



WILLIAM & MARY

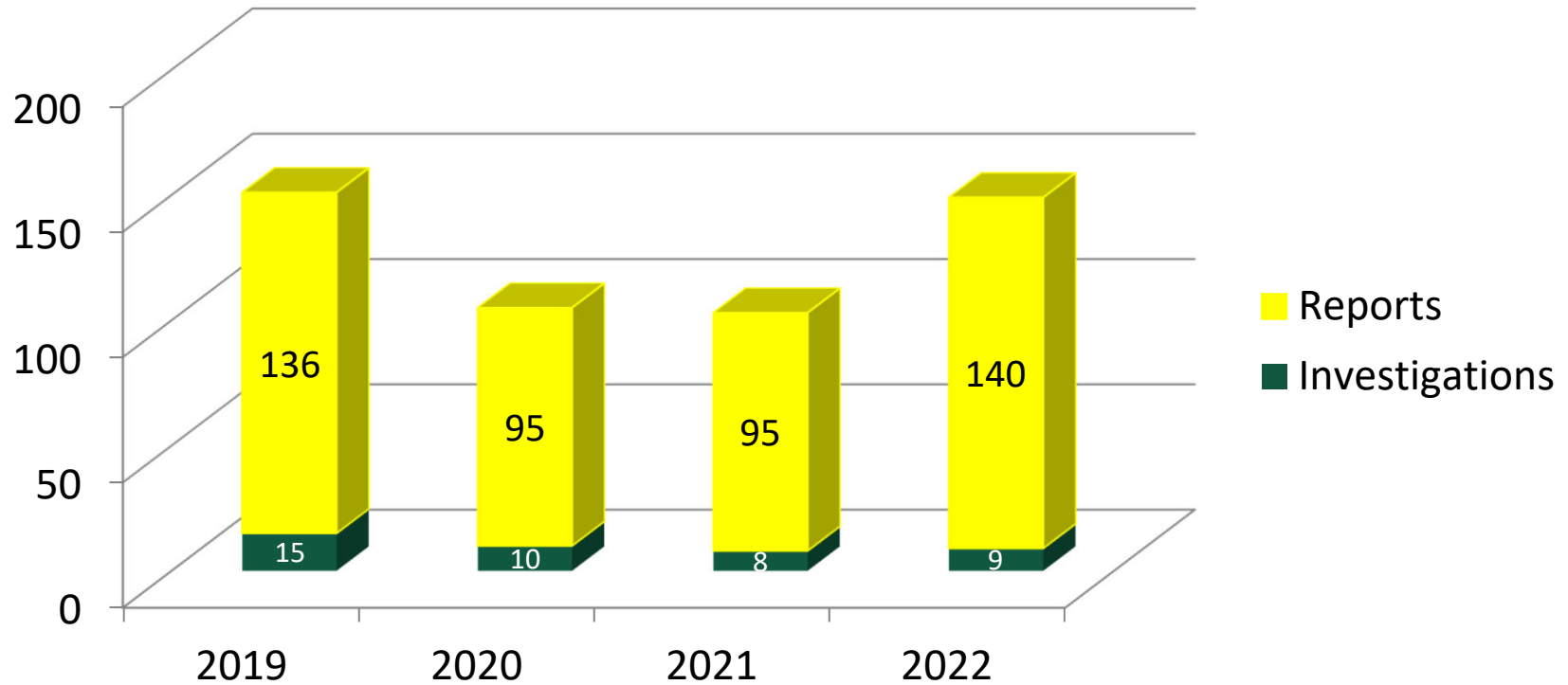
CHARTERED 1693

COMPLIANCE REPORTS AND INVESTIGATIONS

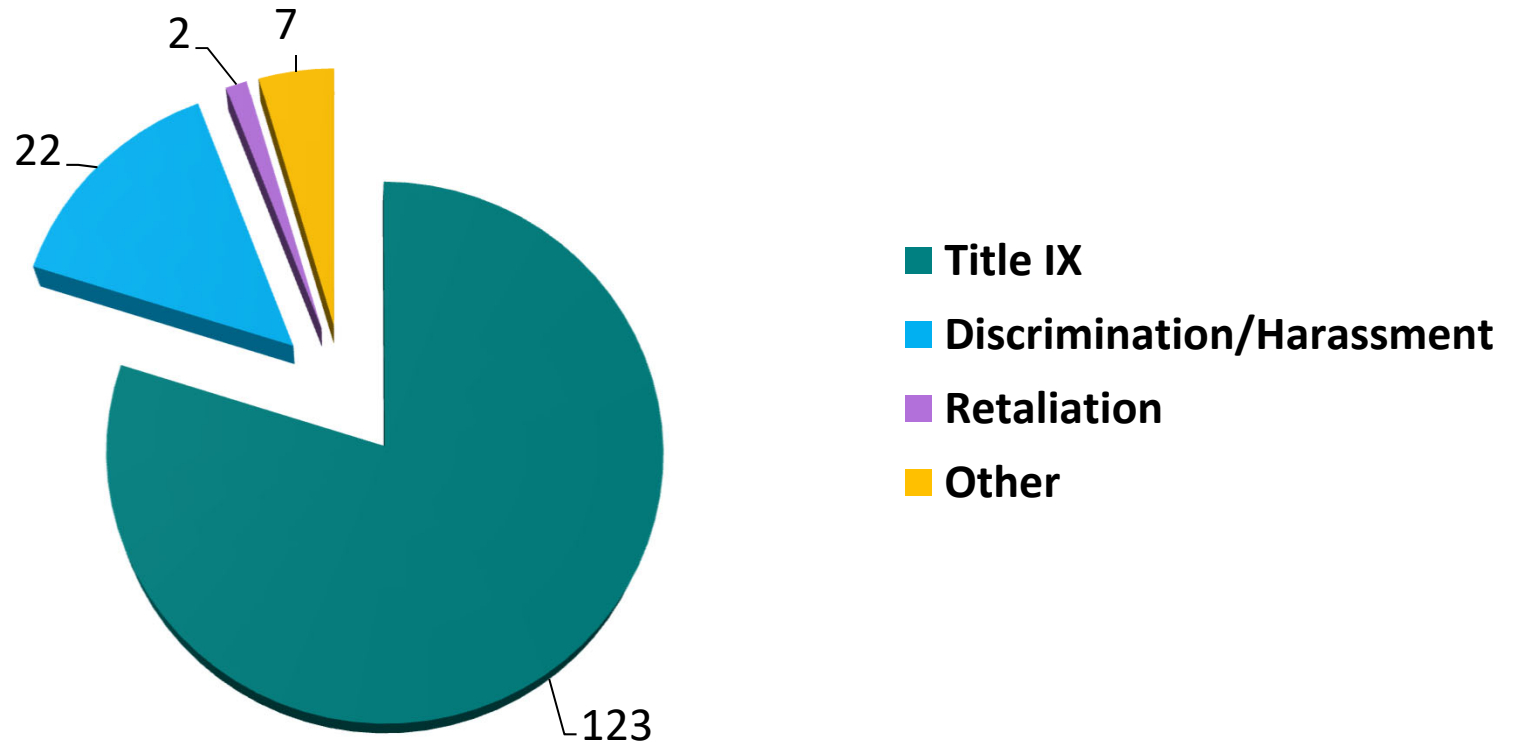
FY2022 Annual Data

Audit, Risk & Compliance Committee, September 2022

ANNUAL COMPARISON



Types of Issues Reported to OCE FY 22

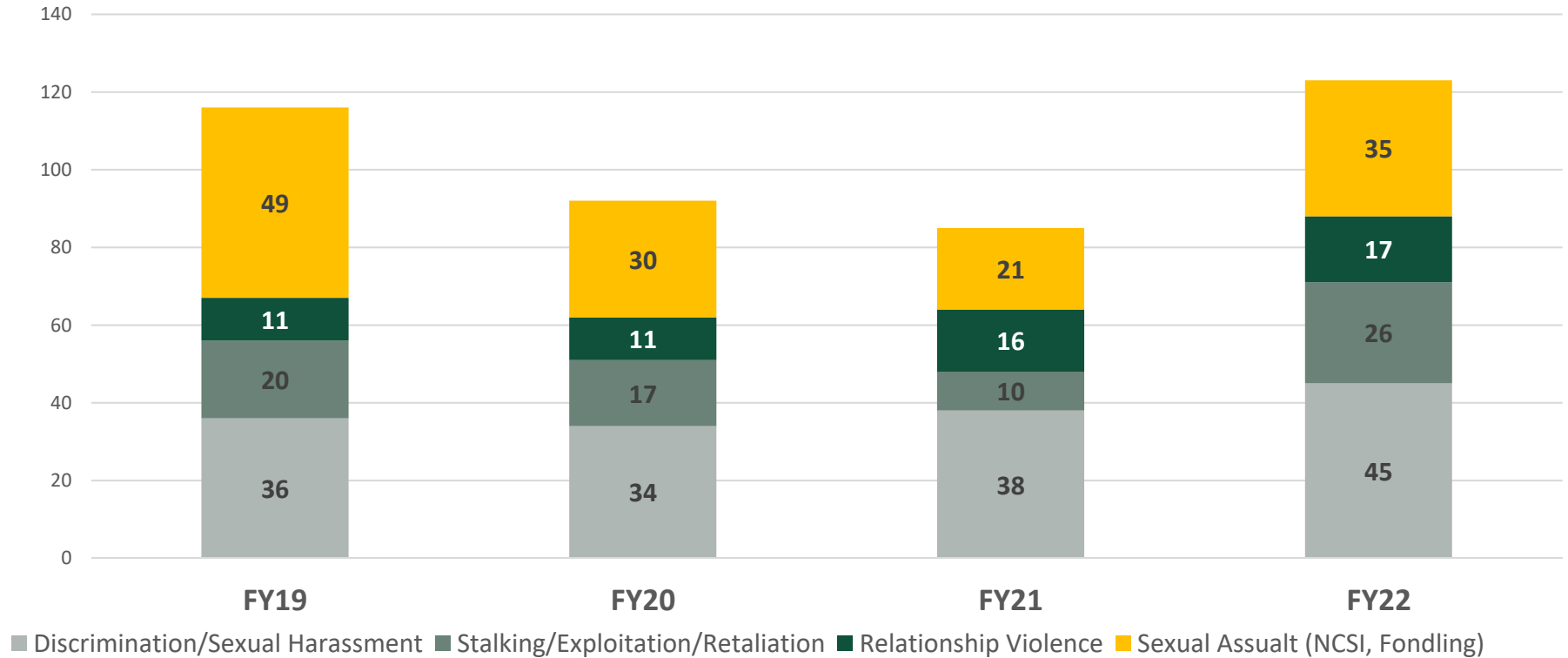


Employee Reports

- 38 reports in FY 2022 of alleged misconduct by a faculty or staff member
- Report volume per 100 employees in FY 2022
 - OC&E = 1.267 (vs. 0.8 in 2021)
 - Median = 1.3 reports*
 - Range of 0.2 – 11.3 for all sources of reports

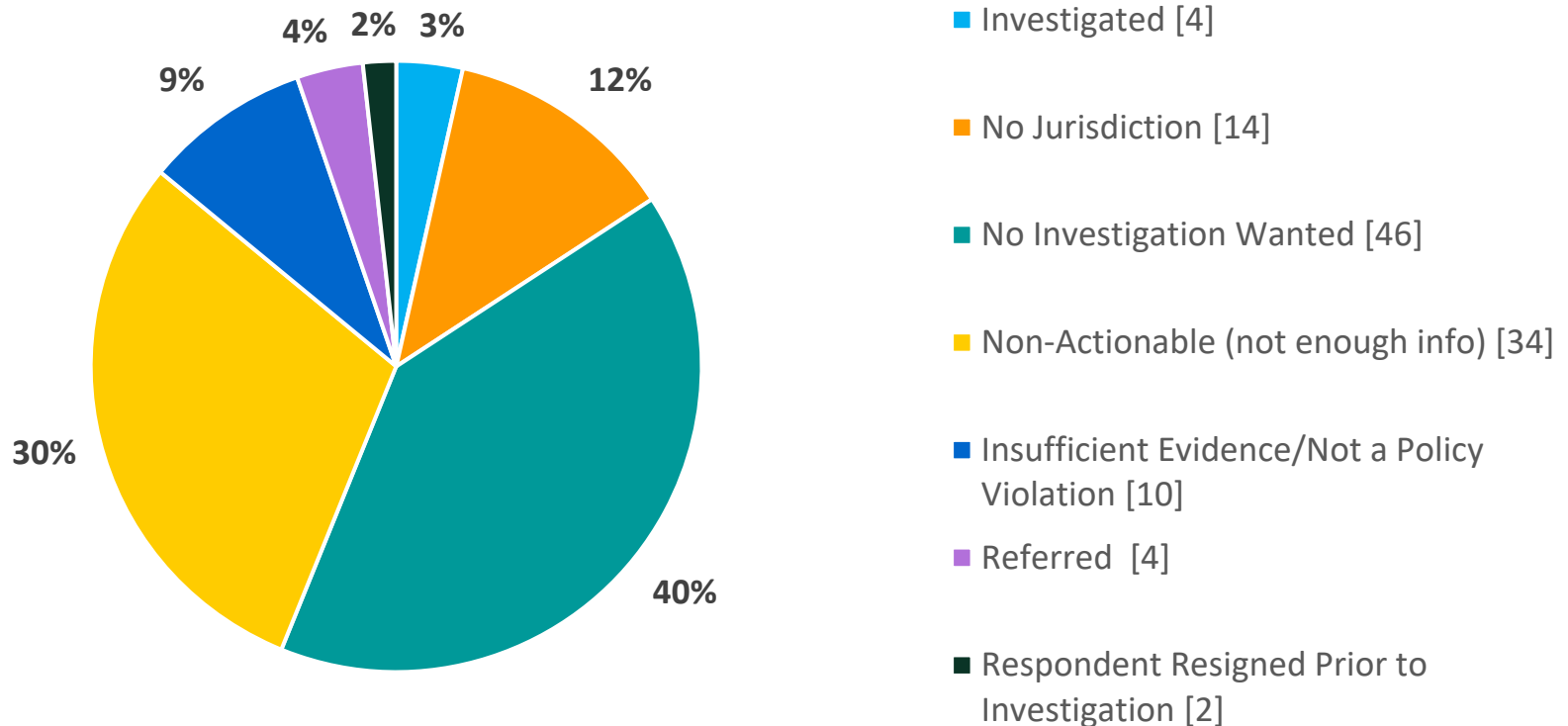
* Navex Global Risk & Compliance Incident Management 2022 Benchmark Report

ANNUAL TITLE IX /VAWA DATA



ANNUAL TITLE IX /VAWA DATA

FY22 Title IX Response to Reports



FY22 TITLE IX INVESTIGATIONS

- 4 sexual misconduct investigations
 - 1 responsible for policy violation
 - 1 Complaint Withdrawn/Dismissed
 - 2 Not- Responsible



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COMPLIANCE UPDATES

Audit, Risk & Compliance Committee, September 2022

- No changes to policy or Student Procedure for two years
- Working on modest changes to Employee Procedure
- Proposed Title IX Regulations
- Notice & Comment closed September 12

TITLE IX REGULATIONS



- Pamela Mason, Chief Compliance Officer/FOIA Officer
- Carla Costello, Deputy Compliance Officer/Title IX Coordinator/ADA Coordinator
- Latisha Brown, Compliance & Title IX Investigator
- Vacant, Senior Investigator Position
- Vacant, Administrative Coordinator for UC and OC&E

OFFICE UPDATES



- Statement of Economic Interest Filing & Training
 - New Employees
- Clery Act Compliance
 - Campus Security Authority Designations
 - Clery Geography
- FOIA Compliance
 - 28 Requests Q1 FY22 compared to 24 Requests Q1 FY21
 - Training & Education

OTHER COMPLIANCE MATTERS

