

Select ONE of the options below.

For help in deciding among these options, see the guides for [Open Access versus Traditional Publishing](#) and [Embargoes & Restrictions](#).

Open Access Publishing Options

OA-1
OPEN ACCESS
IMMEDIATE* Release
Retail copy sales

Accessible to search engines
I want the broadest possible dissemination of my work as soon as it is published, and I want to provide free global access to the electronic copy of my work via the internet. I want people to be able to buy a copy of my work via commercial retailers, and I want major search engines to discover my work. I understand that there is an additional fee for Open Access Publishing, and that I will not be eligible to receive royalties.

OA-2
OPEN ACCESS
I want the broadest possible dissemination of my work and I want to provide free global access to the electronic copy of my work via the internet. I may provide further instructions as indicated below. I understand that there is an additional fee for Open Access Publishing and that I will not be eligible to receive royalties.

If no boxes are checked below, your work will be released immediately with no restrictions.

- | | |
|--|---|
| Release Options*: | Restriction Options: |
| <input type="checkbox"/> 6 month embargo | <input type="checkbox"/> No search engine access |
| <input type="checkbox"/> 1 year embargo | <input type="checkbox"/> No sales via third-party |
| <input type="checkbox"/> 2 year embargo | |

Traditional Publishing Options

TR-1
TRADITIONAL Publishing
IMMEDIATE* Release
Retail copy sales

Accessible to search engines
I want to make my work widely available for purchase as soon as it is published, and I want to be eligible to receive royalties on the sale of my work. I want people to be able to buy a copy of my work via commercial retailers, and I want major search engines to discover my work. I understand that I must maintain a current mailing address with ProQuest/UMI in order to be eligible to receive royalties.

TR-2
Traditional Copy Sales & Royalties
I want to make my work widely available for purchase and I want to be eligible to receive royalties on the sale of my work. I may provide further instructions as indicated below. I understand that I must maintain a current mailing address with ProQuest/UMI in order to be eligible to receive royalties.

If no boxes are checked below, your work will be released immediately with no restrictions.

- | | |
|--|---|
| Release Options*: | Restriction Options: |
| <input type="checkbox"/> 6 month embargo | <input type="checkbox"/> No search engine access |
| <input type="checkbox"/> 1 year embargo | <input type="checkbox"/> No sales via third-party |
| <input type="checkbox"/> 2 year embargo | |

* Embargoes start from the date we receive your manuscript at ProQuest/UMI. Note that there can be a delay of up to a semester at your institution before the work is forwarded to us.

Section V. Author's signature.

Acknowledgment: I have read, understand and agree to this Publishing Agreement, including all rights and restrictions included within the publishing option chosen by me as indicated above.

Author's signature _____ Date _____

Dissertation Submission Form

Please type or print in block letters.

Please do not write in this space.

Restriction Code	
Total Pages	
Vol./Issue	
School Code	
Year	
Copyright	<input type="checkbox"/> Yes <input type="checkbox"/> No

Personal Information

Last Name _____
 First Name _____
 Middle Name or Initial _____
 Country (ies) of _____
 Citizenship _____

Current Contact Information

Street Address _____
 City, State, Zip _____
 Country _____
 Daytime Phone _____
 Evening Phone _____
 Email Address _____

Future and/or Stable Contact Information

Effective Date _____
 Street Address _____ Daytime Phone _____
 City, State, Zip _____ Evening Phone _____
 Country _____ Email Address _____

Degree Information

Full name of university conferring degree _____	Official name of College, School, or Division (if applicable) _____
Abbreviation of degree awarded _____	Official name of Department or Program _____
Year degree awarded _____	Year manuscript completed _____

Dissertation Information • IMPORTANT! Attach copies of your dissertation title page and abstract to this form.

What language is your dissertation written in? _____
 Primary Subject Category: Enter the 4-digit code and category name from Guide 2 that most closely corresponds with the subject of your research.
 Your abstract will be listed in our citation index products under this category. _____
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Provide up to 6 keywords or short phrases for citation indices, library cataloging, and database searching.

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We can file your application to register your copyright on your behalf. We supply all application materials, the two copies of your dissertation or thesis required for review and deposit in the Library of Congress, pay the filing fee and additional fees for corrected filings, and monitor the registration process.

The fee for this service is \$65.00, and includes the filing fee set by the U.S. Copyright Office.

YES; I want UMI® Dissertation Publishing to handle the application for registration of my claim to copyright, and am providing the necessary information as follows:

I hereby request and authorize UMI® to submit an application for registration of my copyright in the Work in my name. I will receive the registration confirmation form directly from the U.S. Office of Copyright.

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