

FULL BOARD OF VISITORS MEETING

MINUTES - DECEMBER 7-9, 2011

MINUTES
Meeting of the Board of Visitors
The College of William and Mary in Virginia

December 7-9, 2011

The Board of Visitors of The College of William and Mary in Virginia met on the campus in Williamsburg on Wednesday, Thursday and Friday, December 7-9, 2011.

On Wednesday December 7, the Committee on Financial Affairs met from 4:00 to 5:10 p.m. in the Board Room. That evening, the full Board convened for dinner in Plumeri House at 119 Chandler Court.

Those present were:

Charles A. Banks III	Leigh A. Pence
Colin G. Campbell	L. Clifford Schroeder, Sr.
Timothy P. Dunn	Robert E. Scott
Edward L. Flippen	Peter A. Snyder
Laura L. Flippin	Todd A. Stottlemeyer
Thomas R. Frantz	Michael Tang
R. Philip Herget III	John C. Thomas
Dennis H. Liberson	Jeffrey B. Trammell, Rector

Absent: Janet M. Brashear

Also present was William and Mary President W. Taylor Reveley III

At 7:45 p.m. the Rector convened the full Board at Plumeri House, and moved that, pursuant to Section 2.2-3711.A.1., of the Code of Virginia, the meeting be closed in order to discuss personnel matters involving specific college employees. Motion was seconded by Mr. Liberson and approved by voice vote. The observers were asked to leave the room and the Board went into closed session at 7:15 p.m.

The Board reconvened in open session at 9:15 p.m. Mr. Trammell reviewed the topic discussed during closed session for the benefit of the observers, then moved adoption of the **Resolution** certifying that the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by Mr. Liberson and approved by roll call vote of the Committee members conducted by Secretary of the Board Dennis Liberson.(Certification **Resolution** is appended.)

There being no further business, the Board adjourned at 9:15 p.m.

On Thursday, December 8, the Executive Committee met in the Rector's Office. The Committee on Audit, the Committee on Athletics and the Committee on Academic Affairs met in the Board Conference Room. The Richard Bland College Committee, the Committee on Strategic Initiatives, the Committee on Alumni Relations and the Committee on Student Affairs met in the Board Room.

Board of Visitors
MINUTES
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Those present on Thursday were:

Charles A. Banks III
Janet M. Brashear
Colin G. Campbell
Timothy P. Dunn
Edward L. Flippin
Laura L. Flippin
Thomas R. Frantz
R. Philip Herget III
Dennis H. Liberson
Leigh A. Pence

L. Clifford Schroeder, Sr.
Robert E. Scott
Peter A. Snyder
Todd A. Stottlemyer
Michael Tang
John C. Thomas
Jeffrey B. Trammell, Rector
Faculty representative: Alan J. Meese
Student representatives: Kaveh Sadeghian

Others present were:

W. Taylor Reveley III
Michael R. Halleran
Virginia M. Ambler
Edward C. Driscoll, Jr.
James R. Golden
Anna B. Martin
Sean Pieri

Kiersten L. Boyce
Karen R. Cottrell
Michael J. Fox
W. Fanchon Glover
Michael L. Stump
Brian W. Whitson
Sandra J. Wilms

Also in attendance were Assistant Attorney General Deborah Love, Staff Liaison Mary Molineux, Vice Provost for Academic Affairs Kathleen F. Slevin; Acting Dean Eugene Tracy, Dean John T. Wells, members of the William and Mary Faculty Liaison Committee, and other William and Mary staff.

At 11:30 a.m. Rector Jeffrey Trammell convened the Board as a committee of the whole for the **Committee on Strategic Initiatives**. In his brief opening remarks, Chair Robert Scott noted that this session would involve a joint discussion of academic strategic structural issues combining Strategic Initiatives and the time normally set aside for the Provost Report.

Vice President for Strategic Initiative James Golden provided a brief update on communications efforts currently underway. Provost Michael Halleran and Vice President Golden reviewed the strategic planning process and discussed the dashboard update, as detailed in Enclosure H.

Mr. Scott and Chair of the Committee on Academic Affairs Colin Campbell discussed the ideas submitted to Jim Golden since the September meeting. Noting that the central focus of the discussions at this meeting would involve differential teaching loads, faculty composition and innovative teaching ideas, while subsequent meetings would take up other topics, Mr. Scott asked Faculty Representative to the Board Alan Meese and Mr. Campbell to comment from their perspectives, then opened the meeting to a general discussion. Provost Halleran commented on the creation of the Creative Adaptation Fund, designed to stimulate experimentation with new ideas to improve the quality of what we are doing in ways that are consistent with a rapidly changing world and new fiscal realities.

Following general discussion of the outlined issue, and there being no further business, the Committee adjourned at 1:00 p.m.

Following lunch, the Board convened at 2:15 p.m. as a committee of the whole for the **Committee on Alumni Relations**. Ms. Flippin presided as chair and introduced Alumni Association President Peter Nance. Mr. Nance expressed his thanks for the opportunity to meet with the Board and provided comments on the work of the Alumni Association to improve connections between the College and alumni through use of the web, building preferred business partnerships, programs to connect to the student body and lifelong relationship events as well as other initiatives. Mr. Nance advised that the success of these efforts could not have been realized without the leadership of Executive Vice President of the Alumni Association Karen Cottrell and her staff, noting that work was being done in tandem with the Development Office. A brief discussion ensued.

Ms. Cottrell then discussed Alumni Career Services, as a follow-up to the presentation in September, providing an overview of the new home page, which now links to the Cohen Career Center. A brief discussion ensued regarding integrating alumni career services with student affairs and the business school.

Ms. Cottrell reported on the success of 2011 Homecoming and reviewed regional events planned for the next several months. Following brief discussion, the Committee adjourned at 3:00 p.m.

The **Committee on Student Affairs** convened as a committee of the whole at 3:00 p.m. Mr. Tang presided as chair and introduced Vice President for Student Affairs Virginia Ambler, who advised the report from the student liaisons would be heard first.

Student Liaison Anna Mahalek thanked the Board members for joining the students for lunch and reported on events since the September meeting, noting that from the student perspective the Homecoming events were very positive. Winter break service trips and upcoming on-campus speakers and events were also discussed, along with the effort to bring a TED Conference to campus.

The administrative report focused on national assessment data supplied through two surveys – the Cooperative Institutional Research Program (CIRP) survey, administered to freshmen during orientation every three years, and the National Survey of Student Engagement (NSSE) administered in 2011 to freshmen and seniors.

Ms. Ambler introduced Jodi Fidler, Assistant to the Vice President for Student Affairs, and Susan Bosworth, Associate Provost for Institutional Analysis & Effectiveness, who assisted Ms. Ambler in reviewing the national data as it related to William and Mary students.

Following a general discussion, and there being no further business, the Board recessed at 3:50 p.m.

On Friday, December 9, the Committee on Development and the Committee on Financial Affairs met in the Board Room, while the Committee on Buildings and Grounds met in the Board Conference Room, prior to the full Board meeting.

Those present on Friday were:

Charles A. Banks III
Janet M. Brashear
Colin G. Campbell
Timothy P. Dunn
Edward L. Flippen
Laura L. Flippin
Thomas R. Frantz
R. Philip Herget III
Dennis H. Liberson
Leigh A. Pence
L. Clifford Schroeder, Sr.

Robert E. Scott
Peter A. Snyder
Todd A. Stottlemeyer
Michael Tang
John C. Thomas
Jeffrey B. Trammell, Rector
Faculty representatives: Alan J. Meese
Steven E. Martin
Student representatives: Kaveh Sadeghian
Emily Michalek

Others present were:

W. Taylor Reveley III
Michael R. Halleran
Virginia M. Ambler
Edward C. Driscoll, Jr.
James R. Golden
Anna B. Martin
Sean Pieri

Kiersten L. Boyce
Karen R. Cottrell
Michael J. Fox
W. Fanchon Glover
Michael L. Stump
Brian W. Whitson
Sandra J. Wilms

Also in attendance were Assistant Attorney General Deborah Love, Staff Liaison Mary Molineux, Dean of University Libraries Carrie L. Cooper, Acting Dean Eugene Tracy, Dean John T. Wells, Richard Bland College President James B. McNeer and Vice President for Administration and Finance Russell E. Whitaker, Jr.; members of the William and Mary Faculty and Student Liaison Committees and other William and Mary staff.

At 11:00 a.m. the Rector convened the full Board meeting.

Recognizing that a quorum was present, the Rector asked for a motion to approve the minutes of the meeting of September 21-23, 2011. Motion was made by Mr. Scott, seconded by Mr. Flippen and approved by voice vote.

In brief opening remarks, the Rector commented on the productive committee meetings and thanked everyone for their participation.

President Reveley addressed the productive work being done by faculty, staff, students and alumni and noted the increase in good contact between the Board of Visitors, the Alumni Board and the William and Mary Foundation Board, expressing his hope for more to come in future meetings.

The Rector moved that the Board of Visitors of the College of William and Mary convene in Closed Session for the purpose of discussing and/or approving personnel actions pertaining to the appointment, promotion, tenure and leaves of specific instructional and professional faculty; to discuss investment matters relating to the investment of public funds; for consultation with legal counsel pertaining to legal matters requiring legal advice; to discuss specific recommendations related to future fundraising strategies as well as current development activities; and discussing

matters pertaining to the consideration of honorary degrees for Charter Day and Commencement 2012, as provided for in Section 2.2-3711.A.1., 6., 7., 8. and 10. of the Code of Virginia. Motion was seconded by Mr. Schroeder and approved by voice vote. The Board went into closed session at 11:05 a.m.

The Board reconvened in open session at 11:15 a.m. The Rector reviewed the topics discussed during closed session, then moved adoption of the **Resolution** certifying the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by Ms. Brashear and approved by roll call vote of the Board members conducted by Secretary to the Board Michael Fox. (Certification **Resolution** is appended.)

Mr. Dunn reported for the **Committee on Financial Affairs**, noting that the Committee had two sessions due to scheduling conflicts. On Wednesday afternoon, the Committee heard reports from Vice President for Finance Sam Jones and Dean/Director of the Virginia Institute of Marine Science John Wells.

Noting that the statements remain subject to audit by the Commonwealth's Auditor of Public Accounts, Mr. Dunn moved adoption of **Resolution 1**, Receipt of the Consolidated Financial Report of the College of William and Mary in Virginia and Richard Bland College for the Fiscal Year Ended June 30, 2011, and **Resolution 6**, Receipt of the Financial Report of the Intercollegiate Athletic Department for the Fiscal Year Ended June 30, 2011. Motion was seconded by Mr. Flippen and approved by voice vote.

Mr. Dunn advised that earlier Friday morning the Committee heard the report from the Wells Fargo advisors. Revisions to the current investment policy, which were anticipated to be distributed as **Resolution 18**, Revision: Investment and Spending Policy for Endowment, were still under review and would be brought to the Committee when finalized, therefore, Resolution 18 was withdrawn and tabled until ready for discussion.

Mr. Thomas reported for the **Committee on Audit**, noting that the Committee had heard reports from the Auditor of Public Accounts, the Director of Internal Audit and the Compliance and Policy Officer. Mr. Thomas advised that, due to a structural change, the Compliance and Policy Officer would now report to the Audit Committee.

Mr. Thomas moved adoption of **Resolution 7**, Approval of 2012 Internal Audit Work Plan. Motion was seconded by Ms. Pence and approved by voice vote.

Mr. Liberson reported for the **Committee on Administration**, noting that the Committee had heard a report on compensation from the Provost and Vice President for Administration. Compensation adjustments were made effective November 25 that addressed the most pressing issues and addressed the areas of greatest vulnerability and were well received by faculty and staff.

Mr. Liberson moved adoption of **Resolution 8(R)**, Resolution to Approve Final Regulation Regarding Weapons on Campus, amended to correct to change the effective date. Motion was seconded by Ms. Flippin and approved by voice vote. (**Resolution 8(R)** is appended.)

Mr. Liberson reported for the **Richard Bland College Committee**, noting that the Committee had heard reports from the administration as well as the faculty and student representatives.

Mr. Liberson moved adoption as a block of **Resolution 1**, Receipt of the Consolidated Financial Report of the College of William and Mary in Virginia and Richard Bland College for the Fiscal Year Ended June 30, 2011; **Resolution 2**, Resolution to Approve Policy and Propose Regulation Regarding Weapons on Campus; **Resolution 3**, Changes to the College's Faculty Handbook; **Resolution 4**, 2012-2018 Six Year Plan (Revised); and **Resolution 5**, Master Plan Revision. Motion was seconded by Mr. Frantz and approved by voice vote.

Ms. Brashear reported for the **Committee on Buildings and Grounds**, noting that the Committee had viewed a presentation on the eco-village and seen the results of the feasibility study. Ms. Brashear thanked Mr. Tang for underwriting this study, noting that this project needs private funding support. Ms. Martin briefly reviewed the project and will report back with cost comparisons. There were no action items.

Mr. Herget reported for the **Committee on Development**, noting that the chair of the William and Mary Foundation Board had joined the meeting and participated in the discussion.

Mr. Herget moved adoption of **Resolution 16**, Establishment of the Kae Brown Memorial Symphony Orchestra Endowment, and **Resolution 17**, Establishment of the Katherine E. Towers and Lewis C. Towers Scholarship Quasi-Endowment. Motion was seconded by Mr. Schroeder and approved by voice vote.

Mr. Campbell reported for the **Committee on Academic Affairs**, noting the co-chairs of the curriculum review had reported on progress to date and advised that a full Board discussion would be held at the February meeting.. Faculty representative Alan Meese, on behalf of the Faculty Assembly Executive Committee, thanked the Board on behalf of the faculty for the salary increases and the support of the six-year plan, including the strategic initiatives underway.

Mr. Campbell moved adoption as a block of **Resolution 9(R)**, Appointments to Fill Vacancies in the Professionals and Professional Faculty; **Resolution 10**, Faculty Promotion; **Resolution 11**, Award of Academic Tenure; **Resolution 12**, Designated Professorship; **Resolution 13**, Term Distinguished Professorship; **Resolution 14**, Faculty Leave of Absence, and **Resolution 15**, Resolution to Approve Policy on Credit for Advanced Placement, International Baccalaureate, Cambridge and Other Pre-Matriculation Examinations. Motion was seconded by Mr. Scott and approved by voice vote. (**Resolution 9(R)** is appended.)

Mr. Trammell moved adoption of the report of the **Executive Committee** given in closed session; namely, to award the following honorary degree at Charter Day:

James A. Bill, Doctor of Humane Letters

Motion was seconded by Mr. Liberson and approved by voice vote.

Mr. Trammell advised that Chancellor Sandra Day O'Connor was not able to attend at Charter Day; therefore, the investiture of Robert Gates as the 24th Chancellor of the College would take place during the ceremony on February 3.

There was no old business.

Under new business, Mr. Trammell advised that the following members of the Board had been named to the Presidential Assessment Committee – Dennis Liberson, chair; Tom Frantz and Phil Herget.

The Rector noted that he would appoint a special committee charged with reviewing and making recommendations regarding the preservation, interpretation and future of alumnus and former U.S. President James Monroe's home at Ashlawn-Highland. The chair of the ad hoc committee will be former Rector and alumnus James B. Murray, Jr. The committee will be comprised of up to ten members, including two from the Board of Visitors – John Thomas and Colin Campbell – and will be asked to provide an initial report to the President and the Board by April 2013. Mr. Thomas advised that one of the first steps taken was to begin referring to the property with the name used by President Monroe – Highlands. President Reveley advised that a statue of James Monroe would be added to the campus in the near future.

The Rector advised that a survey of the Board members regarding possible dates for a retreat in the summer of 2012 as well as an Executive Committee meeting in March would be circulated and encouraged Board members to respond.

The Rector advised that the Board has delegated authority to the Financial Affairs Investments Subcommittee to make a final decision on a new investment manager.

There being no other new business, the Rector moved that the Board of Visitors convene in Executive Session for the purpose of discussing personnel matters pertaining to the evaluation of specific executive employees, as provided for in Section 2.2-3711.A.1., of the Code of Virginia. Motion was seconded by Mr. Banks and approved by voice vote. The Board went into Executive Session at 11:55 p.m.

The Board reconvened in open session at 12:15 p.m. The Rector reviewed the topics discussed during closed session, then moved adoption of the **Resolution** certifying the closed session was held in compliance with the Freedom of Information Act. Motion was seconded by Mr. Banks and approved by roll call vote of the Board members conducted by Secretary to the Board Michael Fox. (Certification **Resolution** is appended.)

There being no further business, the Board adjourned at 12:15 p.m.

Board of Visitors

December 7, 2011

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors of The College of William and Mary in Virginia has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Board of Visitors that such closed session was conducted in conformity with Virginia law;

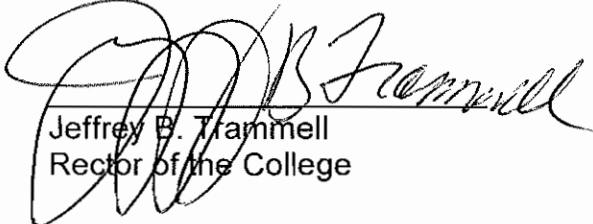
NOW, THEREFORE, BE IT RESOLVED, That the Board of Visitors, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Board of Visitors.

VOTE

AYES: 16

NAYS: 0

ABSENT DURING CLOSED SESSION:


Jeffrey B. Trammell
Rector of the College

Board of Visitors

December 9, 2011

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors of The College of William and Mary in Virginia has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Board of Visitors that such closed session was conducted in conformity with Virginia law;

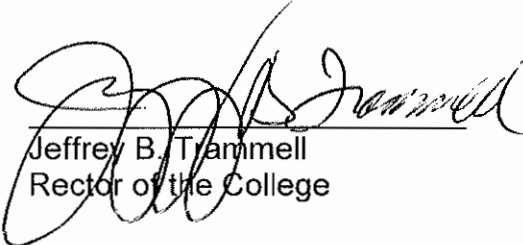
NOW, THEREFORE, BE IT RESOLVED, That the Board of Visitors, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Board of Visitors.

VOTE

AYES: 17

NAYS: 0

ABSENT DURING CLOSED SESSION:


Jeffrey B. Trammell
Rector of the College

COLLEGE OF WILLIAM AND MARY

**RESOLUTION TO APPROVE
FINAL REGULATION REGARDING WEAPONS ON CAMPUS**

WHEREAS, protecting the safety of the campus community is of utmost importance to the Board of Visitors of The College of William and Mary in Virginia;

WHEREAS, Chapter 5 of Title 23 of the Code of Virginia provides that the Board of Visitors shall "make all needful rules and regulations" concerning the College;

WHEREAS, the Supreme Court of Virginia has confirmed that public institutions of higher education in Virginia may regulate the possession of weapons on their campuses;

WHEREAS, College and Commonwealth policies restrict weapons possession by College students and employees;

WHEREAS, the Board of Visitors deems it prudent to regulate weapons possession by visitors or other third parties in certain facilities controlled by the College as well as at certain College events, pursuant to its authority conveyed in Chapter 5 of Title 23 of the Code of Virginia; and

WHEREAS, the Board of Visitors adopted a proposed Weapons on Campus Regulation on September 23, 2011, which was published in the Virginia Register of Regulations on October 24, 2011;

THEREFORE, BE IT RESOLVED, That, upon recommendation of the President, the proposed Weapons on Campus Regulation, a copy of which is attached hereto, is hereby adopted as a final regulation for publication in the Virginia Register in accordance with the Virginia Administrative Process Act, with an effective date on January 3, 2012; and

BE IT FURTHER RESOLVED, That the College's Regulatory Coordinator is hereby authorized and directed to take all necessary action to implement this resolution.

**CHAPTER 20
WEAPONS ON CAMPUS REGULATION**

8VAC115-20-10. Definitions.

The following words and terms when used in this chapter shall have the following meanings unless the context clearly indicates otherwise:

"Police officer" means law-enforcement officials appointed pursuant to Article 3 (§ 15.2-1609 et seq.) of Chapter 16 and Chapter 17 (§ 15.2-1700 et seq.) of Title 15.2, Chapter 17 (§ 23-232 et seq.) of Title 23, Chapter 2 (§ 29.1-200 et seq.) of Title 29.1, or Chapter 1 (§ 52-1 et seq.) of Title 52 of the Code of Virginia or sworn federal law-enforcement officers.

"University property" means any property owned, leased, or controlled by the College of William & Mary in Virginia, including the Virginia Institute of Marine Science.

"Weapon" means any firearm or any other weapon listed in § 18.2-308 A of the Code of Virginia.

8VAC115-20-20. Possession of weapons prohibited.

Possession or carrying of any weapon by any person, except a police officer or an individual authorized pursuant to university policy, is prohibited on university property in academic buildings, administrative buildings, student residence and student life buildings, or dining or athletic facilities, or while attending an official university event, such as an athletic, academic, social, recreational or educational event, or on vessels that are university property. Entry upon such university property in violation of this prohibition is expressly forbidden.

8VAC115-20-30. Person lawfully in charge.

In addition to individuals authorized by university policy, College of William & Mary police officers are lawfully in charge for the purposes of forbidding entry upon or remaining upon university property while possessing or carrying weapons in violation of this prohibition.

COLLEGE OF WILLIAM AND MARY
APPOINTMENTS TO FILL VACANCIES IN THE
PROFESSIONALS AND PROFESSIONAL FACULTY

Vacancies in the Professionals and Professional Faculty of the College of William and Mary have resulted because of resignations, terminations or the approval of additional authorized positions.

BE IT RESOLVED, That upon recommendation of the President, the Board of Visitors of the College of William and Mary approves the appointments of the following individuals to fill these positions:

KELLY J. FINZER, Program Assistant, Washington Office, effective
October 6, 2011

B.A., The Ohio State University, 2011

The Ohio State University
Student Advisor, Ohio Student Educational Policy Institute, 2010-2011
Student Administrative Assistant, President's Office, 2008-2011

GREGORY W. JOHNSON, Director of Procurement Services, effective
October 31, 2011

B.A., Washington and Lee University, 1976
MBA, Indiana University, 1978

Liberty University
Director of Purchasing, 2007-2011

Quality Foods Co-Operative
Director of Purchasing and Marketing, 2005-2007
Category Manager, 2003-2005

Wild Bird Center and Sharp Edges Edging
Co-owner, 1994-2003

COLLEGE OF WILLIAM AND MARY
APPOINTMENTS TO FILL VACANCIES IN THE
PROFESSIONALS AND PROFESSIONAL FACULTY

JAKE R. NELSON, Coordinator, Student Philanthropy and
Recent Graduate Programs, University Development, effective
November 28, 2011

B.A., College of William and Mary, 2011

United States Department of State, Bureau of European and
Eurasian Affairs
Intern, Office of Policy and Global Issues, 2011

JOHN T. SPIKE, Assistant Director and Chief Curator, Muscarelle
Museum of Art, effective January 1, 2012

B.A., Wesleyan University, 1973
A.M. (1974); Ph.D. (1979), Harvard University

European University of Rome and the Pontifical Athenaeum,
'Regina Apostolorum' at the Vatican, Italy
Professor, 2007-2011

Board of Visitors

December 9, 2011

CERTIFICATION OF CLOSED SESSION

WHEREAS, the Board of Visitors of The College of William and Mary in Virginia has convened a closed session on this date pursuant to an affirmative recorded vote and in accordance with the provisions of The Virginia Freedom of Information Act; and

WHEREAS, §2.2-3712.D. of the Code of Virginia requires a certification by this Board of Visitors that such closed session was conducted in conformity with Virginia law;

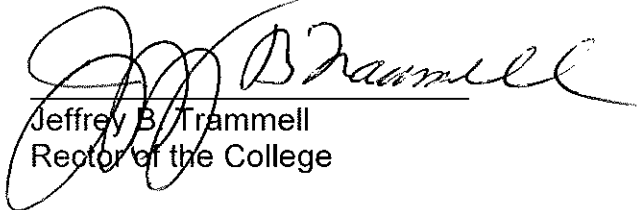
NOW, THEREFORE, BE IT RESOLVED, That the Board of Visitors, reconvening in open session, hereby certifies that, to the best of each member's knowledge, (i) only public business matters lawfully exempted from open meeting requirements by Virginia law were discussed in the closed session to which this certification applies, and (ii) only such public business matters as were identified in the motion convening the closed session were heard, discussed or considered by the Board of Visitors.

VOTE

AYES: 17

NAYS: 0

ABSENT DURING CLOSED SESSION:


Jeffrey B. Trammell
Rector of the College