

Ethics Review for Research in Anthropology

Name _____

Signature _____

Date _____

Signature of Faculty Advisor _____

Circle One: Undergraduate

Graduate

Undergraduate students who are carrying out research that will be publicly disseminated, including honors and/or funded research; and graduate students engaged in research, must complete this form. A copy will then be forwarded to the relevant (Undergraduate or Graduate) Committee for approval. Depending on the answers to these questions, you may or may not be required to seek approval from institutional review boards, as specified below.

Please attach an abstract of your research proposal, specifying your research methods.

Have you read the "*Code of Ethics of the American Anthropological Association*" (<http://www.aaanet.org/committees/ethics/ethcode.htm>)?

Yes _____

No _____

I RESEARCH INVOLVING LIVING HUMAN PARTICIPANTS

Does your research involve human participants?

_____ Yes

_____ No

All students answering "Yes" are required to submit their proposal to the Protection of Human Subjects Committee (<http://www.wm.edu/grants/compliance/phsc.php>); and must answer the following questions.

1) Who will participate in this research?

(It may be relevant to signal regional, or ethnic identity, age range, social class, professional affiliation etc. whatever designations will indicate the nature of your participants and, by implication their readiness to understand the consequences of their participation.)

2) What are your plans for assuring that the participants understand the nature of your research and the consequences of their participation?

(In some case this will mean providing an "informed consent" form , which you should attach here. In other case, you may need to explain why written consent is not appropriate to your methodology)

3) What steps are you taking to assure the privacy and dignity of the participants?
(If you will photographing/recording/taping people and/or their environment, please indicate how you will obtain their permission. Also indicate the measures by which you will assure the anonymity of participants who wish not to be identified in your reports.)

4) What plans do you have for sharing the results of your research with the participants?
(Among other things, you should indicate whether participants are literate in the language you use to write up the results of your study).

II RESEARCH INVOLVING HUMAN REMAINS

Does your research involve human skeletal remains?

_____ Yes

_____ No

If yes please answer the following questions:

- 1) What population or populations do the remains represent?
- 2) Where are the remains housed or to be curated?
- 3) What efforts have been made or will be made to inform and involve descendant or culturally affiliated communities?
- 4) Are invasive methods anticipated?
- 5) Who owns the land on which the remains were found (e.g, private, state, municipal, federal)? How do you intend to assure compliance with the regulations governing remains found on these lands?

III RESEARCH INVOLVING MATERIAL CULTURE OR ARCHAEOLOGICAL MATERIAL

Does your research involve material culture or archaeological material

_____ Yes

_____ No

If yes please answer the following questions:

If your research involves objects or physical specimens:

- 1) Do you know the origin (time, place, ethnic context) of the objects you are studying?
- 2) Do you know the current legal owner of the objects?
- 3) Do you know how the objects were acquired by their current owner -- i.e., purchase, original ethnographic collection, legitimate archaeological excavation, heritage or inheritance?

If the objects are to be held by you for the period of your research:

- 1) Do you have an agreed mechanism / time frame for returning them to their owner or legal curator?
- 2) Are you aware of any specific curation requirements during your period of responsibility for the objects, and / or of any specific requirements for handling, housing or documentation at the time of the objects' return, and are these issues addressed in your proposed budget?

If the objects are to become the property of the Department of Anthropology:

- 1) Have you gotten permission from the Department to acquire these objects?
- 2) Can you provide the Department with clear legal title to the objects?

IV RESEARCH INVOLVING NON-HUMAN PRIMATES OR OTHER ANIMALS

Does your research involve non-human primates or other animals?

_____ Yes

_____ No

If yes please answer the following questions:

1) What species and age-sex classes will you observe? At what field site or captive institution will this research be carried out?

2) Is your research observation-only, without any kind of intervention or experimental manipulation of the animals or their living conditions?

_____ Yes, it is observation-only

If yes, attend to the following paragraph.

No further action is required, unless the site supervisor or home institution requires a review-and-approval procedure for observation-only research. Please indicate how you have sought this information, and the outcome. As necessary, please attach evidence that you are in the process of requesting permission, or notification that you have received permission, to do these observations.

-OR-

_____ No

If no, proceed to question 3.

3) What type of intervention or experiment do you propose? Please explain the specifics of what you propose and the anticipated or potential effects on the animals.

Further action is likely to be required.

Note that you may need to request approval from the W&M Institutional Animal Care and Use Committee (IACUC). To seek IACUC approval for a project, go to the Self Service tab within myWM and login to the Protocol and Compliance Management system. It is highly likely that the site supervisor or home institution requires a review-and-approval procedure as well. Please indicate how you have sought this information, and the outcome. As necessary, please attach evidence that you are in the process of requesting permission, or notification that you have received permission, to conduct these observations.

V TIMETABLE AND FUNDING

When do you propose to conduct your research?

Starting Date _____

Ending Date _____

What sources of funding will you receive to carry out this research?

What are the review procedures required by your funding agencies?