



WILLIAM & MARY

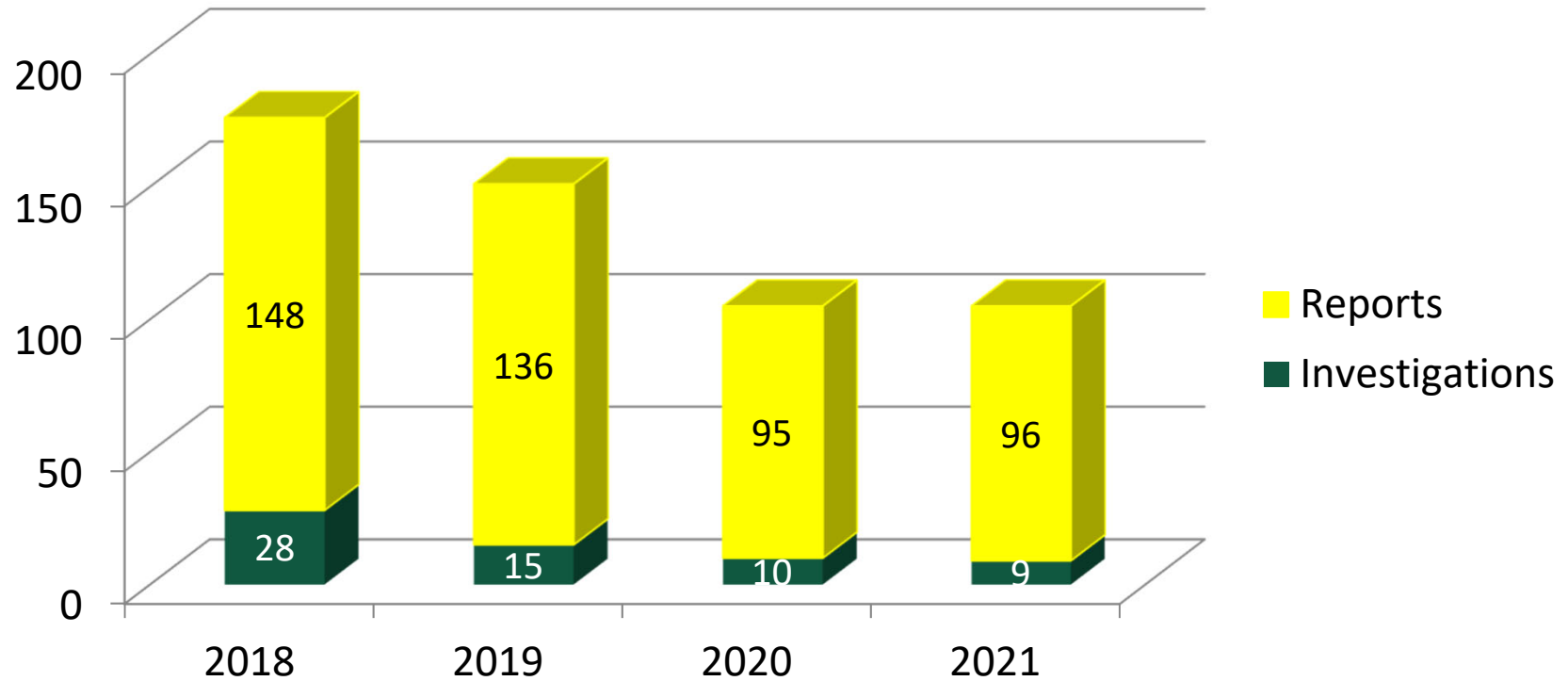
CHARTERED 1693

COMPLIANCE REPORTS AND INVESTIGATIONS

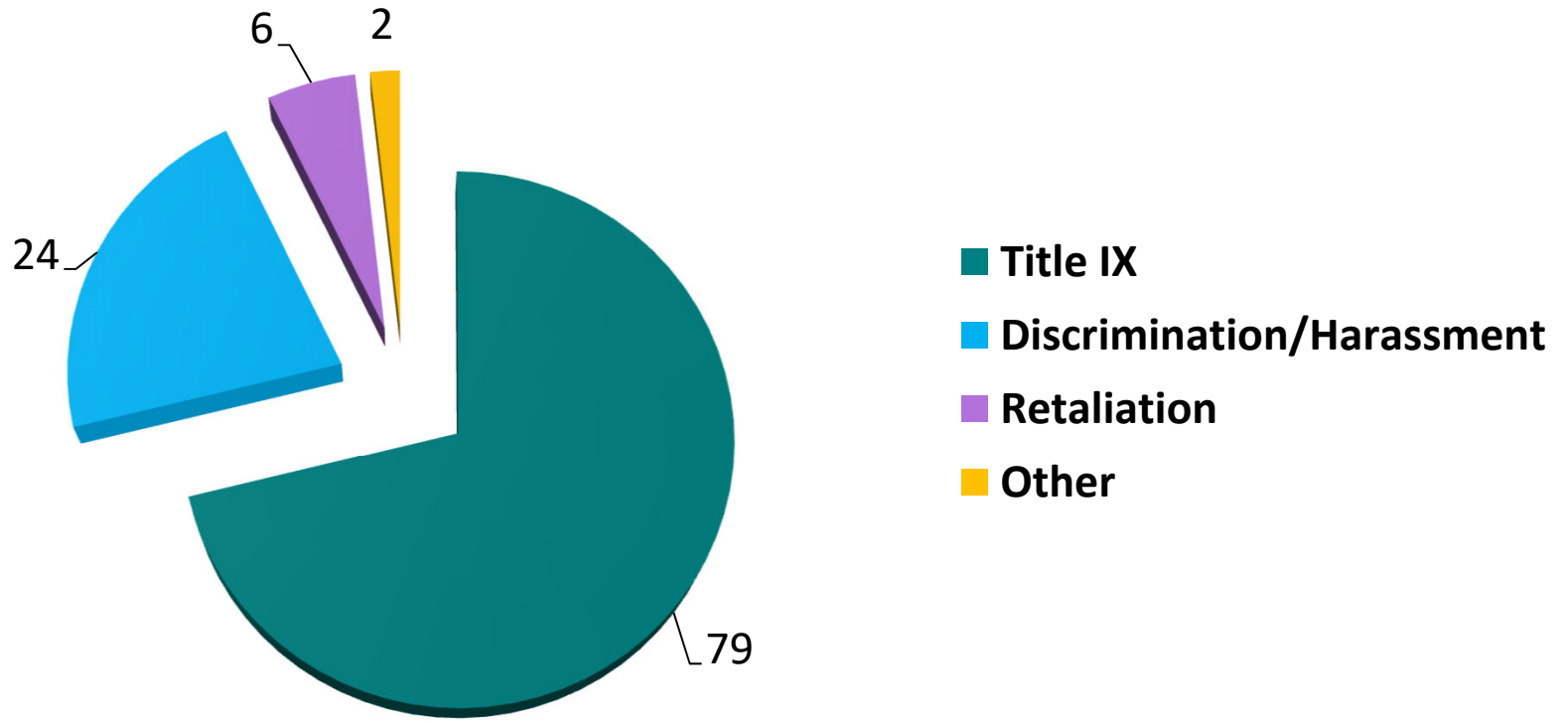
FY2021 Annual Data

Audit, Risk & Compliance Committee, September 2021

ANNUAL COMPARISON



Types of Issues Reported to OCE FY 21



Employee Reports

- 26 reports in FY 2021 of alleged misconduct by a faculty or staff member
- Report volume per 100 employees in FY 2021
 - OC&E = 0.8 (vs. 0.9 in 2020)
 - Median = 1.3 reports*
 - Range of 0.2 – 11.3 for all sources of reports

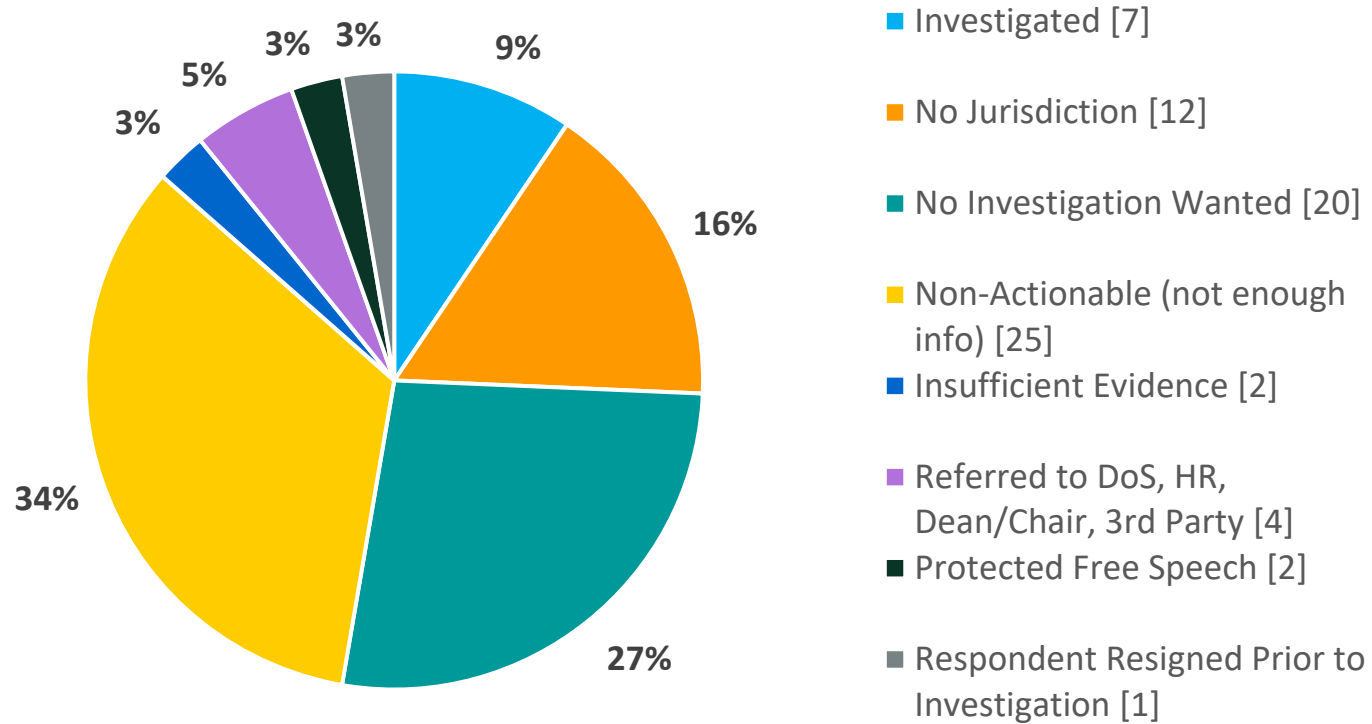
* Navex Global Risk & Compliance Incident Management 2021 Benchmark Report

ANNUAL TITLE IX /VAWA DATA



ANNUAL TITLE IX /VAWA DATA

FY21 Title IX Response to Reports



FY21 TITLE IX INVESTIGATIONS

- 7 sexual misconduct investigations
 - 2 responsible for policy violation
 - 2 Complaint Withdrawn/Dismissed
 - 3 investigations ongoing



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CHARTERED 1693

COMPLIANCE UPDATES

Audit, Risk & Compliance Committee, September 2021

- Updated Policies
 - Separated Title IX Misconduct and University Misconduct
- Updated Student Procedure
 - Alternative Resolutions

TITLE IX REGULATIONS



- Annual Security Report to be published
October 1
- Statement of Economic Interest Position Review
- Significant Uptick in Employee Reports (17 by
or regarding an employee since July 1)

OTHER UPDATES

