COMPLIANCE REPORTS AND INVESTIGATIONS

FY2022 Annual Data

Audit, Risk & Compliance Committee, September 2022
ANNUAL COMPARISON

![Bar chart showing annual comparison of reports and investigations from 2019 to 2022.](image-url)
Types of Issues Reported to OCE FY 22

- Title IX: 123
- Discrimination/Harassment: 7
- Retaliation: 2
- Other: 22

FY 22
Employee Reports

• 38 reports in FY 2022 of alleged misconduct by a faculty or staff member

• Report volume per 100 employees in FY 2022
  – OC&E = 1.267 (vs. 0.8 in 2021)
  – Median = 1.3 reports*
  – Range of 0.2 – 11.3 for all sources of reports

* Navex Global Risk & Compliance Incident Management 2022 Benchmark Report
ANNUAL TITLE IX /VAWA DATA

Discrimination/Sexual Harassment | Stalking/Exploitation/Retaliation | Relationship Violence | Sexual Assault (NCSI, Fondling)

FY19: 49
- Discrimination/Sexual Harassment: 36
- Stalking/Exploitation/Retaliation: 20
- Relationship Violence: 11

FY20: 30
- Discrimination/Sexual Harassment: 34
- Stalking/Exploitation/Retaliation: 17
- Relationship Violence: 11

FY21: 21
- Discrimination/Sexual Harassment: 38
- Stalking/Exploitation/Retaliation: 10
- Relationship Violence: 16

FY22: 35
- Discrimination/Sexual Harassment: 45
- Stalking/Exploitation/Retaliation: 26
- Relationship Violence: 17
ANNUAL TITLE IX / VAWA DATA

FY22 Title IX Response to Reports

- Investigated [4]
- No Jurisdiction [14]
- No Investigation Wanted [46]
- Non-Actionable (not enough info) [34]
- Insufficient Evidence/Not a Policy Violation [10]
- Referred [4]
- Respondent Resigned Prior to Investigation [2]
FY22 TITLE IX INVESTIGATIONS

• 4 sexual misconduct investigations
  – 1 responsible for policy violation
  – 1 Complaint Withdrawn/Dismissed
  – 2 Not- Responsible
COMPLIANCE UPDATES

Audit, Risk & Compliance Committee, September 2022
• No changes to policy or Student Procedure for two years
• Working on modest changes to Employee Procedure
• Proposed Title IX Regulations
• Notice & Comment closed September 12
• Pamela Mason, Chief Compliance Officer/FOIA Officer
• Carla Costello, Deputy Compliance Officer/Title IX Coordinator/ADA Coordinator
• Latisha Brown, Compliance & Title IX Investigator
• Vacant, Senior Investigator Position
• Vacant, Administrative Coordinator for UC and OC&E
• Statement of Economic Interest Filing & Training
  – New Employees
• Clery Act Compliance
  – Campus Security Authority Designations
  – Clery Geography
• FOIA Compliance
  – 28 Requests Q1 FY22 compared to 24 Requests Q1 FY21
  – Training & Education

OTHER COMPLIANCE MATTERS