# COMPLIANCE PRIORITIES

Audit & Compliance Committee, November 2015

# INSTITUTIONAL COMPLIANCE PRIORITIES

- Safety and Security Related
- Civil Rights
- Research

### SAFETY & SECURITY COMPLIANCE

# C&E Office role: Clery and VAWA coordination

- 1. Policies
- 2. Education & awareness
- 3. Assessments & investigations
- 4. Report production

#### CIVIL RIGHTS COMPLIANCE

C&E Office role: coordination of compliance with laws prohibiting discrimination & harassment and requiring accommodations and affirmative action

- 1. Policies
- 2. Education & awareness
- 3. Assessments and investigations
- 4. Report production



### **ASSESSMENTS & INVESTIGATIONS**

- History; development of "castles of due process"
- Students are not employees
- Public agency
- More regulated

# **ASSESSMENTS & INVESTIGATIONS**

Private Sector	Higher Ed
Single-office investigation	Complex, highly coordinated efforts of multiple offices/entities
"Management's investigation"	Frequent communications with parties; transparent
Remediation assigned to management	Remediation often done in-office