Federal Regulations require formal review for certain classes of activity BEFORE employees or students begin work. Areas of concern include:

a) Work that involves living human subjects (including survey research or questionnaires);

b) Work that involves the use and care of vertebrate animals;

c) Work that uses or produces radioactive materials; and

d) Work that involves institutional bio-safety concerns such as:
   - Recombinant DNA
   - Work with any human fluid, tissue or infectious agent
   - Research involving direct or indirect contact with wild-caught animals that may harbor infectious agents.

Given these federal regulations, every A&S graduate student must submit to the Office of Graduate Studies and Research an Institutional Compliance Committee Form, which can be found on the Office of Graduate Studies and Research’s webpage Physical Standards for Theses and Dissertations. This should be submitted as a separate form (not inserted into the thesis or dissertation), along with the original and two copies of the final version of their thesis or dissertation.

The Institutional Compliance Committee Form requires original signatures from the student and the student’s faculty research advisor certifying that either (1) the research did not involve any of the classes of work detailed above or (2) the research was approved in advance by the appropriate W&M compliance committee(s).

In the second case, the thesis or dissertation must include a Compliance Page, based on the Compliance Page template, which is found on the next page.
COMPLIANCE PAGE

Research approved by

__________________________
Name of W&M Institutional Compliance Committee

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Name of W&M Institutional Compliance Committee

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Name of W&M Institutional Compliance Committee

Protocol number(s)

Date(s) of approval