>AUXILIARY SERVICES</b>			
Health & Wellness	\$531	\$581	\$50
Integrative Wellness Center	0	32	32
Bus Service	59	65	6
Student Unions	364	369	5
Kaplan Arena Operations	348	355	7
General Auxiliary	218	228	10
Telecom/Networking	286	288	2
PC Maintenance	40	45	5
Intercollegiate Athletics	1,900	1,992	92
Tennis Center	20	20	0
ID Office	32	32	0
<i>Subtotal, Auxiliary Services</i>	<b>\$3,798</b>	<b>\$4,007</b>	<b>\$209</b>
<b>STUDENT ACTIVITIES</b>			
Green Fee	40	40	0
Cultural Fee	44	46	2
Student Activities	98	98	0
Student Affairs	33	33	0
Recreational Sports	168	170	2
Recreational Center	128	133	5
<i>Subtotal, Student Activities</i>	<b>\$511</b>	<b>\$520</b>	<b>\$9</b>
<b>TOTAL GENERAL FEE</b>	<b>\$4,309</b>	<b>\$4,527</b>	<b>\$218</b>

**TABLE 7. UNDERGRADUATE FACILITY FEE**

	<b>FY 2018</b>	<b>Proposed FY 2019</b>	<b>Dollar Change</b>
Athletic Facilities 1	\$42	\$42	\$0
Athletic Facilities 2	15	21	6
Cooling Tower 1	122	122	0
Cooling Tower 2	121	121	0
Integrated Science Center	238	230	(8)
Integrative Wellness Center	120	122	2
Kaplan Hall 1	21	0	(21)
Kaplan Hall 2	16	16	0
Laycock Center	23	23	0
Sadler West	0	9	9
School of Business	302	270	(32)
School of Ed	30	27	(3)
Student Rec Ctr	110	123	13
Utility Improvements	49	49	0
West Utilities	20	128	108
<b>TOTAL, FACILITY FEE</b>	<b>\$1,229</b>	<b>\$1,303</b>	<b>\$74</b>

**TABLE 8. MISCELLANEOUS STUDENT FEES**

	FY 2018	Proposed FY 2019	Dollar Change
Undergraduate Application Fee	\$75	\$75	\$0
Graduate Continuous Enrollment Fee (Per Semester)	150	150	0
Summer Comprehensive Fee	55	55	0
Summer Registration Fee	25	25	0
International Student Orientation Fee	130	130	0
International Student Service Fee	65	65	0
Graduation Fee	95	145	50
Orientation Fee	322	334	12
Applied Music Fee (per credit hour)	425	425	0
Reves Center - Study Abroad Registration Fee	75	75	0
<b>Arts &amp; Sciences</b>			
Graduate Application Fee	50	50	0
Master of Public Policy Student Activity Fee	400	400	0
<b>Law School</b>			
Application Fee	50	50	0
<b>School of Education</b>			
Application Fee	50	50	0
Live Text Fee	110	115	5
<b>School of Business</b>			
Application Fee	100	100	0
Undergraduate Business Major Fee	5,000	5,000	0
Undergraduate Business Minor Fee	2,500	2,500	0
Global Minor Program Fee	2,200	2,200	0
Global Minor Application Fee	75	75	0
Flex MBA Orientation Fee	300	300	0
Flex MBA Graduation Fee	85	85	0
MAcc Orientation Fee	450	450	0
MBA Orientation Fee	550	550	0
MSBA Orientation Fee	200	200	0
MBA Math Bootcamp Fee	400	450	50
MSBA Bootcamp Fee	750	750	0
MAcc Bootcamp Fee (In-State)	825	875	50
MAcc Bootcamp Fee (Out-of-State)	1,225	1,275	50
OMBA Residency Fee	600	600	0
<b>DC Center</b>			
DC Semester Program Fee	500	500	0
DC Summer Institute Fee	250	250	0
DC Summer Prequel Course Fee	250	250	0
DC Spring Break Seminar Program Fee	2,500	2,500	0
DC Winter Institute Program Fee	2,500	2,500	0

Note: Excludes Course Specific Fees.

**VIRGINIA INSTITUTE OF MARINE SCIENCE**

**FY 2018-19 OPERATING BUDGET PROPOSAL**

For the Virginia Institute of Marine Science, educational and general programs constitute those activities that support the delivery of its tripartite mission of research, education, and advisory service to a large constituency within the Commonwealth and globally. These activities include state mandated and sponsored research, advisory service to the Commonwealth, instruction, academic support (including library, information technology, communications, field operations, seawater research laboratory, analytical, and student services), institutional/administrative support, and plant operations.

For fiscal year 2018-19 the State appropriation for the Virginia Institute of Marine Science provides \$23 million in general funds support. This assumes the General Assembly will accept Governor Northam's budget as recommended which includes an increase of \$605,210 for Improvements in Information Technology and \$378,486 for Chesapeake Bay Water Quality Improvement. Also reflected is a health insurance premium increase in the amount of \$195,000. The appropriation is further supplemented by non-general fund revenues estimated at \$25.2 million, the majority of which are due to externally funded grant and contract activities, and less than \$1 million attributable to tuition revenues.

BE IT RESOLVED, That the Board of Visitors of the College of William & Mary in Virginia approves the FY 2018-19 operating budget of the Virginia Institute of Marine Science, as displayed on the attached schedule.



# VIRGINIA INSTITUTE OF MARINE SCIENCE

Board of Visitors  
April 18-20, 2018

Resolution 26  
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## FY 2018 ESTIMATED AND FY 2019 PROPOSED REVENUE AND EXPENDITURES

	<u>Approved Budget FY 2017-2018</u>	<u>Estimated Actual FY 2017-2018</u>	<u>Proposed Budget FY 2018-2019</u>
<b><u>REVENUE</u></b>			
General Fund	\$21,476,210	\$21,829,228	\$23,041,574
Nongeneral Fund			
Education and General	1,793,030	1,843,030	1,892,041
Eminent Scholars	75,992	77,185	79,139
Sponsored Programs	<u>23,000,000</u>	<u>23,250,000</u>	<u>23,250,000</u>
TOTAL REVENUE	\$46,345,232	\$46,999,443	\$48,262,754

## **EXPENDITURES**

Instruction	\$1,433,980	\$1,440,511	\$1,537,516
Research & Advisory Services	9,860,249	9,879,901	9,877,246
Academic Support	4,921,222	5,104,613	5,993,692
Institutional Support	2,698,281	2,450,083	2,683,218
Plant and Maintenance	4,035,891	4,420,264	4,480,941
Student Financial Assistance	319,617	321,002	321,002
Sponsored Programs	<u>23,075,992</u>	<u>23,327,185</u>	<u>23,329,139</u>
TOTAL EXPENDITURES	\$46,345,232	\$46,943,559	\$48,222,754

**VIRGINIA INSTITUTE OF MARINE SCIENCE  
OPERATING BUDGET SUMMARY**

	<u>2015-2016 Actual</u>	<u>2016-2017 Actual</u>	<u>Estimated 2017-2018 Actual</u>	<u>Proposed 2018-2019 Budget</u>	<u>Variance</u>
<b><u>REVENUE</u></b>					
General Fund	\$19,699,566	\$21,127,470	\$21,829,228	\$23,041,574	1,212,346
Nongeneral Funds					
Educational/General	1,941,120	2,263,009	1,843,030	1,892,041	49,011
Eminent Scholars	43,647	78,088	77,185	79,139	1,954
Sponsored Programs	<u>23,234,922</u>	<u>22,405,424</u>	<u>23,250,000</u>	<u>23,250,000</u>	<u>-</u>
<b>Total Revenue</b>	\$44,919,255	\$45,873,990	\$46,999,443	\$48,262,754	1,263,311
<b><u>EXPENDITURES</u></b>					
Instruction	\$1,045,649	\$948,236	\$1,440,511	\$1,537,516	97,005
Research and Advisory Services	9,260,758	9,834,263	9,879,901	9,877,246	(2,655)
Academic Support	4,897,996	5,150,593	5,104,613	5,993,692	889,079
Institutional Support	2,334,682	2,884,700	2,450,083	2,683,218	233,135
Plant Operations	3,855,280	4,123,222	4,420,264	4,480,941	60,677
Student Financial Assistance	241,540	319,617	321,002	321,002	-
Sponsored Programs/Eminent Scholars	<u>23,278,569</u>	<u>22,483,511</u>	<u>23,327,185</u>	<u>23,329,139</u>	<u>1,954</u>
<b>Total Expenditures</b>	\$44,914,473	\$45,744,142	\$46,943,559	\$48,222,754	1,279,194

## REVISIONS TO THE BYLAWS OF THE BOARD OF VISITORS

Revisions to the *Bylaws of the Board of Visitors* have been proposed in order to reflect those changes necessitated by the recodification of Title 23 of the Code of Virginia by the 2016 General Assembly, the approval in April 2016 of the honorary degree policy for Richard Bland College, the addition of a student representative on some Board committees approved in September 2017; and to establish a formal Board evaluation process, as well as a few technical/operational changes.

The changes made, shown in redline/highlight format on the following pages, are in:

1. Article II, Section B. (page 1) **and B. 4 (page 2)**
2. Article II, Section D.2.: change 23-9.14:1 to 23.1-1304 and 23-2.06 to 23.1-1300.E. (page 3).
3. Article III, Sections A., D., G, H., add new Section K: Board Evaluation (pages 3-5)
4. Article V, Section A.3: change Title 23, Chapter 1, § 23-2.04 to Title 23.1, § 23.1-1306 (page 6).; Section B. add new Section 6: Student representative members of committees (pages 7 and 8; *approved at September 15, 2017 meeting*); Section D. insert appointment of student committee representatives (page 8); Section E., insert appointment of student committee representatives and Design Review Board (page 9); Sections G. and I. insert appointment of student committee representatives (pages 9-10); Section J. correct title of Vice President for Strategic Initiatives and Public Affairs (page 10)
5. Article IX (page 13)
6. Article X, Section C.: correct title for Vice President for Strategic Initiatives and Public Affairs (pages 15-16)
7. Article XI, Section A: add new item 4. The President, in consultation with the Rector, recommends to the Board candidates for honorary associate degrees. Add to renumbered item 5. Confers all properly authorized degrees, with the exception of honorary degrees, which are bestowed by the President and the Rector of the College, or someone designated by the Rector. Renumber items 6. and 7. (page 18).

BE IT RESOLVED, That on the recommendation of the President, the *Bylaws of the Board of Visitors* are hereby amended, effective immediately, as shown on the following pages.

## **BYLAWS OF THE BOARD OF VISITORS**

### **ARTICLE I**

#### **I. Board Authority**

- A. The Board of Visitors (Board) of The College of William and Mary in Virginia (the University or William & Mary) is the corporate body established by the Royal Charter and the Code of Virginia to govern William & Mary (including the Virginia Institute of Marine Science). The Board is also established by the Code of Virginia to govern Richard Bland College (the College or RBC).
- B. The Board has the powers and duties conferred upon it by the Royal Charter, the Code of Virginia, and the Management Agreement with the Commonwealth of Virginia.
- C. The Board has adopted these Bylaws to set forth the workings of the Board, the University and the College, and to fulfill its obligations under the law. The Board adopted codes of ethics for the University and the College that apply to all members of the institutional community – board members, employees, students, and volunteers (see Section XII. A. and B.). The Board strives to preserve the ideals and traditions of the institutions under its jurisdiction, including the student-administered Honor System that originated in the earliest years of the University.

### **ARTICLE II**

#### **II. Membership**

- A. **Board of Visitors Members:** The Board consists of seventeen members appointed by the Governor of Virginia and confirmed by the General Assembly of Virginia.
- B. **Advisory representatives:** The Board is assisted by various non-voting advisory representatives who may attend committee meetings and the full meetings of the Board and any closed sessions of Board committees **as determined by the respective committee chair** or the full Board as determined by the Rector. In the event an advisory representative is unable to complete the term, the Rector will, in consultation with the President of William & Mary or the President of RBC, as appropriate, appoint a replacement representative to complete the term, with no effect on eligibility to serve a full term. The representatives will be appointed by the Rector annually, as follows:

1. **Student Representative of William & Mary:** The President of the Student Assembly for a one-year term.
2. **Student Representative of Richard Bland:** A student nominated by the President of RBC for a one-year term.
3. **Faculty Representative of William & Mary:** A faculty member selected, in consultation with the chair of the Committee on Academic Affairs and recommended by the President and Provost, from among the former presidents of the William & Mary Faculty Assembly, for no more than two consecutive one-year terms.
4. **Faculty Representative of Richard Bland College:** A faculty member nominated recommended -by the President of RBC in consultation with the chair of the RBC Faculty Assembly, for no more than two consecutive one-year terms.
5. **Staff Liaison of William & Mary:** The President of the professional or operational/classified staff Assembly for a one-year term. Such appointments alternate each year between the professional and operational/classified staffs.

**C. Terms of Board members**

1. Members of the Board of Visitors have such terms as provided by law.
2. The terms of student and faculty advisory representatives begin on the day following Commencement at each institution and continue through Commencement the following year.
3. The term of the staff liaison begins on July 1 and continues until June 30 of the following year.

**D. Removal of Board members**

1. If any member of the Board fails to perform the duties of the office for one year without written justification to the Rector, the Rector may, after notifying that member, report such failure to the Governor and may request that the Governor exercise the authority under § 2.2-108 of the Code of Virginia to remove the member from the Board. Such action must be recorded in the minutes of the next meeting.

The Governor may remove from office for malfeasance, misfeasance, incompetence, or gross neglect of duty any member of the Board of

Visitors, and fill the resulting vacancy, subject to confirmation by the General Assembly.

2. If any member of the Board fails to attend (i) the meetings of the Board for one year without sufficient cause, as determined by a majority vote of the Board, or (ii) the educational programs required by ~~§ 23-9.14:1~~ **23.1-1304** of the Code of Virginia during the first two years of membership without sufficient cause, as determined by a majority vote of the Board, the remaining members will record such failure in the minutes of the next meeting, and the Rector will notify the Governor. Pursuant to ~~§ 23-2.06~~ **23.1-1300.E** of the Code of Virginia, the member's office is vacated upon such notification.

## ARTICLE III

### III. Meetings

- A. **Regular meetings:** The Board meets regularly ~~up to~~ **at least** four times each year on dates selected by the Rector. The last meeting of the academic year is the annual meeting.
- B. **Special meetings:** Special meetings, including emergency meetings and annual retreats, may be called by the Rector, or in the Rector's absence or inability, by the Vice Rector, or at the request of five members of the Board.
- C. **Electronic meetings:** Members may participate in meetings of the Board electronically under the requirements of the law.
- D. **Remote location participation:** **Pursuant to § 2.2-3708.1 of the Code of Virginia, the** ~~The~~ Rector may authorize members to participate in meetings of the Board from a remote location, provided:
  1. The member discloses to the Rector on or before the date of the meeting that the member is unable to attend due to a specified emergency or personal matter, as well as the remote location from which the member will participate;
  2. The member discloses to the Rector on or before the date of the meeting that the member is unable to attend due to a temporary or permanent disability or other medical condition that prevents the member's physical attendance, as well as the remote location from which the member will participate;

**3. Such participation by the member shall be limited each calendar year to two meetings.**

Assuming all other legal requirements for the member's participation would be met, the Board will determine by majority vote whether the member has offered an adequate justification for remote participation. Any disapproval of a member's remote participation will be described in the minutes.

If a member's participation is not approved, the member may continue to monitor the meeting from the remote location, but may not participate in the proceeding and may not be counted as present at the meeting.

**Committees or subcommittees of the Board, or those committee or subcommittees appointed by the Board or the Rector to perform a delegated function or to advise the Board, are authorized to allow members to participate remotely, subject to the same requirements as the Board has imposed on itself.**

- E. **Notice of meetings:** Notice of meetings is provided in accordance with relevant provisions of the Virginia Freedom of Information Act, Chapter 37 of Title 2.2. The appointee or representative of the Virginia Attorney General is notified and may attend meetings of the Board and all Board committees.
- F. **Quorum:** A simple majority of the voting members of the Board (or of a committee, in the case of a committee meeting) serving at any time constitutes a quorum. The Rector is a member of each Board committee for purposes of a quorum. The Rector may appoint members to a committee on an ad-hoc basis in the event a quorum is needed for a specific meeting.
- G. **Resolutions of the Board:** All proposed resolutions of the Board are presented to the Board-appointed Secretary to the Board prior to the meeting at which they are to be considered, with mailing to the members and non-voting advisory representatives at least six calendar days prior to the meeting, except that the introduction of a motion and/or resolution for Board action may be offered at any time at the request of the Rector, **the President of the University** or the President **of the College**, or on those occasions when a two-thirds majority of the members present at the meeting vote in the affirmative to suspend this rule. At the same time such resolutions and all materials are furnished to members of the Board, they are made available for review by members of the public.
- H. **Public and closed meetings:** The discussions of the Board and any actions taken by it are conducted in open meeting, unless a closed or executive session is

authorized by law. Any ~~official~~ action taken in a closed meeting must be **officially** approved in open meeting before it has effect. Attendance at meetings of the Board during closed or executive sessions is determined by the Rector in consultation with the President **of the University or the President of the College and in coordination with the Secretary to the Board**. Attendance at committee meetings during closed or executive sessions is determined by the committee chair in consultation with the Rector, **and may be more comprehensively detailed with an addendum to these Bylaws**.

- I. **Minutes:** The Board-appointed Secretary to the Board maintains minutes of all open meetings of the Board. The Secretary ensures that minutes are posted on the Board's website and made available as required by law.
- J. **Annual Executive Summary:** The Board submits to the General Assembly and the Governor an annual executive summary of its work no later than the first day of each regular session of the General Assembly, in accordance with procedures of the Division of Legislative Automated Systems for the processing of legislative documents and reports for publication on the General Assembly's website.
- K. **Board Evaluation:** **The Board shall conduct a self-evaluation biennially during the Board Retreat with a process discussed by the Executive Committee and approved by the Rector. The Board may occasionally have a formal evaluation of its processes and procedures conducted by an external evaluator at the discretion of the Executive Committee.**

## ARTICLE IV

### IV. Officers of the Board

#### A. The officers of the Board are:

- 1. **Rector of the College:** The Rector presides over all meetings of the Board and determines the order of its business. The Rector serves as the spokesperson for the Board and its designated representative. The Rector performs other duties as prescribed by law or these Bylaws, or as expressly authorized by the Board, including the appointment of members to all committees of the Board, special committees, and ad hoc committees.



2. **Vice Rector of the College:** The Vice Rector performs the duties of the Rector when the Rector is absent or unable to discharge the duties of the office.
  3. **Secretary of the Board (elected):** The Secretary performs the duties of the Rector when neither the Rector nor the Vice Rector is present or able to discharge such duties.
- B. **Election of officers:** Prior to the annual meeting in every even year, the Rector appoints a committee of at least three members of the Board to nominate candidates for the offices of Rector, Vice Rector, and Secretary. Nominations will also be accepted from the floor. The Board elects officers from any members nominated at the annual meeting.
- C. **Term of office:** Each officer serves a two-year term beginning July 1 following the annual meeting. Officers are eligible for re-election for one additional term.
- D. **Vacancy of office:** Should an office be vacated prior to the conclusion of an elected term, the Rector, or officer pursuant to IV.A.2. and 3., appoints a committee of at least three members of the Board to nominate a candidate to stand for election at the next meeting of the Board to complete the unexpired portion of such term.

## ARTICLE V

### V. Organization of the Board

#### A. Executive committee:

1. **Membership:** The Executive Committee consists of: (1) the Rector, who serves as chair; (2) the Vice Rector; (3) the Secretary of the Board; (4) the chair of the Committee on Financial Affairs; and (5) three members of the Board at large appointed by the Rector.
2. **Delegation of the authority of the Board:** Except as provided otherwise by law or these Bylaws, the Executive Committee exercises the powers and transacts the business of the Board of Visitors between meetings of the full Board as needed. All members of the Board will be informed promptly of any action taken.
3. **Responsibilities:** The Executive Committee organizes the working processes of the Board and recommends best practices for Board governance, consistent with relevant provisions of **Title 23, Chapter 1, § 23-2.04. Title 23.1, § 23.1-1306**, of the Code of Virginia.

4. **Staffing:** The Board-appointed Secretary to the Board staffs the Committee.

**B. Standing committees**

1. **Designation:** The Board has the following standing committees with members appointed by the Rector:

- a) Committee on Academic Affairs
- b) Committee on Administration, Buildings and Grounds
- c) Committee on Athletics
- d) Committee on Audit and Compliance
- e) Committee on Financial Affairs
- f) Richard Bland College Committee

The Board has the following committees of the whole:

- a) Committee on Strategic Initiatives and New Ventures
- b) Committee on Student Affairs
- c) Committee on University Advancement

2. **Membership:**

- a) The Rector appoints one or more members of the Board to chair each committee, and may appoint one or more Board members to serve as vice chair(s) of each committee.
- b) The Rector appoints at least three members of the Board to each standing committee, and may designate committees of the whole.
- c) The Rector may replace chairs as necessary and remove members from committees or fill committee vacancies as necessary.

3. **Subcommittees:** Upon approval of the Rector, committees may appoint subcommittees of not less than three voting Board members from within their memberships to consider such specific matters as will facilitate the business of the committee. All subcommittee action is subject to ratification by the committee.

4. **Meetings of committees:** The chair, or vice chair acting when the chair is absent or unable to perform the duties of the chair, or a majority of the

membership of the committee or subcommittee, may call meetings after consultation and approval by the Rector. Notice of meetings of committees and subcommittees is published as required by law.

5. **Faculty representative members of committees:** Annually, the Rector in consultation with the President, Provost, and chair of the Academic Affairs Committee appoints a faculty member from those nominated by the William & Mary Faculty Assembly Executive Committee, to the positions of non-voting faculty representative to each of the Committees on Administration, Buildings and Grounds, Athletics, and Financial Affairs. No faculty member may serve on more than one committee.
6. **Student representative members of committees:** Annually, the Rector in consultation with the W&M President, Vice President for Student Affairs and Student Assembly President, shall appoint one W&M student from among the Student Assembly President, Vice President of the Student Assembly and the two student liaisons to the Board, to the position of non-voting student representative to each of the Committees on Academic Affairs, Administration, Buildings and Grounds, Athletics and Financial Affairs, with the understanding that such student shall serve for a term of one academic year.

- C. **Richard Bland College Committee:** The Richard Bland College Committee considers and makes recommendations on matters affecting educational policies, instructional faculty affairs and appointments, including academic tenure, executive appointments, and the academic organization of the College, and advises the President of the College on matters of personnel policy in regard to members of the instructional faculty and other employees. The Committee serves as the Board contact with faculty and student liaisons. The Committee considers and makes recommendations concerning the financial affairs of the College, which includes the submission and approval of any and all budgets and the administration of the financial policies and procedures established by the Board. The Committee considers and makes recommendations on all matters relating to the buildings and grounds of Richard Bland College, including the naming of buildings; the administrative operations of the College, including human resources policy in regard to classified employees and other non-instructional faculty members; information technology; procurement; and any other matters referred to it by the Rector or the President. The Committee consults with the President concerning the ways and means of promoting Richard Bland's

advancement and fostering the relationship between the College and its communities and friends. The Committee considers and makes recommendations on policies fostering fundraising, lifelong relationships and connections between Richard Bland and its alumni. The Committee performs an annual evaluation of the President of Richard Bland College during the Board's annual meeting for recommendation to the full Board. The nonvoting, advisory student and faculty representatives from the College serve as ex officio members of the Committee. The President of the College staffs the Committee.

- D. **Committee on Academic Affairs:** The Committee on Academic Affairs considers and makes recommendations on matters affecting educational policies, instructional faculty affairs and appointments, including academic tenure, executive appointments, and the academic organization of William & Mary, and advises the President of the University on matters of personnel policy in regard to members of the instructional faculty and other employees. The Committee meets on a regular basis with representatives of the faculties. The William & Mary faculty representative to the Board may serve as a non-voting advisory member of the Committee. **The Rector may appoint a student, as detailed in Section V.B.6. to the position of non-voting student committee representative.** The Provost staffs the Committee.

- E. **Committee on Administration, Buildings and Grounds:** The Committee on Administration, Buildings and Grounds considers and makes recommendations on all matters relating to the buildings and grounds of William & Mary, including the naming of buildings; the administrative operations of the University, including human resources policy in regard to classified employees, university employees and other non-instructional faculty members; information technology; procurement; and any other matters referred to it by the Rector or the President particularly regarding authority granted under The Restructured Higher Education Financial and Administrative Operations Act. The Rector may appoint a faculty member **and student**, as detailed in Section V.B.5. **and 6.**, to the positions of non-voting faculty **and student** committee representatives. The Senior Vice President for Finance and Administration staffs the Committee.

**The Chair and Vice Chair of the Committee serve on the College Design Review Board, of which the Committee Chair serves as chair. The Design Review Board is advisory to the President of William & Mary.**

- F. **Committee on University Advancement:** The Committee on University Advancement consults with the President concerning the ways and means of promoting William & Mary's advancement and fostering the relationship between

the University and its communities and friends. The Committee considers and makes recommendations on policies fostering fundraising, lifelong relationships and connections between William & Mary and its alumni, as well as its career assistance for graduates. The Committee may meet during Board meetings with a representative of the Alumni Association Board of Directors and a representative of the College of William & Mary Foundation. The Vice President for University Advancement staffs the Committee.

- G. **Committee on Athletics:** The Committee on Athletics considers and makes recommendations on matters affecting the University's intercollegiate athletic policies, plans, and personnel. The Rector may appoint a faculty member **and student**, as detailed in Section V.B.5. **and 6.**, to the positions of non-voting faculty **and student** committee representatives. The Director of Athletics staffs the Committee.
- H. **Committee on Audit and Compliance:** The Committee on Audit and Compliance oversees the Director of Internal Audit, who is charged with auditing William & Mary and Richard Bland. The Committee reviews and approves the annual Audit Plan recommended by the Director of Internal Audit. The Committee considers and makes recommendations on policy matters pertaining to compliance, campus safety and security, and risk management. The Committee provides oversight of the compliance program. The Presidents of the University and of the College may advise and consult with the Committee on any matter pertaining to internal auditing. The Committee performs an annual evaluation of the Director of Internal Audit during the Board's annual meeting for recommendation to the full Board. The Director of Internal Audit, the Chief Compliance Officer, the Senior Vice President for Finance and Administration, and the RBC President or designee staff the Committee.
- I. **Committee on Financial Affairs:** The Committee on Financial Affairs considers and makes recommendations concerning the financial affairs of William & Mary and the Virginia Institute of Marine Science, which includes the submission and approval of any and all budgets and the administration of the financial policies and procedures established by the Board. The Committee has power and authority, subject to the guidelines set by the Board, to invest and reinvest all funds held by the Board as an endowment or in trust for the uses and purposes of William & Mary, the Virginia Institute of Marine Science, and Richard Bland, with the assistance of the Presidents; and the Committee directs and controls the handling of investments by an investment counsel or depository agent at any time employed by the Board. The Rector may appoint a faculty member **and student**,

as detailed in Section V.B.5. **and 6.**, to the positions of non-voting faculty **and student** committee representatives. The Senior Vice President for Finance and Administration staffs the Committee.

- J. **Committee on Strategic Initiatives and New Ventures:** The Committee on Strategic Initiatives and New Ventures considers and makes recommendations on matters concerning strategic planning, new ventures, federal and state relations, the ways and means of promoting economic development and sustainability, public service and outreach, and fostering the relationship between the University, its constituencies and all responsible governing bodies. The Vice President for Strategic Initiatives **and Public Affairs** staffs the Committee.
- K. **Committee on Student Affairs:** The Committee on Student Affairs considers and makes recommendations on policies concerning student life, discipline and the organization and administration of student affairs at the University. The Committee meets on a regular basis with representatives of the students of the University appointed by the President of the Student Assembly. The Vice President for Student Affairs staffs the Committee.
- L. **Special or ad hoc committees:** The Rector may appoint special or ad hoc committees of the Board as needed for the benefit of the Board and/or the University or the College.

## ARTICLE VI

### VI. **Executive positions reporting to the Board of Visitors**

- A. **President of William & Mary:** The Board elects the President of the University, who serves at its pleasure, and pursuant to a contract with the Board. Any change to the President's employment contract is made only by a vote of a majority of the Board. The duties and responsibilities of the President are prescribed by law, these Bylaws, and such employment contract.
  - 1. The President may attend all meetings of the Board of Visitors or its committees, except as otherwise directed by the Board or committee. The President brings to the attention of the Rector and the Board such matters as require the attention or action of the Board, and may participate in the discussions of the Board or its committees.
  - 2. In case of the incapacitation, death or resignation of the President, the Board of Visitors designates as promptly as possible an Interim President to serve until a President is elected by the Board and assumes office.

3. At least annually, on a date set by the Rector of the College, the Board conducts an evaluation of the performance of the President for the preceding year. Such evaluation may be held in a closed meeting pursuant to § 2.2-3711.A.1. of the Code of Virginia.

**B. President of Richard Bland College:** The Board elects the President of Richard Bland College, who serves at its pleasure, or pursuant to a contract with the Board. Any change to the President's employment contract is made only by a vote of a majority of the Board. The duties and responsibilities of the President are prescribed by law, these Bylaws, and such employment contract.

1. The President may attend all meetings of the Board of Visitors or its committees, except as otherwise directed by the Board or committee. The President brings to the attention of the Rector and the Chair of the Richard Bland College Committee such matters as require the attention or action of the Board, and may participate in the discussions of the Board or its committees.
2. In case of the incapacitation, death or resignation of the President, the Board of Visitors designates as promptly as possible an Interim President to serve until a President is elected by the Board and assumes office.
3. At least annually, on a date set by the Rector of the College, the Board conducts an evaluation of the performance of the President for the preceding year. Such evaluation may be held in a closed meeting pursuant to § 2.2-3711.A.1. of the Code of Virginia.

## **ARTICLE VII**

### **VII. Administrative positions reporting to the Board of Visitors**

**A. Director of Internal Audit:** On recommendation of the President of the University, the Board appoints a Director of Internal Audit. The Director is responsible for the formation of an annual Audit Plan and for the administration and operation in the Office of Internal Audit. The Director consults with the President of William & Mary and the President of Richard Bland in the administration and operation of the Office of Internal Audit. The Director reports to the Committee on Audit and Compliance, and is evaluated annually by the Committee during the Board's annual meeting for review with full Board.

- B. **Building Official:** On recommendation of the President of the University, the Board appoints a Building Official certified by the Virginia Department of Housing and Community Development. The Building Official is authorized to determine the suitability for occupancy of, and to issue certifications for building occupancy for, all capital projects undertaken at William & Mary. Prior to issuing any such certification, the Building Official ensures that the Virginia Uniform Statewide Building Code requirements are met for that capital project and that such project has been inspected by the Fire Marshal or authorized designee. The Building Official reports directly and exclusively to the Board of Visitors through the Committee on Administration, Buildings and Grounds, and is evaluated annually by the Committee during the Board's annual meeting for review with the full Board.

## ARTICLE VIII

### VIII. Administrative positions of the Board of Visitors

- A. **University Counsel:** The Attorney General of Virginia, in consultation with the President of the University, appoints an Assistant Attorney General and Special Counsel from the Office of the Attorney General as counsel to the Board, the University and the College to provide necessary legal services on both routine matters and special projects.
- B. **Secretary to the Board:** The Board-appointed Secretary to the Board provides assistance to the Rector and members of the Board of Visitors, maintains minutes of all meetings of the Board and makes them available to any member of the Board, the Presidents of the University and College, and such others as required by law, with the authority to provide certification to the minutes of the meetings of the Board when necessary. On recommendation of the President of the University, the appointment of the Secretary to the Board is approved by resolution of the Board of Visitors.

## ARTICLE IX

### IX. Chancellor

**Upon recommendation of the President, the** The Board of Visitors may elect a Chancellor **of** ~~for~~ the University. The Chancellor's position is honorary, without responsibility, authority or compensation except for those duties and responsibilities specifically assigned to that position by the Board of Visitors. The Chancellor serves



for seven years, **and is eligible for re-election for additional terms upon recommendation of the President.**

## **ARTICLE X**

### **X. Responsibilities of the President and Other Senior Officers of William & Mary**

A. The President is the chief executive officer of the University, oversees its overall operation under the direction of the Board and in accordance with its policies, and is accountable to the Board for its performance. The President may adopt such rules and regulations as appropriate to the proper administration of the University, provided they are not inconsistent with the policies of the Board of Visitors or the requirements of law. The President is assisted in the performance of duties by a chief academic officer, a chief financial officer, and such other senior officers as the President deems necessary or appropriate. The President recommends the appointment of such officers, subject to the approval of the Board of Visitors.

Among other duties as the University's chief executive officer, the President:

1. Approves the salaries, appointments, promotions, and dismissals of members of the staff and faculties of the University.
  2. Oversees the admission of students, seeing to their well-being and administering a student disciplinary and Honor Code.
  3. Oversees the financial, budgetary, transactional and strategic activities of the University, as well as the use and maintenance of its physical facilities.
  4. The President, in consultation with the Rector, recommends to the Board candidates for honorary degrees.
  5. Confers all properly authorized degrees, with the exception of honorary degrees, which are conferred by the Rector or the Chancellor or their designee.
  6. Has the authority to transact business in the name of the University.
  7. Has the authority to further delegate such authority as has been conferred to the President, subject to guidelines for its exercise, and the President remains responsible for the delegated function.
- B. On recommendation of the President, the Board of Visitors appoints the Provost, who serves as the chief academic officer and senior administrative officer of the

University, and has general purview over all its educational programs, activities, personnel and policies. The Provost administers the affairs of the University during the temporary absence or disability of the President, except as otherwise directed by the Board.

The Provost is responsible jointly with the Senior Vice President for Finance and Administration for budgetary planning, and for submitting recommendations to the President regarding allocation of the University's resources and expenditure of its funds.

The Provost is responsible for working directly with the Deans, and the Faculty Assembly when appropriate, on academic planning, and on curricular, budgetary, personnel, and educational policy matters, for recommendation as appropriate to the President. In accordance with the provisions of the Faculty Handbook and the Code of Virginia and upon recommendation of the appropriate dean or administrative officer, the Provost submits to the President recommendations regarding salaries, appointments, promotions and dismissals of members of the staff and faculties of the University, and the establishment of new positions.

The Provost serves as the accreditation officer of William & Mary.

The Provost recommends to the President the calendar of the University, the curriculum of the faculties of the University, and the inauguration of new programs, the latter being subject to approval by the Board of Visitors. Such recommendations are formulated with due regard to the roles of the respective faculties, the Faculty Assembly, the educational mission of the University in the Virginia system of higher education, and budgetary and administrative feasibility.

Concurrently with the President, the Provost has the authority to transact business in the name of the University, with the exception of indebtedness and those particular assignments made to the Senior Vice President for Finance and Administration. The Provost has the authority to further delegate such authority; such delegation must be consistent with applicable law and regulations, and subject to guidelines for its exercise.

- C. The Provost and other senior officers of the University report to the President. Such senior officers may include the Senior Vice President for Administration and Finance; the Vice Presidents for Strategic Initiatives **and Public Affairs**,

Student Affairs, University Advancement, the Athletic Director, the Chief Diversity Officer, the Chief Compliance Officer, and such other senior officers as the President deems necessary or appropriate.

1. The Senior Vice President for Finance and Administration administers the business affairs of the University. Under the direction of the President, the Senior Vice President is responsible jointly with the Provost for budget planning, and for recommendations to the President regarding University resource allocation and expenditure budgets.

It is the Senior Vice President's responsibility to assure that private funds under the custody of the Board are properly invested with the approval of the President and the Committee on Financial Affairs.

The Senior Vice President has custody of the University's key documents.

The Senior Vice President is responsible for the effective operation of the physical plant, the auxiliary enterprises, the general purchasing and supply system, human resources, campus security, and the buildings and grounds of the University.

Concurrently with the President, the Senior Vice President for Finance and Administration has the authority to transact business in the name of the University, including: (1) the transfer, conversion, endorsement, sale, purchase, assignment, conveyance and delivery of any and all shares of stocks, bonds, debentures, notes, and subscriptions warrants, cash or equivalent assets, and evidence of indebtedness; (2) any and all contracts for the purchase of real estate and other property, or other securities or assets now or hereafter owned by the Board, or bearing any similar designation indicating ownership by the University; (3) agreements setting forth the terms for the acceptance of gifts to the University; (4) any and all contracts for the purchase or rental of professional services, supplies and equipment, including software and hardware; the design and construction of facilities; non-disclosure and confidentiality agreements; real estate and property leases or rental agreements; capital leases; acquisition or conveyance of any other type of property interest, including easements; equipment leases or fixed asset rental agreements; use of University facilities; and (5) any other agreements necessary to carry out and support

the operations of the University, with the exception of those particular assignments made to the Provost. The sale of real property requires the approval of the Board and the Commonwealth of Virginia. The Senior Vice President for Finance and Administration has the authority to further delegate such authority; such delegation must be consistent with applicable law and regulations, and subject to guidelines for the exercise thereof.

2. The Vice President for Strategic Initiatives **and Public Affairs** develops and manages programs for economic development, for public service and outreach, for community, government, general public relations, and university communications. The Vice President assists the President and Provost with strategic planning; identifies and evaluates alternatives for financial sustainability and continued preeminence in American higher education; leads and coordinates William & Mary's support of state, regional and local economic development organizations; and provides leadership and oversight of the offices of Creative Services, Economic Development, Government Relations, Sustainability, and University Relations.
3. The Vice President for University Advancement plans, implements, coordinates, and evaluates all programs and events by which the University's voluntary private financial support both for current operations and capital purposes, including endowment will be sustained and increased. In addition, the Vice President coordinates operations associated with marketing, alumni engagement activities, and the relationship with the William & Mary Alumni Association. In accordance with policies and procedures established by the Board, the Vice President organizes and manages a comprehensive advancement program to ensure lifelong engagement with all alumni and constituents, and seeks and/or coordinates gifts from alumni, parents, individuals, corporations, foundations and other organizations.
4. The Vice President for Student Affairs administers and coordinates the student life policies of the University and programs and activities bearing on student affairs, student development, student health services, counseling, and social, recreational and residential programs and functions. The Vice President works cooperatively with the Senior Vice

President to ensure the quality of all physical facilities used to support student life programs, including the student residences, the Sadler and Campus Centers, the Student Health Center, the Counseling Center and intramural and recreational sports facilities. The Vice President is responsible for the administration of the scheduling policies of the University, with the exception of the scheduling of academic facilities for instructional and research programs.

5. The Director of Athletics, under the direction of the President, has general purview of and administrative responsibility for the intercollegiate athletic policies, plans and personnel of the University.
6. The Chief Diversity Officer has primary responsibility for oversight of institutional diversity efforts.
7. The Chief Compliance Officer has overall responsibility for the University's compliance and ethics program. This program, founded upon William & Mary's Code of Ethics, coordinates the many things the University does to prevent, detect, and remediate misconduct – illegal or unethical conduct.

- D. On recommendation of the President, the Board of Visitors appoints the Dean of the Faculty of Arts and Sciences and the Deans of the Schools of Business Administration, Education, Law, and Marine Science. The Deans have general responsibility for the Faculties under their jurisdiction and for the direction of the work of the Faculty or School. The Deans report to the Provost.

The Dean of the Faculty of Arts and Sciences and the Dean of the appropriate School, except as otherwise provided, advises the Provost in the formation of the Faculty and the determination of curriculum.

Subject to the legal obligations of the University, and except as these Bylaws otherwise provide, actual instruction, including such matters as curriculum and degree requirements, is discharged by the respective faculties of the University and the College.

- E. On recommendation of the President, the Board of Visitors appoints the Dean of University Libraries. The Dean of University Libraries, under the direction of the Provost, has general purview over and administrative responsibility for the Earl

Gregg Swem Library and those professional and departmental libraries of the University responsible to Swem Library, not including the Wolf Law Library.

- F. On recommendation of the President, the Board of Visitors appoints the Dean of the School of Marine Science as the Director of the Virginia Institute of Marine Science. The Dean/Director, under the direction of the President, has general purview of and administrative responsibility for the affairs of the Institute. Recognizing the integration of activities and on-going support provided to the Institute by William & Mary, the Dean/Director works in close collaboration with the Provost and Senior Vice President for Finance and Administration on financial and budgetary matters.

## ARTICLE XI

### XI. Responsibilities of the President and Other Senior Officers of Richard Bland College

- A. The President is the chief executive officer of the College, oversees its overall operation under the direction of the Richard Bland College Committee and the Board and in accordance with its policies, and is accountable to the Richard Bland College Committee and the Board for its performance. The President may adopt such rules and regulations as may be deemed appropriate to the proper administration of the College, provided they are not inconsistent with the policies of the Board of Visitors or the requirements of law.

Among the duties as the College's chief executive officer, the President:

1. Upon the recommendation of appropriate academic officers, appoints members of the faculty.
2. Oversees the admission of students, seeing to their well-being and administering a student disciplinary and Honor Code.
3. Oversees the financial, budgetary, transactional and strategic activities of the University, as well as the use and maintenance of its physical facilities.
4. **The President, in consultation with the Rector, recommends to the Board candidates for honorary associate degrees.**
5. **Confers all properly authorized degrees, with the exception of honorary degrees, which are bestowed by the President and the Rector of the College, or someone designated by the Rector.**
6. Has the authority to transact business in the name of Richard Bland College.

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7. Has the authority to further delegate such authority as has been conferred to the President. Such delegation must remain subject to guidelines for the exercise thereof, and the President remains responsible for the delegated function.
- B. The President, while remaining responsible for the overall administration of the College, is assisted in the performance of duties by a chief academic officer, a chief financial officer, or such other senior officers as the President deems necessary or appropriate. The President recommends the appointment of such officers, subject to the approval of the Board of Visitors.

## ARTICLE XII

## XII. Policies and Regulations

Policies for the governance of William & Mary and Richard Bland as made from time to time by the Board of Visitors are binding on the appropriate communities and published within the University and College by appropriate means.

No rule or regulation adopted by the Presidents of the University or RBC is deemed contrary to the policies of the Board of Visitors unless so determined by the Board itself after the promulgation of such rule or regulation.

### A. Code of Ethics of William & Mary

The Board adopted this Code of Ethics for William & Mary, including the Virginia Institute of Marine Science, on April 17, 2009:

Integrity is one of the core values of the College of William & Mary. Thus, we are committed to lawful and ethical behavior in all of the University's activities. At William & Mary, we insist that all members of the University community – our board members, employees, students, and volunteers – comply with all laws, regulations, policies and ethical norms applicable to them. More generally, we are to be honest, fair, and trustworthy ourselves and to take care that other members of the University community are also.

We, as members of the William & Mary community, will:

1. Obey the laws, regulations, and policies applicable to our university activities.

2. Protect and preserve university resources and ensure their proper use.
3. Avoid both conflicts of interest and the appearance of such conflicts.
4. Safeguard confidential information.
5. Make procurement decisions impartially and objectively.
6. Maintain effective internal controls to safeguard the regularity and integrity of our activities.
7. Treat other people with dignity and respect, ensuring there is no discrimination or harassment at William & Mary.
8. Report any illegal or unethical action that comes to our attention, so the University can investigate and take corrective steps.

**B. Code of Ethics of Richard Bland College**

The Board adopted this Code of Ethics for Richard Bland College on April 17, 2009:

Richard Bland College is committed to an environment of uncompromising integrity and ethical conduct. As such, the College's ethical standards are the foundation for both strategic and day-to-day action and activity. The College's faculty and staff are responsible for establishing and maintaining ethical standards, recognizing that their decisions and actions reflect not only on their individual reputations but also on the reputation of the college. Consistent with this responsibility for maintaining the highest ethical standards as well as personal and institutional integrity, the following Code of Ethics provides the framework for conducting College business.

1. We are committed to conducting College business in compliance with all applicable state and federal laws and regulations as well as College policies.
2. We will promote the integrity of all College business and transactions by avoiding direct or indirect actions that are inconsistent with the State and Local Government Conflict of Interests Act or the Rules for Procurement of Goods, Services, Insurance, and Construction.
3. We make business decisions in the best interest of the College and avoid any conflicts of interest or commitment. As a result, we do not accept gifts, gratuities or favors of monetary value, or engage in private business or professional activities that may appear to affect



professional judgment. College employees are in a position of public trust and, therefore, cannot accept gifts, gratuities or favors from bidders, vendors, contractors, or customers for any services they perform in connection with their positions or which are intended to create favorable business treatment.

4. We do not tolerate fraud, theft, waste or abuse of state, College, or College-related funds, property, or other resources and will immediately report suspected irregularities to our supervisor, the Richard Bland College Police Department, or the Office of Internal Audit. Employees also may report suspected irregularities through the Commonwealth of Virginia's Fraud, Waste, and Abuse Hotline.
5. We will not use College funds, property, equipment, services, systems, information, or our position for personal gain. We will protect the College's assets and resources, including intellectual properties, and ensure their proper use.
6. We will not use College funds, property, equipment, services, systems, or information to aid political parties or candidates for public office.
7. We will maintain the confidentiality and security of information entrusted to us by the College and its customers, except when disclosure is authorized or legally mandated. College records are its property and may only be used for job-related purposes. We will comply with the College's Acceptable use Policy for information technology.
8. We will adhere to the principle that the public's business should be conducted in the public view by observing and following both the letter and the spirit of the Virginia Freedom of Information Act.
9. The College is a workplace where all employees, students, and visitors are treated with dignity and respect. Therefore, we do not tolerate harassment or discrimination of any kind against another person. This includes—but is not limited to—discrimination on the basis of race, sex, handicap, age, veteran status, national origin, religion, sexual orientation or political affiliation. College administrators, managers and supervisors are responsible for creating a work environment free of discrimination or harassment. Administrators or supervisors have a legal obligation to act whenever they learn, directly or indirectly, about discrimination or harassment.

10. The College is committed to ensuring the safety and security of employees, students, and visitors. Employees are responsible for compliance with environmental, health and safety laws and regulations and should make every effort to maintain a safe and healthy work environment.

## **MISCELLANEOUS**

**Adoption and Amendment of Bylaws.** All Bylaws of the Board previously adopted are hereby superseded. All bylaws of the Faculties and other organizations of the University or the College must be consistent with the Bylaws of the Board. The Board charges the President of William & Mary or the President of RBC, as appropriate, with the responsibility to recommend to the Board amendments to the bylaws of the Faculties and other organizations that are determined to be changes of significance.

The Bylaws of the Board may be amended or superseded at any regular meeting of the Board with thirty days prior written notice, or at a special meeting called for the purpose, provided that every such amendment or repeal must receive not less than nine affirmative votes.

**Suspension of Bylaws.** These Bylaws or any one of them may be temporarily suspended at any meeting of the Board by a three-fourths affirmative vote of all members present provided that no rule may be suspended until at least nine vote affirmatively therefore.

**Parliamentary Authority.** Meetings are conducted and minutes taken in accordance with the current edition of Robert's "Rules of Order Newly Revised."

*Changes/revisions proposed for April 2018 meeting.*

WILLIAM & MARY  
EXECUTIVE APPOINTMENT

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of William & Mary approves the appointment of the following individual to fill the position indicated:

AMY SEBRING, Vice President for Finance and Chief Financial Officer,  
effective April 20, 2018

B.A., Duke University, 1993  
M.P.P., William & Mary, 1995

William & Mary  
Chief Financial Officer, 2015-2018

Virginia Commonwealth University  
Senior Associate Dean for Finance and Administration, School of  
Medicine, and Executive Director and Chief Operating Officer, Medical  
Center, 2013-2015  
Senior Associate Dean for Finance and Administration, 2010-2013  
Associate Dean for Finance and Administration, 2008-2010  
Assistant Dean for Finance and Administration, 2006-2008

Virginia General Assembly  
Legislative Fiscal Analyst, Senate Finance Committee, 2002-2006

State Council of Higher Education for Virginia  
Finance Policy Director, 2000-2002

Virginia Department of Planning and Budget  
Budget Analyst, 1997-2000

Education Commission of the States  
Research Associate, 1995-1997

**RESOLUTION COMMENDING JACQUELYN Y. McLENDON  
AS CHAIR OF THE 50<sup>TH</sup> ANNIVERSARY OF THE  
FIRST AFRICAN AMERICAN STUDENTS IN RESIDENCE**

Dr. Jacquelyn Y. McLendon, Professor of English, Emerita, has served as the Academic Coordinator for the 50th anniversary of the first African American students in residence at William & Mary – Lynn Briley, Janet Brown and Karen Ely, members of the Class of 1971 – and has led the 50<sup>th</sup> Anniversary Committee in commemorating the anniversary with a year-long series of special events, guest speakers and performances, beginning with Convocation weekend in August 2017, and continuing through Commencement in May 2018.

The 50th anniversary commemoration, "Building on the Legacy," not only recognizes the university's first three African American students in residence but also celebrates the contributions and achievements of all African Americans at William & Mary throughout its history.

Dr. McLendon began her career at the university as an Associate Professor in 1992 and retired in 2013. From the beginning of her career, Dr. McLendon was instrumental in helping to modernize and update the English Department curriculum, and she did so by designing and teaching an impressively large number of survey and special topics courses in African American literature.

Dr. McLendon was also a central figure in the evolution of the Black Studies Program, which she directed from the program's inception in 1997 until 2007, and she continued to be an important force in the program after it was reconfigured as Africana Studies. Professor McLendon was also central to the expansion of the American Studies graduate curriculum. The College's African American Studies program emerged as one of the primary strengths of the American Studies Graduate Program and helped establish the program's national standing.

The Board of Visitors of The College of William & Mary in Virginia joins with the entire university community in acknowledging the leadership role that Dr. McLendon exercised during the year-long 50<sup>th</sup> Anniversary commemoration.

NOW, THEREFORE, BE IT RESOLVED, That the Board of Visitors acknowledges the leadership of Jacquelyn Y. McLendon as Chair of the 50<sup>th</sup> Anniversary Committee and expresses its appreciation to her for the countless hours and efforts she devoted to the process; and

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BE IT FURTHER RESOLVED, That the Board of Visitors also acknowledges the service of Dawn Lambert, Administrative Assistant to the 50<sup>th</sup> Anniversary Committee, and expresses its appreciation for her efforts in assisting the Committee with professionalism and a positive attitude; and

BE IT FURTHER RESOLVED, That the Board of Visitors of the College of William & Mary commends the individual members of the 50<sup>th</sup> Anniversary Steering Committee and expresses its appreciation for their efforts; and

BE IT FINALLY RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Dr. McLendon, to Ms. Lambert and to each member of the 50<sup>th</sup> Anniversary Committee with gratitude and best wishes for continuing creative work in the years ahead.

**RESOLUTION ACCEPTING A GIFT OF A BADGE AND CHAIN OF OFFICE  
FOR THE PRESIDENT OF THE COLLEGE OF WILLIAM & MARY IN VIRGINIA**

WHEREAS, in 1986 the Board of Visitors accepted a historic gift of a sterling silver and enamel badge of office and chain to be worn by the Chancellor of the College at all official occasions; and

WHEREAS, in 1987 the Board of Visitors accepted a historic gift of a sterling silver and gold gilt badge and chain of office to be worn by the Rector of the College at all official occasions; and

WHEREAS, there has been no official badge and chain of office for the President, although a silver cipher made and donated by Charles E. (Mik) Stousland, Jr., class of 1941, has at times been worn by the President since 1978; and

WHEREAS, former Executive Director of the Historic Campus Louise Kale, HON 2009, first suggested that the President's position should be represented by a similar badge and chain of office. Author and historian Wilford Kale, class of 1966, worked with President Reveley in developing and securing the badge and chain since he provided the same service when the badges and chains of office were crafted for the Chancellor and Rector; and

WHEREAS, the badge is of a style similar to the previous two badges and is crafted of silver and gold. The central element of the badge is an enameled depiction of the coat-of-arms of William & Mary, issued in 1694 by the College of Arms (heraldry) in London as specified in the Royal Charter. The chain of office carries the names of William & Mary's 27 presidents along with the Seal of the Commonwealth of Virginia and the arms of the colonial London Company. The badge and chain were designed and hand crafted by the silversmiths of Thomas Fattorini Ltd. in Birmingham, England, who also designed and fabricated the badges and chains for the Chancellor and Rector; and

WHEREAS, the badge and chain of office are a gift from President W. Taylor Reveley III to the University in memory and honor of those William & Mary presidents who preceded him, and will succeed him;

THEREFORE, BE IT RESOLVED, That the Board of Visitors of The College of William & Mary in Virginia hereby accepts this most generous, highly appropriate and historic gift of a sterling silver and gold badge of office and chain to be used hereafter as the official badge and chain of office for the President; and

BE IT FURTHER RESOLVED, That the badge and chain of office be added to the official regalia for the President and shall be worn at all official University programs, occasions, convocations and inaugurations at which the President represents William & Mary and when it is not worn be held in perpetuity in the custody of the Special Collections Research Center in Swem Library where security is assured.

**A RESOLUTION IN HONOR  
OF  
HELEN BOND REVELEY and W. TAYLOR REVELEY III**

W. Taylor Reveley III was sworn in as the 27th president of William & Mary on September 5, 2008, after serving as interim president since February 2008. Before assuming his current post, he served as dean of William & Mary Law School for almost a decade, starting in August 1998. He is the John Stewart Bryan Professor of Jurisprudence.

A Phi Beta Kappa graduate of Princeton University and the University of Virginia School of Law, Taylor clerked for Justice William Brennan of the U.S. Supreme Court and taught at the University of Alabama School of Law before moving into professional practice.

Taylor's accomplishments as President of William & Mary are well known. He provided stability in 2008 when it was badly needed, and over the last ten years, he can point to a number of accomplishments: approval and implementation of the W&M Promise; leadership of a \$1 billion campaign; a building boom on campus and purchase of key properties such as Tribe Square, One Tribe Place (formerly Hospitality House) and purchase of the former Days Inn; greater diversity on campus and among the student body, etc.

Beyond William & Mary, much of Taylor's time over the years has gone to non-profit organizations. He has served on many educational and cultural boards, including those of Princeton University (where he is a trustee emeritus), Union Theological Seminary in Virginia, St. Christopher's School, the Andrew W. Mellon Foundation, JSTOR, the Carnegie Endowment for International Peace, the Virginia Museum of Fine Arts, the Virginia Historical Society, the Virginia Foundation for the Humanities, the Richmond Symphony, and the Presbyterian Church (USA) Foundation.

Helen Bond Reveley grew up in Lynchburg, where she went to public high school. After graduation, she took a gap year and traveled to Lugano, Switzerland, where she graduated from the American School and studied at the University of Salzburg, Austria. She returned to Virginia to major in history at Randolph-Macon Woman's College, where she was president of her class, and received her A.B. in history. Helen Reveley went back to Switzerland to teach for a year as a member of the staff of Franklin College and the Institute for European Studies.

Taylor and Helen were married in December 1971, and have four children: Taylor, Everett, Nelson and Helen Lanier, daughters-in-law, Margaret Louise (married to Taylor), Anne Randolph (married to Everett) and Jessica (married to Nelson), and four grandchildren.



Helen Reveley has graciously served as the first lady of the College during her husband's term as the 27th President, welcoming alumni, students, staff, friends, members of the Williamsburg community and the world to the President's House.

At Commencement in 2018, the William & Mary Alumni Association will ensure that both Taylor and Helen always belong to the William & Mary family when they are inducted as Honorary Alumni in recognition for their distinguished record of service, support, advocacy and commitment on behalf of the College of William & Mary.

THEREFORE, BE IT RESOLVED, That the Board of Visitors of The College of William & Mary in Virginia acknowledges with deepest appreciation the service of Helen and Taylor Reveley and, in recognition of their leadership and friendship, bestows upon W. Taylor Reveley III the title of President Emeritus of The College of William & Mary in Virginia and upon Helen Bond Reveley the title of First Lady Emerita of The College of William & Mary in Virginia.

BE IT FURTHER RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Helen and Taylor Reveley with best wishes for continuing and creative work together in the years ahead.

A JOURNEY OF RECONCILIATION: APOLOGY FOR WILLIAM & MARY’S  
PART IN SLAVERY AND SEGREGATION

Whereas, in April 2009, the Board of Visitors adopted Resolution 21, acknowledging William & Mary’s role in slavery and Jim Crow and establishing “The Lemon Project: A Journey of Reconciliation”; and

Whereas, over the past nine years, William & Mary’s Lemon Project has greatly deepened our understanding of William & Mary’s history during the eras of slavery and segregation through research, courses and symposia; and

Whereas, The Lemon Project has expanded our engagement and reconciliation with the Williamsburg community through porch talks, public talks, articles and social media; and

Whereas, The Lemon Project has included the work of many students, faculty, staff, community members, and alumni; and

Whereas, The Lemon Project has established itself as a leader among universities examining their past treatment of African Americans; and

Whereas, through The Lemon Project, we will launch a process to design, fund and construct a memorial on campus to those enslaved by William & Mary; and

Whereas, over the past academic year, William & Mary has commemorated and celebrated the 50<sup>th</sup> anniversary of the first three African American students in residence on campus with performances, lectures, symposia, panels and other commemorative events; and

Whereas, the Race and Race Relations Task Force, established by President Reveley in 2015 and chaired by Dr. W. Fanchon Glover, identified ways to improve the campus racial climate; and

Whereas, President Reveley created an Implementation Team to comprehensively review the steps urged by the Race and Race Relations Task Force, and the Implementation Team presented its final report in April 2018, describing progress made to date; and

Whereas, the Board of Visitors applauds this progress and thanks both the Race and Race Relations Task Force and the Implementation Team; and

Whereas, the Board of Visitors recognizes a continuing need to examine and learn from William & Mary’s role in slavery, secession and segregation, both through the ongoing work of The Lemon Project and other research, dialogue and reflection;

THEREFORE, BE IT RESOLVED, That the Board of Visitors salutes the foundational scholarship of the late Robert F. Engs and the work of The Lemon Project and its director, Jody Lynn Allen;

BE IT FURTHER RESOLVED, That the Board of Visitors acknowledges that William & Mary enslaved people, exploited them and their labor, and perpetuated the legacies of racial discrimination. The Board profoundly regrets these activities, apologizes for them, expresses its deep appreciation for the contributions made by the African American members of its community to the vitality of William & Mary then, now, and for all time coming, and commits to continue our efforts to remedy the lingering effects of past injustices; and

BE IT FINALLY RESOLVED, That this resolution be spread upon the minutes of the Board and a copy of the same be delivered to Professor Jody Allen with gratitude and best wishes for her continued leadership of The Lemon Project.

**AMENDMENT TO THE DEFERRED COMPENSATION AGREEMENT  
FOR W. TAYLOR REVELEY, III**

WHEREAS, the College of William and Mary Foundation (Foundation) entered into a Deferred Compensation Agreement (Agreement) with President W. Taylor Reveley, III effective July 1, 2008, and

WHEREAS, Section 12 of the Agreement generally provides that it may be amended in whole or in part at any time by mutual written agreement of Mr. Reveley and the Foundation, in consultation with the Board of Visitors of The College of William and Mary in Virginia ("College" or "Board", as applicable); and

WHEREAS, Sections 4(a) and (b) (1) of the Agreement contemplate a shorter vesting period than otherwise provided under Section 4(a) under certain circumstances if specified in an Exhibit; and

WHEREAS, the Board and the Foundation believe that Mr. Reveley's contemplated June 30, 2018, retirement after ten (10) years of service as President of the College clearly justify such action; and

WHEREAS, the College and the Foundation have concerns regarding the effective date and application of recently enacted Internal Revenue Code Section 4960; and

WHEREAS, the Foundation and the Board desire to adopt an Addendum (Exhibit A) to the Agreement that provides that any unvested Deferred Benefits accrued under the Agreement, as of June 30, 2018, as adjusted for subsequent gains and losses, vest on January 1, 2019, provided that Mr. Reveley remain in the service of the College as President through June 30, 2018, and provides the additional uncompensated services described in Exhibit A below for the period July 1, 2018, through December 31, 2018; and

WHEREAS, Mr. Reveley agrees to the adoption of Exhibit A to the Agreement;

NOW, THEREFORE, the Agreement is hereby amended, effective January 1, 2018, to add Exhibit A as follows:

**EXHIBIT A TO THE W. TAYLOR REVELEY, III  
DEFERRED COMPENSATION AGREEMENT**

Notwithstanding anything in the Agreement to the contrary, Mr. Reveley shall be fully vested in any unvested Deferred Benefits accrued under the Agreement, as of June 30, 2018, as adjusted for subsequent gains and losses, on January 1, 2019, so long as Mr. Reveley has been continuously employed by the College through June 30, 2018, and provides the following uncompensated services during the period July 1, 2018 through December 31, 2018: (1) reviewing and preparing his

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presidential papers for placement in the Swem Library archives, and (2) providing reasonable consulting and other services to President-elect Katherine Rowe as she may request.

Further, any unvested Deferred Benefits accrued under the Agreement, as of June 30, 2018, as adjusted for subsequent gains and losses, shall fully vest upon Mr. Reveley's Disability or death after June 30, 2018, and before January 1, 2019, notwithstanding the fact that the College does not employ Mr. Reveley on such date.

For purposes of this Exhibit A, except as otherwise specifically provided, all defined terms used herein shall have the same meanings as used in the W. Taylor Reveley, III Deferred Compensation Agreement, dated December 10, 2008, effective July 1, 2008, by and between The William and Mary Foundation and W. Taylor Reveley, III.

In all other respects, the provisions of the Agreement, to the extent not inconsistent with this Exhibit A, shall continue in effect.

**THE WILLIAM AND MARY FOUNDATION**

By: \_\_\_\_\_  
Michael P. Foradas, Chair

Date: \_\_\_\_\_

**W. TAYLOR REVELEY, III**

By: \_\_\_\_\_  
President

Date: \_\_\_\_\_

**APPROVED:**

By: \_\_\_\_\_  
Todd A. Stottlemeyer, Rector  
The College of William & Mary in Virginia

Date: \_\_\_\_\_

## CLOSED MEETINGS

The Board of Visitors may consider in closed session certain matters, including those listed below. The Code is very specific as to how these matters will be described in public session and in closing the meeting the motion must be specific as to the matters to be considered in closed session. There may be no discussion in closed session of matters not identified in the original motion.

I move that the \_\_\_\_\_ Committee convene in Closed Session for the purpose of discussing \_\_\_\_\_, as provided for in Section 2.2-3711.A., \_\_\_\_ .

<u>Code Section</u>	<u>Topic</u>
2.2-3711.A.1.	Personnel matters involving identifiable college employees (i.e., appointments, performance evaluations, leaves, etc.) or discussion of candidates for employment.
2.2-3711.A.2.	Matters concerning any identifiable student
2.2-3711.A.3.	Acquisition of real property for a public purpose or disposition of publicly held real property
2.2-3711.A.4.	Protection of individual privacy in personal matters not related to public business
2.2-3711.A.6.	Investment of public funds where bargaining or competition is involved
2.2-3711.A.7.	Consultation with legal counsel and briefings by staff members pertaining to actual or probable litigation or consultation with legal counsel regarding specific legal matters requiring legal advice
2.2-3711.A.8.	Gifts, bequests and fund-raising activities, or grants and contracts for services or work to be performed by the College
2.2-3711.A.10.	Honorary degrees
2.2-3711.A.19.	Reports or plans related to security of any College facility, building or structure, or safety of persons using such facility, building or structure
2.2-3711.A.29.	Public contracts involving the expenditure of public funds

§2.2-3712.D. - At the conclusion of any closed meeting, the Board of Visitors shall immediately reconvene in open meeting and shall take a roll call or other recorded vote to be included in the minutes, certifying that to the best of each member's knowledge (i) only public business matters lawfully exempted from open meeting requirements under this chapter and (ii) only such public business matters as were identified in the motion by which the closed meeting was convened were heard, discussed or considered in the meeting by the public body. Any member of the public body who believes that there was a departure from the requirements of subdivisions (i) and (ii), shall so state prior to the vote, indicating the substance of the departure that, in his judgment, has taken place. The statement shall be recorded in the minutes.

## **BOARD OF VISITORS MEETING DATES**

### **2018**

April 18-20 (Commencement 5/12)

August 9-10 RETREAT

September 26-28

November 14-16 (11/15 half day at Richard Bland College)

APRIL 2018